

Molecular evolution of two vertebrate aryl hydrocarbon (dioxin) receptors (AHR1 and AHR2) and the PAS family

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ABSTRACT The aryl hydrocarbon receptor (AHR) is a ligand-activated transcription factor through which halogenated aromatic hydrocarbons such as 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) cause altered gene expression and toxicity. The AHR belongs to the basic helix–loop–helix/Per-ARNT-Sim (bHLH-PAS) family of transcriptional regulatory proteins, whose members play key roles in development, circadian rhythmicity, and environmental homeostasis; however, the normal cellular function of the AHR is not yet known. As part of a phylogenetic approach to understanding the function and evolutionary origin of the AHR, we sequenced the PAS homology domain of AHRs from several species of early vertebrates and performed phylogenetic analyses of these AHR amino acid sequences in relation to mammalian AHRs and 24 other members of the PAS family. AHR sequences were identified in a teleost (the killifish *Fundulus heteroclitus*), two elasmobranch species (the skate *Raja erinacea* and the dogfish *Mustelus canis*), and a jawless fish (the lamprey *Petromyzon marinus*). Two putative AHR genes, designated AHR1 and AHR2, were found both in *Fundulus* and *Mustelus*. Phylogenetic analyses indicate that the AHR2 genes in these two species are orthologous, suggesting that an AHR gene duplication occurred early in vertebrate evolution and that multiple AHR genes may be present in other vertebrates. Database searches and phylogenetic analyses identified four putative PAS proteins in the nematode *Caenorhabditis elegans*, including possible AHR and ARNT homologs. Phylogenetic analysis of the PAS gene family reveals distinct clades containing both invertebrate and vertebrate PAS family members; the latter include paralogous sequences that we propose have arisen by gene duplication early in vertebrate evolution. Overall, our analyses indicate that the AHR is a phylogenetically ancient protein present in all living vertebrate groups (with a possible invertebrate homolog), thus providing an evolutionary perspective to the study of dioxin toxicity and AHR function.

Halogenated aromatic hydrocarbons such as 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) are potent modulators of cellular growth and differentiation and thus are highly toxic to vertebrate animals (1). These effects are mediated by the aryl hydrocarbon receptor (Ah receptor, AHR, or “dioxin receptor”), a ligand-activated transcription factor that acts in concert with the Ah receptor nuclear translocator [ARNT (2)] to alter the expression of target genes, such as cytochrome P450 1A1 (1, 3). The AHR and ARNT belong to the Per-ARNT-Sim (PAS) family of transcriptional regulatory proteins (3, 4), whose members play key roles in development (5), adaptation to hypoxia (6, 7), control of circadian rhythmicity (8, 9), and phototransduction (8, 10, 11). The physiological function of the AHR is not yet known, but an important role

in the developing liver and immune system has been suggested by the phenotypes of mice bearing a targeted disruption of the AHR locus (12, 13).

The AHR has been studied almost exclusively in mammals, in which a single gene has been identified (14, 15). The mammalian AHR contains basic helix–loop–helix (bHLH) and PAS homology domains that define the PAS family. The bHLH domain contains basic and HLH motifs involved in protein–DNA and protein–protein interactions, respectively. The PAS domain forms a secondary dimerization surface for heteromeric interactions between AHR and ARNT, as well as among other bHLH-PAS proteins (16, 17). It includes two imperfect repeats of 51 amino acids [PAS-A and PAS-B (18)] separated by an intervening sequence of approximately 110 amino acids. Importantly, the distal portion of this region (PAS-B) is part of the ligand-binding domain of the AHR (15, 19–22).

In contrast to the extensive literature on the mammalian AHR, knowledge of the AHR in other vertebrate and invertebrate animals is limited (23–25). The objective of the present work was to investigate the evolutionary history of the AHR and its relationship to other members of the PAS family. Our approach was to sequence the AHR PAS domains from early chordates and to assess their relationships by phylogenetic inference, a powerful tool for understanding the evolution and interrelationships of multigene families (26). We focused on early chordates because previous results had suggested the first appearance of an AHR protein in cartilaginous fish (24). The PAS domain was chosen because it is a well-conserved and functionally important region of the mammalian AHR and other members of the PAS family (8, 15, 16, 27); except for the bHLH domain (28), other regions of PAS proteins are not highly conserved (29) and, therefore, are less suitable for phylogenetic analysis.

