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## **A mouse-based assay for the pre-clinical neurovirulence assessment of vaccinia virus-based smallpox vaccines**

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## **Abstract**

Post-vaccinal encephalitis, although relatively uncommon, is a known adverse event associated with many live, attenuated smallpox vaccines. Although smallpox vaccination ceased globally in 1980, vaccine manufacture has resumed in response to concerns over the possible use of smallpox virus as an agent of bioterrorism. To better support the production of safer smallpox vaccines, we previously reported the development of a mouse model in which a relatively attenuated vaccine strain (Dryvax®) could be discerned from a more virulent laboratory strain (WR). Here we have further tested the performance of this assay by evaluating the neurovirulence of several vaccinia virus-based smallpox vaccines spanning a known range in neurovirulence for humans. Our data indicate that testing of 10 to 100 pfu of virus in mice following intracranial inoculation reliably assesses the virus's neurovirulence potential for humans.

## **Introduction**

Smallpox, a highly contagious disease caused by the variola virus, has a 30% mortality rate and is historically responsible for more deaths than all other infectious diseases combined. In 1979, the WHO declared that the disease had been eradicated following a decades-long worldwide vaccination campaign <sup>13</sup>. Routine vaccination was discontinued in the U.S. in 1972 and worldwide in 1980, and, as a consequence, greater than half of the current global population is susceptible to infection by the virus  $\frac{7}{2}$ , This has raised concerns over the possible weaponization of variola virus and its use as a bioterrorism agent  $5,14$ , a concern given credence by the anthrax bioterrorism attacks in the U.S. shortly after 9/11<sup>30</sup>. In response to this concern, some countries decided to resume vaccination of certain segments of their population, such as health care workers and members of the military. Since 2002, the U.S. vaccinated over 1.2 million military personnel and over  $40,000$  civilians with existing vaccine reserves  $21$ . To address potential needs of the general population, vaccinia virus-based smallpox vaccine production was reinitiated by several manufacturers to produce stockpiles for mass vaccination in the event of an attack  $\frac{4}{3}$ ,  $\frac{15}{3}$ ,  $\frac{27}{31}$ ,  $\frac{32}{32}$ .

The potential resumption of mass vaccination against smallpox raises concerns with the safety of the vaccine strains themselves. Numerous serious adverse events have been reported following vaccination with vaccinia virus-based smallpox vaccines, both historically and in recent years, and, thus, efforts towards the development and manufacture of new generation vaccines of reduced reactogenicity have commenced. One of the most serious complications of smallpox vaccination is the development of encephalitis. Although rates of encephalitis

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following vaccination are rare, approximately 25% of cases are fatal and, in another 25% of cases, permanent neurological damage results  $1-3,9-11,19,26$ .

The incidence of post-vaccinal encephalitis varies by vaccine strain, ranging from a conservative estimate of 46 cases per million doses of the Bern vaccine to no reported cases with the LC16m8 or MVA strains. The widely used Lister and Dryvax<sup>®</sup> strains are associated with 26 and 2.9 cases of post-vaccinal encephalitis per million doses, respectively  $^{18}$ ,  $^{33}$ . The differences in vaccine-specific rates of post-vaccinal encephalitis indicates differences in the level of attenuation of the parental viruses and highlights the necessity of a standardized, validated neurovirulence assay in which the virus's human neurovirulence potential can be correctly assessed.

Through a review of the available data on neurovirulence following experimental infection of animals with vaccinia virus, we previously developed a prototype assay for vaccinia virus neurovirulence assessment  $20$ . We have now furthered this work and this study provides data in support of the feasibility for validation of the model as a pre-clinical neurovirulence assay for the assessment of candidate vaccinia virus vaccines. Our data indicate that the relative human neurovirulence of vaccinia virus-based smallpox vaccine strains can be determined based on mouse mortality following intracerebral inoculation of 3-day old mice with 10 to 100 plaque forming units (pfu) of virus.

