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## Her2 Activates NF- $\kappa$ B and Induces Invasion Through the Canonical Pathway Involving IKK $\alpha$

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### Abstract

The membrane bound receptor tyrosine kinase Her2 is overexpressed in approximately 30% of human breast cancers which correlates with poor prognosis. Her2-induced signaling pathways include MAPK and PI3K/Akt, of which the latter has been shown to be critical for Her2<sup>+</sup> breast cancer cell growth and survival. Additionally, the NF- $\kappa$ B pathway has been shown to be activated downstream of Her2 overexpression, however the mechanisms leading to this activation are not currently clear. Using Her2<sup>+</sup>/ER<sup>-</sup> breast cancer cells, we show that Her2 activates NF- $\kappa$ B through the canonical pathway which, surprisingly, involves IKK $\alpha$ . Knockdown of IKK $\alpha$  led to a significant decrease in transcription levels of multiple NF- $\kappa$ B-regulated cytokine and chemokine genes. siRNA-mediated knockdown of IKK $\alpha$  resulted in a decrease in cancer cell invasion, but had no effect on cell proliferation. Inhibition of the PI3K/Akt pathway had no effect on NF- $\kappa$ B activation, but significantly inhibited cell proliferation. Our study suggests different roles for the NF- $\kappa$ B and PI3K pathways downstream of Her2, leading to changes in invasion and proliferation of breast cancer cells. Additionally this work indicates the importance of IKK $\alpha$  as a mediator of Her2-induced tumor progression.

### Keywords

Her2; IKKalpha; NF-KappaB

### Introduction

The epidermal growth factor receptor Her2 is amplified in 20-30% of breast cancers, which typically do not express estrogen receptor, and are often correlated with poor prognosis and/or chemoresistance, making Her2 an important therapeutic target (Hynes & Stern, 1994; Klapper et al., 2000; Slamon et al., 1987; Slamon et al., 1989). The Her2-specific antibody trastuzumab and the dual EGFR/Her2 inhibitor lapatinib have been shown to decrease growth of Her2-overexpressing tumors (Baselga et al., 1999; Pegram et al., 1998), however

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a majority of patients treated with trastuzumab develop resistance (Slamon et al., 2001), indicating the importance of elucidating alternative therapeutic targets in this disease. Her2-overexpression was first shown to activate NF- $\kappa$ B over a decade ago (Galang et al., 1996), however, the role NF- $\kappa$ B plays in development and progression of Her2-overexpressing breast cancer is still poorly understood. Additionally, the pathway leading to NF- $\kappa$ B activation downstream of Her2 is not well characterized.

NF- $\kappa$ B is an important transcription factor that has been shown to be involved in expression of genes involved in key cellular processes including innate and adaptive immunity (Bonizzi & Karin, 2004), cell proliferation and survival (Papa et al., 2006), lymphoid organ development (Weih & Caamano, 2003), as well as being activated in a variety different cancers, including breast cancer (Basseres & Baldwin, 2006; Belguise & Sonenshein, 2007; Cogswell et al., 2000). The NF- $\kappa$ B family of transcription factors consists of five subunits: RelA (p65), RelB, c-Rel, p105/p50 and p100/p52. These subunits are evolutionarily conserved and exist as hetero- or homodimers (Hayden & Ghosh, 2004). The p65/p50 heterodimer is the most abundant NF- $\kappa$ B complex in the cell and is regulated by the so-called canonical pathway. Following stimulation with activators such as TNF, I $\kappa$ B is phosphorylated by the Inhibitor of KappaB Kinase (IKK) complex. The IKK complex consists of two catalytic subunits IKK $\alpha$  and IKK $\beta$ , and a regulatory subunit IKK $\gamma$  (NEMO), which binds both catalytic subunits at their NEMO-binding domains (NBD)(Gilmore, 2006). In the canonical pathway, IKK $\beta$  phosphorylates I $\kappa$ B $\alpha$  leading to its degradation and NF- $\kappa$ B nuclear accumulation (Ghosh & Karin, 2002). Furthermore, the p65 subunit of NF- $\kappa$ B can be phosphorylated on multiple residues, including serine 536, which is important for transactivation potential (Sakurai et al., 1999). NF- $\kappa$ B activation can also occur via the alternative, or non-canonical pathway. Activation of NF- $\kappa$ B in the non-canonical pathway, most common in B cells, involves Inhibitor of KappaB Kinase  $\alpha$  (IKK $\alpha$ ) and is I $\kappa$ B $\alpha$ -independent (Solt & May, 2008). Thus most current models place IKK $\beta$  as the dominant IKK subunit in the canonical pathway with IKK $\alpha$  functioning in the non-canonical system. Few studies have addressed the individual roles of IKK $\alpha$  and IKK $\beta$  downstream of oncoprotein-dependent signaling.

Using an siRNA approach, we set out to determine how NF- $\kappa$ B is activated downstream of Her2, and what role the IKK complex plays in this signaling cascade, as well as how the activation of the IKK kinases may lead to a malignant state. While the classical pathway has long been thought to require IKK $\beta$ , here we show that IKK $\alpha$  plays a larger role than IKK $\beta$  in the activation of NF- $\kappa$ B in Her2<sup>+</sup> breast cancer cells, including the phosphorylation of the p65 subunit at serine 536. Using siRNA to the IKK kinases, we show that knockdown of IKK $\alpha$  leads to a change in the gene expression profile in Her2<sup>+</sup> cells, including a notable cytokine and chemokine gene expression signature. Furthermore, knockdown of IKK $\alpha$  by siRNA led to a marked decrease in invasive ability in SKBr3 cells, yet had no effect on cell proliferation. Taken together, our data suggests that Her2 can activate NF- $\kappa$ B through the canonical pathway. Surprisingly, this activation occurs primarily through IKK $\alpha$ , a subunit typically not thought to be involved in the canonical pathway. Interestingly, we have discovered differential roles for the IKK kinases with IKK $\alpha$  specifically involved in an invasive oncogenic phenotype in Her2<sup>+</sup> breast cancer cells.