The results of these studies show that the AHR is a phylogenetically ancient protein that exists in bony and cartilaginous fish, as well as lamprey, the most “primitive” (i.e., early diverging) living vertebrate. We also report a second AHR in two species of gnathostome (jawed) fish and provide evidence that an AHR gene duplication occurred early in vertebrate evolution. Possible invertebrate AHR and ARNT homologs are also described. We discuss these results in relation to the diversification of the PAS family.

METHODS

Animals and RNA Isolation. Killifish (*Fundulus heteroclitus*), smooth dogfish (*Mustelus canis*), little skate (*Raja erina-*

This paper was submitted directly (Track II) to the *Proceedings* office. Abbreviations: AHR, aryl hydrocarbon (Ah) receptor; ARNT, AHR nuclear translocator; bHLH, basic helix–loop–helix; NJ, neighbor-joining; PAS, PER-ARNT-SIM; RT-PCR, reverse transcription-coupled PCR.

Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. AF024591–AF024595).

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Table 2. PAS family members, GenBank accession numbers, and synonymous genes

Human AHR (hAHR; L19872), mouse AHR^{b-1} allele (mAHR; M94623), rat AHR (rAHR; U09000), *Fundulus* AHR1 (fAHR1; AF024591), *Fundulus* AHR2 (fAHR2; U29679), dogfish AHR1 (dFAHR1; AF024592), dogfish AHR2 (dFAHR2; AF024593), skate AHR (skAHR; AF024594), and lamprey AHR (lampAHR; AF024595)

Mouse single-minded 1 (mSIM1; D79209)
 Mouse single-minded 2 (mSIM2; D63383)

Human hypoxia-inducible factor 1 α (hHIF-1 α ; U22431)

Human member of PAS family 2 (hMOP2; U51626), human endothelial PAS protein (hEPAS1; U81984), and mouse HIF-1 α -like factor (mHLF; D89787)

Human MOP-5 (hMOP5; U51628) and human neuronal PAS protein 1 (hNPAS1; U77968)
 Human MOP-4 (hMOP4; U51625) and human neuronal PAS protein 2 (hNPAS2; U77970)

Mouse CLOCK (mCLOCK; AF000998)
 Mouse AHR nuclear translocator (mARNT1; A56241)
 Mouse ARNT2 (mARNT2; D63644)

Rainbow trout ARNTb (rtARNTb; U73841)

Human MOP-3 (hMOP3; U51627); human JAP3 (hJAP3; U60415), and human brain and muscle ARNT-like protein (hBMAL1a; D89722)

Human transcriptional intermediary factor (hTIF2; X97674)
 Human steroid receptor coactivator (hSRC-1; U59302)

Drosophila Similar (dSIMA; U43090)
Drosophila trachealess (dTRH; U33427)
Drosophila Sim (dSIM; A29945)
Drosophila Per (dPER; A26427)

Caenorhabditis elegans C41G7.5 (CEC41G7.5; Z81048)
C. elegans C15C8.2 (CEC15C8.2; Z75527)
C. elegans T01D3.2 (CET01D3.2; Z81110)
C. elegans C25A1.11 (CEC25A1.11; Z81038)

Arabidopsis thaliana phytochrome A (phyA; P14712)
Mesotaenium caldariorum phytochrome 1b (MESPHY 1b; U31284)
Bacillus subtilis kinase A (kinA; M31067)

Note: Where orthologous genes have been cloned from more than one species (e.g., mouse SIM1 and human SIM1), only one sequence is referenced, except in the case of the AHRs and where different names have been given to the same gene. A comparison of amino acid sequences in the PAS domain shows that hMOP3 = hJAP3 = hBMAL1, hMOP2 = hEPAS1, mEPAS1 = mHLF, hMOP4 and hNPAS2 differ by two amino acids, and hMOP5 and hNPAS1 differ by two amino acids.

bHLH domain (data not shown) and forms a monophyletic group with mammalian and fish ARNT proteins (Fig. 3).

