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# Paclitaxel enhances early dendritic cell maturation and function through TLR4 signaling in mice

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#### Abstract

Subclinical doses of Paclitaxel (PTX) given 1 day prior to a HER-2/*neu* (neu)-targeted, granulocytemacrophage colony stimulating factor (GM-CSF)-secreting whole-cell vaccine enhances neuspecific T cell responses and slows neu<sup>+</sup> tumor growth in tolerized HER-2/*neu* (*neu*-N) mice. We demonstrate that co-administration of PTX and Cyclophosphamide (CY) synergizes to slow tumor growth, and that *in vitro*, DC precursors exposed to PTX before LPS maturation results in greater co-stimulatory molecule expression, IL-12 production, and the ability to induce CD8<sup>+</sup> T cells with enhanced lytic activity against neu<sup>+</sup> tumors. PTX treatment also enhances maturation marker expression on CD11c<sup>+</sup> DCs isolated from vaccine-draining lymph nodes. *Ex vivo*, these DCs activate CD8<sup>+</sup> T cells with greater lytic capability than DC's from vaccine alone-treated *neu*-N mice. Finally, PTX treatment results in enhanced antigen-specific, IFN-γ-secreting CD8<sup>+</sup> T cells *in vivo*. Thus, administration of PTX with a tumor vaccine improves T cell priming through enhanced maturation of DC.

#### Keywords

Paclitaxel; Cyclophosphamide; Dendritic Cell; Cross-presentation; Immunotherapy; Immunomodulation; Combination Therapy

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**Conflict of Interest Statement.** Under a licensing agreement between Cell Genesys and the Johns Hopkins University, Johns Hopkins University is entitled to milestone payments and royalties on sales of the human vaccine product that is similar to the mouse vaccine described in this report. The terms of these arrangements are being managed by the Johns Hopkins University in accordance with its conflict of interest policies.

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#### Introduction

The success of cancer vaccines as single agents has been limited in cancer bearing hosts. [1; 2] Most pre-clinical studies suggest that vaccines work best in minimal residual disease, which has led to the exploration of combining vaccines with standard cancer treatments such as chemotherapy and radiation, since these modalities can often reduce overall tumor burden or activate the immune system. [3;4;5;6;7;8] Standard treatment doses of chemotherapy, however, are often detrimental to the effectiveness of tumor vaccines, most likely due to their cytotoxic effects on activated T cells. Therefore, subclinical doses of standard chemotherapeutic agents have been tested in both animal models and clinical trials for their ability to synergistically enhance the immune activity of vaccines. Standard agents such as Doxorubicin, 5-Fluorouracil, and Gemcitabine have been shown to enhance antigen presentation by inducing apoptosis of tumor cells. [9:10:11] Similarly, alkylating agents like Melphalan and Mitomycin C can upregulate the expression of CD80 and CD86 co-stimulatory molecules on tumor cells, thereby conferring greater susceptibility as targets for CD8<sup>+</sup> T cell lysis. [12] In addition, subclinical doses of Cyclophosphamide (CY) have been shown to increase immune activity by selectively killing T regulatory cells (Tregs) and inducing T helper 1 type T cell responses. [13;14;15; 16;17] Paclitaxel (PTX) is an anticancer drug originally derived from the bark of the Pacific yew tree. It is best known clinically for its ability to stabilize microtubules, thus preventing cell division and causing cell death. [18] At sub-therapeutic doses, however, PTX has also been found to mimic the action of Toll-Like Receptor (TLR) agonists such as lipopolysaccharide (LPS), thereby inducing the maturation of mouse macrophages and major histocompatibility complex (MHC) class II expression on mouse dendritic cells (DC). [19;20] The mechanism by which PTX results in enhanced antigen presenting cell (APC) function is thought to be due to signaling through the TLR4/MD-2 receptor complex. These data strongly suggest that PTX can enhance the activation of APCs including DC, and thus, make it an excellent candidate for combining with immunotherapeutic approaches.

We have used the *neu*-N model of mammary tumors to study the efficacy of granulocytemacrophage colony stimulating factor (GM-CSF)-secreting whole cell vaccines. In the *neu*-N model, the rat HER-2/neu (neu) gene, under the control of the mouse mammary tumor virus (MMTV) promoter, is overexpressed in the mammary tissue of FVB/N mice. [21] The resulting *neu*-N mice are tolerant to neu when compared to parental FVB/N mice. [1;2;15] Vaccination with whole cell vaccines that express neu and secrete GM-CSF leads to delays in tumor growth, but not tumor rejection in *neu*-N mice. We have shown that tumor rejection can occur if a subclinical dose of Cyclophosphamide (CY) is given at the time of T cell priming, one day prior to whole cell vaccination. We also reported that administering PTX at the time of T cell priming, one day prior to vaccination with the GM-CSF-secreting whole-cell vaccine, can enhance *neu*-specific T cell responses and cure small tumor burdens in tolerant *neu*-N mice. In addition, the degree of T cell enhancement was similar to what was observed with CY. [15]

Here we show that combining both CY and PTX at the time of T cell priming, enhances the overall T cell activity of the GM-CSF-secreting vaccine, when compared with vaccine given with either chemotherapeutic agent alone. In contrast to CY, PTX does not deplete Tregs. Instead, we show that PTX enhances the maturation of early DC precursors by signaling through TLR-4. Furthermore this enhanced maturation results in enhanced priming of activated CD8<sup>+</sup> T cells by PTX-primed CD11c<sup>+</sup> DC *in vivo*. These findings have important implications for designing combinatorial vaccine approaches for the treatment of cancer.

