Original Investigation

A pilot randomized study of smokeless tobacco use among smokers not interested in quitting: Changes in smoking behavior and readiness to quit

Matthew J. Carpenter & Kevin M. Gray

Abstract

Introduction: Several prior studies suggest that smokeless tobacco use results in less carcinogenic risk than does cigarette smoking. Whether smokers will use smokeless tobacco is unclear, as is the impact of such use on long-term smoking behavior and cessation. It is equally plausible that smokeless tobacco use among smokers could either (a) increase total tobacco exposure and undermine motivation to quit or (b) decrease overall tobacco exposure, motivate smokers to quit, and enhance cessation. Either outcome is of major public health significance.

Methods: In this small (N = 31), short-term (2 week) pilot study, smokers uninterested in quitting were randomized to (a) receive Ariva or Stonewall (both spitless and smokeless tobacco lozenges) or (b) continue smoking conventional cigarettes.

Results: Ariva/Stonewall use led to a significant reduction (40%, 95% *CI*: 24%–55%) in cigarettes per day, no significant increases in total tobacco use (cigarettes + Ariva/Stonewall; p > .05), and significant increases in two measures of readiness to quit, either in the next month (p < .001) or within the next 6 months (p = .04), as well as significant increases in self-efficacy to quit smoking (p < .001). No such changes were found among smokers maintained on conventional cigarettes.

Discussion: These results suggest no deleterious effect on short-term smoking and quitting behavior among smokers who use smokeless tobacco. More broadly, this study suggests a strong need for a large prospective randomized clinical trial to more accurately assess the long-term viability of smokeless tobacco use as a method for cessation induction among unmotivated smokers.

Introduction

Recent years have seen a significant increase in the development and marketing of potentially reduced exposure products (PREPs), the most recent of which include a number of noncombustible products that provide tobacco in a spitless pouch (such as snus) or lozenge (such as Ariva or Stonewall; Hickman et al., 2004). Many researchers and public health officials question the overall population impact of PREPs (for reviews, see Hatsukami, Benowitz, Rennard, Oncken, & Hecht, 2006; Hatsukami et al., 2008; Pederson & Nelson, 2007). Whether PREPs are a viable or an unacceptable form of tobacco control is not yet known and is of crucial public health significance.

Depending on how PREPs are used in the real world, they could either promote or undermine public health (Warner, 2005). On the one hand, smokers may view these products as an alternative to cessation. This hypothesis is not without parallel, as most evidence has shown that switching to low-tar/low-nicotine cigarettes undermined cessation (Hughes, 2001; Shiffman, Pillitteri, Burton, Rohay, & Gitchell, 2001a, 2001b). Whether the history of low-tar/low-nicotine cigarettes serves as proxy for PREPs is unclear, but there is sufficient cause for concern given the comparable marketing strategies between these products (Hamilton et al., 2004). PREPs could also deter quitting if they are used to circumvent smoking restrictions, and many noncombustible products are marketed with this appeal. Smoking restrictions increase quitting (Farkas, Gilpin, Distefan, & Pierce, 1999; Norman, Ribisl, Howard-Pitney, Howard, & Unger, 2000), and using a PREP to avoid the necessity of going outside or to avoid the experience of withdrawal could maintain nicotine dependence and undermine the beneficial effect of restrictions.

On the other hand, PREPs could promote ultimate cessation if smokers view these products as a step toward quitting.

- Matthew J. Carpenter, Ph.D., Department of Psychiatry and Hollings Cancer Center, Medical University of South Carolina, Charleston, SC
- Kevin M. Gray, M.D., Department of Psychiatry, Medical University of South Carolina, Charleston, SC

Corresponding Author:

Matthew J. Carpenter, Ph.D., Hollings Cancer Center, Medical University of South Carolina, 86 Jonathan Lucas Street, P.O. Box 250955, Charleston, SC 29425, USA. Telephone: 843-792-3974; Fax: 843-792-5526; E-mail: carpente@musc.edu

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In a population-based sample of more than 450 current smokers, 35% said they would use a cigarette-like PREP device to reduce the risks of smoking, and 28% said they would use it to help them quit (Shiffman, Jarvis, et al., 2007). Other studies have also suggested that PREP interest is greater among smokers interested in quitting (Caraballo, Pederson, & Gupta, 2006; Shiffman, Pillitteri, Burton, & DiMarino, 2004).

