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# **An excess of rare genetic variation in** *ABCE1* **among Yorubans and African-American individuals with HIV-1**

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# **Abstract**

Signatures of natural selection occur throughout the human genome and can be detected at the sequence level. We have re-sequenced *ABCE1*, a host candidate gene essential for HIV-1 capsid assembly, in European-  $(n=23)$  and African-descent (Yoruban;  $n=24$ ) reference populations for genetic variation discovery. We identified an excess of rare genetic variation in Yoruban samples, and the resulting Tajima's D was low (−2.27). The trend of excess rare variation persisted in flanking candidate genes *ANAPC10* and *OTUD4*, suggesting that this pattern of positive selection can be detected across the 184.5kb examined on chromosome 4. Because of *ABCE1*'s role in HIV-1 replication, we re-sequenced the candidate gene in three small cohorts of HIV-1-infected or resistant individuals. We were able to confirm the excess of rare genetic variation among HIV-1 positive African-American individuals (n=53; Tajima's  $D = -2.34$ ). These results highlight the potential importance of *ABCE1*'s role in infectious diseases such as HIV-1.

# **Keywords**

*ABCE1*; African-Americans; single nucleotide polymorphisms; HIV-1

# **Introduction**

The candidate gene  $ABCE1$ , located on chromosome  $4q31<sup>1</sup>$ , is a member of the ATP bindingcassette (ABC) family. *ABCE1* has two main isoforms and is widely expressed<sup>1</sup>. Unlike other ABC family members, *ABCE1* maintains an ATP-binding cassette lacking a transmembrane

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domain<sup>2</sup> . The product of *ABCE1* was first described as an inhibitor of ribonuclease (RNase)  $L^3$ , but more recently it has been shown to be involved in eukaryotic translational initiation<sup>4–</sup> <sup>9</sup>, and is essential in the assembly of immature HIV-1 capsids<sup>10, 11</sup>.

In human populations, little is known about the patterns of genetic variation in *ABCE1*. To date, four variation discovery efforts have been published for *ABCE1*12–14; however, none provide a comprehensive reference of common variation across multiple populations or across both intronic and exonic sequence. Given the potential role *ABCE1* has on HIV-1 replication, we, as part of the Program for Genomic Applications SeattleSNPs, re-sequenced both *ABCE1* and the flanking sequence in 23 Centre d'Etude du Polymorphisme Humain samples (CEPHs) and 24 Yoruban samples using standard dye terminator sequencing technology<sup>15</sup> to identify single nucleotide polymorphisms for future genetic association studies. In a parallel study approved by the University of Washington's Human Subjects Review Committee, we also re-sequenced *ABCE1* in three small populations ascertained for features of HIV-1 resistance or disease progression. In both the variation discovery dataset and the HIV-1 infection-related population dataset, we observed an excess of rare genetic variation in *ABCE1* that extends to its neighboring genes *ANAPC10* and *OTUD4*. These data suggest that the genomic region containing *ABCE1* may have been a target for positive selection that has affected present day genetic diversity in human populations.

### **Results and Discussion**

We successfully re-sequenced 30,773bp of *ABCE1* (all introns and exons) as well as 1,870bp and 2,073bp of 5′ and 3′ flanking sequence, respectively, in 23 European-descent (CEPH) and 24 African-descent (Yoruban) samples. We identified 125 SNPs in these 47 reference DNA samples: 40 SNPs in the European-descent and 93 SNPs in the African-descent discovery panels (Table 1). Nucleotide diversity  $(\pi)$  was lower in the European- and African-descent discovery panels  $(5.5\times10^{-4}$  and  $7.6\times10^{-4}$ , respectively; Table 1) compared to the average nucleotide diversity based on 180 candidate genes re-sequenced in similar DNA discovery panels (7.0×10<sup>-4</sup> and 9.0×10<sup>-4</sup>, respectively)<sup>16</sup>. In other words, based on 180 genes, one SNP is expected per 1,100 bps and 1,435 bps in African- and European-descent populations, respectively, compared to our observed rate of one SNP per 1,315 bps and 1,818 bps, respectively, in *ABCE1*.

In addition to the lower-than-expected nucleotide diversity, we observed low Tajima's D statistics<sup>17, 18</sup>, a common test for deviation from the expected rate of mutation and genetic drift in the absence of selection, for both the European- and African-descent discovery panels (−1.36 and −2.27, respectively; Table 1). Based on 323 candidate genes re-sequenced in a similar DNA discovery panel, the average Tajima's D is 0.14 and −0.49 in European- and Africandescent populations, respectively<sup>16, 19</sup>. Lower Tajima's D statistics have been observed for European-descent populations in candidate genes such as *TRPV6*<sup>20, 21</sup>; however, lower Tajima's D statistics have not yet been reported for African-descent populations for any one re-sequenced candidate gene<sup>19</sup>. The Tajima's D statistic observed here is 2.65 standard deviations from the mean Tajima's D statistic calculated for 323 candidate genes in Africandescent samples, indicating that the pattern of *ABCE1* genetic diversity is an extreme outlier and adding weight to the suggestive evidence of positive selection $^{22}$ .