#### Materials and Methods

#### Mice

FVB/N mice were purchased from Harlan or Taconic Laboratories. *Neu*-N mice [21] were purchased from Jackson Laboratories, and a breeding colony established and maintained at the Johns Hopkins University Animal Facilities. Clone 100 T cell receptor (TCR) transgenic mice, derived from FVB/N mice that express the high-avidity RNEU<sub>(420–429)</sub>–specific TCR in the majority of peripheral CD8<sup>+</sup> T cells were generated as described, bred to a RAG background and maintained at the Johns Hopkins University Animal Facilities. [22]Experiments were performed on 8- to 12-week-old mice using AAALAC compliant protocols approved by the Animal Care and Use Committee of the Johns Hopkins University School of Medicine.

#### **Cell Lines and Culture**

The NT2.5 tumor cell line, 3T3neuGM vaccine and 3T3GM mock vaccine cell lines were cultured as previously described. [1;2] Dendritic cells were cultured as described by Inaba. [23] Briefly, bone marrow dendritic cells were cultured at  $1 \times 10^6$  cells/ml in 10ng/ml of GM-CSF, which was replenished on days 2 and 4 of a 6 day culture. On day 6, dendritic cells were matured with 800ng/ml LPS for 2 days prior to analysis, or as described in the figures. Where indicated, PTX was added to dendritic cells during culture and/or maturation.

#### **Tumor Challenge Studies**

*Neu*-N mice were challenged subcutaneously (sub-q) in the mammary fat pad with  $5 \times 10^4$  NT2.5 cells. When applicable, on day 2, tumor challenged mice were injected intraperitoneally with CY (100mg/kg), PTX (20mg/kg), or the combination of CY+PTX. On day 3 post-tumor challenge, mice were subcutaneously injected with 3T3neuGM or 3T3GM at a dose of  $1 \times 10^6$  cells in each of three limbs contralateral to the tumor-challenged mammary fat pad. Challenged mice were examined for tumor growth and tumor size was measured every 5 to 7 days until the tumors reached 1cm in diameter.

#### **Dendritic Cell and T cell Isolation**

Tissues were disrupted by mincing and incubation in a digestion medium containing 2mg/ml Collagenase D (Roche), 0.14 mg/ml DNAse I (Invitrogen), and RPMI (GIBCO) for 30 minutes at 37°C. Cell mixtures were pressed through a 40 $\mu$ M nylon mesh screen and washed twice in PBS containing 0.5% BSA (Sigma) and 2mM EDTA (GIBCO). CD11c<sup>+</sup> cells were enriched by positive selection using magnetic beads (Miltenyi). For flow cytometry, Fc $\gamma$  receptors were blocked using FcBlock anti-CD16/32 antibody (BD Pharmingen). CD8<sup>+</sup> T cells were purified using Dynal CD8<sup>+</sup> T cell isolation kits as per the manufacturer's instructions.

#### **Flow Cytometry**

T cells and dendritic cells were washed once in FACS buffer (HBSS, 2 % FBS, .05% NaAzide), resuspended in FACS buffer containing specific antibodies diluted to the manufacturer's recommendations, and incubated at 4 degrees Celcius for 30mins. Cells were washed twice in FACS buffer and analyzed on a Facscaliber (BD). Data was analyzed using FlowJo (Treestar, San Diego, CA). Anti-CD11c-APC (HL3), anti-CD40-PE (3/23), anti-CD86-PE (GL1), anti-MHC class II PE (M5/114.15.2) anti-IL12-PE (C15.6) were purchased from BD Pharmingen.

#### **Chemotherapeutic Agents**

Paclitaxel (PTX (Bristol-Myers Squibb, Princeton, NJ) and Cyclophosphamide (CY, Mead Johnson) were diluted in PBS prior to injection. CY was given intraperitoneally (i.p.) at a dose of 100mg/kg. PTX was injected i.p. at 20mg/kg. *In vitro*, PTX was used at ranges of 5nM to 100uM as described in the figures.

