

# NIH Public Access

**Author Manuscript**

*Thromb Res*. Author manuscript; available in PMC 2011 March 1.

Published in final edited form as:

*Thromb Res*. 2010 March ; 125(3): 210–215. doi:10.1016/j.thromres.2009.11.028.

# **Factor XII: What Does It Contribute To Our Understanding Of The Physiology and Pathophysiology of Hemostasis & Thrombosis**

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# **Abstract**

Factor XII (FXII) is a coagulation protein that is essential for surface-activated blood coagulation tests but whose deficiency is not associated with bleeding. For over forty years, investigators in hemostasis have not considered FXII important because its deficiency is not associated with bleeding. It is because there is a dichotomy between abnormal laboratory assay findings due to FXII deficiency and clinical hemostasis that investigators sought explanations for physiologic hemostasis independent of FXII. FXII is a multidomain protein that contains two fibronectin binding consensual sequences, two epidermal growth factor regions, a kringle region, a proline-rich domain, and a catalytic domain that when proteolyzed turns into a plasma serine protease. Recent investigations with FXII deleted mice that are protected from thrombosis indicate that it contributes to the extent of developing thrombus in the intravascular compartment. These findings suggest that it has a role in thrombus formation without influencing hemostasis. Last, FXII has been newly appreciated to be a growth factor that may influence tissue injury repair and angiogenesis. These combined studies suggest that FXII may become a pharmacologic target to reduce arterial thrombosis risk and promote cell repair after injury, without influencing hemostasis.

> In 1955, Oscar Ratnoff and Joan Colopy described a patient, 37 year old John Hageman, who was found to have a prolonged Lee-White clotting time that was obtained during routine preoperative screening. The patient had no hemorrhagic symptoms even though he had a remarkably prolonged whole blood and plasma clotting times in glass and silicone-coated glass tubes. The prolonged clotting time was corrected by small amounts of plasma from each of the other known clotting factor deficiencies. Ratnoff concluded that his patient was deficient in an unrecognized clotting factor which he named Hageman factor, later known as Factor XII (FXII) [1]. Further experiments indicated that Hageman factor (FXII) circulates as an inactive precursor (zymogen) that becomes "activated" (FXIIa) as clotting commenced.

> In 1961, Ratnoff and Davie demonstrated that Factor XI (FXI) was activated by FXIIa, contributing to the presentation of their waterfall cascade hypothesis for the blood coagulation system [2]. These studies encompass the major known properties of Factor XII, a protein that autoactivates upon exposure to negatively charged surfaces to become the enzyme Factor XIIa (α-FXIIa), which then activates FXI, prekallikrein (PK), and C1 esterases (C1r, C1s), the first components of the macromolecular complex of C1 and the classic complement cascade. FXIIa

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activation of PK forms plasma kallikrein that reciprocally activates FXII and liberates bradykinin (BK) from high molecular weight kininogen (HK). Bradykinin reproduces many aspects of an inflammatory state, such as vasodilation and increased capillary permeability, leading to edema and changes in arterial blood pressure. Plasma kallikrein also cleaves α-FXIIa to form β-FXIIa (FXII fragment or Hageman factor fragment) a 28 kDa catalytic domain alone that also activates the first component of complement [3]. Activation of FXI leads to a series of proteolytic reactions resulting in thrombin generation and the hemostatic pathway. Although it has been known that FXIIa activates FXI in vitro, the fact that FXII-deficient patients, along with PK- and HK-deficient individuals, do not have hemostatic defects indicates that FXII is not a physiologic hemostatic protein. However, knowledge about FXII is clinically significant since over 200 million activated partial thromboplastin times (APTT), a routine screening test for bleeding disorders, are performed annually in United States requires its presence to be normal. Further, as will be discussed below, FXII has recently been shown to have a role in intravascular thrombus formation and cell growth. This review will be organized on basis of FXII structure and what is known about its function. Additional sections will discuss the role of FXII in hemostasis and thrombosis.