Recent genome-wide screens for extreme Tajima's D statistics based on Perlegen<sup>23, 24</sup> data identified several genomic regions as extreme outliers in African-descent populations<sup>25</sup>; These analyses did not identify the region of chromosome 4 containing *ABCE1* as an outlier of this statistic for either population. This is likely due to the ascertainment bias toward high-frequency alleles present in the Perlegen dataset  $24, 26$ . Carlson and colleagues<sup>25</sup> noted that this bias is evident from the higher mean value for Tajima's D for 178 candidate genes in the Perlegen

data (0.94 for African-descent) compared to the SeattleSNPs data (−0.54 for African-descent). For *ABCE1*, the Perlegen dataset only contains data for nine SNPs in for 23 African-American samples in contrast to the 93 SNPs in the 24 Yoruban samples described here [\(http://gvs.gs.washington.edu/GVS/\)](http://gvs.gs.washington.edu/GVS/). Of the nine SNPs in the Perlegen dataset, three are common  $(5\%$  minor allele frequency) with all three in high linkage disequilibrium with one another ( $r^2$ =1 for all pair-wise combinations). Perlegen<sup>23</sup>, like HapMap<sup>27</sup>, is biased towards common variation, and this bias can impact statistics such as Tajima's D which aims to summarize the natural allele frequency distribution of variations in the gene or region of interest.

Our variation discovery dataset, in contrast to the genome-wide datasets such as  $Perlegen^{23}$ and  $\text{HapMap}^{27}$ , is based on re-sequencing that is less likely to be influenced by ascertainment bias28. The extreme low Tajima's D statistics signal detected in this re-sequencing data may be due to positive selection but the possibility of population expansion cannot be formally excluded in this dataset. By comparison, it has been postulated that the signature of selection in and around *TRPV6* in European-descent populations is more likely to be due to positive selection than population demography based on extensive simulations<sup>21</sup>. Also, that signature is observed only among European-descent populations, which suggests local adaptation<sup>21</sup>. Despite the uncertainty in the interpretation of the Tajima's D statistic for *ABCE1*, it is notable that other host candidate genes associated with HIV-1, such as *CCR5* (Tajima's  $D = 2.2$  in Europeans<sup>29</sup>) and *APOBEC3G*<sup>30</sup>, exhibit genetic signatures of natural selection in human populations. Indeed, pathogens in general are thought to have had a major impact on the landscape of the host genome over the course of human history<sup>31, 32</sup>.

Based on the preliminary evidence that *ABCE1* may be subject to positive selection, and given its putative involvement in HIV-1 replication, we expanded our re-sequencing efforts to include clinical samples collected from volunteers enrolled in three separate study cohorts from the Seattle area: African-American HIV-1 positive individuals (n=53), HIV-1 positive, long-term non-progressing individuals (n=28), and HIV-1 high-risk seronegative individuals (n=10)<sup>33</sup>, <sup>34</sup>. Overall, the pattern of genetic diversity in these populations was similar to that observed for the variation discovery dataset. That is, Tajima's D is similar between the African-American HIV-1 infected individuals and the African population re-sequenced for SNP discovery, −2.34 and −2.27, respectively, (Table 1). The other patient populations also have negative Tajima's D statistics that are consistent with a trend of to an excess of rare alleles despite the small samples sizes.

In addition to similar patterns of overall genetic diversity, both the HIV-1 infection-related populations and the SNP discovery panels have similar patterns of intronic and exonic diversity (Tables 1 and 2). Overall, we identified almost twice as many SNPs among the African-American HIV-1 infected individuals compared with the Yoruban sample (170 vs. 93), but this was expected given the sample size for the HIV-1 infected individuals was twice as large as the SNP discovery set (Table 1). In fact, of the SNPs not in common between these two sample sets, ~90% were rare (<5% minor allele frequency). Of the common SNPs not shared between the two African-descent samples, six were found only in the CEPH variation discovery and the African-American HIV-1 positive individuals datasets but not among the Yoruban sample dataset, suggesting that these variations represent a genetic admixture typical of Africandescent populations ascertained in the United States<sup>35</sup>. Among the common SNPs shared between the two African-descent populations, only one (intronic rs34492893) was significantly less frequent among HIV-1 positive African-American samples (MAF=5%) compared to the samples from presumably healthy Yorubans (MAF=15%; p=0.05; Table 3). No differences in allele frequencies were observed when the CEPH data were compared with the data from the European-descent individuals of the long-term non-progressing cohort (data not shown). It is possible that the allele frequency difference observed for rs34492893 is due to statistical

fluctuation from small sample sizes and/or to differences in European admixture in African-Americans and Yorubans<sup>36</sup>. Additional tests of association with larger sample sizes are needed to confirm this potential difference between HIV-1 positive and general population samples of African-descent.

