

Published in final edited form as:

J Otolaryngol Head Neck Surg. 2010 April ; 39(2): 157–166.

Notch1 Signaling Is Activated in Cells Expressing Embryonic Stem Cell Proteins in Human Primary Nasopharyngeal Carcinoma

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Abstract

Objective: To explore the expression of Notch1 signaling pathway in nasopharyngeal carcinoma (NPC).

Methods: We performed immunocytochemistry on surgically resected NPC using antibodies against embryonic stem (ES) cell proteins and against Notch1 signaling components.

Results: We found that ES cell protein markers SOX2 and OCT4 were expressed in a subpopulation of cells for all three subtypes of NPC but barely in the normal control. Double immunostaining shows that SOX2- and OCT4-positive cells coexpressed proliferative markers, suggesting that human NPC may contain cancer stem-like cells. In addition, we found that Notch1 signaling was activated in NPC. Confocal images show that the Notch1 signaling activated form and Hes1, a downstream target of Notch1 signaling, was predominantly found in SOX2- and OCT4-positive cells.

Conclusion: Our findings suggest that the Notch1 signaling pathway might be a regulator of cancer stem-like cells in NPC.

Keywords

cancer stem cells; nasopharyngeal carcinoma; Notch1 signaling; OCT4; proliferation; SOX2

Nasopharyngeal carcinoma (NPC), which arises from the mucosal epithelium of the nasopharynx, is one of the most poorly understood and commonly misdiagnosed diseases.¹ Although rare in most parts of the world, it is one of the most common cancers found in southern China and Southeast Asia, where an annual incidence of more than 20 cases per 100,000 is reported.¹⁻³ Because NPC occurs in an anatomic site that is poorly accessible to surgeons, high-dose radiotherapy with or without concurrent chemotherapy is the primary treatment.⁴ The 5-year survival rate of about 34 to 52% has not changed significantly over the decades, despite intensive efforts to develop effective therapy.^{4,5} Numerous studies have linked NPC to infection of the Epstein-Barr virus.⁶ The molecular mechanisms underlying the carcinogenesis of NPC, however, remain unresolved.

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Financial disclosure of reviewers: None reported.

Studies have shown that a small subpopulation of cells present in leukemic humans exhibit marked heterogeneity with respect to extensive proliferation and a self-renewal capacity that is not found in the majority of tumour cells.^{7,8} After transplantation into mice with severe combined immune deficiency (SCID), these cells can form tumours that phenotypically resemble the patient's original tumour.^{7,8} These cells are necessary and sufficient to sustain leukemia and are therefore tumorigenic. This notion has subsequently been verified in several solid tumours, including cancers of the breast,⁹ brain,¹⁰ prostate,¹¹ ovary,¹² colon,¹³ and pancreas.¹⁴ These cells have been termed cancer stem cells (CSCs) because they possess characteristics associated with normal stem cells, specifically the ability to give rise to all cell types found in a particular cancer sample. A recent study showed that side population (SP) cells, a subpopulation of human NPC cell line CNE-2, display stem cell characteristics *in vitro* and are able to form tumours *in vivo*.¹⁵ However, whether CSCs are present in human primary NPC remains unclear.

The findings of CSCs are very important not only for our understanding of the biologic behaviours of cancer cells but also for opening new strategies to treating cancers. Growing evidence has shown that CSCs may contribute to some individuals' resistance to cancer therapy. A plausible explanation is that CSCs have not been completely destroyed. Thus, therapeutic strategies that specifically target CSCs should destroy tumours more effectively than current treatments while reducing the risk of relapse and metastasis. Consistent with this notion, a recent study shows that SP cells have a strong tumorigenic ability and are more resistant to chemotherapy and radiotherapy, which may result in NPC recurrence.¹⁵ If this hypothesis holds true, CSCs could be the most promising target for new therapies.

In addition, despite the huge growth in the CSC field, the biological behaviours of CSCs remain largely unexplored. Notch signaling defines a fundamental pathway controlling cell fate acquisition during embryonic development.¹⁶ New evidence shows that Notch signaling has been found in many solid tumours, plays diverse roles in different malignancies, and affects differentiation, proliferation, survival, cell-cycle progression, angiogenesis, and possibly self-renewal.^{17,18} Recent studies show that Notch signaling promotes medulloblastoma "stem cell" survival¹⁹ and is involved in tumour initiation in pancreatic cancer.²⁰ However, the roles of Notch1 signaling in NPC are less well understood.