#### Peptides

 $RNEU_{(420-429)}$  (PDSLRDLSVF) and  $NP_{(118-126)}$  (RPQASGVYM) peptides were synthesized to greater than 95% purity at the Johns Hopkins Biosynthesis and Sequence Facility.  $RNEU_{(420-429)}$  is the immunodominant epitope of rat HER-2/neu in FVB/N mice, and has been described previously[24]. The  $NP_{(118-126)}$  peptide is from the lymphocytic choriomeningitis virus nucleoprotein. [25]

#### In vitro T cell generation

T cells were isolated from the spleens of C100/RAG mice by nylon wool purification and CD8<sup>+</sup> T cell isolation kit (Invitrogen/Dynal) and cultured with *in vitro* generated dendritic cells. Dendritic cells were cultured as described with or without PTX, matured with LPS, and pulsed with either NP control or RNEU<sub>(420–429)</sub> peptide. T cells and DCs were cultured at a 1:1 ratio for 5 days prior to the chromium release assay.

#### **Chromium Release Assay**

Lysis assays were performed in triplicate in 96-well V-bottom plates as previously described [1]. Briefly, target cells were resuspended in 100 ul CTL medium and labeled at a concentration of 0.2 mCi of Cr-51 per  $2 \times 10^6$  target cells. Target cells were incubated at  $37^{\circ}$ C and 5% CO<sub>2</sub> for 1 h. Cells were washed in CTL medium and resuspended at  $6 \times 10^4$  cells/ml in RPMI 1640. To pulse peptide onto targets, 100 ul of peptide in RPMI 1640 was added to 50 ul targets for 1 h at room temperature in each well. After the removal of 100 ul of supernatant, 150 ul of T cells in CTL medium was added for the indicated E:T ratio. After a 4-h incubation, 100 ul of supernatant was assayed for Cr-51 release and percent specific lysis was determined by the formula: (( $51^{Cr}$  release sample - spontaneous  $51^{Cr}$  release target alone)/(maximum  $51^{Cr}$  release target alone - spontaneous  $51^{Cr}$ 

#### **TLR Blocking Studies**

Dendritic cells were isolated as described above, and cultured in Complete medium (RPMI, FBS 10% (Invitrogen), L-glutamine .5% (Invitrogen), Penicillan/Streptomycin 1% (Invitrogen)) for 6 days prior to maturation with 800ng LPS. Blocking was achieved by adding 2 or 10 ug of the anti-TLR4 antibody MTS510 (eBiosciences) at the beginning of culture up to the LPS maturation.

#### Statistical Methods

Data were analyzed using ANOVA, Kruskal-Wallace (assuming no Gaussian distribution), and Unpaired Student's T-test as appropriate as described in the figure legends.

#### Results

### PTX and CY demonstrate enhanced vaccine induced antitumor activity *in vivo* when compared with vaccine given with either agent alone

We previously demonstrated that sub-clinical doses of PTX and CY given one day prior to vaccination with a GM-CSF secreting, neu-targeted whole cell vaccine can enhance neuspecific T cell responses and cure small burdens of tumor in *neu*-N mice. [15] In addition, we reported that CY enhances the vaccine efficacy by eliminating cycling CD4<sup>+</sup>CD25<sup>+</sup>FOXP3<sup>+</sup> T regulatory cells (T regs) and recruiting high avidity T cells to the immune response. [13] PTX is cytotoxic to immune cells when given at high doses. However, the mechanism by which it enhances vaccine induced antitumor immunity when given at low doses has not been completely studied. We hypothesized that if PTX and CY work through similar mechanisms, we would not expect to see a synergistic anti-tumor response when PTX and CY are combined

with the GM-CSF secreting tumor vaccine compared to the response seen when either agent is given alone with the vaccine. To confirm that PTX works by a different mechanism than CY, tumor challenged *neu*-N transgenic mice were given the same doses of PTX, CY, or CY +PTX, and lymphocytes isolated from the spleen and tumor draining lymph nodes of mice were analyzed daily for up to 8 days post injection for CD4<sup>+</sup>FoxP3-expressing Tregs. As expected, PTX did not alter the number of Tregs from baseline. In addition, the combination of PTX+CY did not deplete Tregs to a greater extent than CY alone, indicating that the two

Since CY and PTX work through different mechanisms, we hypothesized that the combination of PTX + CY given one day prior to the GM-CSF-secreting vaccine would lead to better survival than either drug given alone. To test this hypothesis, we vaccinated NT tumor-bearing *neu*-N mice with 3T3 cells transfected with the neu and GM-CSF genes (3T3neuGM vaccine) or 3T3GM mock vaccine in combination with ip injections of the active doses of PTX (20mg/kg), CY (100mg/kg), or PTX + CY given one day prior to vaccination. [13;15] Mice were monitored twice a week for tumor development and changes in tumor size. The combination of PTX+CY administered one day prior to 3T3neuGM vaccination reduced the rate of tumor growth compared to groups that received vaccine with either PTX or CY alone. In addition, between 50–70% of mice receiving the combination of PTX+CY remained tumor free up to 31 days post tumor implantation before progression was observed (Figure 1B). These data demonstrate that the combination of CY+PTX+3T3neuGM vaccine is more potent at treating tumor-bearing *neu*-N mice than either chemotherapeutic agent alone, thereby supporting our hypothesis that CY and PTX work by different mechanisms.