## Materials and Methods

### Cell culture and reagents

The tumor-derived SKBr3 cell lines were maintained in McCoy's 5A medium (Mediatech) supplemented with 10% fetal bovine serum (FBS) and 100 units/mL penicillin/streptomycin. The tumor-derived MCF7, MDA-MB-453 and MDA-MB-231 cell lines, as well as Mouse Embryonic Fibroblast (MEF) cell lines, were maintained in Dulbecco's Modified Eagle Medium (Gibco) supplemented with 10% FBS and 100 units/mL penicillin/streptomycin. The human mammary epithelial cell lines (H16N2-pTP and H16N2-Her2) were maintained as previously described (Ethier et al., 1993). The stable 3x- $\kappa$ B luciferase SKBr3 cell line was established by transfection of a luciferase reporter construct containing tandem NF- $\kappa$ B binding sites from the MHC class I promoter region into SKBr3 cells with Fugene (Roche) and maintained under selection with G418 (Geneticin, Live Technologies). The Her2 wild-type and mutant (V654E) plasmids were constructed previously (Li et al., 2004) (Addgene plasmid 16257 and Addgene plasmid 16259). The Her2 coding sequences were subcloned into retroviral pLHCX vector (Stratagene) and virus was produced in 293T cells with cotransfection of AmphoPAK. MEFs were transduced with virus with polybrene and lysed 48 hours later. The following antibodies were purchased from commercial sources: antibodies against phospho-p65 (Ser<sup>536</sup>), phospho-Akt (Ser<sup>473</sup>), Akt, phospho-I $\kappa$ B $\alpha$  (Ser<sup>32/36</sup>) and I $\kappa$ B $\alpha$  from Cell Signaling Technology; antibodies against Her2, IKK $\alpha$  clone 14A231 and IKK $\beta$  clone 10AG2 and p100/p52 from Millipore, antibodies against p65 and p50 (supershift),  $\beta$ -tubulin and IKK $\gamma$  from Santa Cruz Biotechnology, antibody against total p65 from Rockland (PA, USA). LY294002 and Wortmannin were purchased from Cell Signaling Technology. Lapatinib (GW572016; Tykerb) was a gift from Dr. H. Shelton Earp (University of North Carolina at Chapel Hill).

### Immunoblots

Whole cell extracts were prepared on ice with Mammalian Protein Extraction Reagent (Thermo Scientific) according to manufacturer's instructions supplemented with protease inhibitor mix (Roche, IN, USA) and phosphatase inhibitor mix (Sigma, MO, USA). Nuclear and cytoplasmic extracts were prepared as previously described (Mayo et al., 1997). Protein concentrations were determined by Bradford assay (Biorad Laboratories) and SDS-PAGE analysis was performed as previously described (Steinbrecher et al., 2005).

### Small RNA interference

The following small interfering RNAs (siRNA; siGenome SMARTpool) were obtained from Dharmacon as a pool of four annealed double-stranded RNA oligonucleotides: IKK $\alpha$  (M-003473-02), IKK $\beta$  (M-003503-03), NEMO (M-003767-02), RelA (p65) (M-003533-02) and nontargeting control #3 (D001201-03). Cells were grown to approximately 50% confluency and transfected with 100 nmol/L siRNA with Dharmafect 1 reagent according to manufacturer's instructions.

### Quantitative Real-time PCR

Total RNA extracts were obtained from cells approximately 72 hours post-transfection by Trizol (Invitrogen) extraction. Two micrograms of RNA was reverse transcribed using random primers and MMLV-reverse transcriptase (Invitrogen). Real-time PCR was performed and analyzed as previously described (Steinbrecher et al., 2005) using Taqman Gene Expression Assay primer-probe sets IL-6 (Hs00174131\_m1), IL-8 (Hs001741103\_m1), CCL2 (Hs00234140\_m1), TNF (Hs99999043\_m1), and uPA (Hs00170182\_m1).

### Electrophoretic Mobility Shift Assay

Electrophoretic mobility shift assay (EMSA) and NF- $\kappa$ B supershift analysis were done on nuclear extracts as previously described (Steinbrecher et al., 2005) using  $^{32}$ P-labeled oligonucleotide probe corresponding to an NF- $\kappa$ B site within the MHC class I promoter region.

### IKK Kinase Assay

Whole cell lysates were prepared on ice for 45 minutes in lysis buffer containing 20 mmol/L Tris (pH 8.0), 500 mmol/L NaCl, 0.25% Triton X-100, 1 mmol/L EDTA, 1 mmol/L EGTA, 1 mmol/L DTT, 1x protease inhibitor (Roche Applied Science), and 1x phosphatase inhibitor cocktail (Sigma-Aldrich). IKK complexes were immunoprecipitated from 500- $\mu$ g total protein extract using IKK $\gamma$  antibody (Santa Cruz Biotechnology). An *in vitro* kinase assay was done and analyzed as previously described (Steinbrecher et al., 2005) using GST-I $\kappa$ B $\alpha$  as a substrate.

### Luciferase Assay

SKBr3 cells stably expressing the 3 $\times$ - $\kappa$ B plasmid were plated in equal number in triplicate in 24-well plates and transfected with siRNA for 72 hours or treated overnight with LY294002. Cells were lysed in MPER and luciferase activity was measured with Promega Luciferase Assay System (Promega). Luciferase levels were normalized by protein concentration using a Bradford assay. H16N2-Her2 and MDA-MB-453 cells were transfected with siRNA 72 hours before lysates were obtained, and were transfected with 3 $\times$ - $\kappa$ B reporter plasmid and pRL-CMV (Promega) renilla plasmid 24 hours prior to lysate collection. Lysates were collected as mentioned above and luciferase levels were normalized to renilla.

### Cell invasion assay

Innocyte™ Cell Invasion Assay Kit was purchased from Calbiochem (San Diego, California). Cells were transfected with siRNA for 48 hours before seeding. Invasion assay was performed as per manufacturer's protocol for 48 hours. The number of invading cells was measured fluorometrically with Calcein AM.

## Cell Proliferation Assay

Cell proliferation assay was performed as previously described (Wilson & Baldwin, 2008). Cells were cultured in the presence or absence of inhibitors, or transiently transfected with siRNA to IKK subunits and measured at the indicated timepoints post-transfection.

