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The Epidemiology of Meningococcal Disease in the United States

Lee H. Harrison, MD

Infectious Diseases Epidemiology Research Unit, Division of Infectious Diseases, University of Pittsburgh Graduate School of Public Health and School of Medicine, Pittsburgh, Pennsylvania 15101

Abstract

Neisseria meningitidis is a leading cause of bacterial meningitis and other serious infections worldwide. The epidemiology of *N. meningitidis* is highly changeable, with major changes in disease incidence and serogroup distribution. Six serogroups are responsible for most meningococcal disease worldwide, namely serogroups, A, B, C, W-135, X, and Y; the epidemiology of disease caused by each serogroup is unique. No vaccine is available for endemic disease caused by serogroup B strains. Two tetravalent (A/C/Y/W-135) meningococcal vaccines are licensed in the United States, a purified polysaccharide product and a polysaccharide–protein conjugate vaccine. The conjugate vaccine is recommended for all adolescents, although vaccine coverage remains low, and other high risk groups. A comprehensive program to prevent invasive meningococcal disease in the US will require immunization of infants; several conjugate vaccines for infants may become available in the near future. Broadly protective vaccines for endemic serogroup B disease are also needed.

Keywords

Neisseria meningitidis; meningococcal; United States; vaccine

Introduction

Neisseria meningitidis remains a major cause of bacterial meningitis and other invasive bacterial infections worldwide [1-4]. A remarkable characteristic of meningococcal epidemiology is that it is highly fluid, with major fluctuations in the incidence of endemic disease and the occurrence of outbreaks and epidemics. In addition, meningococcal serogroup distribution is also highly regional and cyclical.

The purpose of this review is to discuss the current epidemiology of meningococcal disease and the vaccines that are presently available in the United States. Recent experiences with meningococcal vaccines in the United Kingdom and New Zealand will also be reviewed because they illustrate the potential public health impact of meningococcal conjugate and outermembrane protein–based serogroup B vaccines, respectively.

Meningococcal Serogroups

Virulent *N. meningitidis* strains have a polysaccharide capsule, which allows the organism to cause invasive diseases such as bacteremia and meningitis. Unencapsulated strains, which are frequently found in the pharynx of asymptomatic carriers, have only rarely been determined to cause invasive infections [5,6]. Of the 13 different polysaccharide capsular types, only 6

Correspondence: Lee H. Harrison, Infectious Diseases Epidemiology Research Unit, 521 Parran Hall, 130 Desoto Street, University of Pittsburgh, Pennsylvania 15261, Phone: 412-624-3137, Fax: 412-624-2256, lharriso@edc.pitt.edu.

commonly cause disease globally: A, B, C, W-135, X, and Y, although substantial rates of serogroup X disease are restricted to parts of sub-Saharan Africa [1].

Serogroup A N. meningitidis occurs primarily in the meningitis belt of sub-Saharan Africa and is responsible for the largest and most devastating meningococcal epidemics [7,8]. Serogroup A meningococcal disease also occurs in other parts of the world, such as China and Russia, but is currently extremely rare in the United States. The lack of serogroup A disease in the U.S. is despite the documented introduction of virulent serogroup A strains into this country [9]. Serogroup B strains are a cause of a substantial proportion of endemic meningococcal disease in many parts of the world, including the United States, as well as prolonged epidemics [10, 11]. Serogroup C, also a prominent serogroup in many regions of the world, has occasionally caused epidemics and frequently causes outbreaks [12]. Serogroup Y strains cause a high proportion of cases in the US and other countries in the Americas [13,14]. Although not generally considered to be one of the major meningococcal serogroups, serogroup X strains have been reported to cause a substantial amount of meningococcal disease in some countries in Africa, such as Niger, Togo, and Western Kenya [15-17]. The reasons for the distinct serogroup distribution in different parts of the world are unknown but possible mechanisms include differences in population immunity and environmental factors. A summary of the global distribution of meningococcal serogroups is shown in figure 1.

