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### A Versatile Low Temperature Synthetic Route to Zintl Phase Precursors: Na<sub>4</sub>Si<sub>4</sub>, Na<sub>4</sub>Ge<sub>4</sub> and K<sub>4</sub>Ge<sub>4</sub> as Examples

Xuchu Ma<sup>1</sup>, Fen Xu<sup>2</sup>, Tonya Atkins<sup>1</sup>, Andrea M. Goforth<sup>1</sup>, Doinita Neiner<sup>1</sup>, Alexandra Navrotsky<sup>2</sup>, and Susan M. Kauzlarich<sup>1,\*</sup>

<sup>1</sup>Department of Chemistry, University of California, One Shields Avenue, Davis, CA 95616

<sup>2</sup>NEAT ORU & Peter A. Rock Thermochemistry Laboratory, University of California, One Shields Avenue, Davis, CA 95616

#### Abstract

Na4Si4 and Na4Ge4 are ideal chemical precursors for inorganic clathrate structures, clusters, and nanocrystals. The monoclinic Zintl phases, Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub>, contain isolated homotetrahedranide  $[Si_4]^{4-}$  and  $[Ge_4]^{4-}$  clusters surrounded by alkali metal cations. In this study, a simple scalable route has been applied to prepare Zintl phases of composition Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub> using the reaction between NaH and Si or Ge at low temperature (420 °C for Na<sub>4</sub>Si<sub>4</sub> and 270 °C for  $Na_4Ge_4$ ). The method was also applied to  $K_4Ge_4$ , using KH and Ge as raw materials, to show the versatility of this approach. The influence of specific reaction conditions on the purity of these Zintl phases has been studied by controlling five factors: the method of reagent mixing (manual or ball milled), the stoichiometry between raw materials, the reaction temperature, the heating time and the gas flow rate. Moderate ball-milling and excess NaH or KH facilitate the formation of pure  $Na_4Si_4$ ,  $Na_4Ge_4$  or  $K_4Ge_4$  at 420 °C ( $Na_4Si_4$ ) or 270 °C (both  $M_4Ge_4$  compounds, M = Na, K). TG/ DSC analysis of the reaction of NaH and Ge indicates that ball milling reduces the temperature for reaction and confirms the formation temperature. This method provides large quantities of high quality Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub> without the need for specialized laboratory equipment, such as Schlenk lines, niobium/tantalum containers, or an arc welder, thereby expanding the accessibility and chemical utility of these phases by making them more convenient to prepare. This new synthetic method may also be extended to lithium-containing Zintl phases (LiH is commercially available) as well as to alkali metal-tetrel Zintl compounds of other compositions, e.g. K<sub>4</sub>Ge<sub>9</sub>.

#### Introduction

Zintl phases, containing main group polyanions counterbalanced by electropositive cations, have been of great interest in the chemistry and materials community because of their rich variety in structure type and also because of their synthetic versatility. Metal silicides and germanides, in particular, have shown great promise as starting materials for main group metalloid clusters,<sup>1</sup> group 14 nanoparticles exhibiting a range of sizes and surface terminations, <sup>2–7</sup> and various porous frameworks, <sup>8–10</sup> and these materials afford applications ranging from electronics to photonics.<sup>11</sup> Also, thermal decomposition of the alkali metal silicides and germanides, under vacuum with ammonium salts or in an inert atmosphere, provides clathrate-type metal silicides and germanides.<sup>12–15</sup> group 14 clathrate phases are attractive for superconductivity,<sup>16</sup> thermoelectricity,<sup>13</sup> hydrogen storage,<sup>17–20</sup> and as wide band gap semiconductor materials.<sup>21</sup>

<sup>\*</sup>To whom correspondence should be addressed. Tel: 530-752-4756; Fax: 530-752-8995. smkauzlarich@ucdavis.edu.

The monoclinic Zintl phases, Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub>, contain isolated homo-tetrahedranide clusters,  $[Si_4]^{4-}$  or  $[Ge_4]^{4-}$ , each surrounded by four alkali metal cations (Figure 1).<sup>22</sup> The polyanions can be understood within the expanded definition of the Zintl concept, which includes electron-deficient polyanionic main group structures in addition to traditional valence precise members. The  $[Tt_4]^{4-}$  anions can therefore be classified as nido-trigonal bipyramids, isostructural with the P<sub>4</sub> unit in white phosphorus. Each alkali metal donates one electron to a Tt<sub>4</sub> unit (Tt = tetrel = Si, Ge, Sn, Pb), leading to 4- charged, group 14 polyanions, and each alkali metal cation sits over one face of the  $[Tt_4]^{4-}$  anion to which it donates. To date, many heavy metal M<sub>4</sub>Tt<sub>4</sub> phases have been prepared (M = Na, K, Rb, Cs, Tt = Si, Ge, Sn, Pb), and all members of this family contain the tetrel in isolated tetrahedral clusters.<sup>23</sup>, 24 However, LiSi, whose structure has a unique 3-D framework of three-coordinate Si atoms arranged into interpenetrating sheets, does not contain isolated clusters and can only be prepared at high pressure. 25

