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Advances in the Pathogenesis and Treatment of Polycystic Kidney Disease

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Abstract

Purpose of review—Polycystic kidney disease (PKD) is the most common genetic cause of chronic renal failure. Mouse models of PKD, especially those with mutations in genes that are orthologous to human disease genes, have provided insights into the pathogenesis of cyst formation and advanced the preclinical testing of new drugs.

Recent findings—PKD is a ciliopathy that arises from abnormalities in the primary cilium, a sensory organelle present on the surface of most cells. The primary cilium is required for the maintenance of planar cell polarity, which regulates tubular diameter. Acute kidney injury stimulates cell proliferation and promotes cyst formation in a mouse model of PKD. Studies of signaling pathways that are perturbed in PKD have identified new potential therapeutic targets. Drugs that have shown beneficial effects in orthologous animal models of PKD include tolvaptan, octreotide, src inhibitors, CFTR inhibitors, pioglitazone, etanercept, and triptolide.

Summary—Abnormalities in the primary cilium perturb signaling pathways that regulate renal epithelial cell growth and differentiation and lead to the formation of kidney cysts. Acute kidney injury promotes cyst formation and may underlie the variability in disease progression that is observed in affected individuals. Several promising new therapeutic agents that have been validated in orthologous animal models have entered clinical trials in humans.

Keywords

Polycystic kidney disease; cilia; planar cell polarity; tolvaptan; rapamycin; acute kidney injury

Introduction

Polycystic kidney disease (PKD), the most common genetic cause of chronic kidney disease, is characterized by the accumulation of numerous fluid filled cysts in the renal parenchyma. The cysts originate from the renal tubules and are lined by a single layer of epithelial cells called the cyst epithelium. Over time, the cysts progressively increase in size due to increased rates of proliferation and active secretion of fluid by the cyst epithelium. The enlarging cysts compress surrounding normal nephrons resulting in a decline of renal function. In end-stage kidneys, the cysts are surrounded by areas of fibrosis containing atrophic tubules. PKD can be inherited as an autosomal dominant trait or an autosomal recessive trait. The autosomal dominant form of PKD (ADPKD) primarily affects adults and is caused by mutations in the *PKD1* or *PKD2* genes, which encode the proteins polycystin-1 and polycystin-2, respectively.

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Clinically, adults with ADPKD present with enlarged kidneys, abdominal pain, hematuria, and infected kidney cysts. Approximately half of the individuals affected with ADPKD will develop end-stage renal disease (ESRD) [1] The autosomal recessive form of PKD (ARPKD) primarily affects infants and children and is caused by mutations in the *PKHD1* gene, which encodes the protein fibrocystin. ARPKD may present in neonates with massive kidney enlargement, intrauterine renal failure, oligohydramnios, and pulmonary hypoplasia or may present later in life with renal insufficiency accompanied by systemic and portal hypertension.

Primary cilia

Recent studies suggest that both the dominant and recessive forms of PKD arise from abnormalities in a cellular organelle called the primary cilium [2]. The primary cilium is a hairlike structure that can be found on the surface of most cells in the body. It consists of a bundle of microtubules, called the axoneme, surrounded by a membrane that is continuous with the cell membrane [3]. The primary cilium is anchored in the cell body by the basal body, which also functions as a centriole during mitosis. Cilia in the body can be classified into two major types based on the structure of their axonemes. Motile cilia, such as those in the respiratory tract, contain an axoneme that is composed of nine microtubule doublets surrounding two central microtubules (9+2 pattern). In contrast, most primary cilia are nonmotile and contain nine peripheral microtubule doublets but lack the two central microtubules (9+0 pattern). In the kidney, a single, immotile primary (9+0) cilium is present on the apical surface of most epithelial cells composing the renal tubules. Renal cilia project into the tubular lumen and are believed to function as mechanosensors of urine flow. Fluid flows over the apical surface of the cells, bends the primary cilium, and produces an increase in intracellular calcium concentration, $[Ca^{2+}]_i$, which regulates cell signaling pathways [4].