## Results

### Lapatinib inhibits Her2 activation of NF- $\kappa$ B and Akt

It has previously been shown that Her2-overexpression leads to activation of NF- $\kappa$ B family members involved in the canonical pathway, specifically the p65/p50 heterodimeric complex (Biswas et al., 2004; Galang et al., 1996). Given this result, we investigated whether the dual EGFR/Her2 inhibitor Lapatinib (Tykerb, GW572016) could block Her2-induced p65 phosphorylation at serine 536, a marker of increased NF- $\kappa$ B transcriptional activity (Sakurai et al., 1999). Five breast cancer cell lines were treated with 1  $\mu$ M of lapatinib for 12 hours and whole cell extracts were analyzed for expression of phosphorylated p65. A marked decrease in p65 phosphorylation was observed in Her2-overexpressing tumor cell lines (SKBr3 and MDA-MB-453) upon treatment with lapatinib, while non Her2-overexpressing tumor cell lines (MCF7 and MDA-MB-231) showed no change (Fig. 1A). The H16N2-Her2 cell line also showed a decrease in p65 phosphorylation upon lapatinib treatment. Overexpression of Her2 in this cell line results in NF- $\kappa$ B activation, as the parental cell line, H16N2-pTP, has very little basal p65 phosphorylation (Supplemental Figure 1). In order to further investigate how Her2 signals to NF- $\kappa$ B, we chose to use the tumor-derived SKBr3 cell line, as it has previously proven to be an excellent *in vitro* model for Her2<sup>+</sup>/ER<sup>-</sup> breast cancer (Singh et al., 2007). SKBr3 cells were treated with 1  $\mu$ M lapatinib or vehicle control over a course of 24 hours and whole cell extracts were analyzed for levels of phosphorylated I $\kappa$ B $\alpha$ . Phosphorylation of I $\kappa$ B $\alpha$  at serines 32 and 36 was inhibited within 3 hours of lapatinib treatment (Fig. 1B). Stabilization of I $\kappa$ B $\alpha$  was also observed, consistent with loss of phosphorylated I $\kappa$ B $\alpha$ . It has previously been shown that Her2-overexpression activates the PI3K/Akt pathway and that lapatinib can inhibit Akt phosphorylation in lapatinib-sensitive Her2 overexpressing breast cancer cell lines (Hegde et al., 2007). Similarly, we observe a decrease in phosphorylation of Akt at serine 473 in the lapatinib-sensitive SKBr3 cell line upon treatment with lapatinib (Fig. 1C). This indicates that Her2 can activate both the NF- $\kappa$ B and the PI3K/Akt pathways, and that pharmacological inhibition of Her2 leads to subsequent inhibition of these survival pathways.

### Her2 activates the NF- $\kappa$ B canonical pathway through IKK $\alpha$ and IKK $\beta$

We next examined the role of the IKK complex in the activation of NF- $\kappa$ B downstream of Her2. siRNA targeting the catalytic subunits of the IKK complex (IKK $\alpha$  and IKK $\beta$ ) was transfected into Her2-overexpressing breast cancer cells and whole cell extracts were analyzed for markers of NF- $\kappa$ B activation. In the Her2-overexpressing SKBr3, H16N2-Her2 and MDA-MB-453 cells, knockdown of IKK $\alpha$  led to a greater decrease in p65 phosphorylation than knockdown of IKK $\beta$  (Fig. 2A). Mouse embryonic fibroblasts (MEFs) lacking IKK $\alpha$ , as well as wild-type cells, were transduced with Her2 wild-type and constitutively active constructs. Transduction of these constructs resulted in increased p65

phosphorylation in wild-type MEFs, however, no increase in phosphorylation was seen in IKK $\alpha$   $-/-$  cells (Supplemental Figure 2). A similar result was obtained using IKK $\beta$   $-/-$  cells (data not shown), indicating that both IKK $\alpha$  and IKK $\beta$  are important for Her2 to activate NF- $\kappa$ B in murine fibroblasts. In order to further investigate the role IKK $\alpha$  may play in the activation of classical NF- $\kappa$ B complexes downstream of Her2, siRNA was again used to target IKK in SKBr3 cells stably expressing a 3x- $\kappa$ B luciferase reporter construct, as well as in H16N2-Her2 and MDA-MB-453 transiently transfected with the 3x- $\kappa$ B reporter plasmid. Knockdown of IKK $\alpha$  or a combination of IKK $\alpha$  and IKK $\beta$  led to a significant decrease in luciferase reporter activity (student's T-test \* $<0.05$  and \*\* $<0.001$  respectively), while knockdown of IKK $\beta$  did not show a significant decrease in luciferase reporter activity in two of the three cell lines (Fig. 2B). An Electrophoretic Mobility Shift Assay (EMSA) was performed to further investigate the role of IKK in Her2 activation of NF- $\kappa$ B in SKBr3 cells. Knockdown of IKK $\alpha$  led to a greater decrease in NF- $\kappa$ B DNA binding activity than IKK $\beta$  knockdown (Fig. 2C). Supershift analysis indicated that loss of IKK $\alpha$  leads to a decrease in DNA binding of classical-pathway NF- $\kappa$ B heterodimers p65/p50. Phosphorylation of I $\kappa$ B $\alpha$  by the catalytic subunits of the IKK complex is a hallmark of activation of the canonical NF- $\kappa$ B pathway, therefore we measured this kinase activity upon knockdown of IKK $\alpha$  or IKK $\beta$ . The IKK complex was immunoprecipitated with IKK $\gamma$ , the scaffolding subunit of the IKK complex. Knockdown of IKK $\alpha$  led to a greater decrease of *in vitro* phosphorylation of I $\kappa$ B $\alpha$  than knockdown of IKK $\beta$  (Fig. 2D), further indicating IKK $\alpha$  plays a prominent role in the canonical pathway in Her2-overexpressing cells. Taken together, these results demonstrate that IKK $\alpha$  plays a more significant role than IKK $\beta$  in activation of the NF- $\kappa$ B canonical pathway in Her2-overexpressing breast cancer cells.