Unfortunately, little data exist to link use of smokeless PREPs with smoking behavior and quitting. Several analyses of Swedish smokers, in whom use of smokeless tobacco is prominent, suggest that self-selected use of smokeless tobacco is associated with increased cessation (Furberg et al., 2005, 2007; Gilljam & Galanti, 2003). A better test of the influence of PREPs on smoking and cessation would be through randomized clinical trials, but few such studies exist (Hatsukami et al., 2008). In a recent study on Danish smokers (Tonnesen, Mikkelsen, & Bremann, 2008), 263 smokers were randomized to receive cessation counseling and/or smokeless tobacco. Smokers using smokeless tobacco, relative to control participants, were almost twice as likely to achieve end-of-treatment continuous abstinence. Although abstinence outcomes at other timepoints were nonsignificant, they were all numerically higher in the smokeless group, which argues against an undermining effect. Collectively, these studies suggest that newer smokeless tobacco products might help smokers succeed in their efforts to quit.

A particularly compelling question is how smokeless tobacco might influence smoking behavior and cessation among smokers who are not interested in quitting, for whom novel methods for cessation induction are necessary. To date, there has never been a randomized clinical trial, among unmotivated smokers, testing smokeless tobacco use and its influence on smoking behavior and cessation. This article presents a pilot randomized trial testing Ariva and Stonewall, two products that are conceptually equivalent (both smokeless and spitless tobacco lozenges), versus conventional cigarettes.

Methods

Participants

Participants were recruited through local media advertising and flyers, using the general message "smokers needed to test new and potentially safer tobacco product." Participants were eligible for study entry if they (a) were aged 18–65 years, (b) were daily smokers of at least 10 cigarettes/day on average for at least 1 year, (c) had no recent history of cardiovascular distress (heart attack in past year; arrhythmia, uncontrolled hypertension), (d) were neither pregnant nor breast feeding, (e) had no intention to quit smoking in the next month (\leq 7 on a 0–10 scale), (f) had no use of non-cigarette tobacco (cigars, chewing tobacco) in the past 6 months, (g) had lifetime nonuse of any PREP, and (h) had an absence of any major current psychiatric impairment, including current alcohol/drug abuse and dependence.

Procedures

Within the baseline visit, eligible participants were told of the study purpose: to measure changes in smoking behavior while using the new tobacco product. Consenting individuals were

randomized to receive Ariva/Stonewall (n = 19; hereafter referred to as PREP group) or conventional cigarettes (n = 12; hereafter referred to as control group), with recurrent laboratory visits at 1 and 2 weeks following the baseline visit. Participants were randomized in a 2:1 (approximate) ratio with the goal of attaining a larger sample of PREP users. Smokers in the PREP group who smoked 1 or less pack/day received Ariva and those who smoked more than 1 pack/day received Stonewall, consistent with marketing claims that the former is intended for lighter smokers and the latter is intended for heavier smokers. However, PREP participants were able to switch products during the study if they chose to do so, based on self-report of product liking/preference and/or adverse events.

Participants in both study groups were instructed to use the intended products, either PREP or conventional cigarettes, for 2 weeks. Ariva and Stonewall were provided in their original packaging, and participants were provided with added material from each product's marketing themes, described briefly in the following. No further information on either product was provided. PREP group participants were asked to "substitute these products for smoking as much as they can tolerate." However, in an effort to provide some structure, and to increase the likelihood that participants used these products, participants were advised to use them at least every 2 hr. There was no requirement to abstain entirely from conventional cigarettes, since this was one study outcome. Ariva and Stonewall were provided free of charge, and participants were oversupplied with the product (150% of cigarettes/day), so as to allow for any potential increase in units of PREP used per day relative to cigarettes smoked per day. Participants in both groups were provided up to \$100 in study compensation; control group participants were provided with added compensation to equate for free tobacco products (we did not want smoking behavior to be a function of free tobacco product).

Upon completion of the study, all participants were provided with cessation resources if they were interested. All participants were debriefed and told that there is no safe tobacco product and that the best thing they can do for their health is to quit entirely. No funding or product support for this study was provided by the tobacco industry, and all procedures were approved by the Medical University of South Carolina Institutional Review Board.

