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## Serotonin and neuroprotection in functional bowel disorders

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### Abstract

The 5-HT<sub>4</sub> partial agonist tegaserod is effective in the treatment of chronic constipation and constipation predominant irritable bowel syndrome. 5-HT<sub>4</sub> receptors are located on presynaptic terminals in the enteric nervous system. Stimulation of 5-HT<sub>4</sub> receptors enhances the release of acetylcholine and calcitonin gene related peptide from stimulated nerve terminals. This action strengthens neurotransmission in prokinetic pathways, enhancing gastrointestinal motility. The knockout of 5-HT<sub>4</sub> receptors in mice not only slows gastrointestinal activity but also, after 1 month of age, increases the age-related loss of enteric neurons and decreases the size of neurons that survive. 5-HT<sub>4</sub> receptor agonists, tegaserod and RS67506, increase numbers of enteric neurons developing from precursor cells and/or surviving in culture; they also increase neurite outgrowth and decrease apoptosis. The 5-HT<sub>4</sub> receptor antagonist, GR113808, blocks all of these effects, which are thus specific and 5-HT<sub>4</sub>-mediated. 5-HT<sub>4</sub> receptor agonists, therefore, are neuroprotective and neurotrophic for enteric neurons. Because the age-related decline in numbers of enteric neurons may contribute to the dysmotilities of the elderly, the possibility that the neuroprotective actions of 5-HT agonists can be utilized to prevent the occurrence or worsening of these conditions should be investigated.

### Keywords

5-HT<sub>4</sub> receptors; constipation; enteric nervous system; gastrointestinal motility; lubiprostone; tegaserod

## Enteric Nervous System Development is Incomplete at Birth

Although the bowel and the enteric nervous system (ENS) of a newborn mammal must be functioning at the time of birth to cope with oral feeding, both the gut and the ENS enlarge as a function of postnatal growth. Enlargement of the ENS is not just a matter of the hypertrophy of existing cells. New neurons must also be generated. As a result, the ENS of a mature mammal contains a larger number of neurons than that of a newborn.<sup>1,2</sup> In mice, the birth of new neurons has been demonstrated to occur at least through postnatal day 21 (P21).<sup>3</sup> The corresponding age has not been ascertained for humans, but extrapolation on the basis of relative life span would suggest that it is ~3 years. Very little is known about the postnatal generation of new enteric neurons; nevertheless, the postnatal gut has recently been demonstrated to contain stem cells,<sup>4,5</sup> which are a likely source of neurons added to the ENS during postnatal life. It is thus possible that neurons continue to be added to the ENS in adult life, but if so, this addition would have to occur at a rate that is too slow to be detected by the conventional techniques that have thus far been utilized to look for it.

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## Neurons are Lost from the Mature ENS as a Function of Age

The number of neurons in the bowel increases in postnatal life, reaches a peak and then stabilizes.<sup>2</sup> The age at which the peak number of neurons is achieved in humans is unknown. Ageing, however, is associated with a postpeak decline so that the senescent gut has fewer neurons than does the young mature bowel.<sup>6-9</sup> An age-related loss of neurons occurs in humans as well as in animals.<sup>10-12</sup> In most mammals about 40-60% of neurons are lost during senescence.<sup>6,9</sup> In rats, slowing of intestinal motility with increasing age has also been documented and related to a high caloric diet.<sup>7,13</sup> Such a diet, in turn, has been demonstrated to accelerate the loss of enteric neurons in aged rats by increasing the frequency of neuronal cell death.<sup>7,8</sup> It follows that a similar occurrence of neurodegeneration in humans may be a significant cause of the increasing incidence of intestinal dysmotility in the aged.<sup>14-22</sup> In humans, the effects of age are reflected mainly in a slowing of colonic transit because gastric emptying and small bowel motility appear to be more age-resistant than motility of the colon.<sup>23</sup> Still, even if age-related neurodegeneration was to be primarily a colon-specific phenomenon in humans, it is likely that it would be useful if drug therapy could be employed to counteract ENS neurodegeneration. Such treatment might help to prevent or ameliorate enteric motility disturbances of ageing.<sup>14</sup> Certainly, drug therapy for this purpose is likely to be more popular than subjecting a human population to long-term calorie deprivation.