Traditionally,  $Na_4Si_4$  and  $Na_4Ge_4$  are prepared by stoichiometric reaction of the elements sealed in inert metal containers, such as niobium or tantalum tubing, at temperatures of approximately 650-750 °C.4, <sup>26</sup> Reaction times are generally on the order of several days to one week when a phase pure material is needed. Because of formation of the stable phases NbSi<sub>2</sub> and NbGe<sub>2</sub>,<sup>12</sup> more costly Ta containers are necessary to make high purity materials. Also, an arc welder is required to seal elements in metal tubing, and then the inert metal containers need to be jacketed in fused silica or in steel pressure vessels under vacuum to prevent oxidation of the metal and to prevent the diffusion of gases through the metal container at reaction temperatures. This method requires metal tubing, which is not readily reusable. In addition, this method does not consistently yield pure material as elemental sodium around the closure site of the metal tubing can result in a poorly welded reaction vessel. Even small amounts of sodium pieces in the tubing crimp causes problems with sealing the reaction vessel, and the situation is more pronounced when potassium is used, rather than sodium, to make the potassium tetrelides. Given the large number of applications of  $Na_4Si_4$  and  $Na_4Ge_4$  for the synthesis of new materials, there have been several reported attempts to find simpler routes to these phases. One involves the heating of sodium and silicon together in high-boiling, specialty mineral oils in a 4-neck metal flask under inert atmosphere.<sup>27</sup> Another utilizes sodium and silica gel,<sup>28, 29</sup> which provides Na<sub>4</sub>Si<sub>4</sub> with lower purity (~ 80 %) and requires the subsequent removal of residual oxide impurities.<sup>6</sup> Therefore, an efficient route for the preparation of large amounts of high quality alkali metal-group 14 Zintl phase precursors without the use of expensive metal containers and other specialized equipment is very important for further development of these types of materials.

Here, we report a new method for preparing large amounts of Zintl phase materials utilizing commercially available alkali metal hydrides and tetrel elements. We have shown that Na<sub>4</sub>Ge<sub>4</sub> and Na<sub>4</sub>Si<sub>4-x</sub>Mn<sub>x</sub> prepared by this method can provide germanium nanoparticles<sup>30</sup> and manganese doped silicon nanoparticles.<sup>31</sup> This report provides detailed synthetic parameters for these alkali metal/tetrel precursors. This new preparation can be performed at low cost with common laboratory equipment, using a standard tube furnace and commercially available alumina boats at low temperatures (420 °C for Na<sub>4</sub>Si<sub>4</sub> and 270 °C for Na<sub>4</sub>Ge<sub>4</sub>). Using this method, we can decrease the formation temperature of Na<sub>4</sub>Si<sub>4</sub> by 230-330 °C and of Na<sub>4</sub>Ge<sub>4</sub> by 380–480 °C compared to the conventional metal-melt method.<sup>4, 26</sup> Furthermore, the optimized synthesis takes much less time than other preparations, involves simple handling of powdered reagents, and can be easily scaled to produce gram quantities. Since this route avoids use of niobium or tantalum containers and thus prevents reaction of silicon or germanium with niobium or tantalum, it yields sodium silicides or germanides with high purity. NaH powder has been shown to be a good reducing agent,<sup>32</sup> and excess NaH decomposes at low temperatures to Na and H<sub>2</sub>, which is helpful in removing the surface oxide present on silicon or germanium powder. NaH is an advantageous starting material relative to elemental

sodium, because of its simpler handling and also because of its ability to provide a high purity product when the reaction is performed under flowing gas or dynamic vacuum. This synthetic method may be considered as a self-cleaning process – newly-formed Na, decomposed from excess NaH, reacts with surface oxide on silicon or germanium powder. Additionally,  $H_2$  is produced as a volatile side product of the reaction; and it may aid in the cleaning of the desired product by reacting with oxide on the surface of silicon or germanium, providing volatiles that can be removed with an Ar flow and transported to the cold zone outside the product container. This new synthetic method may be extended to lithium-containing Zintl phases as well as to alkali metal-tetrel Zintl compounds of other compositions, e.g. Na<sub>8</sub>Si<sub>46</sub>, and K<sub>4</sub>Ge<sub>9</sub>.