For exonic diversity, no nonsynonymous variation was identified in re-sequencing any of the patient populations or the SNP discovery panels. We identified a total of five synonymous SNPs and eight diallelic variants in the untranslated regions (Table 2). All identified exonic variations had minor allele frequencies of 7% or less in their respective samples.

The genetic profile of *ABCE1* observed among African-descent populations suggests positive selection, and it is intriguing to speculate that the gene's function is associated with this selection event. It is possible, however, that *ABCE1* is contained within the region that exhibits the signature of selection but is not the locus under selection. To better define the boundaries of the signature of selection on chromosome 4, we merged the SeattleSNPs discovery dataset with the NIEHS Environmental Genome Project discovery dataset<sup>37</sup> and surveyed the genetic diversity of a 184.5kb region that contains the candidate genes *ANAPC10*, *ABCE1*, and *OTUD4* in 12 overlapping Yoruban samples (Figure 1). *ANAPC10* (anaphase promoting complex subunit 10) has been reported essential for mitosis<sup>38, 39</sup>. Recent expression studies suggest that *ANAPC10* is highly expressed in glioblastoma endothelial cells compared with normal brain and other tissues<sup>40</sup>. Interestingly, the neighboring gene  $OTUD4$  (OTU domain containing 4), was identified in a screen for HIV-associated chimeric provirus-host gene transcripts <sup>41</sup>. Tajima's D for this region in the Yoruban samples was low  $(-1.72)$ , suggesting the signature for positive selection is contained within this expanded region surveyed. This region also exhibits relatively low levels of linkage disequilibrium (Figure 2), a finding which may be expected given the excess of rare variation.

#### **Conclusions**

Based on our variation discovery efforts, we show here that the genomic region containing *ABCE1* has an excess of rare variation, resulting in a signature of natural selection in Africandescent populations. The product of *ABCE1* is highly conserved across species and is essential for life<sup>4</sup> . However, given that our data suggest positive selection across *ABCE1* and surrounding genomic regions in African-descent compared to European-descent populations, it is possible that some factor separate from basic biological conservation is responsible for this signature. It is intriguing to speculate on the trigger of the selection event, given *ABCE1*'s role in HIV-1 assembly. Notably, an *ABCE1* insertion/deletion variant (rs9333571) has been reported as associated with reduced HIV-1 replication<sup>13</sup>. This genetic variant was rare in its respective cohort (MAF=1%) and, as such, not identified in our small cohorts. Rare genetic variations such as this indel will require deep re-sequencing efforts in patient populations to identify associations with complex phenotypes<sup>42</sup>. These rare variations will likely be missed by current genome-wide association studies, which rely on common variation and linkage disequilibrium to detect associations for variations not directly genotyped in the  $experiment<sup>43</sup>$ .

The advent of HIV-1/AIDS is likely too recent in human history to have left a detectable footprint in present day populations, and we cannot formally exclude the possibility that the signature we are observing is due to recent population expansion. Further work with larger cohorts is needed to better understand potential sources of *ABCE1* positive selection and to better characterize any possible association of rare variation within the *ABCE1* gene in African-Americans with HIV-1 infection.

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#### **Figure 1. Location and density of SNPs discovered across** *ANAPC10***,** *ABCE1***, and** *OTUD4* **on chromosome 4 the Yoruban population**

Candidate genes were re-sequenced in 12 Yoruban DNA samples (NA18502, NA19238, NA18504, NA18870, NA1855, NA19137, NA19201, NA19200, NA19203, NA19223, NA19153, and NA19144) for variation discovery. All *ABCE1* and *OTUD4* introns and exons were targeted for re-sequencing while *ANAPC10* exons and representative intronic sequence was targeted for variation discovery. The presence of a SNP and its frequency are denoted by the vertical lines at the top of the figure generated by the Genome Variation Server [\(http://gvs.gs.washington.edu/GVS/\)](http://gvs.gs.washington.edu/GVS/). The lines are color coded to correspond with the gene model for each candidate gene (for example, orange represents the untranslated region of a gene). The graph below the gene model represents the SNP density per ~738 basepairs across this genomic region. Candidate genes and their direction are labeled at the bottom of the figure. All DNA variations were deposited into dbSNP and GenBank (accession numbers DQ304649, DQ148409, and DQ427109)