## PTX treatment of DC precursors prior to LPS maturation enhances maturation marker expression on DC

drugs work by different mechanisms (Figure 1A).

We previously reported that PTX must be given at immune modulating doses 1 day prior to whole-cell GM-CSF-secreting vaccination to adequately enhance the induction of T cellmediated anti-tumor immunity. [15] These in vivo findings and the data presented in Figure 2 suggest that PTX affects DC differentiation at an early time point rather than at the final maturation stage that likely occurs about 5-6 days after DC exposure to GM-CSF. To examine this possibility, bone marrow derived cells were cultured with 10nM PTX and GM-CSF for 6 days prior to maturation with LPS. DCs cultured with the combination of PTX+GM-CSF from day 0 of culture and not matured showed slight increases in MHCII and IL-12 expression. (Figure 2, 1<sup>st</sup> Column Set) Upon maturation with LPS on day 6, DCs pre-cultured with PTX +GM-CSF showed a significant increase in expression of CD86, CD40, MHCII, and IL-12 compared to DCs cultured with GM-CSF alone and matured with LPS (Figure 2, 2nd Column Set). DCs cultured in PTX followed by maturation with various concentrations of PTX lead to an increase in MHC II and CD86 expression, but not to the same degree as observed when DCs cultured in PTX are then matured with LPS (Figure 2, Column Set 3 through 5). In follow up experiments, bone marrow derived DCs were also exposed to PTX beginning at different time points (day 1, day 3 and day 5) following the initiation of an in vitro culture to determine whether PTX can enhance DC differentiation at different stages of DC differentiation. Enhanced maturation was not observed when DCs were exposed to PTX at these later time points (data not shown). PTX was used as a maturation signal instead of LPS, but did not act as a maturation signal using increased MHC II, CD40, and CD86 expression as readouts (data not shown), which concurs with our earlier in vivo finding that PTX must be given early in the vaccination cycle. Together, these findings suggest that PTX affects DCs at an early developmental stage and may have a synergistic effect with GM-CSF on DC progenitor cells since PTX alone does not induce the degree of differentiation seen with GM-CSF alone.

#### PTX-treated DC induce tumor antigen-specific T cells with enhanced lytic ability

The experiments described above demonstrate that PTX can enhance the early maturation of DCs in vitro. In addition, we have previously demonstrated that 30% of neu-N mice treated with a sub-clinical dose of CY and 3T3neuGM vaccine reject neu-expressing (NT) tumors. [15] In CY+vaccine treated mice, rejection of pre-established tumors is associated with the induction of a high avidity T cell response to the immunodominant  $RNEU_{(420-429)}$  peptide [13]. In contrast, high avidity T cells are not found in *neu*-N mice with progressing tumors. [24] Therefore, we assessed whether this enhanced maturation of DCs in the presence of PTX would also enhance DC activation of naïve high avidity  $RNEU_{(420-429)}$ -specific T cells. Bone marrow derived DCs from FVB/N mice cultured in PTX+GMCSF or GM-CSF alone and matured with LPS, were pulsed with RNEU(420-429) peptide and cultured with naïve high avidity RNEU<sub>(420-429)</sub>-specific CD8<sup>+</sup> transgenic T cells (C100 T cells). C100 T cells stimulated for 5 days were then tested for their ability to lyse neu-expressing tumors in a 4hr <sup>51</sup>chromium release assay. As shown in figure 3A, C100 T cells, stimulated with in vitro PTX-cultured DCs, demonstrated a 2-fold enhanced ability to kill neu-expressing tumors when compared with DCs cultured in GM-CSF alone, suggesting that the enhanced expression of activation markers by PTX-cultured DCs results in enhanced activation of CD8<sup>+</sup> T cell responses. As a more physiologic approach, we also evaluated whether in vivo-generated DCs naturally activated by either the GM-CSF vaccine alone or in combination with ip. PTX can also activate naïve T cells isolated from C100 transgenic mice. To address this, CD11c<sup>+</sup> DCs were purified from vaccine draining lymph nodes of mice vaccinated six days earlier with the 3T3neuGM alone or the 3T3neuGM vaccine given in sequence with PTX. DCs were then incubated for 5 days with naïve C100 T cells. Our data demonstrate that in vivo PTX+vaccinegenerated DC can generate RNEU(420-429)-specific T cells with enhanced lytic compared to DC from mice treated with vaccine alone (Figure 3B). In addition, this enhanced ability of DCs to prime CD8<sup>+</sup> T cell responses suggest that PTX stimulation through TLR4 may be enhancing the ability of DC to cross present antigen.