# **Structural Basis for Factor XII function**

Coagulation Factor XII (Hageman factor, FXII) is produced and secreted by the liver. It is the product of a single gene that maps to chromosome 5 [4]. The gene for Factor XII is 12kb and is composed of 13 introns and 14 exons [5]. The FXII zymogen, consists of a heavy chain (353 residues) and a light chain (243 residues) held together by a disulfide bond (Figure 1), (Table 1). FXII consists of several structural domains. Starting from the N-terminus, the domains are a leader peptide, a fibronectin domain type II, an epidermal-growth-factor-like (EGF-like) domain, a fibronectin domain type I, a second EGF-like domain, a kringle domain, a prolinerich region and the catalytic domain (Figure 1). These domains are homologous to those found in other serine proteases, except for the proline-rich region which is unique to FXII. Each of these six regions of FXII are discussed in detail in the following sections.

#### **Fibronectin domain, type II homology**

The amino-terminal region of FXII (Figure 1) shares sequence homology with the type II homology regions of fibronectin. Residues 13–69 of FXII share 39% sequence homology (22/57 residues are identical) and 40% sequence homology (23/57 residues are identical) with the two fibronectin sequences, respectively, including the four half-cysteine residues [6]. The type II homologies that comprise the collagen-binding site in fibronectin may be responsible for the artificial surface-binding properties of Factor XII [7]. A putative binding site for negatively charged surfaces, has been mapped at the N-terminus of FXII that consists of residues 1–28, located in the fibronectin type II [8,9] and residues 134–153 in the fibronectin type I domain (Table 1) [10]. Structure/function analysis of human FXII using recombinant deletion mutants confirmed that the N-terminus of FXII contains a binding site for negatively charged activating surfaces [11].

In addition to an artificial surface binding site, the FXII fibronectin type II region contains a binding site for FXI that spans amino acid sequences 3–19 (Table 1) [12]. The FXII binding site on FXI is two-fold: a region on apple domain 4 (A4) and substrate recognition site at the FXI cleavage site (Arg369-Ile370) [13]. In addition to protein-protein interactions in solution, FXII has been demonstrated to bind to endothelial cells, neutrophils, and platelets [14–16]. On endothelial cells, a peptide of amino acids 39–47 block FXII binding to cultured endothelial cells (Table 1) [14]. On endothelial cells, FXII has been demonstrated to interact with the urokinase plasminogen activator receptor, gC1qR, and cytokeratin 1, the same receptors that have been demonstrated to be HK binding sites [17,18]. On platelets, FXII has been demonstrated to bind to the GPIba-IX-V complex [16]. FXII's interaction with human

umbilical vein endothelial cells requires a free zinc ion concentration to be 30-fold the constitutive level in plasma [14]. Thus in vivo, FXII is not constitutively bound to cells in the intravascular compartment. It binds cells only when the local zinc ion concentration is sufficiently high. In vivo, collagen-activated platelets liberate granule zinc ion sufficiently to support its cell binding interaction [14]. On FXII there are two recognized zinc binding sites in the fibronectin type II region, residues 40–44 and 78–82 [19]. Two other zinc ion binding sites are also postulated for residues 94–131 in the first EGF-like domain and residues 174– 176 in the second EGF-like domain [19]. The localization of the two zinc-binding loci in the N-terminal region of FXII and the finding that β-FXIIa does not contain any zinc-binding sites indicate that the role of this divalent cation is related to surface binding and the subsequent conformational change leading to its activation [19].

