



Published in final edited form as:

*Surgery*. 2010 February ; 147(2): 282. doi:10.1016/j.surg.2009.09.017.

## Roux-en-Y gastric bypass reverses renal glomerular but not tubular abnormalities in excessively obese diabetics

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### Abstract

**Background**—Obesity and type 2 diabetes are associated with renal dysfunction which improves after roux-en-Y gastric bypass (RYGB) surgery. We prospectively studied, during a 12-month follow-up period, changes in glomerular and tubular functions that occurred in excessively obese diabetic and non-diabetic subjects after RYGB.

**Methods**—The cohort included 35 patients, 54% of them with type 2 diabetes. Glomerular filtration rate (GFR) was estimated using creatinine clearance. Tubular function was studied by measuring the ratio of urinary cystatin C to urinary creatinine (UCC ratio).

**Results**—Baseline renal parameters, anthropometric characteristics as well as changes in body mass index following the surgical procedures were similar between the two cohorts. Creatinine clearance decreased 15% in diabetics ( $p = 0.02$ ) and 21% in non-diabetics ( $p = 0.03$ ), 12 months after RYGB. A significant change in GFR was seen earlier in the non-diabetics ( $-29\%$  after 6 months,  $p = 0.003$ ). UCC ratio underwent a significant increase at both 6 and 12 months follow-ups ( $p = 0.03$  and  $0.003$ , respectively) only in the diabetic group.

**Conclusion**—RYGB improved GFR 12 months after surgery with non-diabetics showing a higher propensity. Tubular function remained unchanged in the non-diabetic but worsening occurred in diabetic subjects. These results underscore the importance of reversal of excessive obesity prior to the onset of frank diabetes.

### INTRODUCTION

Obesity, defined as a body mass index (BMI) equal to or more than  $30 \text{ kg/m}^2$ ,<sup>1</sup> is becoming a major health concern in the United States of America (USA) and worldwide. Excess weight carries several health risks and decreases life expectancy.<sup>2</sup> Obesity related co-morbidities, mainly type 2 diabetes (T2D), cardiovascular disease, dyslipidemia and hypertension are well documented.<sup>3-7</sup>

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An equally important but less investigated adverse effect of obesity is impaired renal function. Obesity is associated with worsening albuminuria,<sup>8</sup> proteinuria<sup>9,10</sup> and end-stage renal disease.<sup>11</sup> Additionally, autopsy and biopsy studies have associated obesity with structural changes in the kidney and increased kidney weight, which are indicative of hyperfiltration.<sup>12,13</sup> Chagnac et al. showed that obese non-diabetic subjects (BMI >38 kg/m<sup>2</sup>) have significantly elevated (+51%) glomerular filtration rates (GFR), elevated (+31%) renal plasma flow (RPF), and increased (+13%) filtration fraction (GFR/RPF) when compared to lean controls.<sup>14</sup> Similar observations were obtained in overweight subjects with BMI between 25 and 30 kg/m<sup>2</sup>.<sup>15</sup> Reduction in BMI, whether induced by surgical approaches<sup>15,17</sup> or caloric restriction<sup>16,18</sup>, results in improved kidney function.

Obesity and diabetes are two closely associated morbidities. Subjects with a BMI  $\geq$  30kg/m<sup>2</sup> have an adjusted relative risk of 3.44 for diagnosed diabetes<sup>16</sup> and more than 50% lifetime risk of developing diabetes.<sup>17</sup> Also, 48% of patients with diabetes have a BMI  $\geq$  30 kg/m<sup>2</sup>.<sup>18</sup> Moreover, diabetic nephropathy is the single most common cause of end-stage renal disease in the USA, Europe and Japan.<sup>19</sup>

Significant weight loss and reversal of T2D are common occurrences following bariatric surgery. Roux-en-Y gastric bypass (RYGB), the most commonly performed surgical approach for obesity in the USA,<sup>20</sup> is associated with 83% reversal of diabetes through 14 years of follow-up.<sup>21</sup>

No published reports have yet evaluated prospectively the effects of diabetes on renal changes after bariatric surgery. In the current study, we tested whether the presence of diabetes preoperatively would affect the changes in renal function differently than non-diabetic obese after RYGB in two groups of matched obese subjects with and without diabetes. We assessed glomerular filtration using creatinine clearance, and renal tubular function using plasma and urinary measurements of creatinine<sup>22,23</sup> and cystatin C. Cystatin C is a low molecular weight (13.3 kD) protein that is produced at constant rates by all nucleated cells. It is freely filtered across the glomerular membrane and is completely reabsorbed and rapidly broken down by proximal tubular cells.<sup>24</sup> Together, they increase the accuracy for estimating renal function tests<sup>25</sup> especially for patients with early kidney disease and T2D.<sup>26,27</sup>