Ariva and Stonewall

Ariva (marketed by Star Scientific, Petersburg, VA) is a hard lozenge containing both tobacco and nicotine (1.5 mg). It is marketed (www.dissolvabletobacco.com) as having "no smoke or tar" and having "the lowest level of TSNAs [tobacco-specific nitrosamines] of any smokeless tobacco product marketed in the US," a finding based on independent evaluation (Hatsukami, Ebbert, Feuer, Stepanov, & Hecht, 2007; Stepanov, Jensen, Hatsukami, & Hecht, 2006). It was developed to "give adult smokers a smoke/tar free alternative that is effective and satisfying" and to "create a tobacco product with the lowest levels of the leading toxins." It is "made from select premium tobacco that is cured using a patented process that prevents the formation of one of the leading cancer causing compounds (TSNAs)." It dissolves in the mouth and requires no spitting and should be used "when you can't smoke."

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Stonewall is marketed with nearly the same claims as Ariva (same Web site as aforementioned) but delivers more nicotine (4 mg) and is marketed for heavier smokers. Both Ariva and Stonewall are available in flavors of Wintergreen and Java, both of which were available to study participants.

Assessments

The assessment protocol included standard questions on demographics and lifetime smoking, as well as weekly measurement of nicotine dependence (Heatherton, Kozlowski, Frecker, & Fagerstrom, 1991; Shiffman, Waters, & Hickcox, 2004), withdrawal (Hughes & Hatsukami, 1986), self-efficacy (Velicer, DiClemente, Rossi, & Prochaska, 1990), and motivation to quit (Biener & Abrams, 1991; Prochaska, Velicer, DiClemente, & Fava, 1988). Participants completed a timeline followback at each visit, indicating daily cigarette and PREP use. As we could find no established measure to assess attitudes and beliefs toward PREPs, we adapted various items from previous literature (Biener, Bogen, & Connolly, 2007; Hund et al., 2006; O'Connor, Hyland, Giovino, Fong, & Cummings, 2005; Shiffman, Gitchell, Rohay, Hellebusch, & Kemper, 2007). Pictures of various PREP products were shown as an aid to orient participants as they completed these assessments. We further asked PREP participants about the manner in which they used Ariva/Stonewall (e.g., to avoid smoking restrictions, cut down on cigarette smoking). As prior studies have examined toxicant exposure (Hecht et al., 2007; Mendoza-Baumgart et al., 2007), these outcomes were not included here; however, participants provided a breath sample for carbon monoxide (CO) testing at each visit.

Data analyses

Baseline demographics and smoking history were compared for between-group differences (PREP vs. control), using a chi-square test, t test, and, where appropriate, Mann-Whitney U test. We did not make explicit comparisons between Ariva versus Stonewall because this was not our study focus and also because the limited sample size prohibited such comparison. Main outcomes (cigarettes/tobacco units per day, CO, readiness to quit, and self-efficacy) were assessed using a generalized estimation equation (GEE) approach (Liang & Zeger, 1986), with study group as a between-subjects factor and each outcome over time as a within-subjects factor, and their interaction, all adjusted for baseline values. Cigarettes per day were an average of the 7 days prior, assessed at each visit. Similarly, total tobacco units per day represented a weekly average of cigarettes + PREP products. Attitudes toward PREPs were similarly analyzed with a series of GEEs, each using a binary logistic approach for dichotomous outcomes. Across 93 potential study visits (31 participants × 3 visits each), only 1 was missed. For this individual, it was assumed that no changes were made for any outcome variable (last datapoint carried forward).

Results

Sample characteristics

Within a 2-month period, 113 smokers responded to our recruitment strategies, of whom 34 (30%) were consented and 31 (27%) enrolled. One individual dropped out of the study prematurely. Participants were primarily men (61%), Caucasian (81%), and with a mean age of 40.4 years (SD = 14.4). They smoked on average 23.5 cigarettes/day (SD = 8.9) and were of moderate nicotine dependence. Few (10%) had ever heard of any PREP product, and although nearly half (48%) lived with a smoker, only 39% reported having no restrictions on indoor smoking within their household. Complete demographics and smoking history are presented in Table 1; there were no differences between PREP versus control groups. Within the PREP group, 5 participants used Ariva and 14 used Stonewall, 4 of whom later switched to Ariva.