## Tegaserod is Effective for the Treatment of Chronic Constipation

Although tegaserod has demonstrated efficacy in the treatment of constipation-associated irritable bowel syndrome (IBS-C)<sup>24</sup> and chronic constipation,<sup>24,25</sup> it has recently been withdrawn from the market at the request of the Food and Drug Administration (FDA) because a safety analysis revealed the possibility that it increased the chance of a myocardial infarct, stroke, or worsening of cardiac chest pain (see FDA Advisory, March 30, 2007; <http://www.fda.gov/cder/drug/advisory/tegaserod.htm>). This action was taken as a result of the analysis of 29 clinical studies in which 13/11 614 (0.1%) patients given tegaserod and 1/7031 (0.01%) patients given placebo experienced serious adverse events. Tegaserod is a partial agonist at 5-HT<sub>4</sub> receptors.<sup>26</sup> These receptors are presynaptic in the ENS (Fig. 1).<sup>27</sup> Their action in the gut is to enhance the release of acetylcholine (Fig. 2) and calcitonin gene related peptide (CGRP) from nerve terminals between submucosal intrinsic primary afferent neurons (IPANs) and their follower neurons, between myenteric interneurons, and between motor neurons and their effectors, which may be smooth muscle, interstitial cells of Cajal, or glands<sup>24,27-34</sup> (Fig. 3). When stimulated by an agonist, such as tegaserod, therefore, 5-HT<sub>4</sub> receptors increase the strength of neurotransmission in prokinetic pathways. This mechanism of action adds to the gastrointestinal safety of tegaserod. The drug does not directly initiate peristaltic reflexes, which might induce excessive propulsion and thus diarrhoea, but strengthens these reflexes once natural stimuli gets them started. Although 5-HT<sub>4</sub> receptors are expressed in the human heart,<sup>35</sup> it is not clear how their stimulation might lead to myocardial infarction or stroke. Tegaserod is also an antagonist at 5-HT<sub>2B</sub> receptors.<sup>36</sup> This effect does not appear to contribute to tegaserod's stimulation of colonic motility or to its ability to inhibit visceral hypersensitivity.<sup>37,38</sup> 5-HT<sub>2B</sub> receptors are expressed in the cardiovascular system, and their stimulation can thicken heart valves, enlarge the heart, and release atrial natriuretic peptide; however, these effects are prevented by a 5-HT<sub>2B</sub> antagonist. Again, therefore, it is not clear how 5-HT<sub>2B</sub> antagonism by tegaserod leads to the adverse events that caused it to be withdrawn. Further work and analysis, therefore, is needed to analyse the safety of 5-HT<sub>4</sub> receptor agonists in medical treatment. The adverse events associated with tegaserod administration might not be related to 5-HT<sub>4</sub> agonism. It is also conceivable that stimulation of 5-HT<sub>4</sub> receptors on enteric neurons might be sufficiently beneficial that administration of a 5-HT<sub>4</sub> receptor agonist might outweigh the perceived risk.

## Neuronal Numbers Decrease in the ENS of Mice Lacking 5-HT<sub>4</sub> Receptors

Considerable evidence supports the hypothesis that the direct action of 5-HT<sub>4</sub> receptor stimulation of neurons positively affects the ability of these cells to survive. 5-HT<sub>4</sub> receptor stimulation, for example, is able to inhibit secretion of  $\beta$ -amyloid peptides by cultured cortical neurons from transgenic mice that express human amyloid precursor protein and enhances neuronal survival.<sup>39</sup> Recent work from our laboratory has investigated the role of 5-HT<sub>4</sub> receptors in enteric neuronal survival and gastrointestinal motor function by studying mice lacking the 5-HT<sub>4</sub> receptor (KO). Aspects of this work have been published in abstract form.<sup>40,41</sup> We found, by immunostaining preparations to demonstrate the neuronal markers Hu or PGP9.5, that the numbers of enteric neurons are reduced in both plexuses of KO mice. This reduction, however, is age-dependent. At 1 month of age, the number of neurons in the colons of KO and wild-type (WT) littermates did not differ significantly; however, by 4 months, the number of neurons in the colonic myenteric plexus of KO mice was significantly less than in the colonic myenteric plexus of WT littermates ( $P < 0.001$ ). Between 4 and 12 months the numbers of neurons in the colonic myenteric plexus declined, even in WT mice. Still, significantly more neurons were present in the WT than in KO colonic myenteric plexus at 12 months of age ( $P < 0.5$ ). Neuronal size and the proportion of myenteric neuronal nitric oxide synthase (nNOS)-immunoreactive neurons were also significantly decreased in KO mice at 12 months, but not at younger ages.