### Knockdown of IKK $\alpha$ and IKK $\beta$ leads to distinct gene expression profiles

We next determined if knockdown of the two IKK catalytic subunits leads to differential changes in gene expression in Her2-overexpressing cells. A chemiluminescent oligo-based array was used to measure expression of 219 genes. Upon knockdown of IKK $\alpha$  or IKK $\beta$ , significant decrease in expression was seen in 14 genes (Supplementary Table 1). Genes that showed significant changes in expression upon siRNA transfection were validated by quantitative real-time PCR. Decrease in expression of pro-inflammatory cytokines and chemokines IL-6, IL-8, CCL-2, TNF, and the serine-protease uPA, was greater upon siRNA knockdown of IKK $\alpha$  than IKK $\beta$  in both SKBr3 and H16N2-Her2 breast cancer cell lines (Fig. 3A). In order to demonstrate that IKK dependent changes in gene expression were occurring through modulation of NF- $\kappa$ B transcriptional activity, we performed RNAi against the classic subunit p65 in SKBr3 and H16N2-Her2 cells and assayed expression of mRNA by quantitative real-time PCR. Gene expression analysis showed that knockdown of p65 by siRNA led to a significant decrease in gene transcription levels of IL-8, IL-6, TNF and uPA (Fig. 3B). This transcriptional profile mirrors that seen upon knockdown of IKK, specifically IKK $\alpha$ , suggesting that induction of chemokines and cytokines in Her2 breast cancer cells occurs through IKK activation of p65. We next measured changes in expression of these genes in SKBr3 cells following treatment with lapatinib to confirm this activation of NF- $\kappa$ B regulated genes was induced downstream of overexpression of Her2. Treatment of SKBr3 cells with 1  $\mu$ M of lapatinib led to a significant decrease in gene expression of IL-6, IL-8, CCL-2, TNF and uPA at both 8 and 16 hours post treatment (Fig. 3C). Taken together,

this suggests that Her2 activates NF- $\kappa$ B through the canonical pathway involving IKK $\alpha$  and leading to an increase in multiple NF- $\kappa$ B regulated genes involved in tumor progression.

### Activation of NF- $\kappa$ B in Her2-overexpressing cells requires NEMO

The scaffolding subunit of the IKK complex, IKK $\gamma$  (NEMO), is required for activation of NF- $\kappa$ B canonical pathway involving IKK $\beta$  (Gilmore, 2006), and inhibition of the IKK signalsome with the Nemo Binding Domain (NBD) peptide can block NF- $\kappa$ B activation (Biswas et al., 2004). We used an siRNA approach to determine the importance of NEMO in NF- $\kappa$ B activation in Her2-overexpressing cell lines. siRNA knockdown of NEMO led to a marked decrease in p65 phosphorylation in all three Her2<sup>+</sup> cell lines (Fig. 4A). NF- $\kappa$ B luciferase reporter activity was also significantly decreased in these cell lines upon siRNA knockdown of NEMO (Fig. 4B). We performed quantitative real-time PCR analysis in the SKBr3 cell line upon NEMO knockdown to determine if this resulted in a similar gene expression profile as IKK $\alpha$  knockdown. Consequently, IL-6, IL-8, TNF and CCL2 all showed a significant decrease in expression upon NEMO knockdown, though uPA expression levels did not change (Fig. 4C). In order to rule out any effect loss of IKK $\alpha$  could have on non-classical activation of NF- $\kappa$ B, we analyzed processing of the p100 subunit. Cleavage of the precursor NF- $\kappa$ B protein p100 to p52 is a hallmark of activation of the non-canonical pathway. No significant effect was seen on p100 processing to p52 upon knockdown of either of the IKK subunits in Her2<sup>+</sup> cells (Fig. 4D). These results suggest that NF- $\kappa$ B activation in Her2<sup>+</sup> cells occurs through IKK $\alpha$  and this requires the NEMO subunit. Additionally, these results indicate that the non-canonical NF- $\kappa$ B signaling pathway is not activated in Her2<sup>+</sup> breast cancer cells.

### Activation of the NF- $\kappa$ B canonical pathway is independent of the PI3K pathway

It has previously been reported that expression of dominant-negative PI3K and Akt plasmids can block NF- $\kappa$ B DNA binding (Pianetti et al., 2001). Therefore, we investigated if NF- $\kappa$ B activation downstream of Her2 is dependent on the PI3K/Akt pathway. Upon treatment of SKBr3 cells with lapatinib, phosphorylation of Akt at Serine 473 decreases dramatically (Fig. 1A). Treatment with the PI3K inhibitor LY294002 also blocked phosphorylation of Akt at serine 473, however LY294002 had no effect on the phosphorylation status of p65 at serine 536 in SKBr3, H16N2-Her2, or MDA-MB-453 cells (Fig. 5A-C). Furthermore, treatment of SKBr3 cells stably expressing the 3 $\times$ - $\kappa$ B luciferase reporter with LY294002 had no effect on NF- $\kappa$ B transcriptional activity (Fig. 5D). These results demonstrate that Her2 activates Akt through PI3K, and that the Her2-induced activation of NF- $\kappa$ B is independent of this pathway.

### IKK $\alpha$ induces cell invasion but not cell proliferation

Having determined that overexpression of Her2 leads to IKK $\alpha$ -dependent activation of the NF- $\kappa$ B classical pathway, we next sought to determine how this signaling may promote oncogenic phenotypes. We investigated the effect IKK activation may have on proliferation of Her2-overexpressing breast cancer cells. SKBr3 cells were transfected with siRNA to the IKK catalytic subunits and cell proliferation was measured by MTS assay. Knockdown of IKK $\alpha$  or IKK $\beta$  had no effect on cell proliferation (Fig. 6A). As a control, SKBr3 cells were treated with the PI3K-inhibitor LY294002, as well as lapatinib. Inhibition of PI3K/Akt or

Her2 led to a dramatic decrease in cell growth (Fig. 6B), consistent with what has been previously reported, suggesting that Her2 drives cell proliferation through the PI3K/Akt pathway. Our previous results have shown IKK/NF- $\kappa$ B dependent increases in proinflammatory cytokines downstream of Her2, and these genes have been shown to promote increased motility and invasiveness. Furthermore, overexpression of Her2 has been shown to lead to increase in invasiveness of breast cancer cells (Arora et al., 2008). We reasoned that NF- $\kappa$ B activity downstream of Her2 may contribute to increased invasiveness of Her2 breast cancer. To address this question, SKBr3 cells were transfected with siRNA to IKK $\alpha$  and IKK $\beta$  and the ability of the cells to invade through a basement membrane was measured. Knockdown of IKK $\alpha$  led to a significant decrease in invasiveness of SKBr3 cells while knockdown of IKK $\beta$  had no effect (Figure 6C). This suggests that Her2 overexpression results in activation of at least two independent oncogenic signaling pathways, one involving PI3K/Akt and another involving NF- $\kappa$ B, which have two different but important roles in promoting tumorigenesis (Fig. 6D).