#### **Results and Discussion**

#### **Optimized Parameters**

The X-ray diffraction (XRD) pattern of the as-prepared Na<sub>4</sub>Si<sub>4</sub>, obtained by heating the reagents for 48 hours at 420 °C under flowing argon atmosphere, is shown in Figure 2. It is consistent with the calculated Na<sub>4</sub>Si<sub>4</sub> powder pattern. The calculated intensities and peak positions in Figure 2 are derived from single-crystal XRD data.<sup>22</sup> There are no unindexed peaks that could be attributed to Na, NaH, Si or any other impurities. This result compares well with the product obtained from the published high temperature method, where stoichiometric amounts of Na and Si in a sealed Nb tube are heated at 650 °C for 3 days.<sup>4, 6, 7</sup> Lattice parameters determined by Rietveld refinement of the XRD pattern are *a* = 12.1567(1) Å, *b* = 6.5487(6) Å, *c* = 11.1593(2) Å, and  $\beta$  = 118.9248(1) °, consistent with the published lattice parameters of Na<sub>4</sub>Si<sub>4</sub> (*a* = 12.1536(5) Å, *b* = 6.5452(5) Å, *c* = 11.1323(6) Å, and  $\beta$  = 118.9(1) °).<sup>26</sup>

The XRD pattern in Figure 3 is that of the as-prepared product Na<sub>4</sub>Ge<sub>4</sub> obtained from heating a ball-milled mixture of NaH and Ge for 3 hours at 270 °C under flowing argon. All the peaks are indexed to Na<sub>4</sub>Ge<sub>4</sub> and there is no evidence for NaH, Ge, Na or other impurities. This result agrees with the product obtained from the published high temperature method.<sup>3</sup> The lines in Figure 3 belong to the XRD pattern of pure Na<sub>4</sub>Ge<sub>4</sub> calculated from single crystal data.<sup>33</sup> Lattice parameters obtained from the powder XRD data of the as-prepared product are a = 12.296(8) Å, b = 6.703(5) Å, c = 11.419(6) Å, and  $\beta = 120.09(5)$  °, consistent with the published parameters of Na<sub>4</sub>Ge<sub>4</sub> (a = 12.33 Å, b = 6.70 Å, c = 11.42 Å, and  $\beta = 119.9$  °).<sup>22, 34</sup> For both reactions, the final products are pure phases, Na<sub>4</sub>Si<sub>4</sub> or Na<sub>4</sub>Ge<sub>4</sub>, confirming the success of this method.

Figure 4 shows SEM images of the resulting Na<sub>4</sub>Si<sub>4</sub> (Fig. 4a) and Na<sub>4</sub>Ge<sub>4</sub> (Fig. 4b) products, indicating that they are micron sized particles with diameters of  $1.5 - 4 \,\mu$ m. EDX spectra of the products obtained from optimized reactions of NaH with Si or Ge are shown as insets. Na and Si are detected with an atomic ratio of Na:Si of 1:1.02, consistent with the stoichiometric formula of Na<sub>4</sub>Si<sub>4</sub>. Na and Ge are detected in an atomic ratio of Na:Ge of 1:1.05, in good agreement with the stoichiometric formula of Na<sub>4</sub>Ge<sub>4</sub>. The observed carbon (C) signal comes from the carbon tape (support), while the oxygen (O) signal most likely originates from oxidation of the sample surface, which may occur during transfer from the glove box to the SEM. No other peaks can be found in the EDX spectrum, indicating that the as-prepared products are pure Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub>.

We describe this as a solid-state reaction from the viewpoint of the state of the raw materials and the lack of any extraneous solvent, even though the present reaction methodology relies on the *in situ* formation of intermediate liquid Na metal from solid NaH powder and thus it is not strictly a traditional solid-state reaction. The molten reagent aids in overcoming diffusionlimited formation of the products. However, quantitative reaction of solid tetrel with molten alkali metal is expected to proceed more rapidly and at lower temperatures if an intimately

ground mixture of the reagents is used. Although the powdered reagents may appear to be wellmixed macroscopically by grinding in a mortar, they are quite inhomogeneous on the microscopic scale. Ball-milling can provide more homogeneous mixing and activate the surface of reagents. Our method takes advantage of homogeneous mixing of solid-state reagents and the formation of the Na intermediate to prepare the Zintl phases Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub>. Therefore, besides adjusting the molar ratio between raw materials, the reaction temperature and time, the gas flow rate, along with mechanical mixing of the reagents was investigated.