## **Materials and methods**

#### **Virus strains**

The WR, Lister, and MVA vaccinia virus strains were purchased from The American Type Culture Collection (ATCC, Manassas, Virginia) and were used directly (without further passage in the laboratory). The Dryvax® vaccine was used directly from a commercial vaccine preparation (Wyeth Laboratories, Philadelphia, PA). The IHD-J and Copenhagan strain was kindly provided by Drs. Michael Merchlinsky, FDA/CBER and Dennis Hruby, Oregon State University, respectively. The IHD-J and Copenhagen strains were passaged once in Vero cells to generate working stocks. Based on a review of available published clinical studies, the presumed order of vaccine virus strain from high to low neurovirulence is as follows: Copenhagen, Lister, Dryvax®, and then MVA  $^{18}$ , 33. The WR and IHD-J laboratory strains, having not been attenuated for human use, are expected to have the greatest neurovirulence potential.

#### **Virus titration**

The Dryvax®, Lister, Copenhagen, IHD-J, and WR virus strains were titrated on Vero cells by plaque assay as described previously 20. Because the MVA strain was adapted for growth in chick embryo fibroblast (CEF) cells and does not effectively replicate in most other cell lines, including Vero, this strain was titrated on CEF cells by standard immunofluorescence assay <sup>6</sup>. Notably, the MVA strain does not form plaques, and thus cannot be titrated by plaque assay. Briefly, 0.1 mL of serially diluted MVA virus was incubated on CEF cell monolayers in 8-well chamber slides for 1 hr at  $37^{\circ}$ C in 5% CO<sub>2</sub>. The viral inocula were removed by aspiration and replaced with 500 uL E-MEM + 5% FBS per well and incubated for 1 day at  $37^{\circ}$ C in 5% CO<sub>2</sub>. Cells were then fixed in 4% paraformaldehyde in PBS for 30 min and then incubated with 0.2% Triton X-100 in PBS for 10 min at room temperature. The fixed, permeabilized cell monolayers were blocked with 3% normal goat serum in PBS (blocking buffer) followed by incubation with a 1:1000 dilution (in blocking buffer) of rabbit antivaccinia virus antiserum (Biodesign International, Saco, ME) at room temperature for 1 hr. Following three washes in PBS, cell monolayers were incubated with a 1:400 dilution (in blocking buffer) of Cy2 conjugated anti-rabbit IgG (Chemicon International, Temecula, CA.) Zhang et al. Page 3

for 1 hr at room temperature. The chamber slides were rinsed in PBS, cover-slipped, and the number of fluorescence focus units (ffu) in each chamber well was counted. The viral titer was quantified as number of ffu multiplied by the dilution factor and volume plated.

Working virus stocks were prepared by diluting the six viruses in E-MEM to final titers of  $10^0$  to  $10^5$  pfu or ffu per 10 uL. All virus stocks were stored at  $-80^0$ C and were re-titred at the time of inoculation to confirm dose administered to mice.

#### **Animals**

For survival studies, litters of 3-day old CD-1 mice (Harlan Sprague Dawley Inc., Indianapolis, IN) were inoculated intracranially (i.c.) in the left parietal lobe near anatomical midline, midway between the eye and the ear. Inocula consisted of  $10^{0}$ - $10^{5}$  pfu or ffu of vaccinia virus in a 10 uL volume of E-MEM, or an equivalent volume of E-MEM alone as a negative control. The mice were observed twice daily over a 28 day period post-inoculation. Mice showing advanced signs of morbidity (dehydration and non-responsiveness to external stimuli) were humanely euthanized and included in mortality totals. All animal experiments were performed in accordance with the National Institute of Health Guide for the Care and Use of Laboratory Animals<sup>16</sup>.

For determination of virus growth in brain, litters of 3-day old CD-1 mice were inoculated i.c. with 10 uL of E-MEM containing  $10^1$  or  $10^2$  pfu or ffu of virus. At various times postinoculation, four to five mice in each virus-inoculated group were randomly selected then euthanized and brains were removed. Brains were homogenized (separately) in E-MEM +2% FBS (20% w/v) by mechanical disruption followed by ultrasonication. Brain homogenates were clarified by centrifugation and the virus concentration in supernatants was determined as described above (immunostaining of CEF inoculated cultures for brains inoculated with the MVA virus and Vero cell-based plaque assay for all others).