## Discussion

While Her2-positive breast cancer is known to activate both NF- $\kappa$ B and PI3K/Akt pathways, (Biswas et al., 2004; Knuefermann et al., 2003; Pianetti et al., 2001; She et al., 2008), it has been unclear how Her2 induces NF- $\kappa$ B and whether PI3K is involved with this pathway. Additionally, potential roles for IKK $\alpha$  and IKK $\beta$  in controlling Her2-induced NF- $\kappa$ B have not been addressed. The latter point is of interest since IKK $\alpha$  and IKK $\beta$  have previously been associated with controlling distinct NF- $\kappa$ B pathways, with IKK $\beta$  controlling the so-called canonical pathway and IKK $\alpha$  controlling the non-canonical pathway. These issues are potentially quite important in the therapeutic setting. Our data indicate the following: (i) IKK $\alpha$  plays an important role in controlling the ability of Her2 to activate NF- $\kappa$ B through the canonical pathway (including phosphorylation of I $\kappa$ B $\alpha$ , phosphorylation of RelA/p65, activation of IKK, and regulation of gene expression), (ii) IKK $\alpha$  controls invasion of Her2<sup>+</sup> cells, with apparent little contribution of IKK $\beta$  in this process, and (iii) PI3K-dependent pathways do not contribute to the direct activation of NF- $\kappa$ B in these cells.

Previous experiments from several groups have shown that IKK $\beta$  plays a major role in controlling canonical NF- $\kappa$ B activation downstream of inflammatory cytokines such as TNF (Verma et al., 1995). The potential contribution of IKK $\alpha$  to NF- $\kappa$ B activation downstream of Her2-dependent signaling or to that induced by other oncoproteins has not been fully elucidated. Lapatinib has been shown to be effective in its inhibition of the Akt and Erk pathways in Her2 overexpressing breast cancer cell lines and human tumor xenografts, but there are no reports of it having an effect on the NF- $\kappa$ B pathway (Xia et al., 2002; Zhou et al., 2004), although Herceptin has been shown to inhibit NF- $\kappa$ B activation in SKBr3 cells (Biswas et al., 2004). In our studies, treatment of Her2-overexpressing cell lines with 1  $\mu$ M lapatinib led to a marked decrease in phosphorylation of NF- $\kappa$ B subunit p65 at serine 536 and of I $\kappa$ B $\alpha$  at serines 32 and 36 (Fig. 1A and B). Lapatinib also blocked NF- $\kappa$ B-induced gene transcription (Fig. 3C). Treatment of SKBr3 cells with lapatinib led to complete loss of phosphorylation of Akt at serine 473 (Fig. 1C), a marker for Akt activation.



To address potential contributions of IKK $\alpha$  and IKK $\beta$  to NF- $\kappa$ B activation in Her2<sup>+</sup> cells and to the oncogenic phenotype, we used an IKK knockdown approach in Her2-overexpressing cells. Knockdown of IKK $\alpha$  led to a more dramatic reduction in p65 phosphorylation at Ser536 than did knockdown of IKK $\beta$  (Fig. 2A). Furthermore, knockdown of IKK $\alpha$  strongly reduced NF- $\kappa$ B activation as measured through EMSA and NF- $\kappa$ B-dependent reporter assays while IKK $\beta$  knockdown had less of an effect (Fig. 2B and 2C). Similarly, knockdown of IKK $\alpha$  was more effective at blocking IKK activity than knockdown of IKK $\beta$  (Fig. 2D). SKBr3 cells exhibit low levels of p52/NF- $\kappa$ B2, which is derived from IKK $\alpha$ -dependent processing of the p100/NF- $\kappa$ B2 precursor. Knockdown of IKK $\alpha$  had little effect on p52 levels in these cells, indicating that non-canonical pathway does not appear to be active in SKBr3 cells at a measurable level. Consistent with this, very low to undetectable levels of p52 or RelB are detected in the nuclei of SKBr3 cells (data not shown). It is important to note that inhibition of IKK $\beta$  can lead to a compensatory response whereby IKK $\alpha$  controls canonical NF- $\kappa$ B activation in some cell types (Lam et al., 2008). Our studies clearly indicate that loss of IKK $\alpha$  leads to reduced NF- $\kappa$ B activation downstream of Her2-induced signaling. A study showing that IKK $\alpha$  is necessary for self-renewal of Her2-transformed mammary initiating tumor cells (Cao et al., 2007) is consistent with our results demonstrating the importance of IKK $\alpha$  in controlling NF- $\kappa$ B downstream of Her2. The way in which Her2 may selectively activate IKK $\alpha$  in breast cancer remains to be investigated. One possibility is selective activation of IKK $\alpha$  by the kinase NIK, as NIK has been shown to associate with ErbB2 family member EGFR (Habib et al., 2001), and has been shown to be recruited to EGF/heregulin receptor signaling complexes (Chen et al., 2003).

The knockdown studies were extended to analysis of NF- $\kappa$ B-dependent target gene expression (Fig. 3A). Knockdown of IKK $\alpha$  lead to a more dramatic reduction in gene expression of IL-6, IL-8, CCL2, TNF and uPA than did knockdown of IKK $\beta$ . Decreased expression of these genes upon knockdown of the p65 subunit of NF- $\kappa$ B indicates that this activation is occurring through the canonical pathway. (Fig. 3B). To demonstrate that these genes are controlled through Her2, and not through Her2-independent pathways, lapatinib was shown to block target gene expression (Fig. 3C). This increase in chemokine and cytokine gene expression by Her2, as well as the increase in the expression of the serine protease uPA, shows a large similarity to Her2 induced gene expression signatures which have been previously reported, and this increase has been implicated in progression of multiple different cancers, including breast cancer (Arihiro et al., 2000; Chavey et al., 2007; Vazquez-Martin et al., 2008; Wang et al., 1999). Therefore, our gene expression data suggests that IKK $\alpha$  plays in important role in regulating genes involved in breast cancer progression, and this requires the scaffolding subunit NEMO (Fig. 4).