#### **Ball-milling**

It has been reported that when materials are ground in a high-energy ball mill, not only are their physical properties changed (including particle size and crystallinity) but their chemical properties are also changed by the mechanochemical reaction.<sup>35</sup> An XRD study of the ball-milled mixture suggested that no Na<sub>4</sub>Si<sub>4</sub> or Na<sub>4</sub>Ge<sub>4</sub> forms during the ball-milling procedure. Without ball-milling, pure Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub> were not obtained. When the reagents were mixed by grinding in a mortar and then heated at 420 °C for 72 hours for Na<sub>4</sub>Si<sub>4</sub> or at 270 °C for 3 hours for Na<sub>4</sub>Ge<sub>4</sub>, the XRD patterns of the products showed strong diffraction peaks from Na<sub>4</sub>Si<sub>4</sub>, unreacted Si, and Na or Na<sub>4</sub>Ge<sub>4</sub>, unreacted NaH, and Ge. Obviously, ball-milling provides more intimately mixed starting materials, thereby facilitating the reaction.

The effect of ball-milling can additionally be investigated by TG/DSC measurements. Figure 5 provides TG/DSC curves for the starting reagents NaH and Ge, reacted either with or without prior ball-milling. Comparing the solid curves with the dotted curves, we note that the initial reaction event between manually mixed (dotted curves) NaH and Ge is exothermic, with a maximum at 307°C, which is followed by an endothermic minimum at 346 °C. For the ballmilled reagents (solid curves), the maximum in the exotherm and the minimum in the endotherm occur at 235 °C and 316 °C, respectively, 72 °C and 30 °C lower than for the mixture heated without prior ball-milling. Between 250 °C and 350 °C, a weight loss of ca. 1 wt % is attributed to the hydrogen released during the reaction. Similarly, a decrease in the initial reaction temperature is observed in the case of NaH and Si. After ball milling the mixture of NaH and Si, the endothermic peak occurs 21 °C lower than that of the manually mixed reagents. The initial exotherm suggests that there could be a chemical reaction between NaH and Si or Ge to form Na-Si-H or Na-Ge-H phases, analogous to the previous works that report the formation of Li-Si-H and Li-Ge-H.<sup>20</sup> The endotherm and weight loss are consistent with subsequent dehydrogenation to form Na<sub>4</sub>Si<sub>4</sub> or Na<sub>4</sub>Ge<sub>4</sub>. However, this speculation needs further confirmation, since we did not explore the intermediate phase formation during this study

#### Stoichiometry

For both reactions, an optimized ratio of starting reagents is critical for phase purity. For  $Na_4Si_4$ , a significant excess of NaH (90 % excess) was required to give a pure phase product according to the powder XRD pattern. There was still unreacted Si in the product when the ratio of NaH to Si was decreased to 1.8:1 as evidenced by the diffraction peak from Si seen in the diffraction pattern. When the ratio of NaH to Si was 1.9:1, the final product was pure  $Na_4Si_4$ . For  $Na_4Ge_4$ , when the ratio of NaH to Ge was 1:1, the diffraction pattern was consistent with the final products being  $Na_4Ge_4$  and unreacted Ge. Increasing the amount to an excess of 10 mol % NaH, there were still several diffraction peaks attributed to unreacted Ge. When the NaH content exceeded 20 % excess over the stoichiometric amount required to react with Ge, all the diffraction peaks could be indexed to  $Na_4Ge_4$ .

In both the Si and Ge cases, excess NaH is necessary in our reactions to form the pure phases. The large excess in the case of Si may be required to remove all the oxide from the surface. It was found that a white solid deposited on the cold end of the glass tube during reaction and this product was identified by powder XRD as a mixture of sodium hydroxide and disodium oxide. Presumably, the combination of Na, H<sub>2</sub>, and H<sub>2</sub>O, resulting from the reaction of hydrogen with the oxide surface of Si, can form volatiles that are transported and deposited in the form of sodium hydroxide and disodium oxide at the cooler end of the tube. The required excess of NaH may also depend upon the heating rate and the pressure, as well as the flow rate of the argon gas used in the reaction. However, heating rate and pressure were not investigated. These results suggest that optimization of the relative stoichiometry may also depend on the source and purity of silicon powder. In any case, there is no evidence for the presence of unreacted Na or NaH in the Na<sub>4</sub>Si<sub>4</sub> or Na<sub>4</sub>Ge<sub>4</sub> products by powder X-ray diffraction, suggesting that, if they are present, they are either present in a very small amount (below the detection limit of powder XRD) or are amorphous.