Molecular Mechanisms that Play a Role in the Dynamic Epidemiology of Meningococal Disease

The meningococcus uses several mechanisms to change its characteristics, such as antigenic structure or resistance to antibiotics. Many of these changes occur through horizontal gene transfer, which permits the organism to obtain large DNA sequences from other meningococcal strains or other species [18]. This organism also utilizes multiple other mechanisms to achieve antigenic variation [19-26]. One such mechanism is gene conversion, which involves autologous recombination. As an example, PilE is a prominent component of the *N. meningitidis* pilus that is encoded by *pilE*. Contiguous to *pilE* are eight truncated pseudogenes that are able to undergo recombination with *pilE*, which allows for generation of major antigenic variability without the acquisition of foreign DNA.

Capsular switching is a genetic mechanism that allows *N. meningitidis* to change its capsular phenotype. *N. meningitidis* outbreaks can be started or sustained using this mechanism, which permits immunologic escape from immunity to the original serogroup [27-30]. Capsular switching occurs through horizontal gene transfer and is generally defined as strains that belong to the same genetic lineage—as determined, for example, by multilocus sequence typing (MLST)—but have a different polysaccharide capsule. Capsular switching presumably occurs when a person is co-colonized in the pharynx with at least 2 or more meningococcal strains [31,32]. For example, the boyfriend of a young girl who died of serogroup B meningococcal disease had pharyngeal colonization with serogroup C strain that was otherwise genetically indistinguishable [29]. A significant percentage of meningococcal strains that cause disease in the US apparently have arisen through the mechanism of capsular switching [33].

Capsular switching appears to have been responsible for an outbreak of serogroup W-135 disease during the 2000 Hajj in Mecca, Saudi Arabia. Subsequent to this outbreak, the epidemic strain spread globally and, in one example, caused an epidemic in Burkina Faso [34,35]. Capsular switching was also observed in the 1990s during Oregon's serogroup B outbreak, with some serogroup C strains found to be otherwise genetically indistinguishable from the serogroup B outbreak strain [10,27].

A key concern is that, with mass immunization using vaccines that do not include protection against all of the major meningococcal serogroups, there could be an increase in meningococcal disease caused by strains not included in the vaccine. This could occur through the mechanisms of capsular switching or capsular replacement. Serotype replacement has been observed since the routine use of pediatric pneumococcal conjugate vaccine began in the US in 2000 [36, 37]. However, meningococcal serogroup replacement has not been observed in the UK since the introduction of routine immunization with serogroup C conjugate vaccines [38]. Serogroup C carriage is relatively uncommon, even in the face of a substantial incidence of serogroup C meningococcal disease; it remains to be seen if serogroup replacement will occur with the use of meningococcal vaccines that cover a higher proportion of carriage strains.

Increases in the incidence of meningococcal disease have also been associated with changes in noncapsular outer-membrane proteins. This observation has implications for outer-membrane protein-based vaccines for the prevention of serogroup B meningococcal disease. In Maryland, an increase in the incidence of serogroup C and serogroup Y meningococcal disease occurred in association with substantial antigenic shift in several outer-membrane proteins [14]. For serogroup C, horizontal gene transfer led to major antigenic changes in FetA, and the *porA* gene was entirely deleted from some strains. For serogroup Y, major antigenic changes were caused by horizontal gene transfer involving three outer-membrane protein genes.

Risk Factors

There are numerous known risk factors for meningococcal disease; incidence is influenced strongly by age, with infants having the highest risk [13] (figure 2). Low levels of serum bactericidal antibody (SBA) are among the most important host factors responsible for the risk of infection [39,40]. Low socioeconomic status and minority ethnicity have also been found to be associated with increased risk [4,13,41,42]. Conditions associated with immune compromise, such as functional or anatomic asplenia, HIV infection, and genetic polymorphisms and deficiencies in components of the innate immune system have all been associated with increased risk of meningococcal disease [43-51].

Environmental factors associated with the risk of both invasive disease and carriage include recent or concurrent upper respiratory infection, such as influenza [52-57]. In the meningitis belt of sub-Saharan Africa, epidemics commence during the dry season and end with the onset of the rainy season [58]. Population crowding has long been known to play a role in the risk of meningococcal disease [59]. More recently, behavioral risk factors, such as passive and active smoking, pub and bar patronage, kissing, and living in a university dormitory, have been shown to be associated with the risk of meningococcal carriage and disease in a variety of studies [60-70].