The largest diameter of myenteric neurons (Feret's diameter) was measured with computer-assistance (OpenLab software from Improvion, Coventry, UK) to estimate cell size. To do so, neurons were identified microscopically, imaged, selected and outlined. The measuring tool was then applied to obtain Feret's diameter. nNos was studied as an example of one well-defined subset of enteric neurons. Antibodies to Hu were employed to label all enteric neurons and thus to ascertain the total number present. The numbers of nNOS-immunoreactive neurons were normalized to the number demonstrated with antibodies to Hu. Feret's diameter was  $21.5 \pm 0.3 \mu\text{m}$  in KO and  $23.2 \pm 0.4 \mu\text{m}$  in WT mice ( $n = 100$ ,  $P < 0.01$ ); the nNOS/Hu ratio was  $31.4 \pm 2.1\%$  in KO animals and  $39.2 \pm 1.8\%$  in WT ( $n = 15$ ,  $P < 0.01$ ).

We previously reported in abstract form that colonic motility slows in KO mice (in comparison to WT littermates).<sup>40,41</sup> It is possible therefore, that the correlation between the loss of neurons from the senescing bowel and the slowing of motility is the result of a causal relationship between the two; that is, colonic motility slows because colonic neurons are lost. It is, of course, also possible that neurons are lost because motility slows. One can envision a number of indirect effects of decreased colonic motility that would cause neurons to be lost, including enhanced infection of a bowel that cannot expel pathogens as effectively as a normal gut. Slowing of motility in KO mice could also reflect the absence of 5-HT<sub>4</sub>-mediated strengthening of neurotransmission.

## Stimulation of 5-HT<sub>4</sub> Receptors are Neuroprotective and Neurotrophic

Because of the evidence that 5-HT<sub>4</sub> receptors might protect neurons from death, we tested the hypothesis that their stimulation exerts a neuroprotective or neurotrophic action. Both the prevention of neuronal death and the maintenance of plasticity through axonal re-growth or remodelling would be predicted to be beneficial. To study trophism and/or neuroprotection directly, the 5-HT<sub>4</sub> agonists, tegaserod and RS67506, and the 5-HT<sub>4</sub> receptor antagonist, GR113808, were applied to enteric neurons developing *in vitro* from immunoselected neural crest-derived precursors. These cells were obtained from the E12 fetal bowel.<sup>42</sup> The gut was dissociated with collagenase and the single cell suspension was treated with antibodies to p75<sup>NTR</sup>, which is a marker for crest-derived cells in the fetal gut.<sup>43,44</sup> The cell suspension was then exposed to secondary antibodies coupled to magnetic beads. The cells were finally passed

through a column in a magnetic field. Crest-derived cells, which are decorated by beads, are retained in the field while non-crest-derived cells pass through. The crest-derived cells are then eluted from the column by removing the magnetic field. The isolated and purified crest-derived neuronal precursors were cultured and allowed to differentiate into neurons in serum-free medium.

Both tegaserod and RS67506 concentration-dependently increased neuronal numbers and length of neurites; these effects were blocked by GR113808, which exerted no effects of its own. A substantial portion of a population of neurons plated for growth in culture dies. Finding that 5-HT<sub>4</sub> agonists increase numbers of neurons in a cultured population, therefore, can either be due to enhanced survival (decreased cell death) or increased differentiation of neurons from precursors, or both. Tegaserod and RS67506 decreased apoptosis, assessed by the TUNEL method; these decreases in apoptosis were blocked by GR113808. 5-HT<sub>4</sub> agonists thus oppose neuronal cell death. These compounds may also affect the differentiation of neurons from precursors; whether or not that happens, however, remains to be determined. Because the length of neurites was increased by 5-HT<sub>4</sub> receptor stimulation, it is clear that, in addition to their neuroprotective properties, 5-HT<sub>4</sub> agonists enhance the potential of enteric neurons to exhibit plasticity through re-growth or remodelling of projections.