#### Reaction temperature, time, and gas flow rate

The effects of reaction temperature, heating time, and gas flow rate were explored in this study. A series of controlled experiments were carried out at different temperatures while keeping the other parameters constant. In the case of Na<sub>4</sub>Si<sub>4</sub>, if the reaction temperature was lower than 420 °C, there was still unreacted Si in the products. For Na<sub>4</sub>Ge<sub>4</sub>, if the reaction temperature was below 270 °C, there was residual Ge in the samples, even for reaction times prolonged to 12 hours.

The reaction time was found to be important for both reactions (NaH + Si, NaH + Ge). As expected due to the passivating oxide surface, formation of pure Na<sub>4</sub>Si<sub>4</sub> requires a longer reaction time. When the reaction time was shorter than the optimized reaction time (even 36 hours), final products were mixtures of Na<sub>4</sub>Si<sub>4</sub> and residual Si. For Na<sub>4</sub>Ge<sub>4</sub>, when increasing the reaction time from 0.5 hour to 1 hour, the intensity of the diffraction peaks assigned to Ge decreased concomitant with an increase in intensity of those assigned to Na<sub>4</sub>Ge<sub>4</sub>. When the reaction time reached 3 hours, only diffraction peaks assigned to pure Na<sub>4</sub>Ge<sub>4</sub> appeared in the product, indicating the formation of pure Na<sub>4</sub>Ge<sub>4</sub>.

The effect of the gas flow rate was also investigated. For both reactions (NaH + Si, NaH + Ge), the optimal gas flow rate is 30 mL/min. If the gas flow rate was lower than 10 mL/min, unreacted Na could be found in the product (determined by XRD); therefore, excess Na was not removed by the flowing gas during the reaction. If the gas flow was higher than 50 mL/min, the starting material Si did not completely react with the Na metal, as unreacted Si could be found in the product.

We also extended this method to the synthesis of  $K_4Ge_4$ . The XRD pattern of Figure 6 is that of the as-prepared product  $K_4Ge_4$  obtained from heating a ball-milled mixture of KH and Ge for 3 hours at 270 °C under flowing argon at 30 mL/min. All the main peaks are indexed to  $K_4Ge_4$  and there is no evidence for KH, Ge, K or other impurities. This result agrees with the product obtained from the published high temperature method.<sup>23, 36</sup> The optimized parameters for the synthesis of Na<sub>4</sub>Si<sub>4</sub>, Na<sub>4</sub>Ge<sub>4</sub>, and K<sub>4</sub>Ge<sub>4</sub> are shown in Table 1.

#### Conclusions

A facile and scalable preparation method for the Zintl phases  $Na_4Si_4$  and  $Na_4Ge_4$  has been developed. The synthesis employs the reaction between NaH and Si or Ge under mild conditions. Ball-milling and excess NaH are necessary conditions for the formation of pure  $Na_4Si_4$  and  $Na_4Ge_4$  by this method. In addition, the extension of this method, utilizing KH and Ge, to  $K_4Ge_4$  was also demonstrated. These compounds are straightforward to prepare by this method, and the method provides easy access to precursors for the syntheses of group 14 nanoparticles, novel cluster-containing complexes, and new clathrate-type framework

materials. The method provides a convenient avenue for doping and formation of more complex alkali-metal tetrel phases, and thereby complex group 14 nanoparticles, clusters, and clathrate framework materials. A similar method may be effective in the fabrication of other Zintl phase salts, such as  $K_4Si_4$ , alkali metal-tetrel phases of other compositions (e.g.,  $Na_8Si_{46}$ , and  $K_4Ge_9$ ), and more complex multicomponent Zintl phases, such as new ternary alkali metal hydrides.

#### Experimental

#### Chemicals

Sodium hydride powder (NaH, 95 %), silicon powder (Si, 99 %), and germanium powder (Ge,  $\geq$  99 %) were purchased from Aldrich and were used without further treatment. Potassium hydride (KH, 30 wt % dispersion in mineral oil) was purchased from Aldrich and dried before use. All manipulations were carried out under dry N<sub>2</sub> or Ar gas, in either a glove box or a tube furnace, using standard anaerobic and anhydrous techniques. *CAUTION: sodium hydride and potassium hydride powder are reactive to oxygen and moisture and must be handled under inert atmosphere with care*.