Smoking behavior and PREP use

Participants using either Ariva or Stonewall reported a significant reduction in cigarettes smoked per day at both Visit 2 and Visit 3 (Figure 1A), amounting to a 40% reduction (95% *CI*: 24%–55%) in the 2-week study period. Participants smoking their own cigarettes had also reported a reduction (11%), but nonsignificantly (95% *CI*: –6% to 28%), and the overall interaction for Group × Time was significant (p < .001). However, there were no significant group, time, or interaction effects on CO (Figure 1B). PREP participants used an average of 7.7 (*SE* = 1.7) and 7.5 (1.2) Ariva/Stonewall lozenges per day during Week 2 and Week 3, respectively. When combined with cigarettes,

Table 1. Sample characteristics

	PREP, <i>n</i> = 19	Control, n = 12
Age in years, M (SD)	42.2 (14.1)	37.6 (15.1)
Caucasian, n (%)	16 (84)	9 (75)
Male, <i>n</i> (%)	12 (63)	7 (58)
Employed full/part time, n (%)	8 (42)	5 (42)
High school education or more, n (%)	17 (89)	9 (75)
Smoking history		
Cigarettes/day—weekday, M (SD)	24.4 (10.2)	22.0 (6.5)
Cigarettes/day—weekend, M (SD)	26.0 (11.9)	24.3 (5.0)
Age started smoking regularly, <i>M</i> (<i>SD</i>)	16.0 (3.0)	15.7 (2.3)
No. of prior quit attempts, M (SD)	1.5 (1.1)	1.8 (2.9)
Ever heard of any PREP product, ^a	2(11)	1 (8)
n (%)		
Live with a smoker, n (%)	10 (53)	5 (42)
Smoking restrictions at home		
No smoking at all indoors, n (%)	9 (47)	6 (50)
Restricted ^b smoking indoors, n (%)	3 (16)	1 (8)
Unrestricted smoking indoors, n (%)	7 (37)	5 (42)
Usual brand of cigarettes		
Regular, n (%)	14 (74)	8 (67)
Light, <i>n</i> (%)	5 (26)	3 (25)
Ultra light, <i>n</i> (%)	0	1 (8)
Nicotine dependence ^c , M (SD)		
FTND	5.9 (2.1)	4.9 (2.0)
NDSS	-0.19 (0.99)	-0.04 (0.77)

Note. FTND = Fagerström Test for Nicotine Dependence; NDSS = Nicotine Dependence Syndrome Scale; PREP = potentially reduced exposure product.

^aEach participant was provided with a menu of PREP products available at the time of study initiation; awareness based on yes/no. ^bRestricted to time, place, or both.

High scores on both FTND (Heatherton et al., 1991) and NDSS (Shiffman, Waters, et al., 2004) indicate greater dependence.

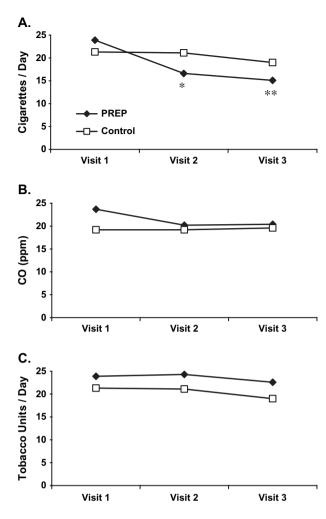


Figure 1. (A) Changes in cigarettes per day. Significant Group × Time interaction (p < .001) (*significantly different from Visit 1, p = .002; **significantly different from Visit 1, p < .001). (B) Changes in carbon monoxide and (C) changes in tobacco units per day.

total tobacco units per day remained relatively stable in both groups, with no group, time, or interaction effects present (Figure 1C).

PREP participants were asked how they used the Ariva/ Stonewall. Half (50%) stated that they used their PREP product "more than a few times" or "frequently" to cut down on their cigarettes smoked, whereas only 39% used it to cope with or avoid smoking restrictions. Use of PREP was more predominant to avoid smoking restrictions at work (44%) versus at home (33%).

There were no changes in withdrawal or craving in either group during the course of the study (data not shown). Participants in both groups reported a nominal and nonsignificant decrease in withdrawal over time.

Motivation to quit

Readiness to quit (0–10 scale) in the next 30 days and within the next 6 months increased significantly among PREP participants but not among control participants (Figure 2A). The overall

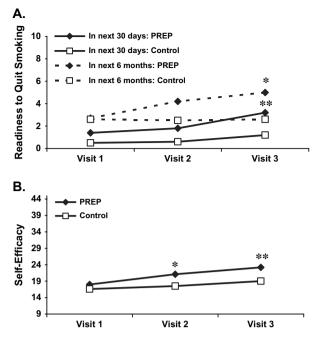


Figure 2. (A) Changes in readiness to quit (0–10 scale). Significant Group × Time interaction for readiness to quit both in the next month (p < .001) and within the next 6 months (p = .04) (*significantly different from Visit 1, p < .01; **significantly different from Visit 1, p = .03). (B) Changes in self-efficacy to quit (9–45). Significant Group × Time interaction (p < .001) (*significantly different from Visit 1, p < .05; **significantly different from Visit 1, p < .05;