## METHODS AND PROCEDURES

### Study Subjects

Study subjects were recruited from the Surgical Weight Loss Clinic at Vanderbilt University Medical Center (VUMC) and all had been approved for RYGB (BMI range: 38.5–69 kg/m<sup>2</sup>) and were divided into two groups that were matched for BMI and gender: (i) One group consisted of 19 subjects with established T2D and (ii) another 16 subjects were non-diabetic. The diagnosis of T2D was based on fasting blood sugar (FBS) levels of  $\geq$  126 mg/dl<sup>28</sup> or already established diagnoses by the primary physician, where the patients were taking anti-diabetic medications. Hypertension was defined as either having two separate recorded blood pressure measurements  $\geq$  140/90 mm Hg and/or subjects receiving antihypertensive therapy. All subjects included were non-smokers. The Health Science Committee of the Institutional Review Board at VUMC approved all procedures and protocols. Written informed consent was signed by all subjects prior to participation. All studies were performed at the Vanderbilt Clinical Research Center.

### Study Protocol

Renal function tests were performed in the preoperative period (within 2–3 weeks prior to the surgical procedure) and at 6 and 12 months postoperatively. Demographic and clinical

(including medication list) information for all patients were updated at each follow-up visit. Simultaneous 24-h urine collections were obtained for all patients. The RYGB surgery (100–150 cm Roux limb) was performed at VUMC by one of three surgeons. All vitamin and nutritional deficiencies were corrected through strict follow-up in the Surgical Weight Loss Clinic before the patients underwent surgery. Diabetes was considered resolved if FBS levels were below 126 mg/dl with no current medical therapy for diabetes.

### Measurements

(i) GFR (ml/min) was estimated using creatinine clearance that was calculated according to:<sup>29</sup>

$$\text{Creatinine Clearance (ml/min)} = \frac{[\text{Urine creatinine (mg/dl)} \times \text{Urine volume (ml/24h)}]}{[\text{Plasma creatinine (mg/dl)} \times 1440 \text{ (min/24h)}]}$$

(ii) Renal tubular function was assessed using the urinary cystatin C to urinary creatinine (UCC) ratio. (iii) Waist circumference was measured at the level of the anterior superior iliac crests; hip measurement was recorded as the widest part around the buttocks region.

### Samples analyses

Levels of plasma and urine creatinine were determined by colorimetric assays (VITROS<sup>®</sup> 250, Ortho-Clinical Diagnostics, Rochester, NY, USA). We assayed urine cystatin C using the Quantikine Human cystatin C immunoassay Enzyme Linked Immunosorbant Assay kit (R&D Systems, Inc., Minneapolis, MN, USA). Urine samples for albumin were measured using a turbidometric immunoassay (Beckman Coulter Unicel<sup>®</sup> Dx C 800, Brea, CA, USA) and total protein with a colorimetric assay (Roche COBAS<sup>®</sup> Integra 800, Indianapolis, IN, USA). Serum glucose was measured in triplicate via the glucose oxidase method using a Beckman glucose analyzer (Beckman Instruments, Fullerton, CA, USA). Glycosylated hemoglobin (Hgb<sub>A1c</sub>) was assayed with high pressure liquid chromatography (BIO-RAD<sup>®</sup>, Hercules, CA, USA).

### Statistical Analyses

We analyzed the data using non-parametric statistical methods. We used the (i) Wilcoxon signed-rank test for related samples to test for differences between time points within the diabetic and non-diabetic groups, and (ii) the Mann-Whitney U test for between-group differences. All analyses were conducted using the SPSS (version 15.0, Chicago, IL, USA) software program. Summary data are reported as the mean  $\pm$  standard deviation (SD). Statistical significance was determined if *p* values were  $< 0.05$ .

## RESULTS

### Anthropometric and baseline characteristics (table 1)

We studied 35 morbidly obese subjects with 19 (54%) were diabetic and 16 (46%) were matched non-diabetics. The average BMI was  $47 \pm 8 \text{ kg/m}^2$  for diabetics and  $48 \pm 8 \text{ kg/m}^2$  for non-diabetics, respectively ( $p = 0.4$ ). The majority of the patients were females (90% and 94% for diabetics and non-diabetics, respectively) reflective of the general population of obese subjects requiring bariatric surgery.<sup>20</sup> Most (77%) of the patients were Caucasians.