A subset of brains were assessed for virus antigen distribution on day 2 post inoculation as previously described 20. Briefly, whole brains were flash frozen in isobutene and cut into 8 um sagittal sections using a cryostat. Brain sections selected at standard distances from anatomical midline were then placed onto glass slides and fixed in acetone and stained immunohistochemically for virus antigen as described above (polyclonal rabbit anti-vaccinia virus followed by Cy2-conjugated anti-species secondary IgG). Sections were assessed using a fluorescence microscope.

## **Results**

#### **Mouse mortality**

Virus dose dependant mortality is shown in Figure 1. None of the mice inoculated with the MVA strain (at any dose), nor mice inoculated with negative control material (E-MEM) died or showed any signs of disease. For all other virus strains, signs of disease (which included dehydration, lethargy, hind limb paralysis, seizures, lack of response to external stimuli and death) were virus strain dependant and developed within 2 to 6 days of inoculation. Although disease onset and severity was virus strain and virus dose dependant (as reflected in Figure 1), the clinical picture was similar. During the first week post inoculation, mice developed seizures, partial paralysis and dehydration, followed by, in some mice, a lack of response to external stimuli. Mice not responding to external stimuli or were otherwise in a moribund state were euthanized for humane reasons and were considered as cases of mortality. After the second week, some of the surviving mice improved clinically, although not completely, as evidenced by residual paralysis, ruffled fur, and dehydration. There appeared to be a correlation between increasing virus dose and increased disease onset and frequency. Virus-specific  $LD_{50}$  values

are shown in Table 1. The lowest  $LD_{50}$  values (most virulent) were observed with the WR (1.5 pfu) and IHD-J (1.0 pfu) strains, reflecting the relatively low attenuation history of these viruses. Of the human vaccines strains, the Copenhagen strain was the most virulent, having an LD<sub>50</sub> of 6.6 pfu, followed by Lister (11.2 pfu), Dryvax® (44.7 pfu) and MVA (>10<sup>5</sup> ffu). This  $LD_{50}$  rank order parallels the known rates of post-vaccinal encephalitis associated with these vaccine strains.

Virus strain dependant mortality by dose is shown in Figure 2. At virus doses of  $\geq 10^3$  pfu, there was little to no difference in mouse mortality among the replication-competent virus strains, suggesting the inappropriateness of use of a virus dose  $\geq 10^3$  pfu in the pre-clinical neurovirulence assay. A similar conclusion was suggested by the data obtained using a dose of 1 pfu, in that no differences were seen between the neurovirulence of MVA and Dryvax®. Discrimination between the degree of neurovirulence of the strains was best using a dose of 10 or 100 pfu, with some indication of a slight sensitivity advantage using a dose of 10 pfu, although this was not statistically significant.

#### **Virus replication in mouse brain**

The kinetics of virus growth in mouse brain following inoculation of 10 and 100 pfu of virus is shown in Figures 3A and 3B, respectively. Virus was measured in mouse brain up until the mean day of mortality (calculated from the data shown in Figure 1). At a dose of 10 pfu, MVA could be recovered in low amounts from mouse brain over a two-day period following inoculation, likely reflecting the inoculum itself as opposed to productive replication of the virus. MVA could not be recovered from brains after day 2. A similar finding was seen using a dose of 100 pfu, where a titer approximating that of the inoculum was measured over a threeday period following inoculation, but no virus could be recovered thereafter. In contrast, the other five viruses (IHD-J, WR, Copenhagen, Lister, Dryvax®), at both doses (10 pfu and 100 pfu), productively replicated in mouse brain. Interestingly, at a dose of 10 pfu Dryvax®, Lister, and Copenhagen all replicated to similar peak titers (approximately  $1\times10^7$  pfu/g tissues), yet mortality rates differed significantly (30% to 55%). Likewise, at a dose of 100 pfu, IHD-J, WR and Lister replicated to similar peak titers (approximately  $1\times10^8$  pfu/g tissues) and Copenhagen and Dryvax replicated to similar peak titers (approximately  $1 \times 10^7$  pfu/g tissues), yet mortality rates differed significantly (84% for Lister vs. 100% for IHD-J and WR; 67% for Dryvax vs. 94% for Copenhagen). The only parameter consistently correlating with the  $LD_{50}$  data was speed of replication at early time points. At a dose of 10 pfu, by day 4 post inoculation, the rank order of the viruses in terms of concentration in the brain was IHD-J/WR > Copenhagen > Lister > Dryvax, all separated from each other by at least 10-fold (Figure 3A). The same pattern was seen by day 3 post inoculation at a dose of 100 pfu (Figure 3B).