Group × Time interaction was significant for intentions to quit both in the next 30 days (p < .001) and within the next 6 months (p = .04). In terms of stage of change movement, 53% of participants in the PREP group progressed, 37% did not change, and 11% regressed. Comparable numbers from control participants were 42%, 48%, and 10%, respectively. Confidence (selfefficacy) in quitting also showed a significant Group × Time interaction (p < .001; Figure 2B), such that confidence increased significantly over time within the PREP group only. One participant within each group reported a quit attempt over the entire study period. Four PREP participants reported seeking information about smoking cessation versus zero control participants.

Attitudes toward PREPs

All participants were asked about their attitudes toward smokeless, spitless PREPs in general (Table 2). Most smokers viewed these types of products as safer than conventional cigarettes, and these beliefs did not significantly vary by time or group. After using Ariva/Stonewall for 2 weeks, PREP participants were significantly more likely to change their opinion in favor of using such PREPs for purposes to reduce smoking (p = .01) and to avoid smoking restrictions (p = .005). At the end of the study, PREP participants were twice as likely to express intentions to purchase these products as were control participants (67% vs. 33%), although this difference was only marginally significant (p = .09).

During each follow-up visit, PREP participants were asked to rate how they liked Ariva/Stonewall on a scale of

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	PREP	PREP		Control	
	Visit 1 ^b (%)	Visit 3 ^c (%)	Visit 1 (%)	Visit 3 (%)	
Compared with cigarettes, how risky would this PREP be for your health	n?	·			
Less risky	67	83	92	75	
Equally risky	33	16	8	25	
More risky	0	0	0	0	
Switching to this PREP would lower risk for ^d					
Cancer	68	83	92	92	
Heart disease	68	78	75	83	
Others around me	90	83	100	92	
I would use this PREP to ^e					
Reduce smoking	37	74	50	42	
Quit smoking	68	58	25	25	
Cope with smoking restrictions	32	68	25	58	
How likely are you to buy this PREP? ^f	32	67	42	33	

Note. PREP = potentially reduced exposure product.

^aRegarding smokeless, spitless tobacco lozenges/pouches in general (not brand specific). ^bPrior to PREP use.

^cFollowing 2 weeks of PREP use.

^d% moderately/strongly agree.

^e% yes; options are not mutually exclusive.

^f% somewhat/very likely.

0–10 (absolute liking, not in reference to cigarettes). Average likeability was moderate at both Visit 2 (M = 4.5, SE = .7) and Visit 3 (M = 4.9, SE = .7). By Visit 3, and in comparison with regular cigarettes, 56% reported liking Ariva/Stonewall less than cigarettes; 28%, about the same; and 17%, more than cigarettes.

Adverse events

Within the PREP group, 12 participants (63%) reported a total of 20 adverse events, of which 14 (70%) were rated (participant reported) as mild and 6 (30%) were moderate. The most common events were nausea (n = 9), hiccups (n = 4), and insomnia (n = 3).

Discussion

The current study examined short-term changes in smoking behavior and proxy measures of cessation as a function of smokeless tobacco use (Ariva/Stonewall) among smokers not wanting to quit. With minimal instructions on how to use Ariva or Stonewall, most smokers made a partial substitution of their regular cigarettes. Smoking (cigarettes/day) significantly decreased (40%) over the 2-week study period, but overall total tobacco units per day (cigarettes + Ariva/Stonewall) remained fairly stable. This suggests that Ariva and Stonewall are effective products to curb withdrawal and craving. In support of this interpretation, we found no changes in overall craving or withdrawal as smokers substituted Ariva/ Stonewall for cigarettes, which is generally consistent with reports from others (Blank, Sams, Weaver, & Eissenberg, 2008; Kotlyar et al., 2007; Mendoza-Baumgart et al., 2007). However, although cigarettes per day significantly decreased among smokers who used Ariva/Stonewall, reduction in CO was less striking (6%), suggesting partial compensation (e.g., inhaling deeper, more frequent puffs) and/or problems with the use of CO as a biomarker of tobacco exposure in this population (see following).