## Synthesis of sodium silicide (Na<sub>4</sub>Si<sub>4</sub>), sodium germanide (Na<sub>4</sub>Ge<sub>4</sub>) and potassium germanide (K<sub>4</sub>Ge<sub>4</sub>)

A high-energy Spex 8000M mill with a tungsten carbide milling vial and two tungsten carbide balls (diameter of ~ 1 cm) was used to ball-mill mixtures of NaH or KH and Si or Ge powders with an appropriate molar ratio ( $m_{NaH}:m_{Si} = 1.9:1$ ,  $m_{NaH}:m_{Ge} = 1.2:1$  and  $m_{KH}:m_{Ge} = 1.2:1$ ). Mixing the starting materials and transferring mixtures to the milling vial were operations carried out in a glove box filled with N<sub>2</sub>. Before it was removed from the glove box, the milling vial was additionally sealed with plastic film that helps reduce oxygen diffusion during ball-milling. The reagents were then intimately mixed in the mill for 30 minutes, and the milling vial was subsequently returned to the glove box for further manipulation.

The pre-milled mixture of NaH (91.2 mg, 3.8 mmol) and Si (56 mg, 2 mmol) was placed into a 1 mL alumina crucible with an alumina cover (a 1.5 mL boat serves as a cover) in a silica glass (or pyrex) tube with stopcocks at both ends. The silica tube was seated in a horizontal tube furnace, placed under a stream of Ar flowing at 30 mL/min, and heated to 420 °C using a 180 °C/hour ramp. The reaction vessel was allowed to dwell at this temperature for 48 hours before the furnace was shut off manually. For the synthesis of Na<sub>4</sub>Ge<sub>4</sub> and K<sub>4</sub>Ge<sub>4</sub>, the procedures were similar as that of Na<sub>4</sub>Si<sub>4</sub>. However, a smaller excess of NaH (57.6 mg, 2.4 mmol) or KH (96.3 mg, 2.4 mmol) was reacted with Ge (145.2 mg, 2 mmol) and the reaction mixture was heated at 270 °C (ramp rate = 180 °C/hour), rather than 420 °C, for only 3 hours. In all cases, after cooling to room temperature, the black products were transferred in an airfree manner to the glove box for further characterization.

When the Na<sub>4</sub>Si<sub>4</sub> powder was exposed to air without any disturbance, it oxidized slowly and no obvious color change was observed. However, when a fresh surface was exposed or the powder is exposed to water, the product bursts into flame, concomitant with a color change from black/dark grey to pale grey. Compared to Na<sub>4</sub>Si<sub>4</sub>, the Na<sub>4</sub>Ge<sub>4</sub> and K<sub>4</sub>Ge<sub>4</sub> powders reacted more violently when exposed to air or water, and flames were observed upon exposure to water. *CAUTION: Na<sub>4</sub>Si<sub>4</sub>, Na<sub>4</sub>Ge<sub>4</sub> and K<sub>4</sub>Ge<sub>4</sub> powders are highly reactive to moisture and must be handled under inert atmosphere with care.* 

#### Characterization

Powder X-ray diffraction (XRD) data were collected using an air sensitive holder on an INEL CPS 120 diffractometer (for Na<sub>4</sub>Ge<sub>4</sub>) with Co K $\alpha$  radiation ( $\lambda = 1.78897$  Å) or on a Bruker D8

diffractometer (for Na<sub>4</sub>Si<sub>4</sub> and K<sub>4</sub>Ge<sub>4</sub>) operating at 40 kV and 40 mA with Cu K $\alpha$  radiation ( $\lambda = 1.54184$  Å). Lattice parameters were calculated by Rietveld refinement using JADE 6.1.<sup>37</sup> X-ray powder diffraction patterns of Na<sub>4</sub>Si<sub>4</sub> and Na<sub>4</sub>Ge<sub>4</sub> were calculated using the program CrystalDiffract.<sup>38</sup> Morphology and chemical composition were analyzed by a Hitachi S-800T scanning electron microscope (SEM) and an Oxford INCA energy-dispersive X-ray (EDX) spectrometer, with an accelerating voltage of 20 kV. SEM and EDX samples were prepared by standard techniques in which grain dispersions were supported on double-sided carbon tape on specimen holders. The specimen holders were kept in a N<sub>2</sub>-filled jar in a glove box and quickly transferred to the SEM instrument. The time of exposure to air was less than 5 seconds. Simultaneous thermogravimetric analysis (TG) and differential scanning calorimetry (DSC) were carried out with a Netzsch 409 Thermal Analyzer under Ar flowing at 20 mL/min with a 10 K/min ramp from 25 °C to 500 °C. TG/DSC samples of approximately 25 mg were placed in alumina crucibles with lids inside the glove box and transferred under inert atmosphere to the instrument.