Our observations are consistent with the idea that 5-HT<sub>4</sub> receptor stimulation is neuroprotective (decreasing cell death *in vitro*) and trophic (increasing neurite outgrowth) for enteric neurons. Experiments are now underway to determine whether or not 5-HT<sub>4</sub> receptor stimulation can prevent the age-related decline in neuronal numbers *in vivo*. They might do so by decreasing or even stopping the age-related loss of neurons, which may or may not be a natural phenomenon, but would appear in either case to be detrimental. Conceivable mechanisms of action, which need not be mutually exclusive, include the inhibition of neuronal cell death and the recruitment of stem cells to generate new neurons. The ability of 5-HT<sub>4</sub> agonists to decrease apoptosis in enteric neurons stressed by growth in culture supports the hope that such compounds might exert a similar action *in vivo* to save enteric neurons stressed by the ravages of ageing or disease. The further ability of 5-HT<sub>4</sub> agonists to promote enteric neurogenesis from precursors *in vitro* supports the more speculative hypothesis that 5-HT<sub>4</sub> agonists might also promote the generation of new enteric neurons from the stem cells that have been demonstrated to be present in the adult gut. Of course, the promotion of neurogenesis from precursors isolated from fetal bowel is very different from promoting neurogenesis from adult stem cells *in vivo*. Still, if this could be done, and the effect does not desensitize, then 5-HT<sub>4</sub> agonists might be useful, not only to provide relief from constipation and IBS-C, but also to arrest and even reverse a potential worsening of these conditions due to neuronal cell loss. Such a denouement merits further research on the neuroprotective effects of 5-HT<sub>4</sub> receptors.