Some studies indicate that NF- $\kappa$ B can be activated downstream of PI3K/Akt (Dan et al., 2008; Makino et al., 2004). However, experiments using the PI3K inhibitor LY294002 indicate that NF- $\kappa$ B is not activated in Her2<sup>+</sup> cells downstream of PI3K (Fig. 5). Thus, this pathway is not a link between Her2, IKK $\alpha$  and NF- $\kappa$ B activation. We cannot rule out a PI3K-independent Akt-controlled pathway in NF- $\kappa$ B activation. Additionally, we cannot rule out that PI3K and/or Akt have effects on NF- $\kappa$ B-target gene expression that function separately from the induction of NF- $\kappa$ B activation as assayed through experiments described

above. Future studies will address Her2-regulated pathways that lead to activation of IKK. Other studies (Dillon et al., 2007) as well as our own (Fig. 6B) show that activation of the PI3K pathway plays an important role in cell proliferation/viability. Interestingly, knockdown of IKK $\alpha$  or IKK $\beta$  subunits (individually or together) by siRNA has no measurable effect on cell proliferation (Fig. 6A).

In order to determine if IKK $\alpha$  or IKK $\beta$  controls other oncogenic phenotypes, we used siRNA treatment and measured cell invasion of SKBr3 cells. Her2 overexpression has been shown to induce cell invasion, consistent with its ability to promote upregulation of genes such as IL-8 and uPA (Gum et al., 1995; Vazquez-Martin et al., 2008). Knockdown of IKK $\alpha$ , but not knockdown of IKK $\beta$ , significantly blocks the invasive phenotype of SKBr3 cells (Fig. 6C). This result is consistent with the regulation of target genes by IKK $\alpha$  that are associated with invasive phenotype. Interestingly, other factors have linked breast cancer invasion and NF- $\kappa$ B, including microRNAs (Ma et al., 2007). MicroRNAs have been shown to negatively regulate NF- $\kappa$ B activity and gene expression, such as microRNA-146, which can suppress expression of IL-6 and IL-8 through a reduction in levels of IRAK1 and TRAF6 in MDA-MB-231 cells, leading to the metastatic phenotype (Bhaumik et al., 2008).

This study shows that Her2 activation of NF- $\kappa$ B requires IKK $\alpha$ , and this PI3K-independent activation leads to an increase in cytokine and chemokine expression, as well as an increase in invasive phenotype (Fig. 6D). This data suggests that targeting multiple pathways in Her2<sup>+</sup> breast cancer may be advantageous for effective therapy, and development of inhibitors of IKK $\alpha$  or the use of dual IKK $\alpha$ /IKK $\beta$  inhibitors may prove therapeutic in Her2<sup>+</sup> cancer cells.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

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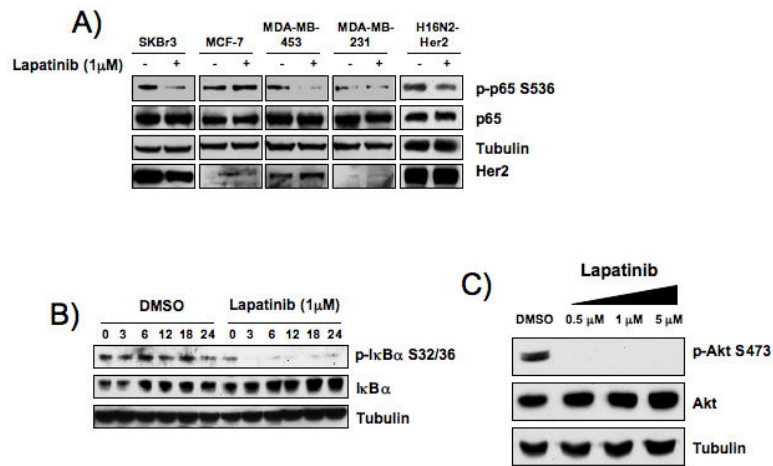
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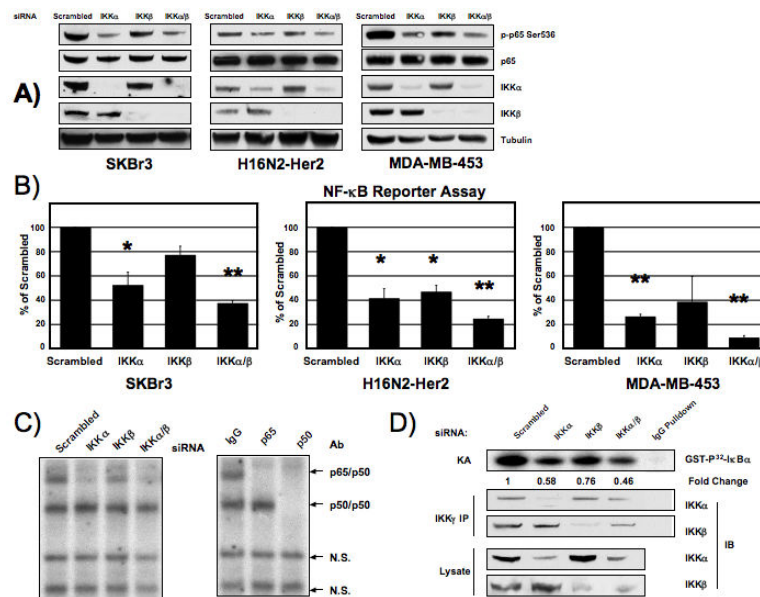
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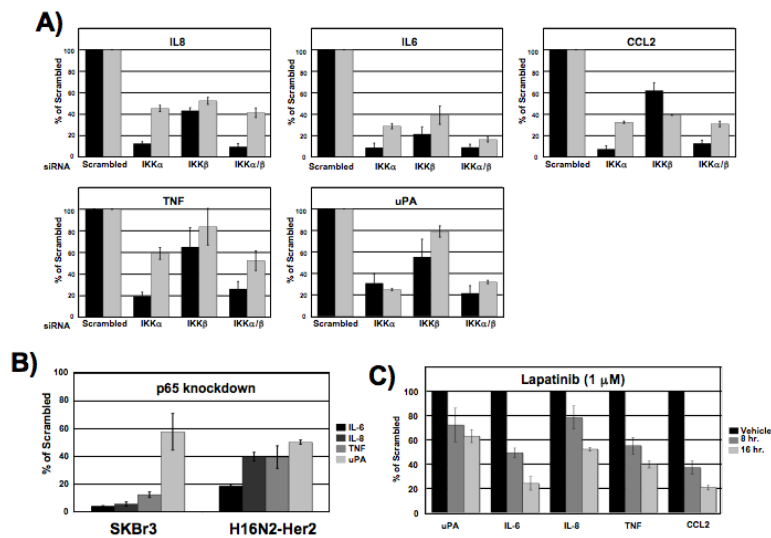