#### Acknowledgments

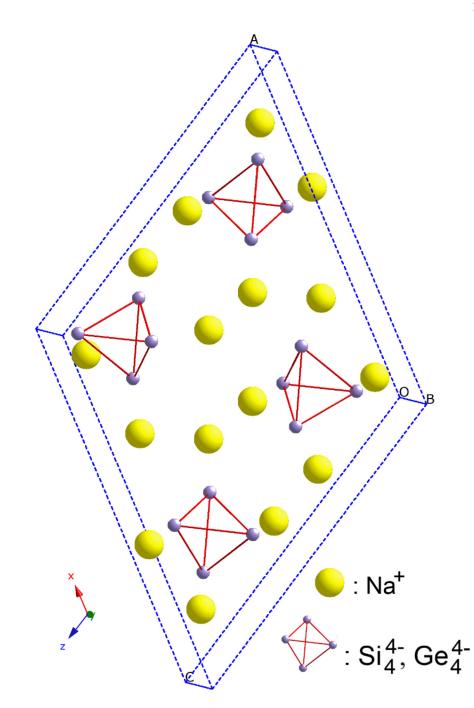
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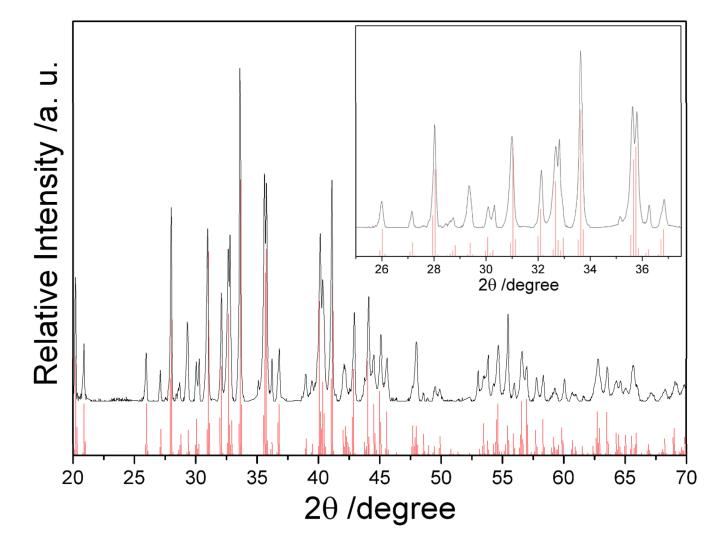
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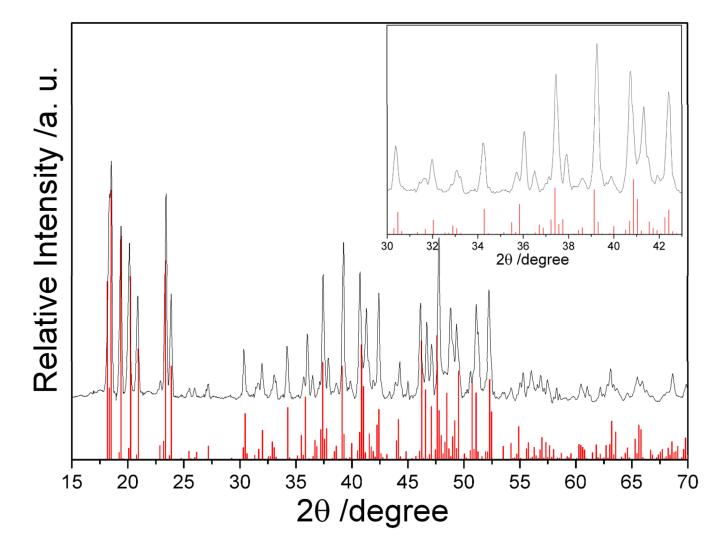






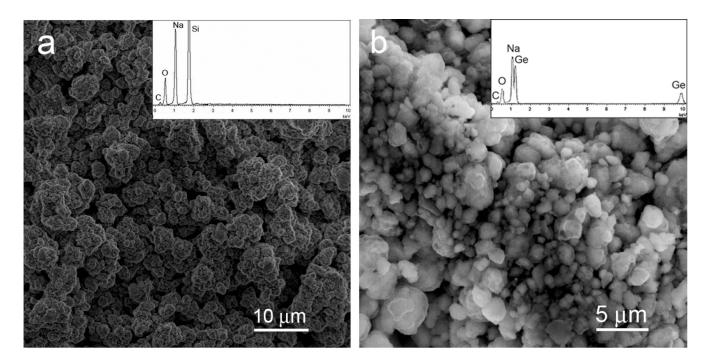
#### Figure 2.