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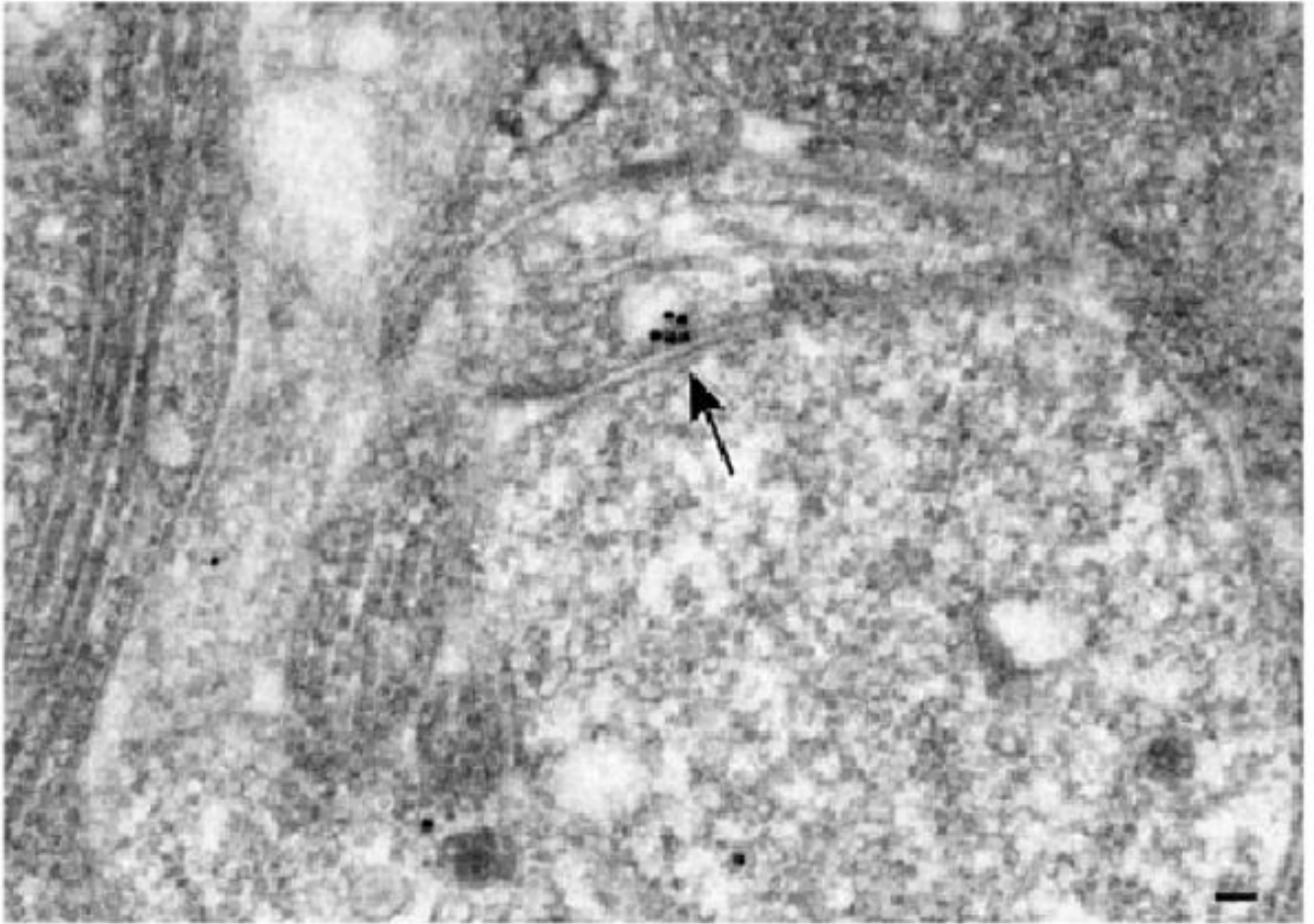
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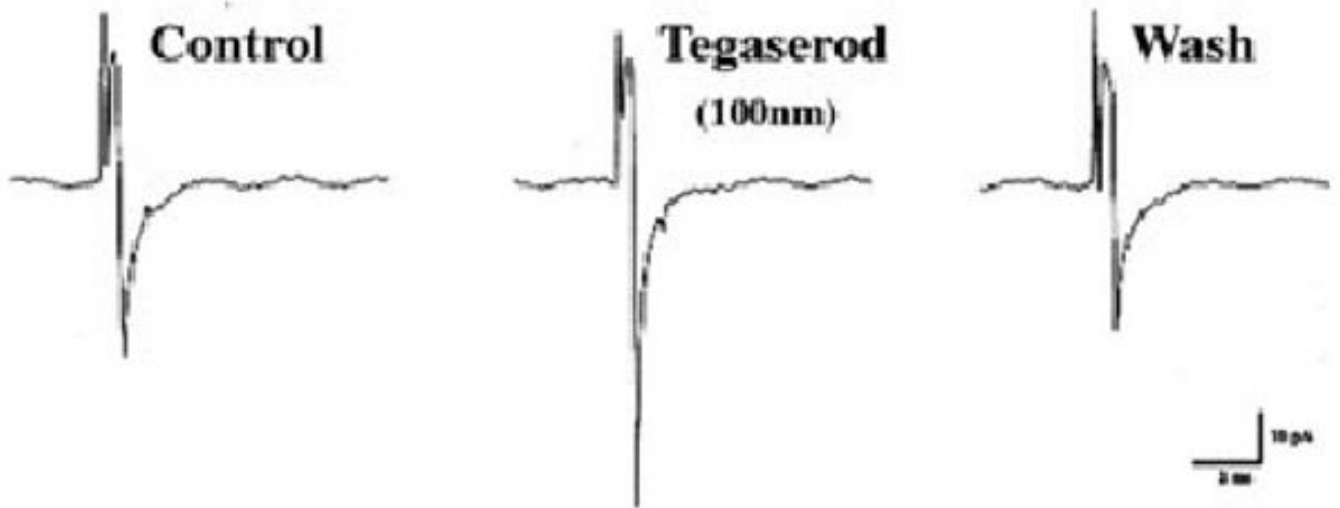
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**Figure 1.**