An assessment of virus antigen distribution in mouse brain (data not shown) confirmed our earlier findings comparing mice injected with the Dryvax versus WR strains. In both the current and previous study  $2^0$ , at early time points (days 2 and 4 in the previous study and day 2 in the present study) vaccinia virus antigen expression in mice inoculated with the Dryvax strain was limited to periventricular areas whereas antigen expression in mice inoculated with the WR strain was more widely distributed, seen throughout the ventricular system as well as in the cortex, hippocampus and brain stem. A staining pattern similar to that of WR was seen in mice inoculated with the IHD-J strain. The distribution of virus in brains of mice inoculated with the Copenhagen and Lister strains at day 2 post inoculation appeared somewhat more extensive than that seen with Dryvax but not as widespread and intense as that seen with IHD-J and WR; however, this was highly variable between animals and was difficult to determine to a high degree of confidence. We did not examine antigen distribution at other time points.

### **Discussion**

Several different vaccinia virus-based smallpox vaccines were used in the eradication effort and nearly all were associated with complications, ranging from general side effects such as regional lymphadenopathy, myalgia, fever, nausea, and malaise to more severe complications such as post-vaccinal encephalitis, encephalomyelitis, encephalopathy, myopericarditis, vaccinia necrosum, and eczema vaccinatum. Following smallpox eradication, routine vaccination was discontinued, a move supported by the risk benefit ratio of use of reactogenic vaccines against a disease no longer in existence. However, in 2002 the U.S. and British governments called for the resumption of the manufacture of smallpox vaccine to create national stockpiles as a precautionary measure in the event of a bioterrorist attack using smallpox  $32$ . Following similar concerns voiced by several other countries, in 2004 the WHO proposed the establishment of a global smallpox vaccine reserve <sup>31</sup>. In response to this need, the manufacture of "new generation" smallpox vaccines commenced. It is hoped that the many improvements in vaccine manufacture and control since the production of early traditional smallpox vaccines will result in the production of safer, less reactogenic, vaccines 33.

One of the most serious adverse events following vaccination is post-vaccinal encephalitis. Rates of post-vaccinal encephalitis vary by vaccine strain, ranging from 44.9 cases per million vaccinations using the Bern strain (used in Germany and Austria in the 1950's and 1960's) to zero cases using the replication deficient MVA strain  $8,18,24,33$ .

National regulatory authorities require neurovirulence safety testing of live vaccines derived from viruses that target the central or peripheral nervous system  $^{17}$ , although the methods have not been defined. To address this need, we previously developed a prototype assay for vaccinia virus neurovirulence assessment  $20$ . Here, we have furthered this work, providing data in support of use of the assay as a pre-clinical tool in which the neurovirulence of candidate vaccinia virus strains can be assessed. In our studies, four vaccinia virus strains previously used in smallpox vaccine campaigns were tested: the Copenhagen strain, reportedly associated with 33.3 cases of post-vaccinal encephalitis per million vaccinations; the Lister strain, associated with 26.2 cases of post-vaccinal encephalitis per million vaccinations; and Dryvax® strain, associated with 2.9 cases of post-vaccinal encephalitis per million vaccinations; and the MVA strain, which has not been causally associated with neurology adverse events  $^{18}$ ,  $^{33}$ . Our data show that based on an assessment of mouse morbidity and mortality following intracerebral inoculation with 10 or 100 pfu of virus, the rank order of vaccine virus neurovirulence in humans, namely Copenhagen > Lister > Dryvax® > MVA, was identical to the rank order of vaccine virus neurovirulence in our mouse model. Also included in the assessment were the WR and IHD-J laboratory strains  $12, 20, 25, 28, 29$ , which, as expected, were more neurotoxic in mice than any of the vaccine strains. Of the two doses, neurovirulence discrimination among the virus strains appeared slightly better when 10 pfu of virus was used.