The baseline measurements for BMI, waist circumference, waist-to-hip ratio, systolic and diastolic blood pressures, plasma creatinine, creatinine clearance, plasma cystatin C, urinary cystatin C, urinary creatinine, the UCC ratio, urinary albumin and protein were comparable between diabetic and non-diabetic subjects; no significant difference was found for any of the parameters studied (table 1). Nearly 63% of the diabetic subjects and 44% of non-diabetic

subjects were considered hypertensive. Hypertension had no effect on the increase in UCC ratios in the diabetics.

### Changes in BMI and diabetes after RYGB

As shown in table 2, BMI decreased significantly at each follow-up visit in both groups. After 12 months, BMI diminished 33% in the diabetics ( $p < 0.001$ ) and 35% in the non-diabetics ( $p < 0.001$ ). No noticeable difference was observed between diabetics and non-diabetics at baseline, 6 months and 12 months, respectively.

Diabetes resolved in 16/19 patients, resulting in 84% resolution rate 12 months after RYGB. Of the 19 patients with diabetes at baseline, 16 were taking oral medications, one patient was on insulin and 2 patients were diet-controlled. The mean FBS in the diabetics decreased from  $149 \pm 48$  mg/dl in the preoperative period to  $102 \pm 33$  mg/dl at 12 months ( $p < 0.001$ ). Likewise, plasma Hgb<sub>A1c</sub> levels decreased from preoperative values of  $6.7 \pm 0.8\%$  to  $5.9 \pm 0.9\%$  at 12-months postoperatively ( $p = 0.002$ ).

### Changes in renal function after RYGB (figure 1 and table 2)

GFR was estimated using creatinine clearance. We noted a significant drop in creatinine clearance in both groups after surgery. In the diabetics, there was a 15% drop in creatinine clearance from baseline to 12 months ( $p = 0.02$ ), while there was a 21% decrease in the non-diabetics for the same time period ( $p = 0.03$ ); parenthetically, the drop in the diabetics was more prominent at 6-months postoperatively ( $-29\%$ ,  $p = 0.003$ ), and this accounted for the significant differences between the two groups ( $p = 0.02$ ) seen at this time point.

Estimates of renal tubular function were obtained from measurements of the UCC ratios. As shown in table 2 and figure 1B, the UCC ratio increased significantly only in the diabetic group ( $p < 0.05$ ). There was no significant change in the urinary levels of cystatin C in both groups.

The averages of baseline albuminuria were normal for diabetics and non-diabetics ( $< 30$ mg/day), indicating minimal nephropathy. For urinary protein the diabetic group had a baseline value of  $181 \pm 165$  mg/day considered higher than the upper limit for normal individuals ( $< 150$  mg/day). RYGB resulted in significant drop in both urinary albumin ( $p = 0.009$ ) and protein ( $p < 0.05$ ) excretions in the non-diabetic group within the first six months postoperatively, but these rebounded such that the levels at 12 months were not significantly different from baseline, nor were they different from those obtained in the diabetic group. Though a biologically/clinically relevant decrease in urinary protein was seen for diabetics (from  $181 \pm 165$  mg/day at baseline to  $133 \pm 67$  mg/day after 12 months), this did not reach statistical significance ( $p=0.2$ ).

## DISCUSSION

This longitudinal study confirms some of the previous findings of altered kidney function in the obese population. In particular, our obese subjects with minimal apparent nephropathy (as evidenced by minimal albuminuria and proteinuria) have glomerular and tubular abnormalities. RYGB resulted in significant improvements in renal hyperfiltration in both diabetics and non-diabetics. Non-diabetics manifested significant improvements in GFR within 6 months, while the improvements in the diabetics did not occur until 12 months after RYGB. RYGB was associated with no change of the renal tubular function in the non-diabetic subject, while renal tubular dysfunction in the diabetics continued to worsen after RYGB.