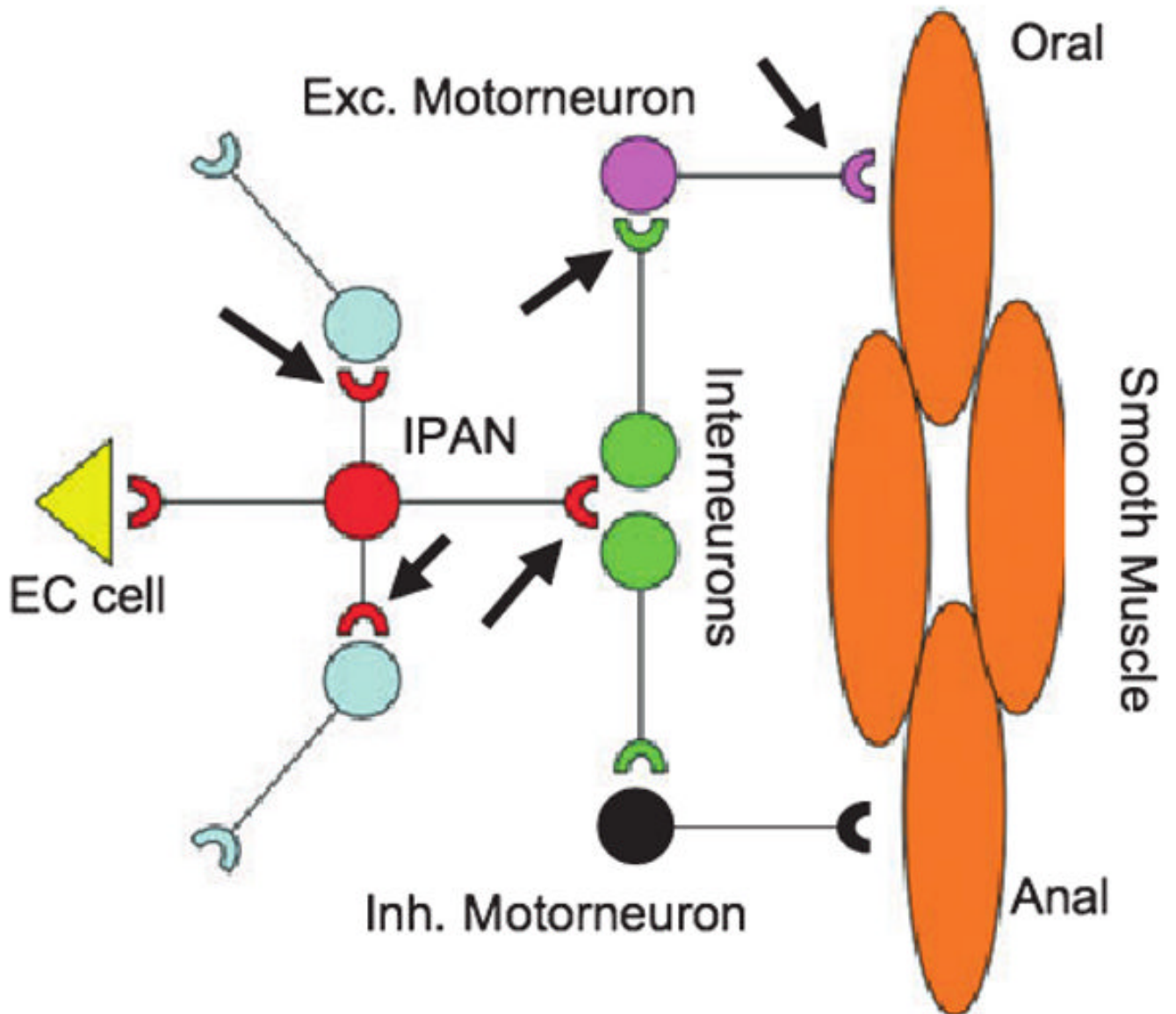
An electron micrograph of an axo-dendritic synapse in the myenteric plexus of a mouse. 5-HT<sub>4</sub> receptors were demonstrated by electron microscopic immunocytochemistry using an antibody to the 5-HT<sub>4a</sub> receptor (the most abundant isoform in the ENS). Postembedding immunostaining was used with colloidal gold. A cluster of gold particles (arrow) in the presynaptic membrane shows the location of the receptors. Bar = 100 nm.



**Figure 2.**

Whole cell patch clamp recordings were obtained from a guinea pig myenteric neuron that has been cultured overnight. A series of three fast excitatory postsynaptic currents (EPSCs) are shown. These responses are cholinergic. Tegaserod reversibly increases the amplitude of the EPSCs, indicating that it enhances the synaptic release of acetylcholine.





**Figure 3.**

A minimal enteric nervous system circuit, leading to a peristaltic reflex is diagrammed. Pressure or chemical stimuli release 5-HT from an EC cell. 5-HT activates an intrinsic primary afferent neuron in the submucosal plexus (IPAN). This neuron activates other submucosal neurons, but also projects to interneurons in the myenteric plexus. Ascending interneurons activate excitatory motor neurons, which in turn secrete acetylcholine to cause oral contraction of the smooth muscle. Descending interneurons activate inhibitory motor neurons, which in turn secrete nitric oxide, VIP and/or ATP to cause anal relaxation of the smooth muscle. Presynaptic 5-HT<sub>4</sub> receptors (arrows) increase the strength of neurotransmission in prokinetic pathways. These events occur between IPANs and follower neurons, interneurons within the myenteric plexus, and at the cholinergic (muscarinic) excitatory neuromuscular junction.