XRD patterns of the products  $Na_4Si_4$  from optimized reactions of NaH with Si. The inset is an enlarged view of the XRD pattern in the range of 25–38°. The red lines indicate the calculated X-ray diffraction peak positions for  $Na_4Si_4$ .



#### Figure 3.

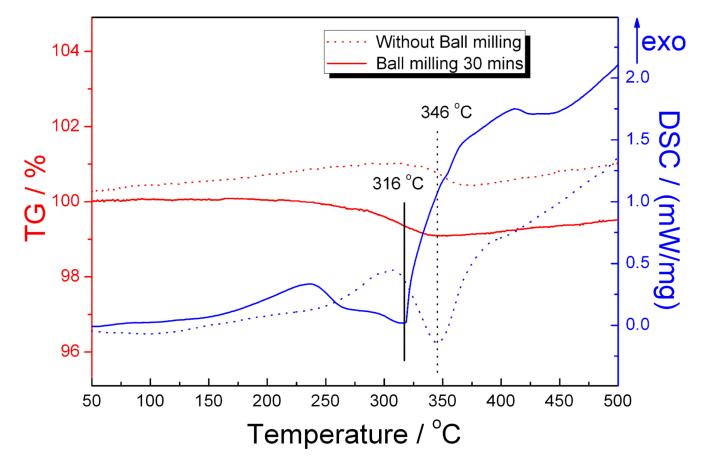
XRD patterns of the products  $Na_4Ge_4$  from optimized reactions of NaH with Ge. The inset is an enlarged view of the XRD pattern in the range of 30– 43 °. The red lines indicate the calculated X-ray diffraction peak positions for  $Na_4Ge_4$ .



#### Figure 4.

SEM images of (a)  $Na_4Si_4$  and (b)  $Na_4Ge_4$ . The insets show the EDX spectra of the corresponding compounds.

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#### Figure 5.

The TG-DSC curves of mixtures of NaH and Ge (no ball-milling, dotted curves; ball-milling for 30 minutes, solid curves). The minima of the endotherms are indicated. The curves were obtained under flowing Ar (flow rate was 20 mL/min) with a 10 K/min ramp from 25 °C to 500 °C.

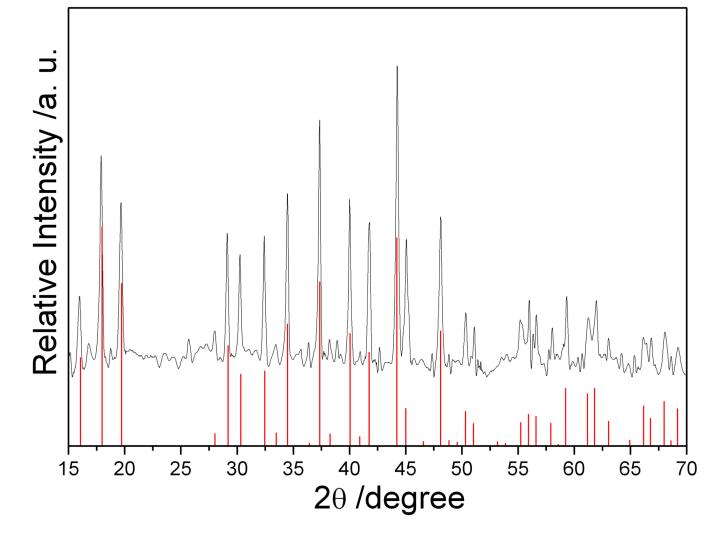


Figure 6.

XRD pattern of the product  $K_4Ge_4$  from the reaction of KH with Ge. The red lines indicate the calculated X-ray diffraction peak positions for  $K_4Ge_4$ .

# Table 1

The optimized parameters for the synthesis of Zintl phases Na<sub>4</sub>Si<sub>4</sub>, Na<sub>4</sub>Ge<sub>4</sub>, and K<sub>4</sub>Ge<sub>4</sub>.

Ball-milled Starting Materials	Stoichiometry (molar ratio)	Temperature (°C)	Time (hour)	Gas Flow Rate (mL/min)	Products
NaH: Si	1.9:1	420	48	30	$\mathrm{Na_4Si_4}$
NaH : Ge	1.2:1	270	3	30	$\mathrm{Na_4Ge_4}$
KH : Ge	1.2:1	270	3	30	$\rm K_4Ge_4$