Epidemiology in the United States

The Active Bacterial Core surveillance (ABCs) network, a population-based surveillance system for invasive meningococcal disease and other serious bacterial pathogens, has provided invaluable information about the epidemiology of meningococcal disease in the United States [71]. Because active surveillance methods are used, ABCs is highly sensitive for culture-positive *N. meningitidis* disease and other bacterial pathogens. In 2009, approximately 40 million persons, or about 13% of the US population, lived in an area with ABCs meningococcal disease surveillance.

Since World War II, the annual incidence of meningococcal disease has varied between $\sim 0.5-1.5$ cases per 100,000 population. During the past 3 decades, the incidence has waxed and waned in multiyear cycles [3,72] (figure 3). The most recent peak in incidence occurred during

the mid-1990s. Subsequently, there was a decline to ~ 0.35 cases per 100,000 in 2007, caused by decreases in the 3 most common serogroups in the US: B, C, and Y. Interestingly, the current nadir in incidence began before the introduction of tetravalent meningococcal conjugate vaccine (MCV4) and has been more sustained than previously. In addition, MCV4 does not contain a serogroup B component and therefore would have had no influence on the reduction in serogroup B disease. The factors responsible for this substantial decline in the overall rate of meningococcal disease are unknown but could include population immunity to the strains currently circulating in the U.S., changes in the prevalence of behavioral risk factors, or unknown variables.

Meningococcal serogroup distribution varies over time. For example, serogroup Y disease accounted for only 2% of meningococcal infections during 1989–1991 [41]. By the mid-1990s, serogroup Y incidence increased and serogroup Y strains accounted for one third of meningococcal infections [13]. The increase in serogroup Y disease in Maryland occurred primarily in children < 15 years old and adults > 25 years old [73]. Serogroup C meningococcal disease also increased and subsequently decreased during the 1990s. Serogroup W-135 disease, which currently accounts for a small percentage of cases, was previously more common [13, 74,75]. On the basis of ABCs data, the serogroup distribution in the US in 2007 was serogroup B, 25%; serogroup C, 30%; and serogroup Y, 37%, with 9% caused by serogroup W-135, other serogroups, and nongroupable strains

(www.cdc.gov/ncidod/DBMD/abcs/survreports/mening07.pdf). The proportion of isolates that are serogroup B is higher in Oregon, as is its incidence, because of an ongoing outbreak involving a serogroup B sequence type (ST)-32 complex/enzyme type-5 complex clone [10].

As the incidence in meningococcal disease increased in the US during the 1990s, the number of *N. meningitidis* outbreaks also increased. Between mid-1994 and mid-2002, 76 outbreaks in the community, specifically in colleges, primary and secondary schools, and nursing homes, were identified throughout the US [12,76-79]. The majority of outbreaks were caused by serogroup C strains.

Current Meningococcal Vaccines in the United States

Two tetravalent (A/C/Y/W-135) meningococcal vaccines are licensed in the US, one a purified polysaccharide product and the other a polysaccharide–protein conjugate vaccine (MCV4), with diphtheria toxoid as the protein carrier [80]. MCV4 was licensed in 2005 and is recommended for all US adolescents. In 2007, despite this universal recommendation, only 33% of 13-year-olds had received MCV4 as determined by the National Immunization Survey [81]. MCV4 is also recommended for college freshmen living in dormitories, travelers to areas with hyperendemic or epidemic meningococcal disease, microbiologists working with live *N. meningitidis*, military recruits, and persons with immunologic deficits, such as terminal complement deficiency and functional or anatomic asplenia [80].

The current focus on adolescents is a laudable step towards prevention of meningococcal disease in the US, although a comprehensive program will require immunization of infants, the group with the highest risk [82,83]. Several meningococcal conjugate vaccines that are immunogenic in infants may soon be licensed in the US: a second tetravalent (A/C/Y/W-135) polysaccharide–protein conjugate vaccine that uses CRM₁₉₇ as the protein carrier [84-86] and a combination *Haemophilus influenzae* serotype B and serogroup C/Y meningococcal conjugate vaccine, with each polysaccharide component conjugated to tetanus toxoid [87]. Outer-membrane protein–based vaccines for the prevention of endemic serogroup B disease are also in development for use in the US and globally [88,89], although these vaccines will probably not be available for several more years. The addition of protection against serogroup B meningococcal disease is crucial because of the importance of disease caused by this serogroup in many countries [1].