#### **EGF-like domains**

Two regions of FXII are homologous to an epidermal-growth-factor-like sequence that has been found in many proteins including transforming growth factor type 1, tissue plasminogen activator (tPA), single chain urokinase plasminogen activator and several clotting factors. In each of these proteins, there is a highly conserved region of 50 amino acids with nine invariant cysteine and glycine residues [20]. The carboxyl-terminal growth factor domain also contains the invariant glycine residues; in the amino-terminal domain, however, one invariant glycine residue has been replaced with a histidine. Epidermal growth factor (EGF) is a known mitogen for a variety of cells and stimulates a pleiotropic response in target cells, including increased DNA and protein synthesis [21]. The mechanism of EGF action is characterized by EGF receptor binding and autophosphorylation followed by phosphorylation of tyrosine residues in intracellular proteins like the mitogen-activated/extracellular signal-regulated protein kinase (MAPK/ERK) pathways. FXIIa has been recognized to regulate the expression of the monocyte FcγII receptor [22]. FXII and α-FXIIa have also been shown to enhance cell proliferation,  $[3H]$ thymidine incorporation, and  $[3H]$ leucine incorporation in HepG2 cells [23,24]. Furthermore, FXII induces MAP kinase in Hep G2 cells and smooth muscle fibroblasts [23, 25]. However, currently it is not known if its EGF-like domains mediate these activities.

#### **Fibronectin type I region**

Separating the two growth factor-like regions of FXII is a 43 amino acid peptide that shares limited sequence homology with the type I regions of fibronectin, each of which are characterized by two disulfide bonds giving a two-loop structure that has been named a "finger" domain [6]. Its precise function is not known, but it has been characterized to participate in artificial surface binding by Citarella *et al.* [26].

#### **Kringle domain**

Another type of homology found in FXII is the kringle domain (Figure 1). Kringle domains are typically 80 amino acids in length and form three characteristic disulfide bonds. The kringles in FXII and tPA, share approximately 41% sequence homology. Its function in FXII is also unclear but it has been proposed as a putative artificial surface binding site as well [11].

#### **Proline-rich region**

The kringle structure is followed by a region in which 33% of the residues are proline. This region does not share any sequence homology with other proline-rich proteins. The significance of this region in FXII remains undetermined.

#### **Catalytic domain**

The catalytic domain of FXII is the single largest region and the region of the protein that is best known (Figure 1). The active site of FXIIa consists of 3 amino acids, H394, D442, and S544, indicating that in vivo the catalytic domain is globular bringing these 3 residues in close apposition. Proteolytic cleavage of its R353-V354 site converts single chain zymogen FXII (80 kDa) to α-FXIIa. In vivo, this cleaved protein circulates as a two chain protein, a heavy chain of ~50 kDa (353 residues) held together by a disulfide bond between two cysteines with a ~30 kDa light chain (243 residues). Reduction of the disulfide bond liberates the ~30 kDa light chain as β-FXIIa (Hageman factor fragment, HFf, β-HFa) [27,28]. Although retaining its proteolytic activity towards protein substrates, β-FXIIa is unable to bind to negatively charged surfaces and hence to promote clotting [29].

The critical issue that is still unsettled is how does FXII become activated in vivo? FXII is activated by proteolytic cleavage by plasma proteinases, such as plasma kallikrein and plasmin (fluid-phase activation). We have shown that on cultured endothelial cells, the serine protease prolylcarboxypeptidase activates prekallikrein (*Km*=9 nM) and its formed plasma kallikrein leads to kinetically favorable FXII activation  $(K_m=11\,\mu\text{M})$  [30]. This mechanism has not been demonstrated in vivo yet. In addition to this proteolytic pathway, FXII upon contact with negatively charged surfaces autoactivates (solid-phase activation) into  $\alpha$ -FXIIa [29,31]. In vitro, formed α-FXIIa results in PK activation to plasma kallikrein (*Km*=2.4 µM) with reciprocal activation of FXII  $(K_m=11 \mu M)$  and activation amplification of the system. The mechanism for FXII autoactivation is not completely known. Upon adhering to surfaces there is a change in the structure of FXII as indicated by circular dichroism and sum frequency generation studies [32,33]. However, the molecular basis for FXII autoactivation will only be solved by crystallographic studies.

Negatively charged surfaces such as glass, kaolin, ellagic acid, sulfatide micelles, high molecular weight dextran sulfate, bismuth subgallate, dacron, polyethylene, silicone rubber, and various polymers support FXII autoactivation. Biologic substances that support FXII autoactivation include articular cartilage, skin, fatty acids, endotoxin, sodium urate crystals, calcium pyrophosphate, L-homocysteine, hematin, protoporphyrins, heparins, chondroitin sulfate, and phosphatidylserine, phosphatidylglycerol, phosphatidic acid, and phosphatidylinositol. The ability of excess negatively charged material in vivo to produce disease was recently driven home by the clinical outcomes of patients who received lots of porcine heparin sulfate adulterated with chondroitin sulfate [34]. Patients who received the chondroitin sulfate-adulterated heparin had allergic reactions and hypotension due to FXII autoactivation with secondary formation of plasma kallikrein leading to bradykinin formation and C3 activation [34].

Although a number of biologic substances had been shown to support FXII activation, it has always been questioned as to whether FXII autoactivation occurs during endogenous physiologic or pathophysiologic activities in vivo. New interest has arose recently on FXII autoactivation occurring in vivo by the recognition that FXII contributes to the extent of induced thrombus formation. This observation will be discussed below in the section on thrombosis. Recently, several biologic substances elaborated about developing thrombus have been shown to support FXII autoactivation. These substances include extracellular RNA [35], polyphosphates with chain lengths greater than 75 subunits released from platelet granules upon platelet activation [36], aggregated proteins [37], and collagen-exposed in arterial tissue [38]. Last, FXII activation also occurs under conditions of sepsis, by the negatively charged surface provided by bacteria [39]. Alternatively, microbial enzymes can activate FXII by direct proteolysis [40].

The major plasma protease inhibitor of  $α$ -FXIIa and β-FXIIa is C1 esterase inhibitor (C1 inhibitor, C1INH), accounting for greater than 90% of the inhibition of these proteases in plasma [41]. C1 inhibitor binds both of these enzymes and irreversibly inactivates them. When associated with a kaolin surface, FXIIa is protected from C1 inhibitor inactivation [42]. Antithrombin III has some inhibitory effect on FXIIa [43]. Heparin, even at therapeutic levels, does not, however, significantly enhance the ability of ATIII to inhibit FXIIa [43]. A deficiency (type 1) or defect (type 2) in C1 esterase inhibitor results in the inflammatory condition called hereditary angioedema, a disorder associated with tissue swelling due to local increased bradykinin formation [44]. A type of hereditary angioedema has also been recognized to arise out of a constitutively active form of FXIIa with normal C1 esterase inhibitor [45].

#### **Regulation of FXII expression**

Little is known about FXII expression. The FXII gene has a consensus estrogen-response element and estrogen therapy is known to increase liver production of FXII [46]. The hepatocyte nuclear factor-4 (HNF-4) transcription factor inhibits estrogen induction of the FXII promoter in fibroblasts but not in HepG2 cells where it potentiates estrogen-induced FXII expression [47]. HNF-4 null mice also show reduced FXII expression [48]. This latter mechanism may be important to understand the mechanism(s) for deficiency seen in patients with apparent FXII decrease.

# **Role of Factor XII in Hemostasis and Thrombosis**

#### **Role in hemostasis**

FXII deficiency as already stated is not associated with a bleeding state both in man and mouse. Recently, Spronk et al. demonstrated that tissue factor (TF) is the physiologic initiator of blood coagulation leading to hemostasis [49]. Mice with total deficiency of FXII and low TF are viable and phenotypically similar to low TF mice with normal FXII expression. In contrast, superimposed FXI deficiency on the low TF background results in death in utero[49]. Thus, FXII is not essential for hemostasis, even though it is essential for a normal result of surfaceactivated blood coagulation tests used for diagnosis of potential bleeding disorders [50].

#### **Role in thrombosis**

New interest in FXII has arisen, however, by the observation that FXII deficient mice have delayed times for induced arterial thrombosis using various techniques [51,52]. These observations suggest that FXII in the developing clot contribute to the extent of thrombosis seen. The mechanism by which this may be occurring has not been precisely described, but is believed to be related to FXII autoactivation on substances like platelet polyphosphates, extracellular RNA, and or exposed collagen in the arterial wall [35–38]. To date, no investigation has shown formed FXIIa in developing or developed thrombus. Collagen exposure leads to FXII autoactivation which in flowing blood contributes to the extent of thrombus [38]. Inhibition of platelet activation or FXIIa activity in developing thrombus in flowing blood reduces thrombus formation [38]. These combined studies suggest that FXIIa inhibitors may reduce the extent of arterial thrombus in flowing blood. If so, FXIIa inhibitors may be clinically useful for thrombus reduction arising in coronary artery disease, thrombotic stroke, and peripheral vascular disease surgery.

The above investigations in murine models do not completely support, however, interpretations of patient studies. FXII deficiency was once thought to represent a risk for venous thrombus [53]. However a careful investigation in 350 Dutch patients with idiopathic deep venous thrombosis did not result in an increase in heterozygous factor XII deficiency over controls [54]. More recent investigations suggest that FXII may be related to coronary artery thrombosis [55,56]. A polymorphism in which 46C to T substitution in the 5'-untranslated region of FXII gene resulting in reduced plasma FXII levels was recognized to confer protection from acute coronary syndrome and to lower thrombosis risk [57,58]. Conflicting data were reported, however, suggesting that the TT genotype of this polymorphism is associated with higher risk of coronary disease [57,59]. Results from the Study of Myocardial Infarction-Leiden (SMILE) project demonstrate an inverse relation between FXII levels and risk of myocardial infarction [60]. However, mortality for patients with severely low FXII levels  $(1-10\%$  of normal) is similar to mortality for the median of the population [61]. These clinical data do not completely follow from the observations with FXII deleted mice. Low FXII does not protect from arterial thrombosis as would be predicted from the murine knockout investigations. This finding is not surprising because only 15–20% normal levels of FXII are sufficient to support all its surfaceassociated proteolytic activity. Recently Sabeter Lleal et al. described two new mutations, a C/G substitution at position-8 and a C/T substitution at position -17 of the FXII gene. Both mutations are located in the promoter region of the FXII gene in a putative binding site for the hepatocyte nuclear factor 4- $\alpha$  transcription factor (HNF4 $\alpha$ ) [62]. HNF4-a is a liver-enriched transcription factor that influences expression of FXII [63]. Each mutation summates with the 46C/T polymorphism to lower plasma FXII levels.

#### **Summary**

It remains essential to recognize and understand the role of FXII in order to interpret the mechanism for normal values of surface-activated blood coagulation assays. FXII may also be a contributor to the extent of arterial thrombosis. Inhibitors to FXII may have a role in reducing arterial thrombus formation in, e.g. coronary artery disease and arterial bypass surgery without increasing patient's risk to bleed. Last, FXII is a growth factor and may have a role in tissue repair and post-natal angiogenesis after injury.

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#### **Figure 1.**

Structural Basis of FXII Function: FXII is divided into several domains. Top structure: amino acid sequence; bottom structure, linear diagram color coding each of the regions on the protein. Amino acids -19-1: leader peptide, 1–88: fibronectin type II domain, 94–131: EGF-like domain, 133–173: fibronectin type I domain, 174–210: EGF-like domain, 215–295: kringle domain, 296–349: proline-rich region, 354–596: catalytic domain or light chain. Amino acids 1–353 are the so-called heavy chain. Each of these areas is highlighted in the same color as the linear cartoon below it. This figure is adapted from Cool and MacGillivray [5].

#### **Table 1**

# The Regions of Factor XII: Structure/Function