#### **Figure 2. Patterns of linkage disequilibrium (r<sup>2</sup> ) in Yoruban Africans (n=12) across** *ANAPC10***,** *ABCE1***, and** *OTUD4*

Common SNPs (minor allele frequency >5%) are numbered across the top of the figure, and samples are numbered to the left side of the figure. SNPs are numbered according to their chromosomal position based on NCBI Build 36. Each square represents the individual's genotype for a specific SNP, and each square is color-coded so that blue represents homozygosity for the common allele, red represents heterozygosity, and yellow represents being homozygosity for the rare allele. Gray represents missing data.

#### **Table 1**

Number individuals re-sequenced, number of SNPs (S), nucleotide diversity (π and θ), and Tajima's D for *ABCE1*.



*1* University of Washington/Center for AIDS research (UW/CFAR) cohort: This cohort consists of HIV-infected individuals cared for at the University of Washington HIV clinics who were invited to donate their blood to the UW/CFAR HIV specimen repository for use in HIV-related virology, immunology, and disease pathogenesis studies. All 53 DNA samples are from African-Americans and the majority from men (62%). At enrollment, the average age of participants was 39 years (range: 20–51 years), the length of HIV-1 infection and treatment information was unknown, the mean viral load was 4.0 log10 copies/ml (range: 2.7–5.7 log10 copies/ml), and the mean CD4+ T-cell count was 341 cells/μl (range: 2–974 cells/μl). In follow-up exams, these participants had a mean viral load of 2.6 log10 copies/ml (range: 1.5–5.4 log10 copies/ml) and a mean CD4+ T-cell count of 409 cells/μl (range: 15–1439 cells/μl). 37 of the 53 participants (70%) eventually began antiretroviral therapy (ART), with a mean follow-up length of 6 years (range: 0–14 years).

*2* Long-term non-progressor (LTNP) cohort: These volunteers were HIV-1 infected individuals who had a documented HIV-1 seropositivity for ≥ 10 years and CD4<sup>+</sup> T-cell counts that were either  $\geq 600$  cells/ $\mu$ L or  $> 500$  cells/ $\mu$ L, with a slope that was either zero or positive during the two years prior to enrollment. All of the 28 DNA samples sequenced were from male volunteers, while 25 of the 28 samples (89%) were from European-Americans and the remaining (11%) were from African-Americans. At enrollment, this subgroup of volunteers had the following characteristics: the average age was 41 years (range: 30-52 years), the average length of HIV-1 infection was 14 years (range: 10-20 years), the mean viral load was 3.3 log10 copies/ ml (range: 2.7–5.1 log10 copies/ml), the mean CD4+ T-cell count was 819 cells/μl (range: 364–1372 cells/μl), and all individuals were ART naïve. In follow-up exams, these individuals had a mean viral load of 2.6 log10 copies/ml (range: 1.5–5.3 log10 copies/ml), a mean CD4+ T-cell count of 675 cells/μl (range: 262–1724 cells/μl). In addition, 9 of the individuals (32%) began ART during the follow-up examination period, with as the mean length of 18 years (range, 12–27 years) for the period between HIV-1 infection and the start of ART. The mean length of follow-up was 7 years for the 28 individuals (range: 1–11 years).

*3* HIV-1 high-risk exposed seronegatives (ES) cohort: These volunteers were predominantly European-American men having sex with men (MSM). The ES cohort, their enrollment criteria, and the study procedures associated with this cohort have been previously described  $33$ , All 10 individuals were European-American and 7 (70%) were male. The mean age of the individuals was 34 years (range: 24–53 years).

Abbreviations: African-American (AA), Centre d'Etude du Polymorphisme Humain (CEPH), European-American, minor allele frequency (MAF)

#### **Table 2**

#### **SNPs in** *ABCE1* **exons**

Location and frequency of the SNP is given for each sample that was re-sequenced for variation discovery.



*1* Based on GenBank reference sequence DQ148409

<sup>2</sup> Also identified in the re-sequencing of 48 Afro-Caribbean prostate cancer patients.<sup>12</sup>

### *ABCE1* **SNP allele frequency comparisons between Yorubans and African-American HIV-1 infected individuals**

Common tagSNPs were identified in the Yoruban dataset for SNPs with MAF≥0.05 in *ABCE1* using the Genome Variation Server (gvs.gs.washington.edu/GVS/) at r2>0.80.



n = number of chromosomes

Abbreviations: minor allele frequency (MAF)

<sup>†</sup>The minor allele frequency in Yorubans is higher compared with African-American HIV-1 infected individuals (p=0.05; Fisher's exact test).