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# Resting State Spontaneous Fluctuations in Brain Activity: A New Paradigm for Presurgical Planning using fMRI

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### Abstract

Task *evoked* functional MRI (fMRI) has been used successfully in the study of brain function and clinically for pre-surgical localization of eloquent brain regions prior to the performance of brain surgery. This method requires patient cooperation and is not useful in patients with cognitive dysfunction or physical impairment.

An alternative method that can overcome some of these disadvantages measures the *intrinsic* function of the brain using resting state fMRI. This method does not require any task performance and measures the spontaneous low frequency (<0.1 Hz) fluctuations of the fMRI signal over time. Resting state fMRI data can provide valuable pre-surgical information in many patients who cannot benefit from traditional task based fMRI. Our objective in the current review is to provide preliminary information, including advantages and remaining challenges, on the clinical utility of this technique for improving pre-surgical planning and individualized patient centered care.

#### Keywords

Functional MRI; Brain Mapping; CNS Tumors; Neurosurgery; Neuroradiology

## Introduction

Blood oxygen level dependent (BOLD) functional magnetic resonance imaging (fMRI) measures neuronal activity using the ratio of oxyhemoglobin to deoxyhemoglobin as a contrast mechanism. In a typical application the patient alternates between a passive resting state and performing a task, such as finger tapping, that activates a region of interest in the cerebral cortex. During these periods the BOLD signal is measured in the MR scanner and the two images are subtracted from each other to reveal areas of the brain that were activated during the prescribed task (1–3). (Figure 1A). This technique has been an invaluable research tool in the laboratory and has helped increase our understanding of normal and abnormal brain function. Clinical applications of fMRI have focused on localizing areas of critical function for presurgical planning (4). Accurate localization of eloquent cortex (e.g. somatomotor, language) in relation to the tumor mass can help optimize resection and minimize morbidity and mortality. Functional foci identified using fMRI have been shown to correlate well with foci identified using more invasive techniques such as intra-operative electrophysiology (5) and Wada testing (6,7). Further, the distance from an fMRI-identified functional region to the

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Task based fMRI has several disadvantages that limit its application. The results are dependent on how well the patient can perform the prescribed task. Patients with brain tumors may be impaired and unable to cooperate (9). The patient must be awake during the procedure, so sedation cannot be used. Finally the task effects are small which may require long acquisition times to improve the signal to noise ratio of the measurement. We propose the method of localization of functional regions with spontaneous BOLD fluctuations as being able to overcome some of these problems (10).

#### Spontaneous BOLD fluctuation fMRI

Spontaneous BOLD fluctuations are fluctuations in neuronal activity that are correlated within distinct functional networks (10). This effect was first identified in a seminal paper by Biswal et al. (11). For example, strong coherence is reproducibly present between the left and right somatomotor cortices (11–17), between language areas (12,18), and between numerous other functional regions (Figure 1B) even in the absence of overt task performance. Using spontaneous activity, one can generate resting state correlation maps that replicate the functional maps obtained from task activations.

The use of resting state fMRI for the evaluation of spontaneous fluctuations in the BOLD signal has several benefits over traditional task based fMRI. One important advantage of this method is that it can be performed even when the patient is unable to cooperate with the functional task. This will enable us to perform fMRI mapping on many populations previously excluded from traditional task based techniques such as young children, patients with cognitive impairments, and patients that are paralyzed, aphasic, or hard of hearing. Spontaneous fluctuations have been shown to persist under conditions of sleep (19,20) and different levels of anesthesia (21–23), thus a second advantage of this technique is that is can be performed in agitated patients and in young children under sedation. A third advantage of this method is that one data acquisition can be used to study many different brain networks, thus possibly reducing the acquisition time when many systems are evaluated. This is in contrast to task activations which require dedicated data acquisitions for each function one is attempting to localize. Preliminary data (24) indicates that this technique compares favorably to task-based fMRI as illustrated in Fig. 2.