The trees shown in Fig. 3 suggest that the PAS family is organized into several distinct clades. In addition to the AHR/C41G7.5 group, several other monophyletic groups occur in the distance tree (Fig. 3A). Most of these groups contain both invertebrate (*Drosophila*, *C. elegans*) and vertebrate representatives. One group consists of dSIM, mSIM1, mSIM2, MOP5, and *Drosophila* Trachealess (dTRH). A second lineage contains *Drosophila* Similar, MOP2, and HIF-1 α . A third cluster includes ARNT1, ARNT2, MOP3, and C25A1.11. MOP4 and CLOCK cluster together, as do SRC-1 and TIF2. The sequences of phyA, MesPHY1b, and *C. elegans* T01D3.2 also form a monophyletic group. *Drosophila* Per and *C. elegans* C15C8.2 do not fall into any natural group.

Parsimony analysis also provides support for the AHR + C41G7.5 clade, the ARNT1 + ARNT2 + C25A1.11 clade (minus MOP3), the phyA + MesPHY1b + CET01D3.2 clade, and the pairs HIF-1 α /MOP2, MOP4/CLOCK, and SRC-1/TIF2. Two distinct clades containing dSIM + mSIM1 + mSIM2 and MOP5 + dTRH were strongly supported, and in some analyses clustered together as in the NJ tree. Other relationships suggested by the distance tree are unresolved (bootstrap value <50%) in the parsimony tree.

DISCUSSION

The AHR Is an Ancient Protein. Identification of AHR cDNA sequences in living representatives of early vertebrates (jawless, cartilaginous, and bony fish) provides evidence that the AHR is an ancient protein that existed early in vertebrate evolution, at least 450–510 million years ago. Its conservation in all vertebrate groups suggests that it serves an important function, as suggested also by recent findings of liver and immune system dysfunction after targeted disruption of an AHR gene in mice (12, 13). Although originally of interest

because of its role in dioxin toxicity, the AHR likely has a more fundamental significance with regard to gene regulation, development, or other aspects of cellular homeostasis.

The identification of AHR cDNA sequences in the dogfish *Mustelus* confirms our previous report of an AHR protein in this species (24). In pairwise comparisons (Table 1) and phylogenetic analyses (Fig. 2), dogfish AHR1 consistently appears as the fish sequence most closely related to the mammalian AHRs. The AHR from skate, another cartilaginous fish, is the most divergent of the vertebrate AHR sequences. The AHR phylogeny does not match accepted phylogenetic relationships of these species, suggesting unequal rates of change in some lineages. A similar lack of concordance of gene and species phylogenies has been seen with the *LDH-A* genes of mammals, *Fundulus*, and another species of dogfish (40, 41).

In previous studies, we failed to detect AHR proteins by photoaffinity labeling of hepatic cytosol from adult lamprey (24). Similarly, induction of CYP1A in response to planar aromatic hydrocarbons—the “classical” AHR-dependent response—is not apparent in adult lamprey (60). The lamprey AHR sequence reported herein was obtained from the anterior section of larvae (ammocoetes), suggesting that expression of the AHR may be regulated developmentally or in a cell- or tissue-specific manner in this species. Our inability to identify an AHR in adult hagfish liver in the present study is consistent with our earlier ligand-binding results (24) and with the lack of CYP1A inducibility in adult animals (42, 60). However, in light of the lamprey results, a similar AHR-related gene may yet be found in hagfish or in other invertebrate chordates.

The presence of a gene in the nematode *C. elegans* that bears strong similarity to vertebrate AHRs is intriguing. Because this sequence (C41G7.5) contains both bHLH and PAS domains, it appears to represent a structural homolog of the vertebrate AHR—the first such invertebrate sequence identified. Interestingly, closer examination of this sequence reveals that the