**Figure 1. Lapatinib treatment inhibits the NF-κB and PI3K pathways in Her2-overexpressing cells**

A) Western blot of phospho-p65<sup>S536</sup> in multiple breast cancer cell lines treated with lapatinib. Breast cancer cell lines were treated with 1 μM dual EGFR/Her2 inhibitor lapatinib or DMSO vehicle control for 12 hours. Western blots were performed with 25 μg protein from whole cell extracts. B) Western blot of phospho-IκBα<sup>S32/36</sup> in SKBr3 cells treated with lapatinib. SKBr3 cells were treated with lapatinib (1 μM) or DMSO control over a course of 24 hours and levels of phospho-IκBα<sup>S32/36</sup> were measured by western blot of 25 μg total protein from whole cell extracts. C) Western blot of phospho-Akt<sup>S473</sup> in SKBr3 cells treated with lapatinib. SKBr3 cells were treated for 12 hours with dual EGFR/Her2 inhibitor lapatinib and levels of phospho-Akt<sup>S473</sup> were measured by western blot of 25 μg protein from whole cell extracts.

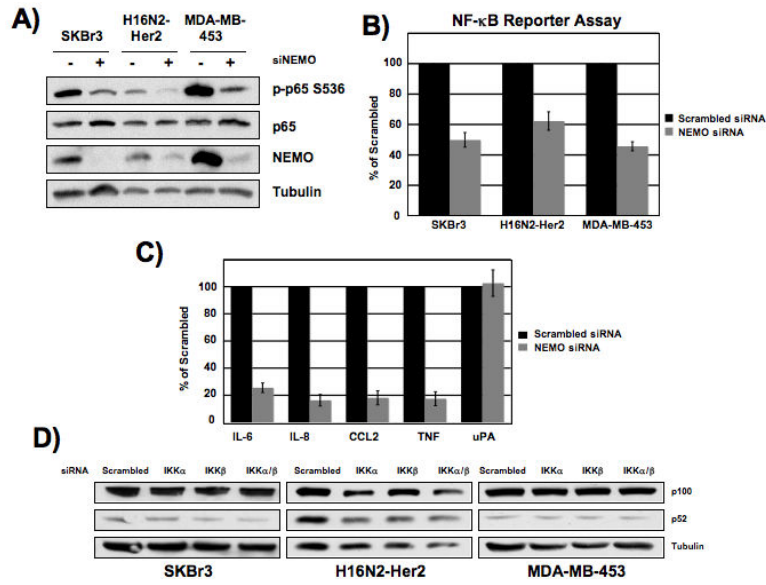


**Figure 2. Her2 activation of NF- $\kappa$ B via IKK $\alpha$  and IKK $\beta$  involves the canonical pathway**  
 A) Western blot of phospho-p65<sup>S536</sup> in Her2-overexpressing breast cancer cells transfected with siRNA to IKK catalytic subunits. SKBr3 (left), H16N2-Her2 (center) and MDA-MB-453 (right) cells were transfected with 100 nM siRNA to IKK $\alpha$  and IKK $\beta$  and whole cell extracts were prepared after 72 hours and western blot analysis performed. B) NF- $\kappa$ B luciferase reporter assay of SKBr3, H16N2-Her2 and MDA-MB-453 cells transfected with IKK siRNA. Whole cell extracts were prepared 72 hours post-siRNA transfection and luciferase levels were measured. Statistically significant differences were determined by students t-test (\* $<0.05$  \*\* $<0.001$ ). Fold change of reporter activity with IKK knockdown is shown relative to scrambled siRNA treated cells. Values are the average of at least 3 experiments. Error bars are  $\pm 1$  S.E. Samples are normalized by protein concentration (SKBr3) or renilla (H16N2-Her2 and MDA-MB-453). C) Electrophoretic mobility shift assay (EMSA) of SKBr3 cells transfected with IKK siRNA. Nuclear extracts were prepared after 72 hours. Identities of the bound complexes were determined by super-shift with antibodies to p65 and p50. Non-specific binding complexes are noted with as N.S. D) Kinase assay measuring IKK *in vitro* phosphorylation of I $\kappa$ B $\alpha$ . SKBr3 cells were transfected with IKK siRNA for 72 hours and IKK $\gamma$  was immunoprecipitated from 500  $\mu$ g whole cell extracts. Ability of immunoprecipitated complex to phosphorylate purified GST-I $\kappa$ B $\alpha$  was measured (KA). Amount of IKK $\alpha$  and IKK $\beta$  in immunoprecipitated complex (IP) and whole cell extracts (lysate) were measured. Fold change in kinase activity was calculated using pixel densitometry and compared to scrambled siRNA transfected cells.



**Figure 3. Her2 induces NF- $\kappa$ B-regulated gene expression through IKK $\alpha$  and IKK $\beta$**   
 (A) Quantitative real-time PCR of multiple genes shows different gene expression profiles upon IKK $\alpha$  or IKK $\beta$  knockdown. qRT-PCR was performed on extracts from SKBr3 (black bars) and H16N2-Her2 (gray bars) cells transfected with 100 nM IKK $\alpha$  or IKK $\beta$  siRNA for 72 hours. Gene expression levels were normalized to Gus or GAPDH and presented as fold change versus cells transfected with scrambled control siRNA. Values are the average of at least 3 experiments. Error bars are  $\pm$  1 S.E. (B) Quantitative real-time PCR of multiple genes upon knockdown of p65 by siRNA. SKBr3 and H16N2-Her2 cells were transfected with 100 nM siRNA for 72 hours and gene expression levels were measured. Fold change of transcript levels is shown relative to scrambled siRNA treated cells. Values are the average of at least 3 experiments. Error bars are  $\pm$  1 S.E. C) Quantitative real-time PCR shows inhibition of Her2 by lapatinib blocks NF- $\kappa$ B regulated gene expression. SKBr3 cells were treated with 1  $\mu$ M lapatinib for 8 or 16 hours and gene expression levels of uPA, IL-6, IL-8, TNF and CCL2 were compared to DMSO treated cells. Fold change of transcript levels is shown relative to scrambled siRNA treated cells. Error bars are  $\pm$  1 S.E.