In addition to the somatomotor and language networks previously discussed, additional networks that have been characterized using resting state correlations from other seed regions include the visual system (12,16), auditory (12), episodic memory (25,26), default mode (27–30), and dorsal and ventral attention (30,31). Additionally, abnormalities of these networks could potentially be defined. Most relevant from a surgical standpoint are aberrant connections created by epilepsy (32). Networks associated with a seizure focus may aid in guiding surgical resection and improving seizure free outcomes. When further automated, this method could potentially provide a comprehensive set of customized network localizations for each patient, thus substantially increasing the information available to the neurosurgeon and potentially improving patient centered care.

For the subject presented in Fig. 2, seed-based correlation maps were generated by extracting the BOLD fMRI time course from a spherical seed region of interest (6mm radius) and computing the Pearson correlation coefficient between this reference time course and the time course of every voxel in the brain. Resting state maps in tumor patients were obtained by placing seeds in morphologically normal cortical tissue when possible. For example when evaluating

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In a separate study (24) focusing on the somatomotor system we report on our initial experience with this technique. The method compared well with intra-operative cortical stimulation mapping in a small number of subjects. Additionally, resting state fMRI gave consistent results within individuals scanned multiple times. In comparison to task based fMRI, resting state analysis was more specific to the somatomotor cortex and showed less variability. These results are preliminary, based on a small number of patients and focused on only the somatomotor system. Future studies are required to confirm these findings in a larger cohort and in other functional networks.

Resting state fMRI has demonstrated great promise in this initial study. However, several technical challenges need to be addressed in the post processing phase of analysis. We rely on atlas coordinates for placement of our seed regions. Several networks, such as the language system are known to be more variable across subjects as compared to the somatomotor system (33). In addition, there are distortions in the brain configuration of patients with tumors that make the realignment task more difficult and hard to automate. We have partially solved these problems by masking out the tumor during this phase of the processing, but this was done by hand in the current study and automated algorithms for this masking are needed. We are testing other methods to improve the realignment, such as non-linear registration. Even with perfect alignment, a tumor may destroy functional tissue and lead to functional reorganization that makes seed based characterization of resting state networks difficult. This is less of a problem in bilateral networks, such as the somatomotor system, where we can use the healthy undistorted side for localization, but remains a challenge in unilateral systems, such as in the language and dorsal attention system. Independent component analysis, which does not require the placement of *a priori* seed regions stands out as a possible solution to this problem (21, 24,34,35).

Other challenges relate to the routine use of this method in the operating room. Of central concern will be the speed and efficiency of implementation. Whether it be in the extra-operative or in the intra-operative setting (i.e. intra-operative MRI) this method of signal acquisition and analysis will need to occur in a time frame that makes its use feasible. Currently, the time of acquisition is reasonable, but analysis for creation of functional images requires a dedicated researcher to perform the requisite processing to create useful image sets. This could not be practically done on a large scale. Further work will require a more automated version of analyses and image creation. This would allow for utilization to occur on a broader clinical scale (non-tertiary medical centers) or in real time as neurosurgeons begin to incorporate intraoperative MRIs more frequently in their surgical management.

#### Assesing a new fMRI Paradigm

sensorimotor network was seen.

When performing a technology assessment (36,37) of presurgical planning with spontaneous BOLD fluctuations it is clear that it meets the criteria for technical performance, and preliminary data (24) indicate that it will at a minimum equal the diagnostic performance of current task based fMRI methods. Only a small number of reports address the issue of diagnostic and therapeutic impact of presurgical planning with fMRI. In one report (8), presurgical functional information from fMRI is mentioned in approximately 75% of patient's neurosurgery notes. It is not clear if fMRI provides a benefit beyond that obtainable from

anatomy or intra-operative functional localization, and there is no evidence that its use results in better patient outcomes (survival or morbidity), or in an impact on patient health.

