

# NIH Public Access

Author Manuscript

Alzheimer Dis Assoc Disord. Author manuscript; available in PMC 2010 May 6.

Published in final edited form as:

Alzheimer Dis Assoc Disord. 2010; 24(1): 19–27. doi:10.1097/WAD.0b013e3181b4f736.

# ASL Perfusion MRI Predicts Cognitive Decline and Conversion From MCI to Dementia

Linda L. Chao, PhD<sup>\*,†,‡</sup>, Shannon T. Buckley, BA<sup>\*</sup>, John Kornak, PhD<sup>†,§</sup>, Norbert Schuff, PhD<sup>\*,†</sup>, Catherine Madison, MD<sup>||</sup>, Kristine Yaffe, MD<sup>‡,§,¶</sup>, Bruce L. Miller, MD<sup>¶</sup>, Joel H. Kramer, PsyD<sup>¶</sup>, and Michael W. Weiner, MD<sup>\*,†,‡,¶</sup>

\*Center for Imaging of Neurodegenerative Disease, San Francisco VAMC

<sup>†</sup>Department of Radiology and Biomedical Imaging, University of California

<sup>‡</sup>Department of Psychiatry, University of California

§Department of Epidemiology and Biostatistics, University of California

<sup>¶</sup>Department of Neurology, University of California

<sup>II</sup>Department of Neurology, California Pacific Medical Center, San Francisco

# Abstract

We compared the predictive value of cerebral perfusion as measured by arterial-spin labeling magnetic resonance imaging (ASL-MRI) with MRI-derived hippocampal volume for determining future cognitive and functional decline and subsequent conversion from mild cognitive impairment to dementia. Forty-eight mild cognitive impairment subjects received structural and ASL-MRI scans at baseline and clinical and neuropsychologic assessments annually. Thirteen subjects became demented during the period of longitudinal observation ( $2.7 \pm 1.0$  y). Cox regression analyses suggest that baseline hippocampal volume [relative risk (RR) = 0.99, P = 0.004], baseline right inferior parietal (RR = 0.64, P = 0.01) and right middle frontal (RR = 0.73, P = 0.01) perfusion were associated with conversion to dementia. Results from linear mixed effects modeling suggest that baseline perfusion from the right precuneus predicted subsequent declines in Clinical Dementia Rating Sum of Boxes (P = 0.002), Functional Activates Ouestionnaire (P = 0.01), and selective attention (ie. Stroop switching, P = 0.009) whereas baseline perfusion from the right middle frontal cortex predicted subsequent episodic memory decline (ie, total recognition discriminability score from the California Verbal Learning Test, P = 0.03). These results suggest that hypoperfusion as detected by ASL-MRI can predict subsequent clinical, functional, and cognitive decline and may be useful for identifying candidates for future Alzheimer disease treatment trials.

# Keywords

mild cognitive impairment; dementia; cognitive and functional decline; ASL perfusion MRI; hippocampal volume

Mild cognitive impairment (MCI) is considered to be the transition between normal aging and Alzheimer disease (AD), the most prevalent dementing disorder in older adults. Studies with fluorodeoxyglucose (FDG) positron emission tomography (PET), which measures glucose metabolism, and technetium-99m hexamethylpropyleneamineoxime single photon emission

Copyright © 2009 by Lippincott Williams & Wilkins

Reprints: Linda L. Chao, PhD, 4150 Clement Street (114M) San Francisco CA 94121 (linda.chao@ucsf.edu)..

computed tomography (SPECT), which measures cerebral blood flow, have reported reduced metabolism and perfusion in the medial temporal lobes and posterior cingulate gyri in AD and MCI.1<sup>-3</sup> To date, relatively few FDG PET and SPECT studies of MCI have addressed prediction of future decline and the handful of studies that have addressed this issue have reported discrepant findings. Using region of interest (ROI) based-techniques, some authors have reported that reduced perfusion/glucose metabolism in the prefrontal and parietal cortices, 4 temporoparietal cortex,5 precunei,6 posterior cingulate gyrus,7<sup>,8</sup> and hippocampus,<sup>6,9–11</sup> are sensitive early markers of progression to AD. Using principal component analysis, Borroni et al<sup>12</sup> described a specific pattern of hypoperfusion in converters that involved the parietal and temporal lobes, precuneus and posterior cingulate cortex. Caroli et al<sup>13</sup> recently reported that compared with control subjects, amnestic MCI who converted to AD had hypoperfusion in the parahippocampal and inferior temporal cortices whereas amnestic MCI patients who did not convert to dementia had hypoperfusion in the retrosplenial cortex.