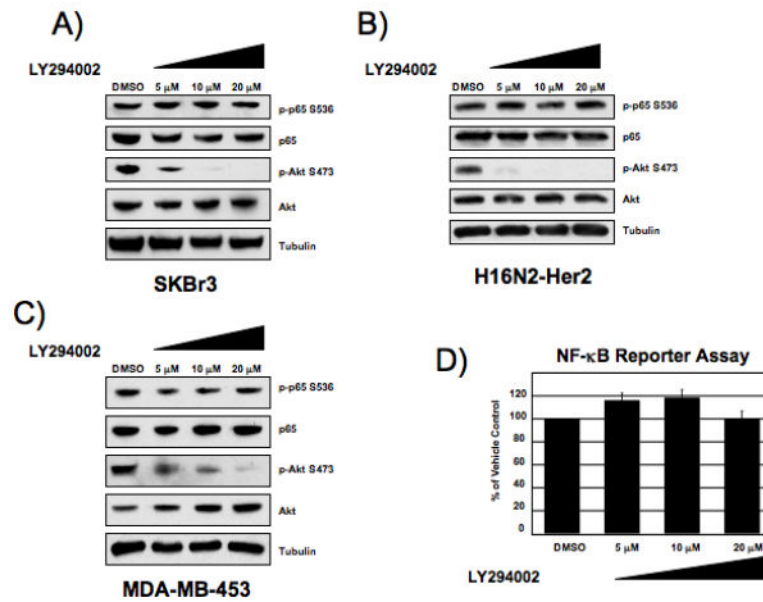
**Figure 4. Knockdown of NEMO blocks NF-κB activation through the canonical pathway**  
 A) Her2<sup>+</sup> breast cancer cells were transfected with 100 nM NEMO siRNA and whole cell lysates were collected 72 hours post transfection and western blot analysis of phosphorylated p65 was performed using 25 μg total protein. B) Her2<sup>+</sup> cell lines were transfected with 100 nM NEMO siRNA and whole cell extracts were prepared 72 hours post-siRNA transfection and luciferase levels were measured. Fold change of reporter activity with IKK knockdown is shown relative to scrambled siRNA treated cells. Values are the average of at least 3 experiments. Error bars are ± 1 S.E. Samples are normalized by protein concentration (SKBr3) or renilla (H16N2-Her2 and MDA-MB-453). C) SKBr3 cells were transfected with 100 nM NEMO siRNA and extracts were isolated after 72 hours and qRT-PCR was performed. Fold change of transcript levels is shown relative to scrambled siRNA treated cells. Error bars are ± 1 S.E. D) Her2-overexpressing breast cancer cells were transfected with 100 nM siRNA to IKKα or IKKβ and whole cell extracts were collected 72 hours post transfection. Levels of p100 and p52 were measured by western blot analysis using 25 μg total protein.

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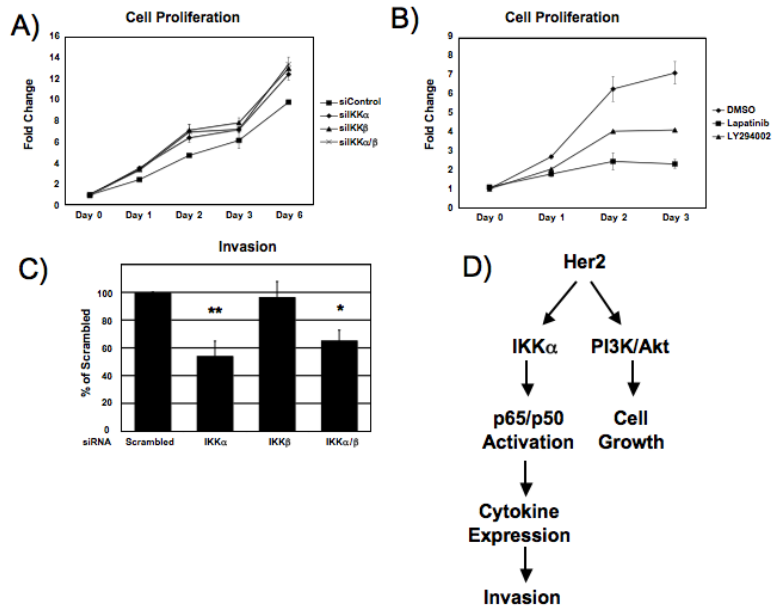
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**Figure 5. Inhibition of the PI3K-pathway does not block NF-κB activation**

Western blot of phospho-p65 serine 536 from SKBr3 (A), H16N2-Her2 (B) and MDA-MB-453 (C) cells treated with PI3K-inhibitor inhibitor LY294002 for 2 hours. Western blot analysis was performed with 25 μg whole cell extracts. D) Luciferase reporter assay of SKBr3 cells treated with LY294002 overnight. Fold change of reporter activity with PI3K-inhibitor treatment is shown relative to vehicle treated cells. Values are the average of at least 3 experiments. Error bars are  $\pm$  1 S.E. Samples are normalized by protein concentration.



**Figure 6. Inhibition of PI3K blocks cell proliferation, knockdown of IKK $\alpha$  blocks cell invasion**  
 A) Cell proliferation of SKBr3 cells transfected with siRNA to IKK $\alpha$  or IKK $\beta$  was measured for 6 days post-transfection compared to scrambled siRNA treated cells using CellTiter cell viability reagent. Knockdown of IKK by siRNA led to a slight increase in cell proliferation. Error bars represent  $\pm 1$  S.D. (B) Cell proliferation of SKBr3 cells treated with PI3K inhibitors LY294002 (10  $\mu$ M) or EGFR/Her2 inhibitor lapatinib (1  $\mu$ M) was measured over 3 days. Both inhibitors showed a significant decrease in cell proliferation over a course of 3 days. Error bars represent  $\pm 1$  S.D. (C) SKBr3 cells were transfected with 100 nM siRNA to IKK $\alpha$  or IKK $\beta$  and cell invasion was measured after 48 hours fluorometrically. Statistical significance was measured by student's T-test (\* $<0.01$ , \*\* $<0.001$ ). Error bars represent  $\pm 1$  S.D.