We found no evidence that smokeless tobacco (Ariva or Stonewall) undermines quitting. To the contrary, readiness to quit (in the next 1 month and within the next 6 months) significantly increased among smokers who used a smokeless tobacco product relative to those who continued to smoke conventional cigarettes. No group differences were noted for stage of change movement. Confidence in quitting smoking also significantly increased within the smokeless tobacco group only. Each of these measures (readiness to quit and self-efficacy) is predictive of smoking cessation (Carpenter, Hughes, Solomon, & Callas, 2004; Gwaltney, Metrik, Kahler, & Shiffman, 2009). Thus, our data support the notion that Ariva or Stonewall, and perhaps smokeless tobacco in general, could serve as a catalyst to increase motivation among smokers not wanting to quit. This is consistent with the only published randomized clinical trial of smokeless tobacco among smokers wanting to quit (Tonnesen et al., 2008), which found mixed but generally supportive evidence that smokeless tobacco promotes cessation.

The overall population impact of smokeless tobacco products, and PREPs in general, is unclear. Although PREPs are not yet popular among smokers, some indicators suggest they will be. Recent studies estimate that ever use of any PREP is between 4% and 10% but that consumer interest is much higher (50%– 77%; Hund et al., 2006; Parascandola, Hurd, & Augustson, 2008). Many smokers believe that these products are safer than conventional cigarettes (Biener et al., 2007; Hamilton et al., 2004; O'Connor et al., 2005). Thus, the allure of a "safe(r)" tobacco product offers intuitive appeal for many smokers, and it is likely that the product's popularity will increase as palatability increases. Within our study, palatability was mixed, and this may in part be a consequence of giving a smokeless tobacco product to cigarette smokers who are accustomed to the oral sensorimotor aspects of cigarette smoking. It is doubtful that a smokeless tobacco product could ever serve as a total substitute for cigarettes among a majority of smokers. However, the amount of substitution among those smokers who choose to use smokeless products is not insignificant.

Risks and benefits of smokeless tobacco at the individual level will need to be carefully evaluated and balanced with broader population needs (Warner, 2005). Even if a product could indeed be shown to be safe(r), the potential for large increases in usage could actually produce net harm to the population if significant numbers of smokers use it. Some tobacco control advocates have pointed to Sweden, where cessation (Furberg et al., 2005, 2007) and smoking mortality (Foulds, Ramstrom, Burke, & Fagerstrom, 2003) have been linked to increases in smokeless tobacco use, as evidence that smokeless tobacco could promote public health among smokers. Others doubt that the Swedish experience could translate to U.S. smokers (Zhu et al., 2009). Clearly, more research is needed to determine (a) if and how smokers use smokeless tobacco in the real world, (b) the long-term impact of such usage on smoking behavior, and (c) its ultimate impact on cigarette and tobacco cessation. The important challenge is that these issues be examined soon before novel smokeless tobacco products, and PREPs in general, reach wide popularity.

As a pilot study, the current investigation was not designed as a complete test of smokeless tobacco and its impact on smoking. As such, there are notable limitations within. In addition to the limited sample size, the lack of placebo control (there is no known placebo for Ariva/Stonewall), and a fairly short study period, limitations include a lack of rigorous biological verification of tobacco exposure. Our study collected CO, which (unlike cotinine, nicotine, anabasine, and anatabine) is the only biomarker of tobacco exposure that is sensitive to smokeless versus smoked tobacco (thiocyanate is another such biomarker but is often influenced by diet; Sherer, 2006). However, CO is sensitive to only recent smoking behavior (Shields, 2002), and it is unclear how continuous assessment of tobacco exposure among smokers who concurrently use smokeless and smoked tobacco could be done effectively. Another limitation herein is that we assessed motivation to quit cigarette smoking but not motivation to quit all tobacco products. Although readiness to quit smoking increased among users of Ariva/Stonewall, the clinical interpretation of this increase would likely vary if these same individuals intended to quit/continue smokeless tobacco use. These limitations aside, we believe that this is only the third study (Mendoza-Baumgart et al., 2007; Tonnesen et al., 2008) to test directly (i.e., through randomized methods) the impact of smokeless tobacco among smokers, only the second (Tonnesen et al.) to report on prospective changes in smoking behavior and cessation, and the first to do so among smokers unmotivated to quit.

In sum, results from the current study suggest no deleterious effect on smoking and quitting behavior among smokers who do not wish to quit but who use smokeless tobacco. Smokeless tobacco could potentially serve as a method for cessation induction among unmotivated smokers. However, this notion can only be resolved with additional larger studies that directly test the long-term consequences of smokeless tobacco use. Until then, the tobacco control community will require sustained commitment toward complete abstinence from all tobacco.

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Declaration of Interests

No conflicts are declared for MJC. KMG receives research support from Pfizer, Inc.

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