The nature of kidney damage in patients with T2D remains unclear. Histopathological lesions are known to appear long before any disturbance in clinical parameters occur.<sup>30</sup> Nephropathy lesions for T2D have been described to be more heterogeneous and consist of three types: (a)

typical glomerular lesions as seen in type 1 diabetes; (b) less glomerular lesions but significant tubule-interstitial fibrosis and atrophy; and (c) glomerular disease on top of type 1 diabetic glomerulosclerosis.<sup>31</sup> Patients with associated microalbuminuria (30 mg/dl <24-h urinary albumin <300 mg/dl) tend to have higher proportion (nearly 41%) of severe tubule-interstitial disease.<sup>32</sup> Findings from the current study supported by observations in the literature, suggest that RYGB results in significant improvements in renal hyperfiltration. In a cross-sectional study, Navarro-Diaz et al. demonstrated significant improvements in creatinine clearance mostly during the first year after RYGB, with little additional improvement by 24 months;<sup>33</sup> their cohort, however, did not include diabetic patients. Serra et al. reported a significant improvement in hyperfiltration 12 months after RYGB; diabetes was present in only 17% of their study group.<sup>34</sup> Additionally, the findings from the current study indicate that the improvements in GFR, though seen in both groups, occurred faster in the non-diabetics (within 6 months) and normalized after 12 months ( $117 \pm 29$  ml/min). After one year, creatinine clearance levels in the diabetics remained higher ( $131 \pm 29$  ml/min) than the normal limit for GFR ( $\leq 120$  ml/min)

In our study, we estimated changes in tubular function using the UCC ratio, which requires measurements of Cystatin C. Uchida et al. studied 1,887 individuals including healthy adults and patients with chronic renal failure and found the UCC ratio to be a good marker of renal tubular dysfunction.<sup>35</sup> A high UCC ratio was found to correlate with tubule-interstitial disease and with heavy proteinuria.<sup>36</sup> In our study the diabetic subjects had significantly higher increases in the UCC ratio suggesting that the presence of tubular dysfunction in the diabetics persists and/or continues to worsen following RYGB. More basic research and longer follow-up needs to be undertaken to better define the level of tubular dysfunction associated with obesity and T2D.

In summary, obese subjects manifest significant alteration in glomerular and tubular functions and these occur with minimal evidence of nephropathy. RYGB leads to significant improvement in renal function in morbidly obese subjects. In non-diabetics renal defects, primarily glomerular, normalize with RYGB. Contrastingly, in diabetics, the renal defects are both glomerular and tubular in nature; RYGB improves but does not normalize the glomerular defects while the renal tubular defects continue to worsen. Therefore, the regular assessment of renal function in morbidly obese subjects may prompt early surgical intervention.

## Acknowledgments

This work was supported by the National Institutes of Health (NIH) grant NIDDK 5R01DK70860. Support was provided in part by the Vanderbilt Clinical Research Center grant (M01 RR 00095 NCRR/NIH, CTSA grant 1 UL1 RR024975), the Diabetes Center Grant (DK20593) and the Digestive Diseases Research Center grant (DK058404).

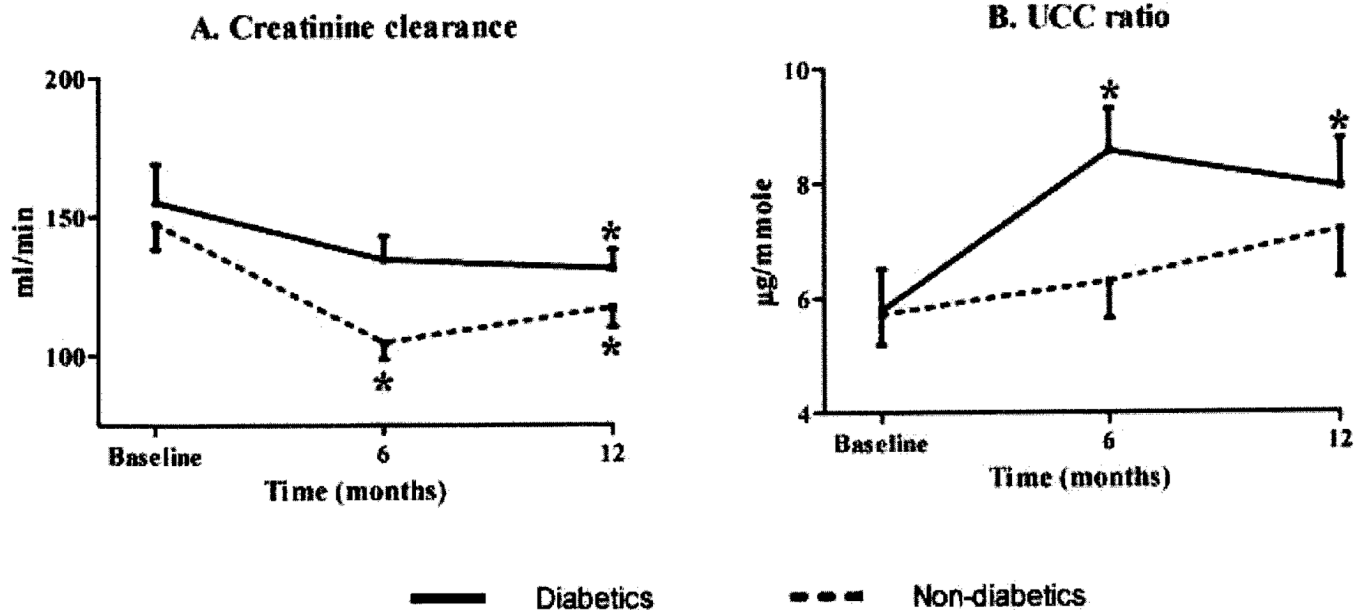
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**Figure 1.** Comparison of the changes in creatinine clearance (panel A) and UCC ratio (panel B) seen 6 and 12 months after RYGB between diabetic (straight line) and non-diabetic obese subjects (dotted line). \*,  $p < 0.05$  compared to baseline within each group.