To date, functional imaging has played a valuable but largely ancillary role in the localization of eloquent cortex for neurosurgical procedures. It has been additive to the current gold standard techniques, such as cortical stimulation and somatosensory evoked potentials. From a neurosurgical perspective, identification of zones essential for the implementation of a critical cognitive function such as speech and motor movements are what are sought with current electrophysiologic methods. The surgeon wishes to fundamentally know "if this region is resected, can the patient still perform the given task." Task based functional imaging to date has largely identified cortical regions that are *associated* with a given cognitive function. Thus, activated sites may not only be those essential to the commission of the task, but also those that are secondarily associated with its performance (i.e. attention, memory, etc). These additional areas are essentially "localization noise" for finding cortex that is critical to preserve. Though it gives the surgeon improved awareness of where the critical zones are located, it does not provide more precise information on where the essential sites are. Beyond the current lack of precision, current imaging requires patient participation that further limits the number of patients that can benefit from these modalities due to a number of different clinical factors ranging from age to functional impairment. These same factors also adversely restrict a surgeon's ability to perform classic neurophysiologic methods in the operating room. It is in this context of imaging imprecision and participation limitations that we have sought MRI methods that can enhance essential site localization and expand to populations not currently amenable to standard techniques.

Potential future developments of this technique could provide valuable benefits, but will require additional investigation and software development. In some complicated functional networks, such as the language network, intraoperative cortical stimulation is confined to the gyri. Resting state correlation mapping offers information throughout the gray matter and may provide information on previously inaccessible areas such as the intrasulcal language areas. Frameless stereotactic navigation is widely used by neurosurgeons to define brain anatomy in real time. An integration of this technique with our fMRI paradigm could allow the surgeon to navigate the anatomy simultaneously with several functional networks of their choosing. This would provide significant flexibility in intraoperative decision making. Combined anatomical and functional information could also be obtained from intraoperative MRI, providing a comprehensive picture of the shifts that occur in the brain during tumor resection surgery. Substantial progress will be needed in both computing hardware and software to realize this in real time for intraoperative evaluation. Finally, resting state fMRI could also be used to monitor post-operative patient progress, such as evaluating the reconstitution or lack thereof of functional networks that were disrupted during surgery (38).

#### Conclusions

In this report we present a new paradigm for the use of resting state fMRI data in presurgical planning. This technique analyzes spontaneous fluctuations in the BOLD signal across the brain and is substantially different from routine task based fMRI. BOLD fluctuations are correlated within neuroanatomically and functionally related areas of the brain and can help identify the location of critical functional networks, such as the somatomoter and language system. This information can help guide the surgical approach. This method has substantial advantages over the traditional task-based techniques, but also provides substantial challenges in post processing methods. One major advantage of the resting state method is that it does not require cooperation from the patient and can be conducted during sleep or under sedation, thus expanding the ability to obtain fMRI data to patients of all ages and all medical conditions. A second important advantage is that one data acquisition of clinically acceptable duration

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provides information on numerous different functional networks, thus saving time and increasing signal to noise ratio. Remaining post-processing challenges include automating the atlas registration and localization of functionally reorganized systems. Ultimately, the utility of preoperative functional mapping to neurosurgical planning will need to be evaluated in terms of benefit for post-operative functional outcome and survival.

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#### Figure 1.

The BOLD signal during task based fMRI (A) and during intrinsic resting state fMRI (B). In (A) the subject focused on a flickering checker board pattern that was periodically turned on and off. The measurement was made in the V1 region of the visual cortex (marked by red circle). Ongoing spontaneous fluctuations can be seen in this task paradigm. In (B) the spontaneous fluctuations from two regions of the dorsal attention system are presented. The regions are the intra- parietal sulcus (IPS) and the frontal eye fields (FEF) (marked by red circles). The two time curves demonstrate a high degree of correlation (r = 0.6).

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#### Figure 2.

Resting state correlation maps showing the distribution of the somatomotor network in a normal subject (A) after the selection of a seed region in the left somatomotor cortex (blue circle). The correlation with the right somatomotor cortex is evident. Images (B,C, and D) from a 58-year-old women with a diagnosis of glioblastoma multiforme (GBM). Intraoperative cortical mapping (B) shows evoked hand responses with stimulation posterolateral to the tumor (blue cross). Task-evoked activity with finger tapping in the tumor-affected motor cortex (C) showed a spatial distribution that approximates the resting state map, although several other foci of activity are seen that encompass part of motor cortex as well as extending posteriorly to parts of the parietal cortex. Resting state correlation map (D) after placement of a seed in the left somatomotor cortex (same coordinates as in (A)). The posteriorly displaced somatomotor cortex is seen, consistent with the cortical stimulation mapping and the task fMRI activity.