The above studies demonstrate that *in vitro* and *in vivo* matured DC when exposed to PTX can enhance the activation of a population of clonal T cells. However, a more biologically relevant question is whether the *in vivo* matured DC can also enhance naturally occurring endogenous T cell responses. To address this question, endogenous  $CD8^+$  T cells isolated from FVB/N mice treated with the 3T3neuGM vaccine alone or PTX+vaccine were tested for functional reactivity to RNEU<sub>(420–429)</sub> peptide nine days after vaccination. Isolated and purified CD8<sup>+</sup> T cells taken from the spleen of each mouse were incubated for 5 hours with T-2D<sup>q</sup> cells pulsed with RNEU<sub>(420–429)</sub> peptide and assessed for the number of RNEU<sub>(420–429)</sub>-specific CD8<sup>+</sup> T cells expressing IFN- $\gamma$ . As expected, a greater percentage of IFN- $\gamma$ -secreting RNEU-specific CD8<sup>+</sup> T cells were indeed isolated from mice treated with the combination of PTX+vaccine when compared with mice treated with vaccine alone (Figure 3C). These data clearly demonstrate that PTX treatment of DCs enhances the *in vivo* induction of endogenous RNEU<sub>(420–429)</sub>- specific CD8<sup>+</sup> T cell responses.

#### TLR4 blockade prior to PTX exposure abrogates DC maturation in vitro

PTX has been shown to mimic LPS in Natural Killer (NK) cells and macrophages by signaling through TLR4. [19;20] It is therefore probable that PTX acts through TLR4 in DC albeit at an earlier developmental stage as suggested by the data above. To investigate this possibility, bone marrow derived DCs were treated with either GM-CSF alone, GM-CSF+PTX, or GM-CSF +PTX and the anti-TLR4 blocking antibody, MTS510. The TLR4 blocking antibody was added to the culture for the first 6 days of *in vitro* exposure to PTX to insure that the effects observed were on the initial PTX binding to DC. DCs were LPS matured on day 6 and tested for the expression of DC activation markers. As shown in Figure 4, the enhanced maturation by PTX was decreased by 50% when DCs were exposed to TLR4 blockade. In addition, the surface

phenotype of DCs cultured in the presence of the anti-TLR4 blocking antibody mimicked the phenotype of DCs cultured in the absence of PTX. These data further support our findings demonstrating that PTX acts on DC maturation at an early time point and by signaling through the TLR-4 receptor.

#### Discussion

To our knowledge, this is the first report demonstrating that PTX can enhance antigen-specific CD8<sup>+</sup> T cell responses through enhanced maturation of DC precursors that have been recruited to a vaccine site. The data reported here reveal two findings that elucidate an important mechanism by which PTX can enhance DC maturation and function. First, we show that PTX, acting through the TLR4 signaling pathway on DC, can induce more rapid and effective differentiation and function of DC. Unlike LPS, which provides a late and final maturation signal to DC through the TLR4 signaling pathway, PTX acts on the earliest DC precursors. Second, PTX can enhance DC surface expression of co-stimulatory molecules and the secretion of the T cell activating cytokine, IL-12.

Chemotherapeutic agents, like PTX, have long been a first line, cytotoxic, treatment for cancer. [26] However, increasing evidence suggests that these agents can have both positive and negative consequences on the immune system. Agents like 5'-Aza-2'-deoxycytidine can induce antigen expression in tumor cells through an epigenetic demethylation process, thereby increasing the immune response to that antigen. [27] CY, an alkylating agent, is cytotoxic to all T cells at standard doses of antitumor therapy. However, at sub-clinical doses, it can selectively eliminate Tregs, allowing tumor-specific T cells to become activated. [13;28] Similarly, clinical doses of PTX given in conjunction with immunotherapeutic vaccines are cytotoxic to the T cells that are supposed to be activated by the vaccine. Yet, a few studies have shown that PTX can also have immune modulating effects when given at sub-clinical doses of chemotherapeutic agents on the immune system will provide new insights into how to integrate chemotherapies with immunotherapies, thereby enhancing the effects of both treatment modalities.

Our previously reported data has shown that PTX, at sub-clinical doses, enhances the potency of GM-CSF-based whole cell vaccines. Specifically, PTX given one day prior to vaccination, at the time of initial T cell priming, induced greater numbers of IFN gamma-secreting T cells that were associated with tumor growth retardation. Furthermore, altering the sequence of PTX and vaccine failed to improve and often worsened the antitumor immune effects of the combination, suggesting that enhancing CD8<sup>+</sup> T cell activity through the improved activation of DC was one possible mechanism that PTX was improving tumor therapy. [15] In our previous work and the work presented here, PTX given with mock vaccine (3T3GM) results in a slight delay in tumor growth suggesting that PTX may have a small direct cytotoxic effect on the tumor. However, we have focused this work on PTX's role as a DC function enhancer because it results in a significant increase in CD8<sup>+</sup>T cell activity and improve antitumor activity in vivo. PTX has also been reported to enhance the function of APCs including macrophages and DC in multiple ways. PTX can increase the rate of tumor apoptosis, which is thought to lead to DC activation through release of heat shock protein associated complexes and the Damage Associated Membrane Protein (DAMP) pathway. [31;32;33;34;35;36] Release of ATP from tumor cells can also activate DCs through the purigenic P2RX7 receptors. [37] Alternatively, PTX has been shown to activate macrophages and DCs through the TLR-4 pathway in a similar way to what has been observed for LPS. Specfically, PTX induced maturation and secretion of TNF $\alpha$ , IP-10 and IL-1 $\beta$  in murine macrophages. [38;39] Recently, doses of PTX that cause minimal tumor death have been shown to increase the activity of GTPases in DCs in vitro. In addition, low-dose PTX, has been shown to increase lymphocyte