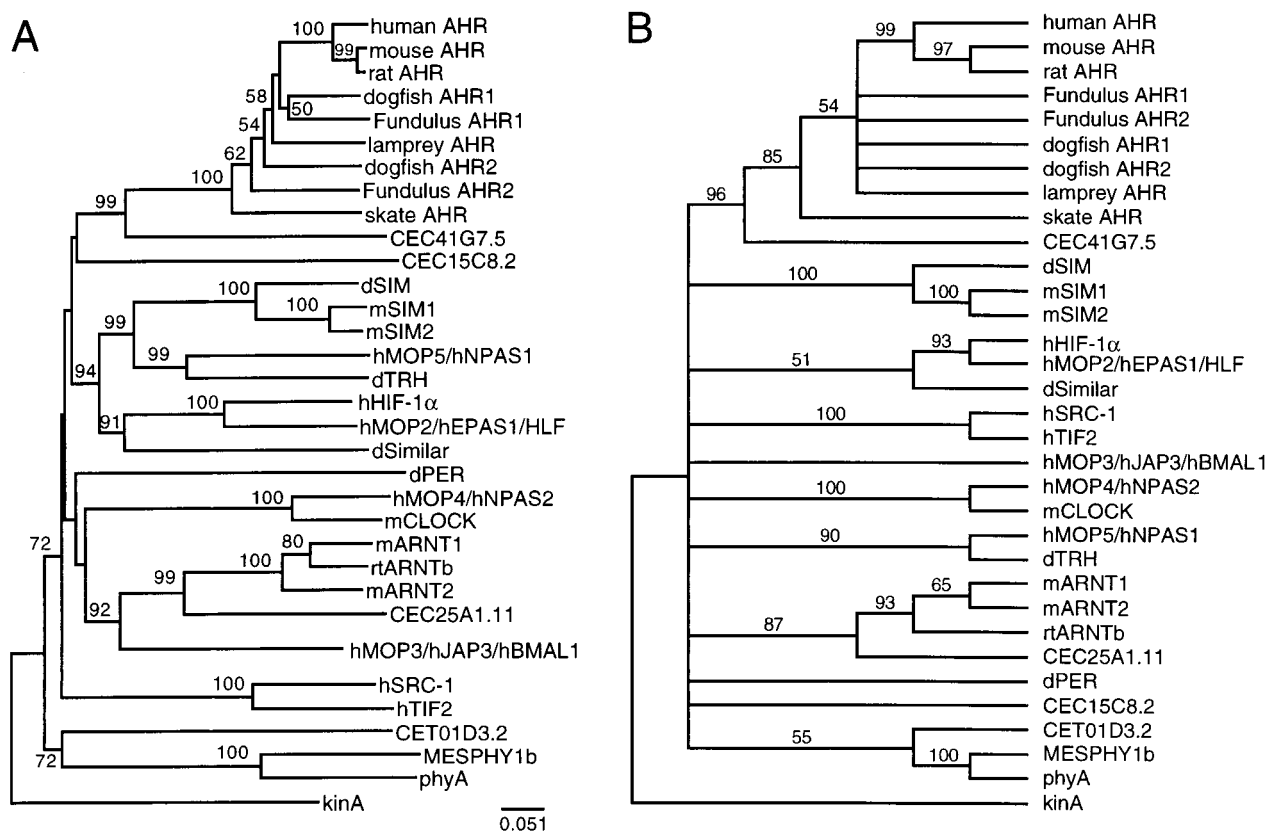


FIG. 3. Phylogenetic analysis of PAS family proteins. (A) Distance (NJ) tree. The tree was inferred from an alignment of PAS domains of all PAS proteins; the alignment is available upon request to M.E.H. or can be viewed at <http://www.who.edu/biology/hahnm.html>. GenBank accession numbers are listed in Table 2. Positions with gaps were excluded and no corrections were made for multiple substitutions. The bacterial kinA sequence was treated as the outgroup. Numbers in boldface type next to branch points are bootstrap values based on 1,000 samplings (values <50% are not shown). (B) Maximum parsimony tree. A heuristic search was performed by using PAUP 3.1.1 (33). The tree shown is the 50% majority rule tree, based on 163 informative characters. Numbers in boldface type next to branch points are bootstrap values from 100 samplings. Where multiple names are shown for synonymous proteins, the first name is that of the sequence used in the alignment.

PAS-B box, which is part of the ligand-binding domain of the mammalian AHR (15, 19–22), is poorly conserved in the *C. elegans* C41G7.5 sequence. Thus, although the PAS-A box of C41G7.5 shares 43–50% amino acid identity with the homologous region of the vertebrate AHRs, the PAS-B box is only 25–29% identical to those of vertebrate AHRs (Fig. 1). The bHLH domain of C41G7.5 is more highly conserved with respect to the bHLH regions of vertebrate AHRs, including conservation of amino acids that have been shown (37) to be critical for DNA binding of the murine AHR (data not shown). These observations suggest that the *C. elegans* protein may participate in protein–protein and protein–DNA interactions that are qualitatively like those of the vertebrate AHRs but that its ligand-binding properties could be substantially different. Thus, this apparent AHR homolog in *C. elegans* and the possible ARNT homolog C25A1.11 may provide a system with which to examine possible ancestral functions of the AHR, especially those that may be ligand-independent.

PAS Family Gene Duplications. The presence of a duplicated *AHR* gene in cartilaginous and bony fish and the degree of difference between the paralogous forms are consistent with a duplication event occurring early in vertebrate evolution. Phylogenetic analysis using two different methods support the orthology of *Fundulus* AHR2 and dogfish AHR2, suggesting that this duplication occurred prior to the divergence of bony and cartilaginous fish. The two *AHR* genes may have arisen as an isolated gene duplication or, alternatively, as a result of the genome duplications that are thought to have occurred early in chordate evolution (43). Such duplications have contributed to the diversification of *Hox* gene clusters (44, 45) and other

gene families (46–49). Because the complexity of such gene families is similar in fish and mammals (50), multiple AHRs may also occur in other vertebrates. A recent report of a second AHR in mice (51) is consistent with this hypothesis.

The existence of a second AHR is reminiscent of the two forms of other PAS proteins (ARNT, Sim) recently described in mammals (52, 53). We suggest that these and several other pairs of PAS proteins are paralogs, i.e., homologous by gene duplication (54). Thus, the following pairs of proteins share extensive amino acid identity (64–90%) in the PAS domain and cluster together in both NJ and MP trees: AHR1 + AHR2, ARNT1 + ARNT2, SIM1 + SIM2, HIF-1 α + MOP2, SRC-1 + TIF2, and CLOCK + MOP4. Duplication of these PAS genes may have occurred at about the same time as the proposed *AHR* gene duplication (i.e., near the origin of the gnathostomes), consistent with the genome duplication scenario. Thus, the PAS gene family—like other gene families (47, 49)—appears to contain sets of related genes (paralog groups), which might exhibit some degree of functional redundancy (28, 55). Such redundancy has been suggested to occur within the ARNT1 + ARNT2 pair (56), possibly in conjunction with the hypoxia-responsive paralogs HIF-1 α and MOP2/EPAS1/HLF (6, 7, 57).

Molecular Evolution of the PAS Gene Family. Recent findings suggest that the PAS domain had its origin in early photoreceptor proteins, the descendants of which exist in modern bacteria, fungi, and plants (8, 10, 11, 27). Some of these proteins may have subsequently become involved in regulation of circadian rhythms (8, 9, 27). In animals, PAS domain-containing proteins and their functions have diversified fur-

ther, evolving roles in development and the response to environmental variables, including oxygen tension (hypoxia) and small ligands (dioxin). In the phylogenetic analysis reported herein, we identify several clusters of metazoan PAS proteins, including invertebrate orthologs of vertebrate PAS proteins, that suggest evolutionary and possibly functional relationships. Bradfield and coworkers (29) recently presented a phylogenetic analysis of 16 PAS members. Our analysis of 26 PAS proteins confirms some, but not all, of their groupings and reveals additional relationships. Our trees are consistent with an initial diversification of the PAS family in invertebrates, followed by extensive gene duplication and further diversification in early vertebrates. Because of the rapid pace at which new PAS family members are being discovered (e.g., refs. 8, 9, 29, 57, and 58), a definitive description of evolutionary relationships within this family must await a more complete cataloging of its members and will require continuing phylogenetic analyses.

Conclusions. The vertebrate AHR plays a critical role in susceptibility to dioxin toxicity (59), but its conservation in all vertebrate groups suggests that it has a more fundamental role in cellular physiology. The existence of a second AHR-like gene in fish and mammals raises questions concerning the functions and possible interactions of these two genes. Understanding the phylogenetic relationships among these AHR genes and other members of the PAS family may provide an evolutionary context within which to interpret the functions of these proteins in gene regulation, development, environmental homeostasis, and toxicity.

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