**Table 1**

## Baseline Demographics.

<b>Diabetes</b>	<b>Yes</b>	<b>No*</b>
Number of subjects	19	16
Females (%)	90	94
Age (years)	45 ± 9	42 ± 10
BMI (kg/m <sup>2</sup> )	47 ± 8	48 ± 8
Waist circumference (cm)	132 ± 13	126 ± 18
Waist to hip ratio	0.92 ± 0.13	0.88 ± 0.12
Hypertension (%)	63	44
Systolic blood pressure (mm Hg)	137 ± 19	126 ± 14
Diastolic blood pressure (mm Hg)	76 ± 12	73 ± 7
Plasma creatinine (mg/dl)	0.64 ± 0.11	0.72 ± 0.12
Creatinine clearance (ml/min)	155 ± 57	148 ± 37
Urinary cystatin (µg/day)	81 ± 58	71 ± 33
Urinary creatinine (mg/day)	14.7 ± 5.9	14.4 ± 4.4
UCC ratio (µg/mmol)	5.8 ± 3.1	5.7 ± 2.2
Urinary albumin (mg/day)	26 ± 50	10 ± 6
Urinary protein (mg/day)	181 ± 165	122 ± 53

*BMI*, body mass index. *UCC*, urinary cystatin C to urinary creatinine

Data expressed as mean ± SD.

\* Comparison of data obtained in diabetic vs. non-diabetic subjects using the non-parametric Mann-Whitney U test demonstrated no significance in any of the parameters tested.

**Table 2**

BMI and renal parameters after RYGB.

	Diabetes	Baseline	6 months	12 months
BMI (kg/m <sup>2</sup> )	YES	47 ± 8	35 ± 6 <sup>*†</sup>	32 ± 6 <sup>‡</sup>
	NO	48 ± 8	35 ± 7 <sup>*†</sup>	31 ± 6 <sup>‡</sup>
Plasma creatinine (mg/dl)	YES	0.64 ± 0.11	0.63 ± 0.09	0.63 ± 0.09
	NO	0.72 ± 0.12	0.68 ± 0.15	0.71 ± 0.11
Urinary cystatin (µg/day)	YES	81 ± 58	90 ± 35	83 ± 40
	NO	71 ± 33	55 ± 26	75 ± 31
Urinary creatinine (mg/day)	YES	14.7 ± 5.9	10.9 ± 4.9 <sup>*</sup>	12 ± 3.4 <sup>‡</sup>
	NO	14.4 ± 4.4	9.6 ± 4.2 <sup>*†</sup>	12.4 ± 3.6
Urinary albumin (mg/day)	YES	26 ± 50	18 ± 33	15 ± 29
	NO	10 ± 6	5 ± 2 <sup>*†</sup>	14 ± 20
Urinary protein (mg/day)	YES	181 ± 165	109 ± 68	133 ± 67
	NO	122 ± 53	80 ± 39 <sup>†</sup>	125 ± 58

BMI, body mass index. RYGB, Roux-en-Y gastric bypass.

Data expressed as mean ± SD.

\* p<0.05, baseline vs. 6 months;

† p<0.05, 6 months vs. 12 months;

‡ p<0.05, baseline vs. 12 months. (nonparametric, Wilcoxon signed-rank test)