IFN- $\gamma$  secretion in tumor draining lymph nodes in conjunction with intratumoral DC vaccination. [40;41] One other study has shown that PTX can enhance DC activation *in vitro* (antigen presentation to CD8<sup>+</sup> T cells, IL-12 secretion, and costimulatory marker upregulation). [42] Finally, PTX, like LPS, has been reported to provide a late maturing signal to differentiated APCs, resulting in the final upregulation of surface co-stimulatory molecules required for effective T cell activation. [43]

We have extended these previously reported findings to show that PTX given with a GM-CSFsecreting whole cell vaccine can enhance DC antigen presentation, IL-12 secretion, and CD8<sup>+</sup> T cell activation in vivo, demonstrating the utility of PTX as adjuvant for immunotherapy. Our data also demonstrate that PTX is acting through the TLR-4 pathway. However, in contrast to what has been previously reported for macrophages, we have found that PTX does not have the ability to provide the final maturation signal to DCs in the same way as LPS.[44] Instead, PTX appears to act at the earliest stages of DC differentiation. Blocking TLR-4 does inhibit this PTX function by 50% when DCs are treated in the presence of both a TLR-4 blocking antibody and PTX. It is not surprising that TLR-4 blocking does not completely inhibit DC maturation since PTX still requires the presence of GM-CSF, the most important DC growth and differentiation factor, to achieve enhanced maturation. Our finding that PTX is acting through TLR-4 on DC is in agreement with previously reported data demonstrating that PTX can act through MyD88 and the TLR-4 pathway on mouse monocytes. [45] Our *in vivo* experiments further suggest that PTX is enhancing the immune response through a synergistic interaction with our GM-CSF vaccine because low-dose PTX given alone cannot slow tumor growth. Therefore, it is unlikely that immune modulating doses of PTX enhance CD8<sup>+</sup> T cell responses through enhanced tumor destruction by itself, even if apoptotic tumor cells are taken up more efficiently by DC. However, PTX given in conjunction with our mock vaccine did slightly retard tumor growth, indicating that some DC activation may have occurred through a small amount of tumor destruction when GM-CSF is also present to attract DC, however, the immune response induced by the mock vaccine is not long-lasting and does not result in long-term tumor suppression.

We also previously reported that PTX enhanced the induction of IFN-y-secreting CD4<sup>+</sup> T cells in response to neu-expressing mammary tumors in vivo. [15] Findings from these studies can now explain this observation. Our studies demonstrate that PTX given in sequence with a GM-CSF secreting tumor vaccine induces activated DC to secrete IL-12 in the vaccine draining lymph nodes. PTX has been shown to increase IL-12 secretion by DCs in the presence of dying tumor cells, which in turn act as adjuvants for DCs. [36] In addition, other studies have shown that low dose PTX given systemically prior to intratumoral DC vaccinations leads to a generalized increase in IFN-y secretion and T cell infiltration. [41] It is also likely that the PTX induced increased expression of MHC class II and co-stimulatory molecules by DCs enhances the activation of tumor-specific IFN-γ-expressing CD4<sup>+</sup> T cells. Furthermore, increased CD4<sup>+</sup> T cell activation has been shown to lead to reciprocal "super activation" of DCs and increased CD8<sup>+</sup> T cell activation through the process of licensing [46;47;48] Finally, increased IL-12 production leads to increased IFN-γ production and a Th1 type immune response, which is advantageous in anti-tumor responses. Thus, our GM-CSF-secreting whole cell tumor vaccine likely provides multiple avenues for PTX enhanced DC activation. Specifically, our whole cell vaccine is irradiated prior to subcutaneous administration, which enhances the degradation of the vaccine cells allowing them to act as an adjuvant. At the same time, GM-CSF secretion leads to DC maturation. With TLR-4 activation as a consequence of systemic low-dose PTX, and the neu antigen being presented in the draining lymph nodes, DCs are "super-activated" at the site of vaccination allowing for superior priming of CD4<sup>+</sup> and CD8<sup>+</sup> T cells.