United Kingdom Experience With Serogroup C Meningococcal Conjugate Vaccines

Because insufficient time has elapsed following the routine use of MCV4 and the low vaccine coverage rates among adolescents, it is not possible to draw conclusions about the eventual impact of routine meningococcal immunization in the US [81]. However, several recent experiences from abroad provide valuable information about this issue. In 1999, the UK was the first country to introduce serogroup C meningococcal conjugate vaccines to control the growing burden of serogroup C disease. This program has been highly successful in reducing the incidence of serogroup C meningococcal disease in immunized persons [90,91]. In addition, serogroup C meningococcal disease in the unimmunized population, known as the herd immune effect [92,93]. The reduction in serogroup C disease resulted in a substantial decrease in the overall incidence of meningococcal disease [94]. The success of this program led to the subsequent introduction of meningococcal conjugate vaccines into routine immunization programs in many European countries, as well as other parts of the world [95-97]. With the success of the immunization campaign in the UK, nearly 90% of invasive meningococcal disease in that country is now caused by serogroup B strains.

New Zealand Experience with Serogroup B Vaccine

In the US and many other parts of the world, a substantial proportion of meningococcal disease is caused by serogroup B *N. meningitidis*, which is antigenically highly variable in endemic settings. Unfortunately, no licensed vaccine exists that covers all serogroup B strains. However, serogroup B epidemics are caused by single clones, which has allowed for the development of tailor-made serogroup B vaccines. The incidence of meningococcal disease in New Zealand increased from around 1.6 per 100,000 incidence in 1990 to a peak of 17.4 per 100,000 in 2001. This was the result primarily of the emergence of an ST-41/44 clonal complex/lineage 3 serogroup B clone which accounted for 85% of disease by 2000 [11,98]. The highest rates of disease occurred among young children and a disproportionate number of cases occurred in Pacific Islander and Maori children [11].

This epidemic led to the development and introduction of an outer-membrane vesicle vaccine against the epidemic strain [99]. The vaccine was introduced in mid-2004 and introduced across the country over a period of 2 years, starting with the high-incidence areas of North Island. The incidence of meningococcal disease declined to 2.6 per 100,000 by 2007, and the estimated effectiveness of the vaccine was 80% in fully immunized children aged 6 months to < 5 years old [100]. However, no data are available on the impact, if any, of this vaccine on meningococcal carriage in those who are immunized, or on the incidence of meningococcal disease in the unimmunized.

In summary, the epidemiology of meningococcal disease in the US is highly dynamic and constantly changing. The introduction of MCV4 into the routine immunization schedule for adolescents is a promising first step but additional work is needed. First, efforts must be made to increase vaccine coverage with MCV4, as well as the other vaccines that are now recommended for adolescents. Second, conjugate vaccines for infants, which are likely to be available soon, are required. Finally, broadly protective vaccines that prevent both endemic and epidemic serogroup B disease are needed.

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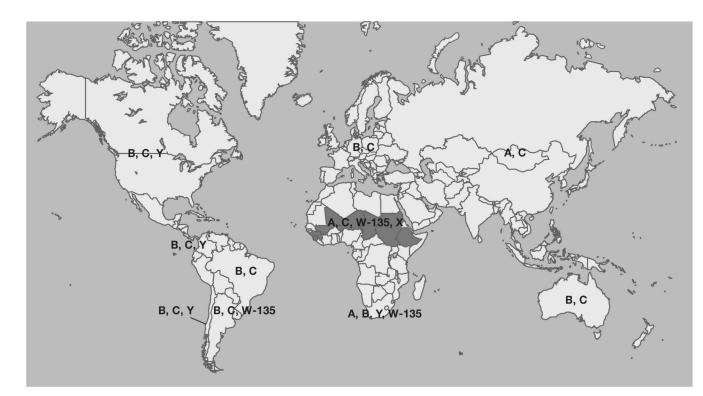


Figure 1. Worldwide distribution of major meningococcal serogroups.

Harrison