Three lines of evidence suggest that PKD can be considered a ciliopathy or a disorder of primary cilia. First, polycystin-1, polycystin-2, and fibrocystin as well as proteins that are mutated in other cystic kidney diseases, such as nephronophthisis and Bardet-Biedl syndrome, are located in the primary cilium and/or basal body [5]. Second, *Pkd1* mutant cells contain dysfunctional primary cilia as evidenced by a failure to increase [Ca2+]*ⁱ* in response to fluid flow. Treatment of wild-type cells with blocking antibodies against polycystin-2 or fibrocystin also inhibits the flow-dependent increase in $\left[\text{Ca}^{2+}\right]$ *i* [6,7]. These findings suggest that polycystin-1, polycystin-2, and fibrocystin have a mechanosensory function in renal cilia that is coupled to [Ca2+]*ⁱ* . Third, inactivation of genes that are required for the synthesis of cilia, such as *Kif3a* and *Ift88*, results in the loss of primary cilia and produces PKD in mice [8,9]. The molecular mechanism(s) by which abnormalities in the primary cilium result in PKD is not well understood. In addition to the regulation of $[Ca^{2+}]_i$, other signaling pathways that are regulated by the primary cilium include Wnt/β-catenin signaling, cAMP signaling[10], and planar cell polarity.

Planar cell polarity and PKD

Planar cell polarity (PCP) refers to the spatial organization of cells along a tissue plane that is perpendicular to the apical-basal axis [11]. PCP was first discovered in *Drosophila*, in which the hairs on the wing are always pointed distally towards the wing tip. Gene mutations that disrupt PCP produce swirls and other distortions of the wing hair pattern. Two major signaling pathways regulate PCP in *Drosophila*. One pathway, called the core PCP pathway, involves the membrane proteins Frizzled, Strabismus/Van Gogh, and Flamingo/Starry Night and the cytoplasmic proteins Dishevelled, Prickle, and Diego. PCP signaling leads to the formation of a protein complex containing Frizzled, Dishevelled, and Diego and a second complex containing Strabismus and Prickle. These protein complexes antagonize each other and are distributed asymmetrically within the cell. Core PCP proteins are evolutionarily conserved in

mammals, and asymmetric intracellular localization of Vangl2 and Celsr1 (homologues of Strabismus and Flamingo, respectively) is believed to underlie the orderly arrangement of body hairs in the mouse [12]. A second PCP pathway involves two protocadherins, Fat and Dachsous, a transmembrane protein called Four-jointed, and the transcriptional repressor Atrophin.

PCP plays important roles in embryonic development by regulating cell migration, cell orientation, the orientation of cell division, and other morphogenetic processes. For example, PCP is involved in convergent extension movements that are required for elongation of the body axis and neural tube formation. Defects in these processes produce shortening of the body axis and failure of neural tube closure. One of the clearest examples of PCP in mammals is in the inner ear where the hair cells lining the cochlear duct contain V-shaped bundles of stereocilia that are always oriented with the apex positioned on the lateral side of the cell. Disruption of PCP in the inner ear produces misorientation of the stereociliary bundles and deafness.

Fischer et al were the first to demonstrate an association between abnormalities of planar cell polarity and PKD [13]. They studied the orientation of cell division, which is a manifestation of PCP, in the kidneys of the PCK rat (carrying a mutation of *Pkhd1*) and mice with kidneyspecific inactivation of the transcription factor HNF-1β. Using lineage tracing and staining of mitotic cells, they found that cells in wild-type renal tubules divide along an axis that is approximately parallel to the longitudinal axis of the tubule. As a result, cell division produces tubular elongation without changing the diameter of the tubule. In contrast, in pre-cystic tubules from PCK rats and HNF-1β knockout mice, the orientation of cell division is randomized. The randomization of the orientation of cell division contributes to tubular dilatation and leads to cyst formation. These results suggest that abnormalities in PCP are present during early stages of cystogenesis.