To the extent that regional metabolism and perfusion are coupled, arterial spin-labeling magnetic resonance imaging (ASL-MRI), which labels arterial blood water as an endogenous diffusible tracer for perfusion, may be able to detect functional deficiencies in a way similar to FDG PET and SPECT.<sup>14</sup> In support of this, ASL-MRI studies of AD and MCI patients have reported a similar pattern of regional hypoperfusion to that described in previous PET and SPECT studies.15<sup>-17</sup> Moreover, ASL-MRI offers several advantages over PET and SPECT: it is noninvasive and free of exposure to ionizing radiation, intravenous contrast agents, and radioactive isotopes; it can be performed with most magnetic resonance scanners in 10 to 15 min; and because labeled water is cleared after a few seconds it can be rapidly repeated. An additional advantage is that perfusion and structural images can be acquired at the same imaging session. Thus, the first goal of this study was to find ASL perfusion MRI correlates of conversion to dementia in patients with MCI.

Because several studies have shown that hippocampal atrophy may serve as a predictor for progression from MCI to AD,18<sup>,19</sup> the second goal of this study is to compare the predictive value of MRI-derived hippocampal volume (HV) with the predictive value of baseline perfusion for determining the risk of converting to dementia. The final goal of this study was to examine the value of baseline perfusion and HV for predicting future cognitive and functional decline. Numerous studies have reported impaired recognition memory in patients with probable AD<sup>20–</sup>23 and MCI24. Furthermore, in one of the pioneering studies on the concept of MCI,25 recognition memory was 1 of the 4 predictors of decline in subjects with MCI, with a sensitivity of 85.7% and a specificity of 100%. Therefore, we examined the predictive value of baseline perfusion and HVs for determining future decline in the California Verbal Learning Test (CVLT26) total recognition discriminability score. Deficits in selective attention, particularly with regard to response inhibition and task switching, have also been noted in patients with early AD27 and MCI.28<sup>2</sup>9 Therefore, we examined the predictive value of baseline HV and perfusion for determining future decline in the Delis Kaplan Executive Function System30 Stroop test. Functional decline has clinical importance in planning the patient care. Therefore, we examined the ability of baseline HV and perfusion to predict functional decline. We focused on change in the Functional Activities Questionnaire (FAQ31), which measures an individual's ability to perform complex, higher order activities such as writing checks and assembling tax records, and change in the Clinical Dementia Rating Scale Sum of Boxes score (CDR-SB). Several authors have reported that the increased CDR-SB scores are associated with a higher probability of converting to dementia.32<sup>-34</sup>

# **METHODS**

#### **Participants**

Forty-eight subjects recruited through flyers and referrals from local memory clinics (ie, Memory Disorders Clinic at the San Francisco Veterans Affairs Medical Center, the Memory and Aging Center at the University of California, San Francisco, and the Memory Disorders Clinic at the California Pacific Medical Center) were examined for this study. All participants provided written informed consent according to procedures approved by institutional review boards of the University of California San Francisco and the San Francisco VA Medical Center.

All participants had a Clinical Dementia Rating (CDR35) of 0.5 at baseline. To capture the broadest range of MCI, we operationally defined these individuals as having MCI, as others have previously done.36<sup>-38</sup> Furthermore, we did not require that subjects perform below specific cutoffs on psychometric testing because we were interested in including individuals at the mildest end of the MCI spectrum (ie, those with CDR sum of boxes scores 0.5 to 1).

Clinical nurses from the referring memory clinic administered the CDR using the semistructured interview protocol developed by John Morris and colleagues at the Washington University School of Medicine. All of the clinical nurses had completed the Brief Training and Reliability Protocol offered by the Washington University Alzheimer's Disease Research Center. The Brief Training and Reliability Protocol includes an introduction to the CDR by Dr Morris, 3 videotaped patient interviews for training purposes, and 6 videotaped interviews for reliability certification. Successful completion of the 6 reliability tapes is achieved with agreement with a "gold standard" on at least 5 out of the 6 tapes. Prior research has shown that physicians and nonphysician health professionals demonstrate good reliability in administering the CDR after appropriate training.<sup>39,40</sup>

#### Procedures

The study procedures included a blood draw for genetic analysis, structural, and ASL-MRI scans at baseline. The subjects also received a medical evaluation (ie, medical history, physical, and neurologic examination) and neuropsychologic testing at baseline and each annual follow-up visit. The neuropsychologic tests assessed general cognitive ability [ie, Mini-Mental State Examination, (MMSE,41)] episodic memory (ie, CVLT-II26), and selective attention (ie, Delis Kaplan Executive Function System, Delis Kaplan Executive Function System, Stroop test, and switching condition). Depression was assessed with the self-reported, 30-item Geriatric Depression Scale (GDS)42 and independent activities of daily living were quantified with the FAQ.31