The enhanced CD8<sup>+</sup> T cell activity observed when PTX is given with a GM-CSF-secreting tumor vaccine may occur for several reasons. First, PTX induces increased surface expression of co-stimulatory molecules on DCs, which in turn may lead to enhanced co-stimulation of both CD4<sup>+</sup> and CD8<sup>+</sup> T cell populations during priming. It is also possible that PTX enhances DC expression of peptide:MHC complexes on its surface leading to enhanced CD4<sup>+</sup> and CD8<sup>+</sup> T cell activation. Finally, it is likely that PTX activation of DCs early during their maturation process that is occurring in the presence of tumor antigens, enhances antigen processing and presentation through cross-priming, ultimately leading to enhanced CD8<sup>+</sup> T cell responses. We have demonstrated that PTX does enhance co-stimulatory molecule expression by DCs. Others have shown that PTX upregulates co-stimulatory molecules as well as the antigen processing machinery of DCs including the immunoproteosome subunits LMP 7 and 10. In one study, 5nM PTX increased LMP 7 and 10 expression by at least 30% which could affect the activation of some T cell populations. [42] This correlates well with our data using PTX ranges of 1–10nM. Given that the transgenic T cells used in our studies are high avidity, it is more likely that the signaling threshold through the TCR was at a maximum and that enhanced co-stimulation led to enhanced T cell activation. [49] However, an increase in both DC antigen presentation and co-stimulatory molecule expression is likely required to explain the enhanced expansion of CD8<sup>+</sup> T cells also observed with PTX treatment.

Our data provide additional support for combining PTX with vaccines for testing in patients with cancer. In addition, understanding the mechanism by which PTX synergizes with the vaccine opens up new avenues for combining chemotherapeutic agents with multiple mechanisms of immune enhancing activity to be given together with vaccines. As an example, our data also demonstrate that the combination of CY and PTX can synergize to enhance the efficacy of a GM-CSF-secreting whole cell vaccine approach compared to each agent given alone with the vaccine. We previously demonstrate that CY can preferentially deplete Tregs and in doing so, enhance the activation and expansion of high avidity neu-specific CD8<sup>+</sup> T cells. [13] With the addition of PTX to CY and the vaccine, we now demonstrate that tumor growth is retarded and that overall survival is increased when compared to vaccine given with either agent alone. Thus, it should be possible to target different mechanisms of immune suppression by combining low doses of approved chemotherapeutic agents with a vaccine thereby enhancing the antitumor responses of different arms of the immune system at the same time.

PTX has been tested clinically in multiple fashions including combinations with tumor-specific monoclonal antibodies, radiotherapy, DC adoptive transfer, and as a single agent. In each case the combination and has been proven to enhance the antitumor effects of the tested form of immunotherapy or demonstrated increased immune activation. These studies have focused mostly on standard cytotoxic doses of PTX and on its direct tumoricidal killing effects in combination with tumor-specific monoclonal antibodies. [50;51;52;53] Tumor-specific antibodies have been shown to inhibit tumor growth through direct tumor cytotoxicity as a result of targeting an important growth factor signaling pathway. [54] However, increasing data suggests that monoclonal antibodies also induce antitumor effects through a number of immune based mechanisms including ADCC and enhanced DC cross-priming by FC-receptor activation of DCs. [55;56;57;58;59]} Our study provides new evidence for incorporating low doses of PTX for the direct enhancement of DC priming. However, additional studies are warranted to determine whether immune modulating doses of PTX can synergize with other types of immunotherapies even those that do not target DC.

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Α.

15 - Lymph Node - CY % CD4<sup>+</sup> Foxp3<sup>+</sup> Lymph Node - PTX Spleen - CY 10 + Spleen - PTX \*\* 5 0 2 6 8 0 4 Days D2 LN: p = <0.0001 D2 SP: p = <0.0001 D4 LN: p = 0.0002 D4 SP: p = 0.0010 D6 LN: p = 0.0014D6 SP: p = 0.0010 Β. 150· 🕂 Vac 🗗 Cy + Vac Tumor Area (mm²) -+ PTX + Mock 100 -A PTX + Vac ✓ Cy + PTX + Vac 50 \*\* 0 A 9 24 ᡐ 3A 0 20



Day

**A**. Tumor-bearing *neu*-N mice received 20mg/kg PTX, 100mg/kg CY, or both via intraperitoneal injection followed by vaccination with 3T3neuGM or 3T3GM mock vaccine cells. Spleens and vaccine-draining lymph nodes were collected at the indicated time points post vaccination. CD4 and *Foxp3* expression was measured by flow cytometry and the percent of CD4<sup>+</sup> cells positive for *Foxp3* was determined. Data were analyzed each day with P values < .05 for both ANOVA and Kruskal Wallace. Individual groups were then compared by Unpaired Student's T-test with \* P < 0.001 for CY vs PTX treated splenocytes; \*\* P < 0.001 for CY vs PTX treated splenocytes; \*\* P < 0.001 for CY vs PTX treated lymph node cells. **B**. The combination of CY and PTX delays the growth of NT2.5 tumors compared to CY or PTX alone. Mice were tumor challenged and treated as described in the Methods. Mice were monitored every 5 to 7 days. Weekly time points are

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shown to simplify the graph. Groups from day 29 to 48 were analyzed by Kruskal-Wallace and ANOVA p <.002 and .0001 respectively. Individual time points were analyzed by Unpaired Students t-Test. \* P < 0.01 for Cy + PTX + Vac versus CY + Vac and PTX + Vac; \*\* P < 0.001 for CY + PTX + Vac combination versus CY + Vac, PTX + Vac, and combination + mock vaccine. Vac = vaccine. Data not shown: Mock: overlays Vac, CY + Mock: Overlays PTX + Mock, and CY + PTX + Mock: overlays CY + Vac.