Additional support for the link between abnormalities in PCP and the pathogenesis of PKD is provided by studies on mice lacking Fat4, a mammalian homologue of the *Drosophila* PCP protein Fat [14]. Knockout mice lacking Fat4 exhibit classic PCP phenotypes such as misoriented stereocilia in the cochlea and neural tube defects. Moreover, mutation of Fat4 produces randomization of the orientation of cell division in renal tubules and leads to the development of polycystic kidney disease.

Primary Cilia and PCP in the Kidney

The defects in PCP that are found in PKD may involve the primary cilium. Deletion of ciliogenic genes in the cochlea results in misorientation of the stereocilia, indicating that primary cilia are required for the maintenance of PCP in the inner ear [15]. To test whether primary cilia also regulate PCP in the kidney, we measured the orientation of cell division in the collecting ducts of mice in which the ciliogenic gene *Kif3a* had been inactivated [16]. First, we showed that inactivation of *Kif3a* results in the loss of primary cilia prior to the formation of kidney cysts. In pre-cystic tubules that lack primary cilia, the orientation of cell division is randomized, indicating aberrant PCP. Similar findings have been observed in mice with collecting duct-specific inactivation of another ciliogenic gene, *Ift20* [17]. These results suggest that abnormalities in primary cilia produce disturbances in PCP that lead to PKD.

The mechanism by which the primary cilium regulates PCP is not known but may involve Wnt signaling. Wnts are secreted glycoproteins that play important roles in growth and development. Wnts bind to Frizzled receptors on the cell surface, recruit and activate Dishevelled, and signal via at least two pathways: a canonical pathway that is dependent on bcatenin and a non-canonical pathway that is β-catenin-independent. Non-canonical Wnt signaling has been shown to be necessary for the establishment of PCP in several organisms, including mammals. We showed that the loss of primary cilia in the kidney results in the

activation of b-catenin-dependent signaling as evidenced by increased abundance of b-catenin in the nucleus and increased expression of downstream target genes such as c-Myc [8]. These results suggest that deletion of the primary cilium results in activation of canonical (β-catenindependent) Wnt signaling, a finding that has subsequently confirmed by Reiter et al [18]. The mechanism may involve Inversin, a ciliary protein that is mutated in the cystic kidney disease nephronophthisis type II [19,20]. Inversin interacts with Dishevelled (Dsh) and facilitates its degradation, and the depletion of Dsh inhibits canonical Wnt signaling. Conversely, Inversin is required for convergent extension in *Xenopus laevis* embryos [20]. These studies suggest that Inversin acts as a switch that down-regulates canonical Wnt signaling and stimulates PCP signaling. Recently, several other ciliary proteins that are mutated in various forms of cystic kidney diseases have been shown to constrain canonical Wnt signaling and/or promote PCP signaling [18,21-23]. Loss of primary cilia may also disrupt the regulation of PCP by the Fat/ Dachsous pathway, since Fat4 has been localized in the cilia of MDCK renal epithelial cells [14].

Is cyst formation linked to tubular growth and repair?

Inactivation of ciliary genes, such as *Kif3a* and *Pkd1*, in the developing mouse kidney leads to the rapid development of renal cysts. To study the role of ciliary genes in the postnatal kidney, we and others have used inducible gene targeting to inactivate genes in mice at different ages. In this approach, transgenic mice in which Cre/loxP recombination can be induced by administration of drugs, such as tamoxifen, are mated to mice carrying a gene of interest flanked by loxP sites. In the absence of the drug the gene is expressed, whereas administration of the drug results in gene inactivation. Using this approach, we showed that inactivation of *Kif3a* in the early postnatal period results in the rapid formation of kidney cysts within two weeks. However, inactivation of *Kif3a* in adult mice does not produce a rapid onset of kidney cysts, despite a comparable loss of cilia [9,16,24]. The formation of kidney cysts after inactivation of *Kif3a* in adult mice requires up to six months. One difference between the developing kidney and the mature kidney is the rate of cell proliferation. The neonatal mouse kidney exhibits high rates of cell proliferation which decline at 10 days after birth. The decline in rates of proliferation coincides with the age-dependent effects of *Kif3a* gene inactivation. These findings suggest that cyst formation in mice lacking renal cilia requires high rates of cell proliferation. These results are also consistent with the role of aberrant PCP in cyst formation, since increased rates of cell proliferation would be predicted to promote the dilatation of tubules that have abnormalities in oriented cell division.