#### **Structural MRI Acquisition and Analysis**

All imaging was preformed on a 1.5 Tesla MR system (Siemens Vision System, Germany), using a standard head coil. Structural MRI included the following: 2D FLASH MRI along 3 orthogonal directions to obtain scout views of the brain for initial positioning of MRI slices (total acquisition time: 1 min). A double spin echo sequence to obtain proton density and T2-weighted MRIs, TR/TE<sub>1</sub>/ TE<sub>2</sub> = 5000/20/85 ms, 51 contiguous axial slices (3 mm) covering the entire brain and angulated 2 to 10 degrees from the anterior to the posterior commissure line;  $1.0 \times 1.25 \text{ mm}^2$  inplane resolution (total acquisition time: 12 min). Volumetric T1-weighted gradient echo MRI (MPRAGE) of entire brain, TR/TE/TI = 11/4/850 ms, 12 degree flip angle sequence with a spatially isotropic 3 resolution of 1.0 mm<sup>3</sup>.

#### ASL-perfusion Image Acquisition and Analysis

ASL-MRI were acquired with the Double Inversions with Proximal Labeling of Both Tag and Control Images<sup>43</sup> method with a single-shot gradient-echo planar imaging sequence (TR 2500

ms, TE 15 ms, TI<sub>2</sub> = 1500 ms, field of view 260 mm, matrix  $128 \times 128$  mm, covering 7 slices above the anterior to the posterior commissure line, slice thickness 8 mm, slice gap 2 mm). A single shot gradient-echo planar imaging scan (TR 2500 ms, TE 15 ms, field of view 260 mm,  $128 \times 128$  mm, 24 slices, slice thickness 5 mm, slice gap 2 mm) covering the whole head was also obtained to facilitate coregistration of perfusion and structural images.

ASL-perfusion data was preprocessed using Statistical Parametric Mapping (SPM2; Wellcome Department of Cognitive Neurology, London, UK) for Matlab (The Mathworks, Inc, Natick, MA). After image coregistration, a perfusion image was calculated by subtracting the labeled from unlabeled ASL-MR images. Perfusion intensity was adjusted for instrumental variability by correcting for receiver gain and coil loading. Each subject's perfusion image was corrected for partial volume effects and the confounding effect of atrophy-induced hypoperfusion44 using each subject's T1 image, segmented with Expectation-Maximization Segmentation (EMS).45 Next, a virtual white matter (WM) perfusion image was created by extracting the mean perfusion of the centrum semiovale and then multiplying this by the WM map (from segmentation), smoothed to the resolution of the perfusion image. This virtual WM perfusion image was then subtracted from the original perfusion image. Then, a gray matter (GM) map, smoothed to the resolution of the perfusion image, was multiplied by the perfusion image, corrected for WM perfusion, to obtain a perfusion image for GM only. Finally, to correct for GM atrophy effects, the GM masked perfusion image was divided by the smoothed GM map, producing a perfusion image per unit gray matter. The final perfusion images were normalized to a study specific T1 template, created using the EMS segmented GM, WM, and cerebral spinal fluid maps, and smoothed with a 10 mm Gaussian kernel.

ΗV

Boundaries of the hippocampus were defined using the semiautomated, atlas based, fluid transformation warping software by surgical navigation technologies described in previous publications.46·47 A covariance approach was used to adjust HV by total intracranial volume (ICV).<sup>48</sup> Total ICV was calculated from the total volumes of the GM, WM, and cerebral spinal fluid masks from the EMS segmentation. Adjusted HV (aHV) was obtained with the following formula:  $aHV = raw HV-\beta(ICV-mean ICV)$ , where  $\beta$  reflects the regression coefficient when raw HV is regressed against ICV and mean ICV reflects the group mean. Because we had no a prior hypotheses about laterality and because volumes of the right and left hippocampus were highly intercorrelated, we combined volumes from both hemispheres for data reduction purposes.

#### **Statistical Analyses**

We initially used the "single subject: conditions and covariates" SPM2 model to assess differences in baseline perfusion between converters and nonconverters on voxelby-voxel basis. Age and whole brain perfusion were entered as confounding variables. The significance threshold of the *t* statistics was set at 0.001, uncorrected for multiple comparisons, and the extent threshold was set at 10 voxels. Although a direct comparison between converters and nonconverters is not entirely appropriate analytically because the length of follow-up varied among patients, we nevertheless used this method as a way of obtaining ROIs on which to focus further analyses.