In the present study, 32 patients with NPC, including (1) a well-differentiated keratinizing type, (2) a moderately differentiated nonkeratinizing type, and (3) an undifferentiated type according to the World Health Organization (WHO) classification, were examined by immunocyto-chemistry.²¹ We found that embryonic stem (ES) cell markers SOX2 and OCT4 were expressed in a small subpopulation of cells for all three subtypes of NPC. Double immunostaining shows that the ES-immuno-reactive cells coexpressed proliferative markers, suggesting that NPC in humans may contain cancer stem-like cells. In addition, we also found that the Notch1-activated form and Hes1, a downstream target of Notch1 signaling, were predominantly expressed in SOX2- and OCT4-positive cells, indicating the activation of Notch1 signaling in these cells. Our findings suggest that Notch1 signaling-mediated cancer stem-like cells might have a role in the tumorigenesis and progression of NPC in humans.

Materials and Methods

Nasopharyngeal Tumour Tissue Specimens

Human NPC specimens were obtained from the patients undergoing surgical resection at the Department of Otolaryngology, the First Affiliated Hospital, Wenzhou Medical College, between 2001 and 2008. Tumours were diagnosed and classified at the Department of Pathology of Wenzhou Medical College by the attending neuropathologist according to the WHO guidelines.²¹ Patients ranged in age from 18 to 82 years, with a mean of 46.6 years. Five

of them were classified as well-differentiated keratinizing type, 18 specimens as moderately differentiated nonkeratinizing type, and 9 specimens as undifferentiated type. Five normal human nasopharyngeal specimens without clinical and histologic evidence of diseases were obtained and used for controls. The resected tumours were fixed in 10% formaldehyde and embedded in paraffin for histo-pathologic diagnosis. All studies involving patients were conducted under the protocol approved by the Institutional Research Review Board at Marin General Hospital and Wenzhou Medical College, China.

Immunocytochemistry

The specimens embedded in paraffin were cut at 6 μm thickness and sections were deparaffinized with xylene and rehydrated with ethanol following antigen retrieval using antigen unmasking solution (Vector Laboratories, Burlingame, CA) according to the manufacturer's instruction. After blocking peroxidase activity with 1% H_2O_2 , sections were incubated in blocking buffer (2% horse serum, 0.2% Triton X-100, 0.1% bovine serum albumin [BSA] in phosphate-buffered saline [PBS]) for 1 hour at room temperature. After several washes with PBS, sections were incubated in blocking solution (2% goat serum, 0.1% Triton X-100, 1% BSA in PBS) for 1 hour at room temperature. The primary antibodies used were (1) rabbit antihuman Ki-67 (1:50; Zymed Laboratories, South San Francisco, CA); (2) goat antiminichromosome maintenance 2 (MCM2; 1:100; Santa Cruz Biotechnology, Santa Cruz, CA); (3) rabbit polyclonal anti-SOX1 (1:200; Chemicon, Temecula, CA); (4) mouse monoclonal antiproliferating chain nuclear antigen (PCNA; 1:200; Chemicon, Temecula, CA); (5) mouse monoclonal anti-CD133 (1:100; Miltenyi Biotec, Bergisch Gladbach, Germany); (6) mouse monoclonal antihuman-specific nestin (1:200; Chemicon); (7) rabbit polyclonal anti-OCT4 (1:500; Chemicon); (8) rabbit polyclonal anti-SOX2 (1:200; Abcam, Cambridge, UK); (9) goat polyclonal anti-Notch1 (1:100; Santa Cruz Biotechnology); (10) rabbit polyclonal anti-NICD (intra-cellular domain of Notch; 1:500; Abcam); (11) goat polyclonal anti-Jagged1 (1:100; Santa Cruz Biotechnology); (12) rabbit polyclonal anti-Hes1 (1:500; Chemicon); and (13) mouse monoclonal anti-C-kit (1:100; BD Pharmingen, San Diego, CA). Primary antibodies were added in blocking buffer and incubated with sections at 4°C overnight. Sections were then washed with PBS and incubated with biotinylated goat antirabbit or antigoat antibody (1:200) (for polyclonal antibodies) or biotinylated horse antimouse antibody (1:200) (for monoclonal antibodies) for 1 hour at room temperature. Avidin-biotin complex (Vector Elite; Vector Laboratories) and a diaminobenzidine or nickel solution (Vector Laboratories) were used to obtain a visible reaction product. Controls for immunohistochemistry included preabsorption and coincubation of the antibodies with the corresponding antigens. Sections were dehydrated, sealed, and coverslipped. A Nikon microscope and a Magnifire digital colour camera were used for examination and photography of the slides, respectively.