Piontek et al recently used inducible gene targeting to inactivate another ciliary protein, *Pkd1*, in postnatal mice [24]. Similar to the results of inactivation of *Kif3a*, they found that inactivation of *Pkd1* in the early neonatal period resulted in the rapid formation of kidney cysts, whereas inactivation of *Pkd1* in adult mice resulted in slow onset of kidney cysts. They attributed these findings to a developmental switch in gene expression that occurs in the kidney 14 days after birth [24]. Prior to this time point, the kidney exhibits an embryonic pattern of gene expression, whereas after this time point an adult pattern of gene expression appears. Taken together, these studies suggest that the rapid onset of kidney cysts following inactivation of ciliary genes requires high rates of cell proliferation and/or loss of cell differentiation

To test whether stimulation of cell proliferation promotes cyst formation, adult Kif3a knockout mice that lack renal cilia were subjected to acute kidney injury (AKI). Following AKI, surviving epithelial cells re-enter the cell cycle and proliferate to repair injured tubules. Therefore, if cyst formation in *Kif3a* knockout mice is dependent on increased cell proliferation, AKI would be predicted to stimulate cyst formation. When adult *Kif3a* knockout mice were subjected to renal ischemia-reperfusion injury, kidney cyst formation was accelerated compared to sham operated mice [16]. These findings are consistent with the

hypothesis that cyst formation following disruption of ciliary genes requires high rates of cell proliferation. Since AKI is also associated with dedifferentiation of renal epithelial cells, these findings do not exclude a possible role of loss of cell differentiation in cyst formation.

Our studies are the first to show that acute kidney injury stimulates cyst formation in a mouse model of PKD. Along similar lines, administration of TNF-α, a potent cytokine induced by AKI or infection, stimulates cyst formation in adult *Pkd2^{+/-}* heterozygous mice [25]. These findings suggest that cyst growth in adults with PKD may be affected by environmental factors such as sub-clinical kidney injury, kidney infection, or exposure to toxins. Individual variation in exposure to these environmental factors may contribute to the variation in severity of PKD that is observed between members of the same family who have inherited the identical gene mutation. Another conclusion of these studies is that one role of ciliary proteins in the mature kidney is to maintain tissue homeostasis by aiding repair at times of kidney injury. We speculate that similar to their role in the developing kidney, ciliary proteins maintain PCP and regulate tubular diameter during kidney repair.

Treatment of PKD

No specific treatment for PKD currently exists. Therefore, management of patients with PKD consists of supportive measures, such as analgesics for pain, antibiotics for cyst infection, blood pressure control, and avoidance of caffeine and estrogens. However, several promising investigational drugs are currently being studied in preclinical and clinical trials. These drugs target abnormal cell signaling pathways which lead to dysregulated cell proliferation, cell dedifferentiation, apoptosis, and fluid secretion. The development of rodent models that have mutations in genes that are orthologous to human PKD genes has been a major advance for validating the drugs prior to use in clinical studies. In this section, we will summarize the preclinical studies with emphasis on orthologous rodent models of PKD (also see Table 1).

(A) Vasopressin receptor antagonists

The circulating levels of vasopressin are increased in mice with PKD. Many cysts in PKD are derived from collecting ducts, which express vasopressin V2 receptors. Vasopressin binds to the V2 receptors and activates adenylyl cyclase resulting in an increased level of cAMP. cAMP promotes cyst formation by stimulating fluid secretion and proliferative activity of cyst epithelial cells (Fig. 1). Preclinical studies with OPC-31260, a V2 receptor antagonist, in orthologous murine models of ARPKD (PCK rat), ADPKD (*Pkd2*WS25/- mouse), and nephronophthisis (*pcy* mouse) have shown significant inhibition of disease progression as measured by reductions in kidney volume, cyst volume, mitotic and apoptotic indices, and BUN levels [26]. Tolvaptan, another V2 receptor antagonist with higher affinity, has also been tested in orthologous animal models of ARPKD, ADPKD, and nephronophthisis and has shown similar efficacy [27]. To confirm that the protective effect of these drugs is due to antagonism of vasopressin, Wang et al crossed PCK rats (*Pkhd1* mutant) with Brattleboro (AVP-/-) rats that are deficient in vasopressin [28]. PCK;AVP-/- rats had lower levels of renal cAMP and decreased cyst formation compared to PCK ; $AVP^{+/+}$ and PCK ; $AVP^{+/-}$ rats. The beneficial effect was reversed when vasopressin-deficient rats were treated with a V2 receptor agonist. Based on these results, clinical trials to test the efficacy of Tolvaptan in humans with ADPKD are underway. The primary endpoint in these studies is the change in renal volume by MRI. The study participants consist of individuals age 18-50 years with preserved renal function and increased kidney volume >750 ml. The duration of treatment is 3 years. Of note, neither OPC-3120 nor tolvaptan has shown beneficial effects on the progression of fibrocystic liver disease. This finding could be explained by the lack of V2 receptor expression in the liver. Drinking large volume of water also suppresses vasopressin secretion and decreases cyst formation as demonstrated in an animal study [29]. However, a retrospective analysis of the MDRD study suggests that high urine volume and low urine osmolality were associated with

faster progression of PKD [30]. More studies to determine the effects of water intake on PKD progression are needed to resolve this issue.

(B) Octreotide

Octreotide is a long-acting analogue of the hormone somatostatin. Octreotide binds to somatostatin receptors and inhibits cAMP production (Fig. 1). Octreotide has been shown to decrease cAMP levels and inhibit cyst formation in the livers of PCK rats; however, there was no significant improvement in renal function [31]. A small randomized, crossover, placebocontrolled study involving 12 patients followed over a 6-month period showed that octreotide decreased kidney volume but did not improve GFR [32]. Two other clinical trials on octreotide are being conducted at this time.

(C) Rapamycin

Activation of the mTOR (mammalian target of rapamycin) pathway has been detected in the cyst epithelium of mice and humans with PKD. Polycystin-1 has been shown to interact with tuberin (TSC2), which inhibits Rheb, an activator of mTOR (Fig. 1). In the absence of polycystin-1, disinhibition of Rheb results in activation of mTOR and increased cell growth. Rapamycin is an immunosuppressive drug that inhibits mTOR and is used to prevent transplant rejection. To test whether inhibition of mTOR prevents cyst growth, rapamycin and a related drug everolimus were evaluated in a non-orthologous animal model of PKD, the Han:SPRD rat [33,34]. These studies showed that inhibition of mTOR significantly slows cyst growth in male Han:SPRD rats. Other studies have tested the efficacy of rapamycin in three nonorthologous mouse models of PKD, *Tg737orpk/orpk* mice (mutation in the ciliary protein Polaris), bpk mice, and kidney-specific BHD (gene mutated in patients with Birt-Hogg-Dubé syndrome) mutant mice [35,36]. These studies also revealed significant improvement in cyst size and BUN levels compared to control animals. There are no published studies of rapamycin in orthologous animal models of PKD.

In a retrospective study, Shillingford et al examined a small group of patients who had undergone kidney transplantation for ESRD due to PKD and retained their native cystic kidneys [36]. In four patients who received rapamycin as a part of their post-transplant immunosuppression, the volume of the native cystic kidneys was reduced by 24% compared to 8.6% in three patients treated with other immunosuppressants. The change in kidney size was significantly different between the rapamycin and non-rapamycin groups. Another retrospective study by Qian et al demonstrated that rapamycin decreased the size and number of liver cysts but failed to show a benefit on kidney cysts [37].

(D) Roscovitine

The cyst epithelium in mice and humans with PKD exhibits high rates of proliferation suggesting abnormal cell cycle regulation. To test whether inhibition of cell cycle progression arrests cyst growth, roscovitine, an inhibitor of cyclin-dependent kinases was administered to two non-orthologous mouse models of PKD, jck mice and cpk mice [38]. Administration of roscovitine resulted in arrest of cyst growth in the jck and cpk mice. More significantly, intermittent administration of this drug produced a long-lasting anti-cystic effect. This result is promising considering the chronicity of PKD in which life-long therapy may be required.

(E) Others

Triptolide, a natural product isolated from the medicinal herb, Thunder God Vine, has been shown to retard cyst growth in kidney-specific *Pkd1* mutant mice. Triptolide binds to polycystin-2 and induces calcium release in a polycystin 2-dependent manner. Intracellular calcium is thought to stimulate phosphodiesterase and inhibit adenylyl cyclase resulting in

decreased levels of cAMP, thereby retarding cyst growth [39]. The cystic fibrosis transmembrane conductance regulator protein (CFTR), a cAMP-regulated chloride channel, is thought to be the primary route for chloride entry into cysts resulting in their expansion. CFTR inhibitors have been shown to slow cyst growth in some orthologous mouse models of PKD [40](Fig. 1). Pioglitazone has been shown to improve the renal as well as extra-renal abnormalities in *Pkd1* knockout mice [41]. The mechanism of action is not well understood but may involve changes in Wnt/β-catenin signaling. Administration of a MAPK/ERK inhibitor decreased the cystic index in a non-orthologous animal model of PKD [42] but not in an orthologous mouse model [43]. Inhibition of Src activity ameliorates cyst formation in the non-orthologous bpk mouse model as well as the orthologous PCK rat model of ARPKD [44]. More recently, tumor necrosis factor-alpha (TNF- α) has been implicated in promoting **PKD.** *Pkd2* mutant mice were treated with etanercept, a TNF- α inhibitor. The control animals developed cysts at the expected frequency, whereas none of the etanercept-treated animals developed kidney cysts at the end of ten weeks of treatment [25]. ACE inhibitors and ARBs have not been convincingly shown to increase renal survival or reduce mortality in humans with PKD. An ongoing NIH-funded study (HALT-PKD) is aimed at trying to clarify the role of ACE inhibitors and ARBs as well as aggressive blood pressure control in ADPKD [45].

Conclusions

Recent studies indicate that the pathogenesis of PKD is linked to abnormalities in the primary cilium that result in aberrant PCP signaling in the kidney. Inactivation of ciliary proteins in the postnatal kidney has uncovered novel roles of primary cilia in regulating tubular growth and repair after injury. Furthermore, these studies suggest that defective tubular repair after injury may contribute to the progression of PKD. Studies of signaling pathways that are perturbed in PKD have identified potential targets for pharmacological therapy. Drugs that have shown efficacy in preclinical studies utilizing orthologous animal models of PKD include tolvaptan, octreotide, src inhibitors, CFTR inhibitors, pioglitazone, etanercept, and triptolide. Several of these drugs are currently being evaluated in humans.

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Figure 1. Mechanism of action of novel therapeutic agents in the treatment of PKD

Vasopressin and octreotide decrease cAMP production by inhibiting V2 receptors (V2R) and activating stomatostatin receptors (SR), respectively. Decreased cAMP levels have been shown to retard cyst growth in several rodent models of PKD. CFTR inhibitors prevent the secretion of chloride (Cl⁻) into the cyst lumen by inhibiting the CFTR channel and thereby preventing cyst enlargement. The CFTR channels are activated by cAMP. Triptolide, a naturally occurring product, binds to polycystin-2 and stimulates calcium entry. Calcium entry is critical for the function of several signaling pathways. Polycystin-1 has been shown to interact with TSC2 (tuberin) which together with TSC1 inhibits Rheb. Rheb is an activator of mTOR. The net effect of interaction of polycystin-1 with TSC2 is mTOR inhibition. This mechanism may underlie the beneficial effects of the mTOR inhibitor rapamycin in rodents with PKD.

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Table 1