To accommodate the variable follow-up periods among the subjects, we extracted raw perfusion values from the ROIs identified in the voxel-wise SPM analysis, using the MarsBaR<sup>49</sup> (http://marsbar.sourceforge.net/) toolbox in SPM2, and submitted these values to a survival analysis to evaluate the relationship between baseline perfusion and subsequent conversion to dementia. We used the Statistical Package for the Social Sciences version 16.0 to make estimates of relative risk (RR) with Cox regression models. Each predictor variable

was evaluated univariately and all tests were 2-sided. Survival time was calculated as the interval from the initial baseline scan to the diagnosis of dementia for MCI subjects. For MCI subjects who remained nondemented, survival time was censored at the date of the last clinic visit. Because of sample size limitations, confidence intervals are not reported for estimates of risk. To compare the predictive value of the ASL-MRI measured perfusion with MRI-derived HVs; we entered baseline HVs and the raw ASL-perfusion MRI values extracted from the ROIs identified in the SPM analysis to a Cox regression analysis. Next, we used the forward stepwise selection method to build regression models. The likelihood-ratio test based on partial likelihood estimates was used for variable removal. Default *P* values for variable removal in the stepwise models were less than 0.10.

We quantified cognitive and functional decline by fitting linear mixed-effects models using restricted maximum likelihood (NLME package<sup>50</sup> within R, http://www.r-project.org). Random effects for both intercept and slope were included in the model to accommodate the between subject variability in cognitive/functional decline. CVLT total recognition discriminability, Stroop switching, FAQ, and CDR-SB scores from each timepoint were entered as the dependent variable in 4 separate models. Because age, female sex, and depression are all established risk factors for cognitive and functional impairment in community-dwelling elderly individuals51 and because cross-sectional and prospective studies of nondemented elders have noted associations between low global cognitive scores and functional dependence52 and subsequent cognitive decline,<sup>53</sup> our goal was to determine the predictive value of baseline perfusion and HVs beyond that which can be explained by demographic, baseline cognition, clinical, and genetic risk factors alone. Therefore we entered age, sex, years of education, apolipoprotein (APOE) £4 status, baseline MMSE and GDS scores as covariates in all of the models. We also entered subsequent clinical status (ie, converted to dementia or remained nondemented) as a covariate in the models. The independent variables were time from baseline examination, imaging variable of interest (ie, baseline perfusion from the 4 ROIs, and baseline aHV) and time by imaging variable interactions.

We first examined the influence of baseline scores on subsequent decline by testing for a correlation between the intercept and slope random effects. We did this by comparing 2 models —1 that allowed for and 1 that disallowed for a correlation between intercept and slope through a likelihood ratio test. Next, we used a  $\chi^2$  test to determine whether the uncorrelated model was an adequate simplification of the correlated model. If it was, we concluded that the baseline scores did not have significant explanatory power to predict future decline and we used the simpler, uncorrelated model. If the uncorrelated model was not an adequate simplification of the correlated model was not an adequate simplification of the correlated model was not an adequate simplification of the correlated model was not an adequate simplification of the correlation. To determine whether baseline perfusion or baseline aHV added further explanatory power, we fitted linear mixed-effects models with the imaging variable of interest as a covariate. We concluded that baseline perfusion or baseline aHV provided further explanatory power in cases where the time by imaging variable interaction was significant, as detected by conditional *t*-test in the linear mixed-effects model framework.

# RESULTS

During the period of longitudinal observation  $(2.7 \pm 1.0 \text{ y})$ , 13 MCI subjects became demented (10 probable AD, 2 mixed dementia, and 1 vascular dementia). Thirty-five subjects remain nondemented. Table 1 summarizes the baseline demographic and clinical characteristics of the participants.

#### **Cerebral Perfusion Correlates of Conversion to Dementia**

Figure 1 and Table 2 show results of the direct, voxel-wise comparison of the baseline ASL-MRI perfusion images of converters and nonconverters. Relative to subjects who remain nondemented, subjects who converted to dementia had hypoperfusion in the right precuneus, right inferior parietal cortex, right middle cingulum, and right middle frontal cortex.

Table 3 shows that raw perfusion values extracted from the right precuneus, right inferior parietal cortex, right middle cingulum, and right middle frontal cortex were statistically significant predictor variables in univariate analyses of the risk of conversion.

When we entered raw perfusion values from the 4 ROIs along with baseline HVs into a Cox Regression analysis, the forward variable selection method constructed a final model where baseline HV (RR = 0.99, P = 0.004), baseline perfusion from the right inferior parietal (RR = 0.64, P = 0.014), and middle frontal (RR = 0.73, P = 0.011) cortices were significant predictors of conversion to dementia.

#### **Predictors of Episodic Memory Decline**

Figure 2 shows a scatter plot of change in the CVLT total recognition discriminability scores over time in the subjects. When we examined the influence of baseline CVLT total recognition discriminability scores on subsequent total recognition discriminability decline, there was no significant difference between the uncorrelated and correlated models. This suggested that baseline total recognition discriminability scores did not have significant explanatory power for determining the future decline. