

Longitudinal cognitive decline is associated with fibrillar amyloid-beta measured by [¹¹C]PiB



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ABSTRACT

Objective: To investigate whether longitudinal declines in cognition are associated with higher fibrillar amyloid-beta ($A\beta$) deposition in vivo in individuals without dementia.

Method: [¹¹C]PiB images were obtained to measure fibrillar $A\beta$ burden in 57 participants without dementia from the Baltimore Longitudinal Study of Aging. Participants (33 men, 24 women) had a mean (SD) age of 78.7 (6.2) years. Six participants (4 men, 2 women) had mild cognitive impairment defined as Clinical Dementia Rating = 0.5. To measure [¹¹C]PiB retention, distribution volume ratios (DVR) for 15 regions of interest were estimated by fitting a simplified reference tissue model to the measured time activity curves. Mixed effects regression was used to predict cognitive trajectories over time using data before and including time of PiB (mean follow-up 10.8 years), with mean cortical DVR, age at baseline, sex, and education as independent predictors. Voxel-based analysis identified local associations.

Results: [¹¹C]PiB retention was higher in older individuals. Greater declines over time in mental status and verbal learning and memory, but not visual memory, were associated significantly with higher PiB retention. Voxel-based analysis showed significant associations in frontal and lateral temporal regions.

Conclusions: Higher $A\beta$ deposition is associated with greater longitudinal decline in mental status and verbal memory in the preceding years. The differential association for verbal but not visual memory may reflect the greater reliance of verbal word list learning on prefrontal regions, which show early $A\beta$ deposition. Prospective imaging may help distinguish between individuals with evolving neuropathology who develop accelerated cognitive decline vs those with normal aging.

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GLOSSARY

AD = Alzheimer disease; **BLSA** = Baltimore Longitudinal Study of Aging; **BVRT** = Benton Visual Retention Test; **CDR** = Clinical Dementia Rating; **CVLT** = California Verbal Learning Test; **DVR** = distribution volume ratio; **MCI** = mild cognitive impairment; **MMSE** = Mini-Mental State Examination; **ROI** = regions of interest.

Postmortem studies of associations between amyloid-beta ($A\beta$) burden and antemortem cognition yield conflicting findings.^{1,2} We found that longitudinal cognitive trajectories were similar between cognitively normal individuals with and without Alzheimer disease (AD) neuropathology and that both groups differed from individuals with mild cognitive impairment (MCI) or AD, who showed marked cognitive decline.³

Radiotracers for in vivo imaging of fibrillar $A\beta$ burden allow prospective investigation of relationships between cognitive performance and $A\beta$. Consistent with postmortem studies, 20% to 30% of clinically normal individuals show $A\beta$ deposition on imaging.^{4,5} Across the spectrum of cognitive function, lower memory correlates cross-sectionally with higher [¹¹C]PiB.^{6,7} The only study investigating longitudinal changes in cognition in relation to $A\beta$

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| Table | Sample characteristics | | | |
|--|------------------------|------------------------------|--------------------------------|------------------------|
| | Whole sample | Clinical Dementia Rating = 0 | Clinical Dementia Rating = 0.5 | p Value between groups |
| No. | 57 | 51 | 6 | |
| Female, n | 24 | 22 | 2 | |
| Age at PiB, y, mean (SD); range | 78.8 (6.2); 64.9–92.1 | 78.2 (6.1); 64.9–92.1 | 83.8 (4.5); 77.2–89.5 | 0.03 |
| Interval from cognitive baseline to PiB, y, mean (SD); range | 10.8 (1.0); 8.2–12.5 | 10.9 (1.0); 8.2–12.5 | 10.1 (1.3); 8.2–12.0 | 0.11 |
| No. of cognitive assessments, mean (SD); range ^a | 10.60 (1.05); 8–13 | 10.59 (1.02); 8–13 | 10.67 (1.37); 9–13 | 0.86 |
| Race, no. Caucasian | 49 | 43 | 6 | 0.58 |
| Education, y, mean (SD); range | 16.8 (2.4); 12–20 | 16.7 (2.4); 12–20 | 17.3 (3.0); 12–20 | 0.56 |
| Mean cortical PiB distribution volume ratio, mean (SD); range ^b | 1.24 (0.26); 1.00–1.84 | 1.21 (0.25); 1.00–1.84 | 1.49 (0.27); 1.19–1.80 | 0.01 |

^aAssessments coincident with neuroimaging evaluations, as the majority of participants had prior evaluations.

^bMean cortical [¹¹C]PiB distribution volume ratio is an average of orbitofrontal, prefrontal, superior frontal, parietal, lateral temporal, occipital, and anterior and posterior cingulate regions.

deposition found that slopes of word list recall prior to [¹¹C]PiB imaging correlated with A β deposition in elderly subjects without dementia who subsequently progressed to MCI/AD but not in individuals who remained cognitively normal.⁸

To further evaluate relationships between longitudinal cognitive change and in vivo A β deposition, we studied 57 participants without dementia of the neuroimaging substudy of the Baltimore Longitudinal Study of Aging (BLSA) who had up to 13 serial cognitive assessments prior to and concurrent with [¹¹C]PiB evaluation of A β . We hypothesized that trajectories of cognitive change over time, particularly on tests of episodic memory, would be associated with in vivo A β deposition in a group of individuals who remained cognitively healthy as well as those who developed very mild cognitive impairment during the follow-up.

METHODS Study participants. Fifty-seven participants without dementia (33 men, 24 women; mean [SD] age 78.7 [6.2]) of the Baltimore Longitudinal Study of Aging neuroimaging study (BLSA-NI)⁹ were included. They were ascertained from the initial 61 BLSA-NI participants consecutively assessed with [¹¹C]PiB from June 2005 to March 2007, after excluding 2 participants with clinical stroke, 1 with a brain injury, and 1 unable to tolerate MRI. The 57 individuals were representative of the entire BLSA-NI with respect to baseline age, sex, race, and education. Exclusionary criteria at entry into the neuroimaging study included CNS disease, severe cardiovascular disease, severe pulmonary disease, or metastatic cancer. All participants underwent neuropsychological evaluation in conjunction with each neuroimaging visit, and serial assessments performed in conjunc-

tion with neuroimaging visits prior to and concurrent with (1994–2007) the [¹¹C]PiB study were analyzed.

The Clinical Dementia Rating (CDR) scale,¹⁰ typically informant-based, was administered in conjunction with the [¹¹C]PiB imaging study. The CDR also was administered during prior imaging visits when participants scored 3 or greater on the Blessed Information Memory Concentration¹¹ test and at each visit for autopsy study participants (about 50% of sample). Cognitive status was determined by consensus diagnosis according to established procedures.^{3,12} At the time of the PiB study, 6 individuals had a CDR total score of 0.5 but only one met consensus criteria for MCI. Demographic and clinical characteristics of participants are presented in the table.

Standard protocol approvals, registrations, and patient consents. Written informed consent was obtained from each participant at each imaging visit. This study was approved by the Institutional Review Boards of the NIA Intramural Research Program and Johns Hopkins Medical Institutions.

[¹¹C]PiB PET studies. Dynamic [¹¹C]PiB PET studies (37 time frames over 90 minutes) were performed in 3-dimensional mode on a GE Advance scanner. Participants were fitted with a thermoplastic mask for PET imaging to minimize motion during scanning. The PET scanning started immediately after IV bolus injection of a mean (SD) 14.5 (0.9) mCi of [¹¹C]PiB with a mean (SD) specific activity of 5.7 (range 0.98 to 14.62) Ci/ μ mol. Dynamic images were reconstructed using filtered back-projection with a ramp filter (image size = 128 \times 128, pixel size = 2 \times 2 mm, slice thickness = 4.25 mm), yielding a spatial resolution of about 4.5 mm FWHM at the center of field of view. Transmission scans in 2D mode were used for attenuation correction of the emission scans.

MRI-based region of interest definition. Volumetric T1-weighted MRI scans (124 slices, matrix = 256 \times 256, pixel size = 0.94 \times 0.94 mm, slice thickness = 1.5 mm) acquired at 1.5 T were coregistered to the mean of the first 20-minute dynamic PET images for each participant using the mutual information method in the Statistical Parametric Mapping software (SPM2; Wellcome Department of Cognitive Neurology, London, UK). In addition to cerebellum, which was used as a reference region, 15 regions of interest (ROIs; 1 = caudate; 2 =

putamen; 3 = thalamus; 4 = lateral temporal; 5 = mesial temporal; 6 = orbital frontal; 7 = prefrontal; 8 = occipital; 9 = superior frontal; 10 = parietal; 11 = anterior cingulate; 12 = posterior cingulate; 13 = pons; 14 = midbrain; 15 = white matter) were manually drawn on the coregistered MRIs and used for ROI definition on the PET scans.¹³

Quantification of distribution volume ratios. The distribution volume ratios (DVRs) of ROIs were estimated by simultaneous fitting of a simplified reference tissue model using linear regression with spatial constraints (SRTM-LRSC) to the 15 measured ROI time activity curves.¹⁴ The mean cortical DVR was calculated by averaging DVR values from orbitofrontal, prefrontal, superior frontal, parietal, lateral temporal, occipital, and anterior and posterior cingulate regions. In addition, DVR images were generated for voxel-wise analysis.^{14,15} Parametric images were spatially normalized using an R_1 template ($R_1 = K_1/K_1$ [reference tissue], the target to reference tissue ratio of tracer transport rate constant from vascular space to tissue),¹⁴ and the mean parametric images for the 51 cognitively normal individuals and the 6 individuals with CDR = 0.5 were calculated.

Neuropsychological testing. A battery of 12 neuropsychological tests was administered at each neuroimaging visit to evaluate mental status, word knowledge and verbal ability, memory, language, verbal fluency, attention, executive function, and spatial ability. The present analyses focused on longitudinal changes in mental status, verbal and visual memory, and executive function. Mental status was assessed with the Mini-Mental State Examination (MMSE); verbal memory was assessed using the California Verbal Learning Test (CVLT) and visual memory with the Benton Visual Retention Test (BVRT); the Trail Making Test Part A assessed attention and visual scanning; and Trail Making Test Part B and category (animals, fruits, vegetables) and letter fluency (FAS) assessed executive function.

Statistical analysis. Two sample t tests and χ^2 tests were used to compare sample characteristics presented in the table.

Associations between [¹¹C]PiB mean cortical DVR and cognitive change over time were examined using linear mixed models (Proc Mixed; SAS v. 9.1; SAS Institute Inc.; Cary, NC), which allows analysis of all available data despite missing values (approximately 5%–8% by test). These associations were investigated without (model 1) and with (model 2) adjustment for age at baseline cognitive assessment for each dependent measure, separately. (Analysis of a third set of models, adding adjustment for sex, yielded similar results and is not presented.) [¹¹C]PiB mean cortical DVR (PiB mDVR), interval (years) from baseline assessment, and their interactions were modeled as fixed covariates. Years of education were also modeled as a fixed covariate, and random effects included intercept and interval. The main effect of PiB mDVR indicates whether A β burden is associated with cognitive performance at baseline assessment, whereas the PiB mDVR \times interval effect tests the primary hypothesis of the relationship between A β burden and longitudinal cognitive change over time. Analyses were repeated excluding the 6 participants with CDR = 0.5. Individual trajectories and rates of cognitive change, adjusted for baseline age, were estimated from the linear mixed effects models for illustration of associations between PiB mDVR and cognitive change and for use in voxel-based analysis of regional associations.

Parametric images and SPM 5 (Statistical Parametric Mapping 5; Wellcome Department of Imaging Neuroscience, London, UK) were used to investigate voxel-wise associations between [¹¹C]PiB DVR and longitudinal change in cognitive

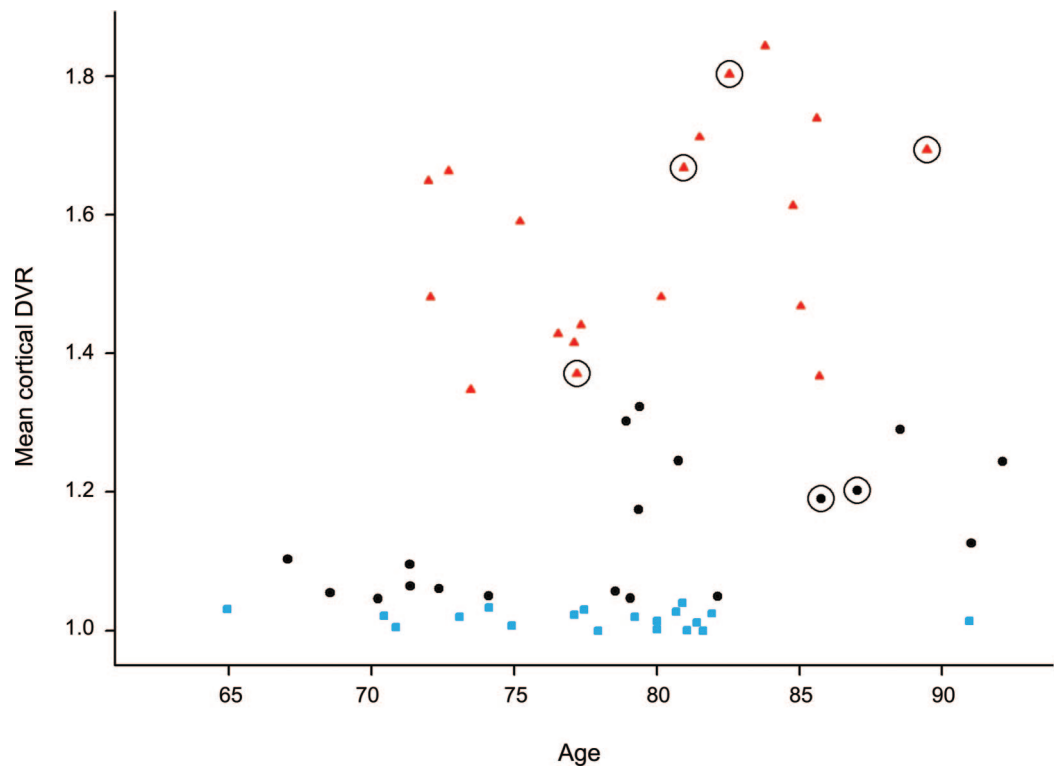
function. To decrease the number of analyses and potential false positive errors, voxel-based correlation analyses of regional patterns were conducted only for those dependent measures showing significant associations between PiB mDVR and cognitive change. Parametric PiB DVR images were smoothed with a Gaussian filter of 8, 8, 8 mm in the x, y, and z planes. Correlation analyses investigated the relationships between the estimated rates of cognitive change, adjusted for baseline age, and local PiB retention. SPM analyses employed a significance threshold of $p \leq 0.005$, with a spatial extent of 100 voxels.

RESULTS Fibrillar A β burden as a function of age and cognitive status. Mean PiB DVR images for cognitively normal individuals and the 6 individuals with CDR = 0.5 are shown in figure e-1 on the *Neurology*[®] Web site at www.neurology.org. Increased [¹¹C]PiB retention is apparent in frontal, lateral temporal, and posterior cingulate/precuneus regions in older adults with CDR = 0.5. The distributions of PiB mDVR values as a function of age by tertile of PiB mDVR are presented in figure 1. Due to the non-normal distribution of PiB retention, we used median regression to investigate the relationship between age and mDVR. There was an increase in mDVR with age ($p = 0.05$; $\beta = 0.008$; χ^2 [1 *df*] = 3.77). In addition, mDVR values for 4 of the 6 individuals with memory loss by CDR fell within the top tertile of mDVR values.

Mean cortical A β burden and longitudinal cognitive decline. PiB mDVR was not associated significantly with baseline level of cognitive performance for mental status or measures of domain-specific cognitive performance under any model. (Similar results were obtained restricting the regression analysis to the baseline cognitive assessment only.) However, in analyses including all participants, higher PiB mDVR was associated with longitudinal decline in mental status (MMSE) and verbal immediate (sum of 5 CVLT List A trials) and delayed free recall under both models (measuring cognitive change prior to and concurrent with the [¹¹C]PiB scan). PiB mDVR was not associated significantly with longitudinal change in measures of executive function. Estimates (SE) of these associations reflect change in rates of annual declines in scores per unit of greater PiB retention with adjustment for baseline age and education: MMSE -0.13 (0.05), CVLT Immediate Recall -1.35 (0.44), CVLT Delayed Recall -0.32 (0.11), all $p \leq 0.01$. To illustrate these associations, mean and estimated individual trajectories of cognitive change are shown by tertiles of mDVR (<1.045 , 1.045 – 1.345 , >1.345) for MMSE, CVLT immediate, and delayed free recall in figure 2, A through C.

The relationships between mental status ($p < 0.01$) and CVLT immediate recall ($p = 0.02$) remained significant in both models after excluding the

Figure 1 Distribution of mean cortical [¹¹C]PiB retention in older adults without dementia by tertile of mean distribution volume ratio



Individuals in the highest tertile are shown as red triangles, in the middle by black circles, and the lowest by blue squares. The 6 older adults with CDR = 0.5 are shown by circled symbols.

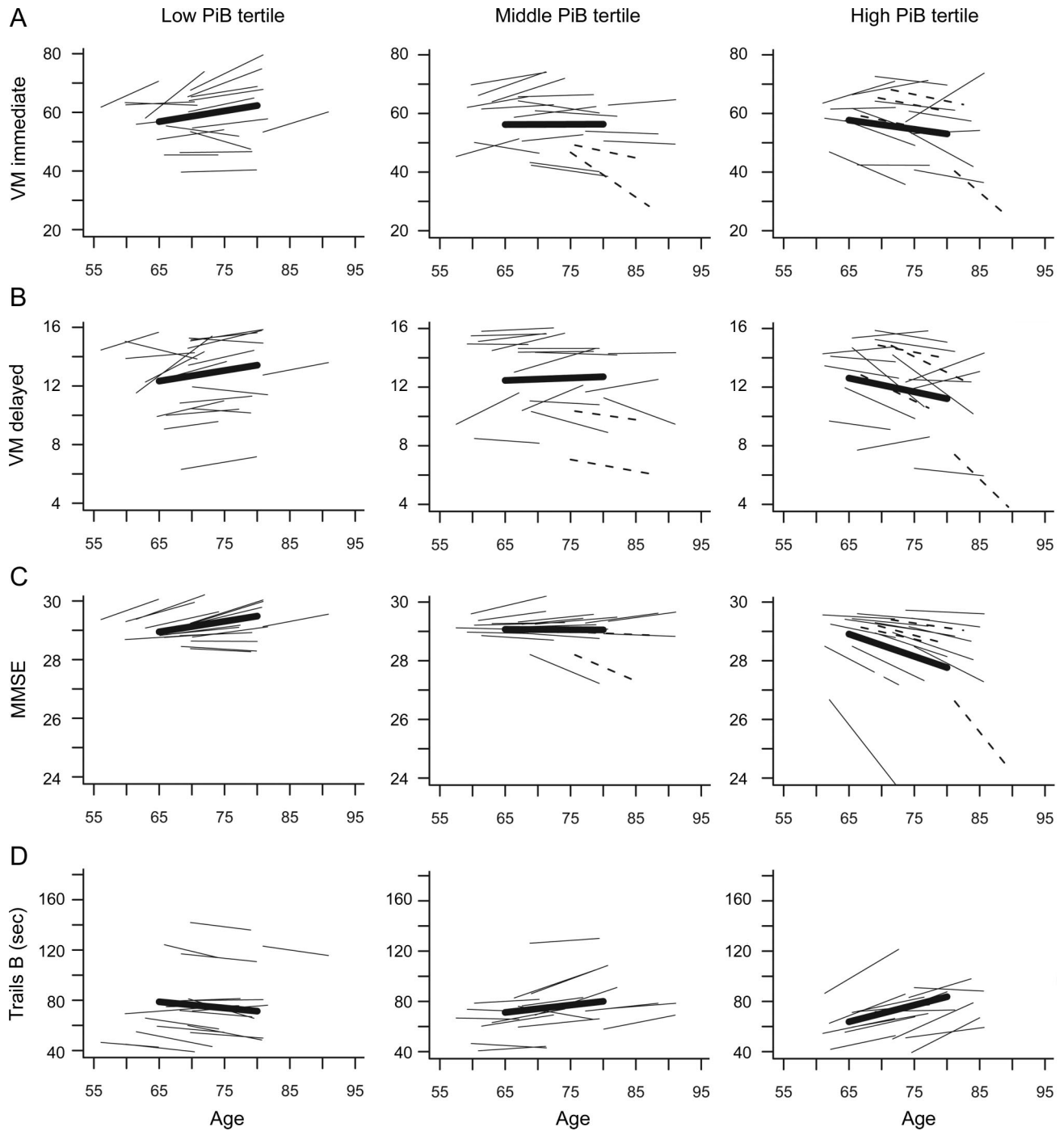
6 participants with CDR = 0.5, but PiB mDVR was no longer associated with decline in CVLT delayed free recall ($p = 0.06$). Estimates (SE) of these associations with adjustment for baseline age and education were as follows: MMSE -0.15 (0.05), CVLT Immediate Recall -1.03 (0.45), CVLT Delayed Recall -0.22 (0.11). Additionally, longitudinal decline in executive function (Trails B seconds) was associated with higher PiB mDVR when only CDR = 0 individuals were included in the analysis (estimate = 2.86, SE = 1.20, $p = 0.02$; figure 2D).

Regional A β burden and cognitive decline. Correlations between regional A β burden and declines in CVLT immediate and delayed verbal memory and mental status performance are presented in table e-1 and figure 3. Voxel-based analysis, adjusted for baseline age, showed that higher A β deposition in frontal and temporal regions and putamen was associated with greater decline in verbal memory and mental status. Declines in MMSE were associated with greater PiB retention in inferior, middle, and superior frontal regions, as well as left middle temporal gyrus and right insula. Declines in CVLT immediate and delayed free recall were associated with A β deposition in putamen, inferior, middle, and superior frontal regions, temporal pole, lingual gyrus, and

precuneus, with more widespread correlations in lateral temporal regions for delayed free recall. There were no significant associations observed for the posterior cingulate region or medial temporal regions. The relationship between rates of change in Trails B, a measure of executive function, and A β burden was more robust in analyses restricted to cognitively normal individuals with CDR = 0 (table e-2, figure 4), confirming the analysis based on mDVR. Higher levels of A β deposition in frontal and parietal regions, including precuneus, were associated with declines in executive function.

DISCUSSION In this series of 57 BLSA-NI participants with longitudinal cognitive assessments, we found that higher [¹¹C]PiB retention was associated with steeper trajectories of cognitive decline in the years preceding and concurrent with the PiB evaluation. Specifically, higher [¹¹C]PiB was associated with longitudinal decline in mental status and verbal episodic memory although mean cortical [¹¹C]PiB retention was not related to baseline level of performance on any of the cognitive measures. Six individuals in our sample had mild memory loss by CDR, but only one met consensus criteria for MCI at the time of the study. Associations between higher A β

Figure 2 Predicted longitudinal trajectories by tertile of PiB mean distribution volume ratio for cognitive tests showing significant longitudinal decline in association with higher A β burden



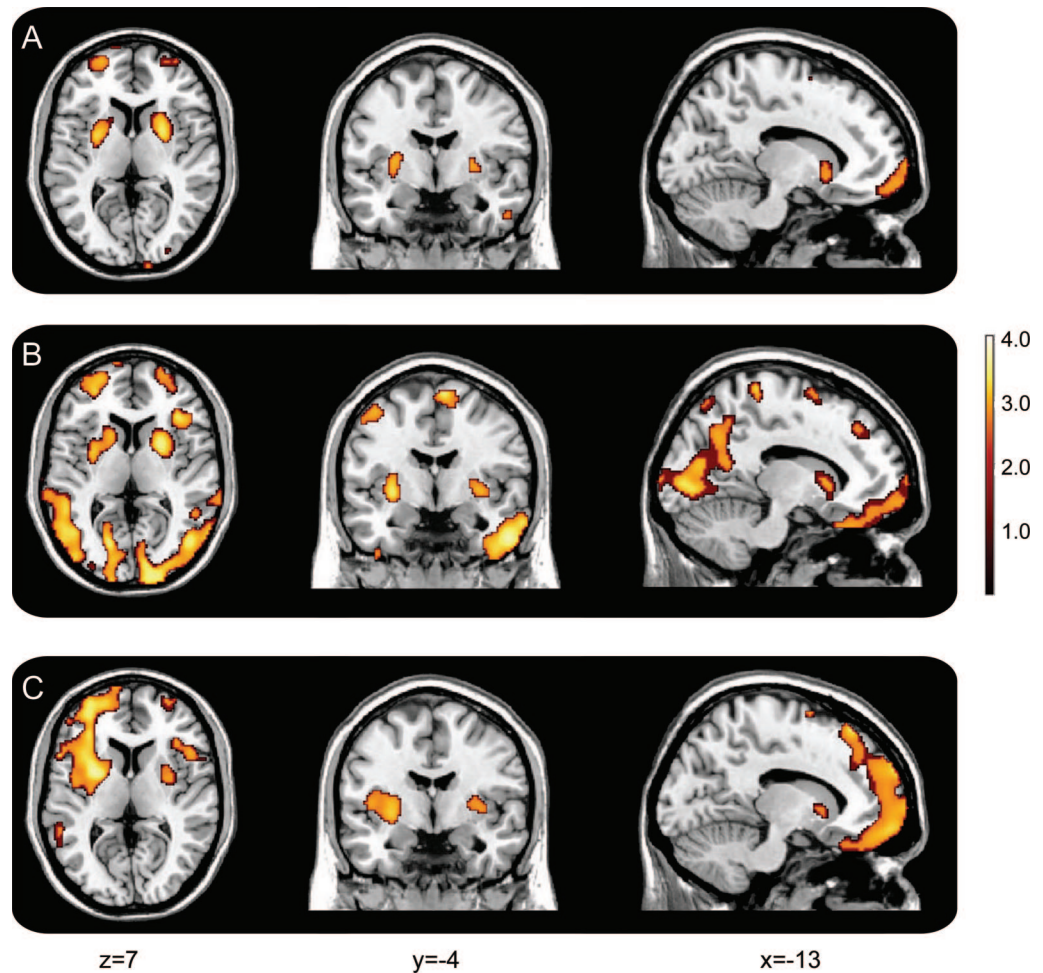
Mean trajectories for each tertile are shown by bolded lines, and trajectories for participants with CDR = 0.5 are shown as dashed lines. (A) Verbal episodic memory, immediate recall ($p < 0.01$); (B) verbal episodic memory, delayed recall ($p < 0.01$); (C) Mental Status ($p = 0.01$); (D) executive function measured by Trails B in CDR = 0 individuals ($p = 0.02$). Note that higher scores reflect poorer performance for Trails B. CDR = Clinical Dementia Rating; MMSE = Mini-Mental State Examination; VM = verbal memory.

burden and decline in mental status and immediate free recall remained significant after exclusion of these individuals, and additionally, decline in executive function was associated with higher [^{11}C]PiB mDVR after exclusion of individuals with mild memory loss. These results provide evidence that in

vivo measures of A β deposition with PET and [^{11}C]PiB are associated with longitudinal cognitive change even in clinically normal individuals.

Our findings of associations between [^{11}C]PiB retention and longitudinal decline in verbal episodic memory in clinically normal individuals extend ob-

Figure 3 Voxel-based associations between regional A β load and slopes of longitudinal changes in episodic memory and mental status



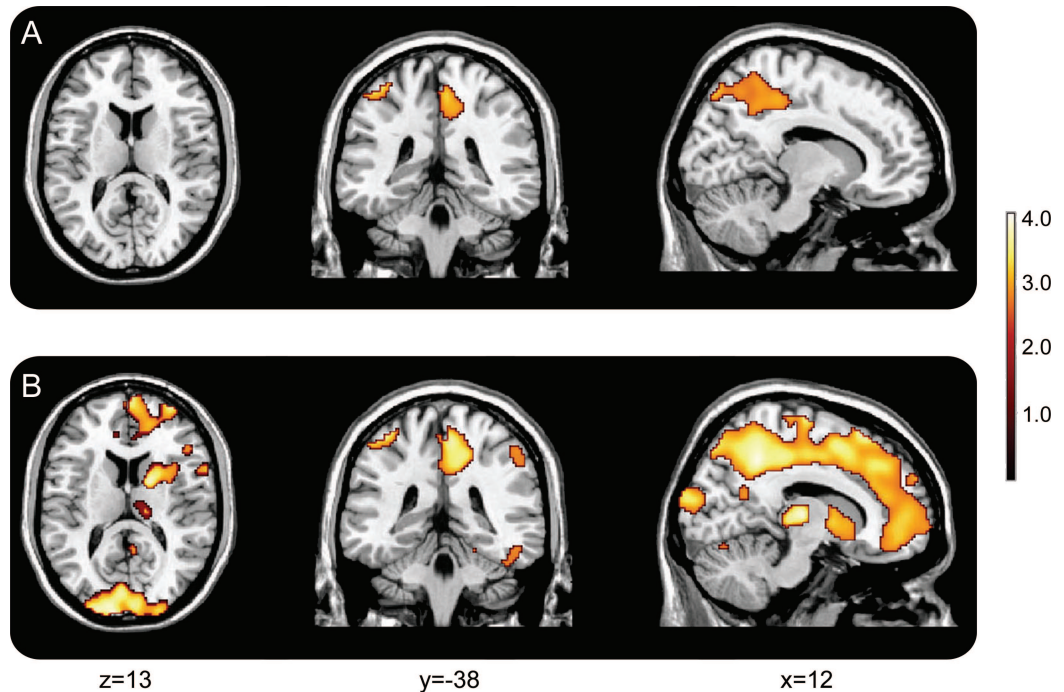
(A) CVLT immediate recall; (B) CVLT delayed recall; (C) MMSE. CVLT = California Verbal Learning Test; MMSE = Mini-Mental State Examination.

servations of cross-sectional associations reported in some studies,^{7,16} although we did not find significant relationships between baseline cognitive function and A β burden. Higher [¹¹C]PiB retention in association with greater longitudinal decline in verbal recall is also consistent with the sole longitudinal report,⁸ which indicated that older adults without dementia who show declines in word list recall are more likely to have higher [¹¹C]PiB retention compared to individuals with stable clinical status and verbal recall. Furthermore, our findings hold even after excluding individuals who had developed very mild cognitive impairment, suggesting that associations between PiB retention and cognitive change emerge early in the disease process before clinical symptoms are apparent.

Although higher [¹¹C]PiB retention was associated with decline in verbal recall performance, we observed no significant associations between [¹¹C]PiB retention and longitudinal change in

BVRT performance, a measure of short-term visual memory which declines early in the course of AD.^{3,12} The lack of association between BVRT performance and [¹¹C]PiB retention has also been reported in cross-sectional studies.⁷ It is possible that the lack of an association for BVRT reflects greater sensitivity of this measure to mesial temporal dysfunction and the low level of A β deposition visualized by [¹¹C]PiB in mesial temporal structures. In contrast, the CVLT is sensitive to frontal as well as temporal function, due to its greater dependence on the capacity to organize serial and semantic information. Voxel-based analyses offer support for this explanation, as CVLT associations with [¹¹C]PiB retention were observed for prefrontal and lateral temporal but not mesial temporal regions. This explanation also receives some support from our observed association between decline in frontal executive function, as measured by Trails B, and [¹¹C]PiB retention in individuals with CDR = 0 (which reached only trend level in the

Figure 4 Voxel-based associations between regional A β load and slopes of longitudinal change in executive function



Declining performance on Trails B over time is more robustly related to [^{11}C]PiB retention in normal older adults. (A) Whole sample including 6 individuals with Clinical Dementia Rating = 0.5; (B) subset of 51 individuals with CDR = 0.

entire sample, $p < 0.10$). Perhaps, future tools for in vivo measurement of the neurofibrillary tangles that are present early in mesial temporal structures would show different patterns of regional correlations across cognitive domains.

Another issue raised by our observations of associations between elevated [^{11}C]PiB retention and longitudinal declines in verbal episodic memory and executive function is the apparent inconsistency with postmortem findings indicating similar trajectories of longitudinal cognitive performance in clinically normal individuals with and without AD pathology.³ In postmortem studies, we compared antemortem cognitive function in a selected group of individuals who remained clinically stable until death despite AD pathology (asymptomatic AD) with groups of clinically and neuropathologically normal controls and clinically and neuropathologically abnormal AD and MCI. In contrast, our in vivo [^{11}C]PiB studies include a mixed group of individuals who will remain cognitively normal as well as those who will go on to develop cognitive impairment and AD. An important difference between our autopsy sample and the in vivo study is the age at which A β burden was measured. Mean ages (years) in the autopsy sample were 78.6 for controls, 85.8 for cognitively normal subjects with pathology, and 89.4 for subjects with MCI/AD, whereas mean age in the imaging sample

was 78.8 years. Thus, participants with elevated A β burden in the imaging sample were less likely to have passed fully through the risk period for cognitive impairment, and individuals with elevated [^{11}C]PiB values and cognitive decline may represent those most likely to develop AD.⁸ An additional advantage of in vivo imaging of A β deposition is that it enables prospective evaluation of individuals with elevated A β burden who remain cognitively stable vs those who eventually show more marked cognitive decline and impairment. Such studies may aid in identifying possible protective and compensatory factors that allow some individuals with neuropathology to retain cognitive health.

Limitations of our study include the small sample size and the fact that [^{11}C]PiB imaging was not possible at baseline cognitive assessment. In addition, the BLSA is a highly educated community dwelling sample, which limits the generality of our findings. However, the incidence of AD in the BLSA¹² and the rates of brain changes¹⁷ are comparable to other samples. Our study also has several strengths. BLSA-NI participants were followed prospectively for at least 8 years prior to initial [^{11}C]PiB evaluation, and cognitive status was determined within the context of these longitudinal assessments, with 51 participants rated as CDR = 0 at the time of [^{11}C]PiB evaluation. While we do not yet know which individuals will

eventually develop cognitive impairment, our analyses represent a snapshot in time and the fact that most individuals have had stable cognition over ≥ 8 years increases the likelihood that the majority of participants will remain clinically normal through the next few years of follow-up. Another strength of our study is that our outcome measures of episodic memory, including the CVLT and BVRT, are not used in determination of diagnostic status. Thus, associations between [^{11}C]PiB retention and change on these measures are determined independently of clinical determinations of cognitive status.

Continued longitudinal assessments of A β deposition in conjunction with cognitive performance and diagnostic status will provide insights into behavioral manifestations and the pathobiology of disease progression at the earliest stages of the neurodegenerative disease process. In vivo imaging of neuropathology has the potential to identify not only risk factors for clinical manifestation of disease, but also to elucidate possible protective and compensatory factors that maintain cognitive health in successful aging.

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DISCLOSURE

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