Based on virus replication kinetics in mouse brain, speed of replication, not peak titers, appeared to be the key factor responsible for virus strain-specific differences in mortality. Replication speed advantage at early stages of infection may allow the virus to briefly evade the host antiviral response, resulting in more rapid virus spread from the site of injection to other brain regions, resulting in increased virulence. While we saw clear evidence of increased early (day 2 post inoculation) virus spread in mouse brain upon comparing viruses at the more extreme ends of the virulence spectrum (e.g., IHD-J and WR vs. Dryvax), such differences were not as clear for more intermediate comparisons (e.g., Copenhagen vs. Lister or Lister vs. Dryvax), although the data did trend towards intermediate levels of spread by Copenhagen and Lister. In earlier comparative studies of vaccinia virus neurovirulence in intrathalamically inoculated monkeys, vaccinia virus strain-specific  $LD_{50}$ 's correlated with the spread of virus in brain at early time points 22. Whether or not our hypothesis that speed of replication and

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early virus dissemination are key factors in neurovirulence remains to be proven. Nonetheless, for the purpose of developing and using a pre-clinical neurovirulence safety assay, the use of virus growth kinetics in the brain or virus spread in the brain do not appear to be practical endpoints.

In conclusion, our data support the use of mice to assess the human neurovirulence potential of vaccinia virus-based smallpox vaccines. We propose for this assay that mortality be assessed following intracerebral inoculation of 3-day old CD-1 mice with 10 to 100 pfu of virus. The neurovirulence of a candidate vaccine strain should be assessed based on its performance in the assay relative to a "low" virulence replication competent reference virus, such as Dryvax®, using an appropriate confidence interval for the comparison, e.g., in a "no worse than" scenario. A "high" virulence replication competent reference virus, such as WR or IHD-J should be used as a positive assay control. Validation of this assay by independent laboratories would provide the basis for use of this model to support the development, licensure and use of safer newgeneration vaccinia virus-based smallpox vaccines.

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#### **Figure 1.**

Virus-induced mortality in mice assessed over a 28 day period following intracerebral inoculation with different vaccinia virus strains. Due to high mortality following administration of  $10^4$  pfu of the Lister and Copenhagen strains and following administration of  $10^3$  pfu of the IHD-J and WR strains, higher doses of these viruses were not tested to avoid unnecessary use of animals. In addition, for humane purposes, moribund mice were euthanized and included in mortality counts.

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#### **Figure 2.**

Dose dependant comparison of virus-induced mortality in mice assessed over a day period following intracerebral inoculation with different vaccinia virus strains Due to high mortality following administration of  $10^4$  pfu of the Lister and Copenhagen strains and following administration of  $10<sup>3</sup>$  pfu of the IHD-J and WR strains, higher doses of these viruses were not tested to avoid unnecessary use of animals. In addition, for humane purposes, moribund mice were euthanized and included in mortality counts. Of note, data for this figure was derived from Figure 1.

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#### **Figure 3.**

Infectious virus recovered from mouse brain measured following intracerebral inoculation with (A)  $10<sup>1</sup>$  pfu or ffu and (B)  $10<sup>2</sup>$  pfu or ffu of different vaccinia virus strains.

#### **Table 1**

## Vaccinia virus strain specific mouse  $LD_{50}$



*\** For humane purposes, moribund mice were euthanized and included in mortality counts.