Double- or Triple-Label Immunocytochemistry

Double- or triple-label immunocytochemistry was performed as previously described.^{22,23} The primary antibodies used were, in addition to the list described above, mouse monoclonal anti-Ki-67 antigen (1:50; Novocastra, Newcastle upon Tyne, UK). The secondary antibodies were Alexa Fluor 488-, 594-, or 647-conjugated donkey antimouse, antigoat, or antirabbit IgG (1:200–500; Molecular Probes, Carlsbad, CA). Nuclei were counterstained with DAPI using proLong Gold antifade reagent (Molecular Probes). Fluorescence signals were detected using an LSM 510 NLO Confocal Scanning System mounted on an Axiovert 200 inverted microscope (Carl Zeiss Ltd, Chester, VA) equipped with a two-photon Chameleon laser (Coherent Inc., Santa Clara, CA). Images were acquired using *LSM 510 Imaging Software* (Carl Zeiss Ltd). Two-, three-, or four-colour images were scanned using argon, 543 HeNe, 633 HeNe, and Chameleon (750–780 nm for DAPI) lasers. Selected images were viewed at high magnification, and three-dimensional images were constructed using *Imars* software

(iMARS Software Systems, Beverly Hills, CA). Controls included omitting either the primary or secondary antibody or preabsorbing primary antibody.

Cell Counting

The proliferating index was defined as the percentage of Ki-67- or PCNA-immunopositive cells divided by the total number of cells in the evaluated area. For the incidence of stem/progenitor protein expression in the nasopharyngeal tumour, immunopositive cells in the section were evaluated as cell density. Depending on the size of the nasopharyngeal tumour, three to eight viable fields from the area of maximal labeling were chosen for counting. All counts were conducted at a magnification of $\times 400$ by using an eyepiece grid covering an area of 0.0625 mm^2 . Vascular components and hematogenous cells were excluded from analysis.

Statistical Analysis

Quantitative data were expressed as mean \pm SEM from at least three experiments. Analysis of variance and Student *t*-test were used for statistical analysis, with *SPSS for Windows* (version 16.0, SPSS Inc, Chicago, IL); $p < .05$ was regarded as statistically significant.

Results

The transcription factors SOX2 and OCT4 are highly expressed in ES cells and are necessary for ES cell pluripotency. To investigate whether human primary NPC contains a subpopulation of tumour cells with features of stem cells, we first performed immunocytochemistry on the NPC sections using the ES cell protein markers SOX2 and OCT4. We found that both SOX2 and OCT4 were selectively expressed in a subpopulation of human primary NPC cells (Figure 1, A and B) but barely in normal controls. To determine the specificity of the antibodies used, human ES cells (H9 cell line) were cultured in the differentiating media, and immunostaining was performed. We confirmed that SOX2 and OCT4 proteins were expressed in the nuclei of human ES cells (Figure 1C). In addition, we also found that a subpopulation of cells from NPC expressed several other stem/progenitor protein markers, including SOX1, nestin, an intermediate filament protein expressed by neural stem cells, and CD133, a novel five-transmembrane segment cell-surface protein originally shown to be a hematopoietic stem cell marker and recently found to be a marker of normal human neural stem cells²⁴ (Figure 1, B and D). However, a number of other neural stem/progenitor protein markers, including doublecortin, TUC4, and NeuroD, were not expressed in human primary NPC (data not shown).

Self-renewal is a hallmark of stem cells and cancer. A stemness program could play an important role in cancer development and progress. Therefore, we performed immunostaining on NPC sections using antibodies against Ki-67 antigen, which binds to nuclear proteins in the G₁, S, G₂, and M phases of the cell cycle,²⁵ against PCNA and against MCM2. As shown in Figure 2, A–C, we found that the majority of NPC cells were proliferative. The proliferation index in a well-differentiated keratinizing type was significantly different from that of a moderately differentiated nonkeratinizing type and an undifferentiated type ($p < .05$). However, there was no significant difference between nonkeratinizing types and undifferentiated types ($p > .05$).

We then asked whether tumour cells immunoreactive for ES cell protein exhibited features associated with cell proliferation. Double immunolabeling was conducted using antibodies against Ki-67 antigen, together with antibodies against the stem cell markers, including SOX2, OCT4, CD133, C-kit, and nestin. Immunopositive cells were scanned by confocal laser scanning microscopy, and z-stack images were reconstructed using *Imars* software to ensure that both proteins were truly expressed in the same cell. As shown in Figure 3A, a subset of cells that expressed SOX2 were also reactive for Ki-67 antigen, consistent with a proliferative

phenotype. However, only a few of the CD133- and nestin-positive cells expressed Ki-67 protein, suggesting that some of them might be retained in the G₀ stage of cell cycle (Figure 3, B–D).

Notch signaling has been suggested to be involved in a wide variety of human cancers. Thus, we next examined whether Notch1 signaling was activated in human primary NPC. We found that expression of Notch1 and Jagged1, a Notch1 ligand, increased in the primary NPC, compared with the normal control and adjacent non-neoplastic tissue (Figure 4A). NICD, an activation form of Notch1 signaling, and Hes1, a downstream Notch1 target, were expressed in Notch1-positive cells (Figure 4, B and C). The specificity of Notch1, NICD, and Hes1 was confirmed by Western blot using recombinant proteins as described in our previous publication.²⁶ Double labeling shows that most NICD-positive cells expressed Ki-67, suggesting that Notch1-activated cells were proliferative (Figure 4D).

The link between Notch1 signaling and ES cell protein-positive cells in NPC was explored by double immunolabeling. As shown in Figure 5, NICD was expressed in SOX2- and OCT4-positive cells. In addition, Hes1 was also observed in ES cell protein-positive cells, suggesting that Notch1 signaling was activated in these cells in human primary NPC.

Discussion

In this study, we found that ES cell protein markers SOX2 and OCT4 were expressed in a small subpopulation of cells in human primary NPC. Double immunostaining shows that some of these cells were proliferative. In addition, we also found that Notch1 signaling components were expressed in NPC cells. Confocal images show that a Notch1-activated form and its downstream target were expressed in the SOX2- and OCT4-positive cells, suggesting that Notch1 signaling was activated in these cells. Our findings suggest that NPC may also contain cancer stem-like cells, and Notch1 signaling may be involved in the biological behaviours of these cells in human primary NPC.

OCT4 and SOX2 are two key transcription factors that are highly expressed in ES cells. Studies have shown that they are crucial for maintaining the pluripotent state of ES cells.^{27,28} ES cells lose the capacity to maintain pluripotency on knockdown of expression of these transcription factors by ribonucleic acid interference.^{29,30} In combination with Klf4 and c-Myc, their overexpression can induce both mouse and human somatic cells to exhibit the morphology and growth properties of ES cells and express ES cell marker genes, which have thus been designated to be induced pluripotent stem cells.^{31–33} These findings suggest that these factors are critical regulators for ES cell pluripotency. We confirm that SOX2 and OCT4 are expressed in human ES cells. Importantly, we also find that SOX2 and OCT4 are expressed in a small subpopulation of cells in human primary NPC, consistent with a recent study demonstrating that these transcript factors are expressed in cancer cells.³⁴ With no unique or definitive biochemical and histochemical markers to label stem cells in general, cancer stem cells (CSCs) remain difficult to identify. Given that only a limited amount of biopsy specimens of human NPC are available, we are not able to isolate enough NPC cells for an in vitro self-renewal assay or for transplantation into sublethally irradiated nonobese diabetic SCID mice in vivo. However, SOX2- and OCT4-positive cells coexpress Ki-67, MCM2, and PCNA, suggesting that these cells are proliferative and may have self-renewal capacity, which is a hallmark of stem cells and cancer and stemness program, and could play an important role in cancer. Our data suggest that these dividing ES cell protein-positive cells might be cancer stem-like cells. It remains to be resolved if both of these markers are of functional importance for NPC. Given the role of these factors in normal stem cell proliferation and maintenance, the overexpression of OCT4 and SOX2 could contribute to the pathologic self-renewal characteristics of CSCs in human NPC.

A key goal in cancer research is to identify the mechanism by which CSCs arise and self-renew. Notch signaling is a highly conserved mechanism that regulates specific cell fate decision, controlling diverse events such as cell fate determination, proliferation, apoptosis, and stem cell maintenance during development. Its role in controlling adult stem cell proliferation has now been demonstrated for several cell types, including hematopoietic, neural, and mammary stem cells.³⁵ New evidence indicates that Notch activation plays a role in the onset and progression of many human malignancies.³⁶ Notch signaling was first linked to tumorigenesis through the identification of a recurrent (7; 9) (q34; q34.3) chromosomal translocation involving the human Notch1 gene that is found in a small subset of human pre-T-cell acute lymphoblastic leukemias.³⁷ Growing evidence indicates that Notch signaling is likely to be involved in the pathogenesis of a variety of other human tumors, including neuroblastomas, skin cancer, lung cancer, and prostate cancer.³⁶ Studies show that the pathogenesis could be mediated via gain of function mutation, ligand-mediated activation of the Notch signaling, and down-regulation of the Notch pathway.³⁶

On ligand binding, Notch is proteolytically cleaved by γ -secretase to release the NICD, which subsequently translocates into the nucleus, where it binds to transcription factor CSL (CBF1 in humans, Suppressor of Hairless in *Drosophila*, LAG in *Caenorhabditis elegans*), also called RBPJk (recombination binding protein J kappa) in mice.³⁸ The NICD/CSL interaction converts CSL from a transcriptional repressor into a transcriptional activator by displacing the corepressor complex and recruiting coactivators, which, in turn, regulate expression of Notch target genes,¹⁶ including members of the basic helix-loop-helix hairy/enhancer of split (Hes) family and the related HRT/Herp (Hes-related repressor protein) transcription factor family. We find that both NICD and Hes1 are observed in cells of NPC, suggesting activation of Notch1 signaling in human primary NPC. More importantly, our data show that the majority of Notch1-activated cells are ES cell protein-positive cells. Consistent with our finding, studies show that Notch signaling plays an important role in controlling the fate of putative breast CSCs³⁹ and medulloblastoma stem cells.⁴⁰ Given the established role of Notch signaling in tumorigenesis of other solid tumours, our results invite the speculation that Notch1 signaling may also contribute to NPC development and be involved in mechanisms underlying the regulation of biological behaviours of cancer stem-like cells in human primary NPC. Increasing evidence indicates that tumour-initiating (cancer stem) cells may contribute to treatment resistance and relapse. Therefore, effective targeting of these cells may open a new therapeutic approach for cancers including human primary NPC.

Acknowledgments

Financial disclosure of authors: This work was partially supported by Wenzhou Medical College 5010 grant and National Institutes of Health grant AG21980 (to K.J.).

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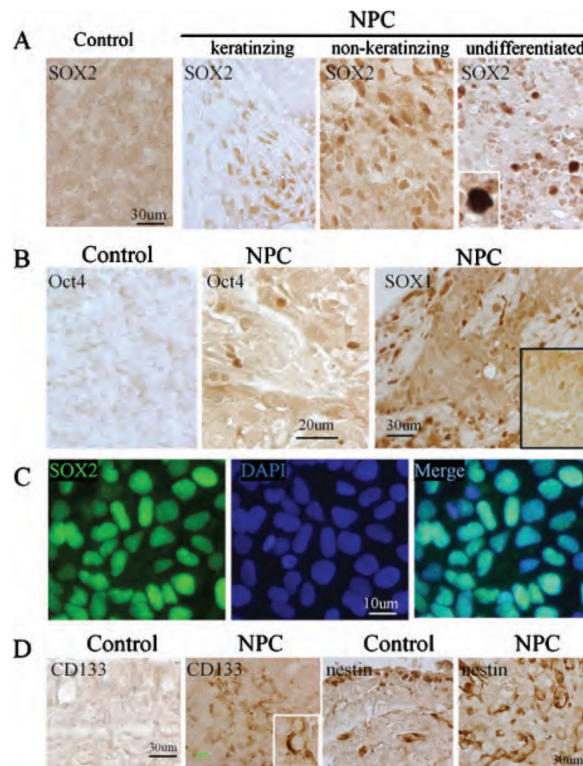


Figure 1.

Expression of embryonic stem cell proteins in human primary nasopharyngeal carcinoma (NPC) A, Expression of SOX2 in human primary NPC and normal control ($\times 20$ and $\times 60$ original magnification). B, Expression of OCT4 protein (*left and middle panels*) and SOX1 (*right panel*) in human primary NPC and normal control ($\times 20$ and $\times 60$ original magnification). C, SOX2 protein (*green*) was expressed in the nuclei of differentiating human embryonic stem cell (H9). Nuclei were counterstained by DAPI (*blue*) ($\times 60$ original magnification). D, Expression of CD133 and nestin proteins in normal control and human primary NPC cells ($\times 40$ original magnification).

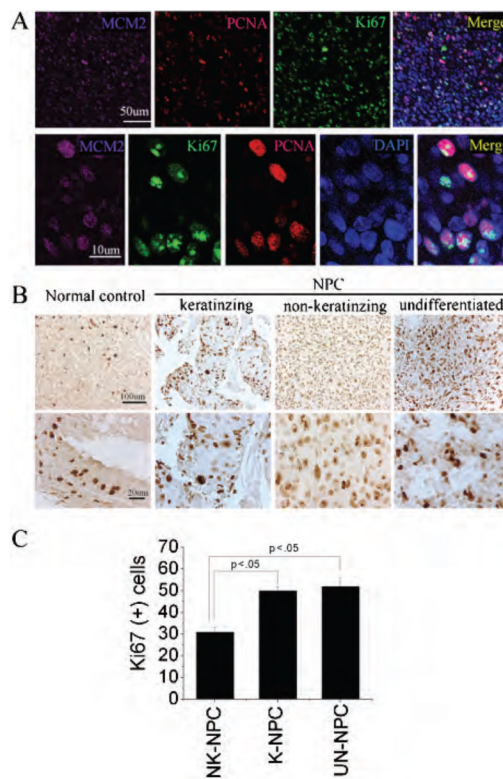


Figure 2. Proliferation index of different subtypes of human primary nasopharyngeal carcinoma (NPC) A, Triple-immunolabeling was performed using anti-Ki-67 (green), anti-MCM2 (purple), and anti-PCNA (red) in human primary NPC. Nuclei were counterstained by DAPI (blue). Top panel: low magnification; bottom panel: high magnification. B, Sections of different subtypes of primary NPC were immunostained using anti-Ki-67 antibody. Top panel: low magnification; bottom panel: high magnification. C, Ki-67-positive cells in different subtypes of primary NPC were calculated as the percentage of all tumour cells that were Ki-67-immunopositive cells. Data are mean value \pm SEM. K-NPC = keratinizing NPC; NK-NPC = nonkeratinizing NPC; UN-NPC = undifferentiated NPC.

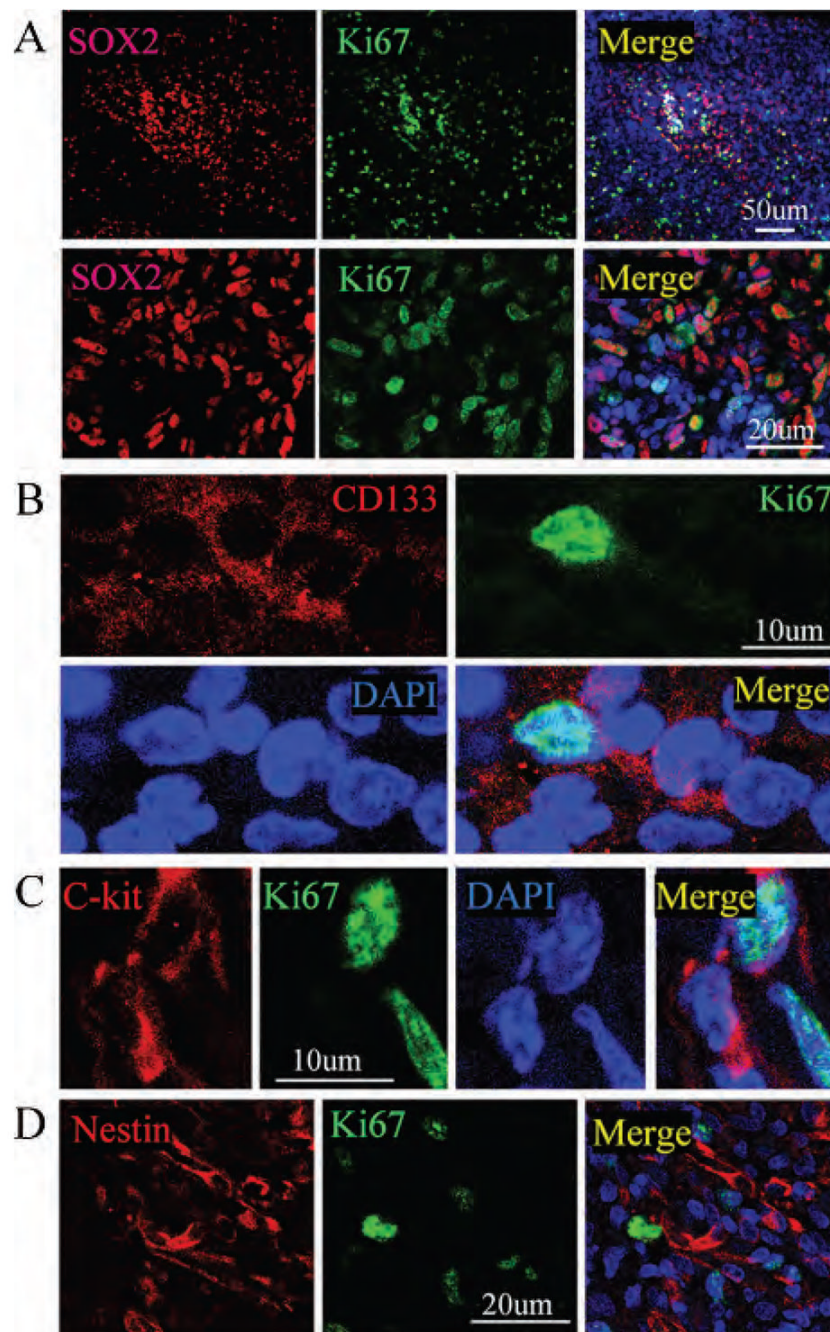


Figure 3. Proliferating capacity of stem/progenitor protein–positive nasopharyngeal carcinoma (NPC) cells *A*, Double-immunolabeling was performed using anti-Ki-67 (*green*) and anti-SOX2 (*red*). Images were recorded using a two-photon confocal laser scanning microscope. Nuclei were counterstained by DAPI (*blue*). *Top panel*: low magnification; *bottom panel*: high magnification. *B*, Double-immunolabeling was performed using anti-Ki-67 (*green*) and anti-CD133 (*red*) on the NPC section. Nuclei were counterstained by DAPI (*blue*). *C*, Double-immunolabeling was performed using anti-Ki-67 (*green*) and anti-C-kit (*red*) on the NPC section. Nuclei were counterstained by DAPI (*blue*). *D*, Double-immunolabeling was

performed using anti-Ki-67 (*green*) and anti-C-kit (*red*) on the NPC section. Nuclei were counterstained by DAPI (*blue*).

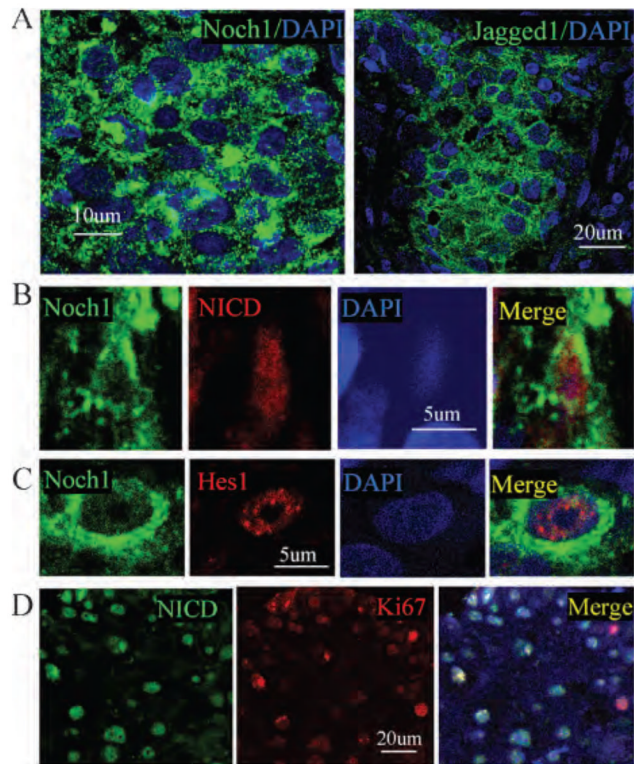


Figure 4.

Activation of Notch1 signaling in human primary nasopharyngeal carcinoma (NPC) A, Notch1 (green; left panel) and Jagged1 (green; right panel) proteins were expressed in human primary NPC cells. Nuclei were counterstained by DAPI (blue). B, NICD protein (red) was expressed in the Notch1-positive cells (green) in human primary NPC. C, Hes1 protein (red) was expressed in the Notch1-positive cells (green) in human primary NPC. D, NICD (green) was expressed in the Ki-67-positive cells (red) in human primary NPC. Nuclei were counterstained by DAPI (blue).

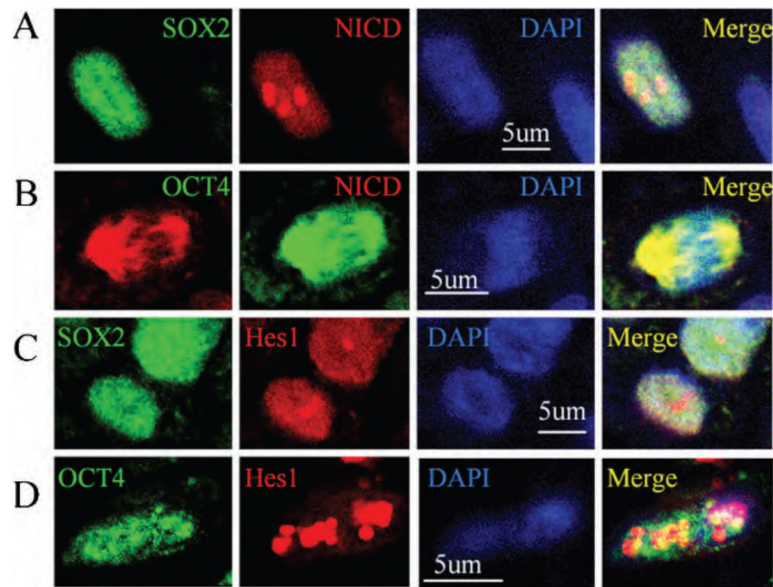


Figure 5.

Notch1 signaling was activated in ES cell protein-positive cells in human primary nasopharyngeal carcinoma (NPC) A, Double-immunolabeling was performed using anti-SOX2 (green) and anti-NICD (red) on the NPC section. Nuclei were counterstained by DAPI (blue). B, NICD protein (red) was expressed in OCT4-positive cells (green). Nuclei were counterstained by DAPI (blue). C, SOX2-positive cells (green) expressed Hes1 protein (red). Nuclei were counterstained by DAPI (blue). D, Double-immunolabeling was performed using anti-OCT4 (green) and anti-Hes1 (red) on the NPC section. Nuclei were counterstained by DAPI (blue).