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#### Figure 2. PTX affects DC phenotype at an early differentiation stage

Maturation marker expression levels were analyzed by flow cytometry in DCs cultured for 6 days in either GM-CSF (dark columns) or 10 nM PTX + GM-CSF (white columns) w/o maturation with LPS (None), DCs cultured with PTX + GM-CSF and matured with LPS (LPS), and DCs cultured with PTX + GM-CSF and matured with various concentrations of PTX (column sets 3–5) At least 10,000 DCs were analyzed for expression of CD86, CD40, MHC Class II, and IL-12. Data are shown as the mean plus standard deviation and are representative of 3 individual experiments. P values from Student's t-Test compare DCs cultured with GM and matured with LPS and DCs cultured in GM + PTX and matured with LPS.



 $*p \le 0.02$ 

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Β. 100-- o- Vaccine - p50 100-Vaccine - NP -⊦·Vac 80-Percent Lysis -🖪 · PTX + Vaccine - p50 - PTX + Vac 80-60-PTX + Vaccine - NP Percent Lysis 60-40-20 I **40** 0-9 20 60 80 ò 20 40 100 Effector:Target Ratio 0ò 20 40 60 80 100 Effector: Target Ratio \*p ≤ 0.01



\*p ≤ 0.002

C.

#### FVB/N Splenocyte CD8s



Figure 3. PTX matures DCs with enhanced ability to induce high avidity CD8<sup>+</sup> T cells with higher lytic activity

A. FVB/N-derived bone marrow DCs were cultured in 20ng/ml GM-CSF with or without 10nM PTX and matured with LPS as described in the Methods. At maturation, DCs were pulsed with 20ug of RNEU<sub>(420–429)</sub> and cultured with Clone100 transgenic T cells for five days. C100 T cells were then used in a 4hr chromium release assay of NT2.5 tumor cells. Data shown is representative of 3 total experiments, and standard deviations for each point are less than 10% of the mean. P values from a Student's t-Test are less than .02 for the points indicated. B. DCs generated in vivo with PTX enhance CD8<sup>+</sup> T cell lysis. Tumor challenged neu-N mice were vaccinated with or without PTX as described in the Methods. Seven days post-vaccination, DCs were isolated from vaccine draining nodes, pulsed with 10ug of  $RNEU_{(420-429)}$  (Upper panels) or used directly (Lower panels) to stimulate naïve C100 T cells for 5 days prior to Cr-51 release assay. Targets were peptide-pulsed T-2D<sup>q</sup> (Left panels) or NT2.5 tumor cells (**Right panels**). T-2D<sup>q</sup> cells pulsed with NP<sub>(118-126)</sub> were used as a specificity control. In experiments using T-2D<sup>q</sup> as target cells, groups were tested by ANOVA and Kruskal-Wallace p < .02. In the experiment using freshly isolated DCs and T-2D<sup>q</sup> targets, individual points from PTX + vaccine versus vaccine alone were compared by Student's t-Test p<.002. For experiments using NT2.5 tumor cells as target, individual dilution points were compared by Student's t-Test. The p values are shown on the graph. Each experiment was repeated 3 times with similar results. C. PTX treatment increases the frequency of antigen-specific CD8<sup>+</sup> T cells in vivo. CD8<sup>+</sup> T cells were isolated from FVB/N mice 9 days after vaccination with 3T3neuGM or PTX+3T3GM and tested for RNEU $_{(420-429)}$  reactivity by ICS as described in the Methods. Percent IFN- $\gamma$  cells are shown. Data is representative of 2 experiments.

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#### Figure 4. TLR4 blockade abrogates the effect of PTX on DC development

DCs were cultured as described in the Methods with or without the addition of  $10\mu$ g/ml anti-TLR4 until maturation with LPS on day 6. The p values shown compare PTX-treated, LPS matured DC's vs PTX-treated, LPS matured DC's incubated in the presence of TLR4 blocking antibody by paired Students t-Test. Data was pre-analyzed by ANOVA and Kruskal-Wallace. All eight columns and the last four columns were compared. For IL-12, CD86, and MHCII, overall ANOVA and Kruskal-Wallace scores were p<.0001 and <.002, respectively. For the last four columns the scores were ANOVA p<.0001 and Kruskal-Wallace p<.02. The experiment shown is representative of 3 repeated experiments.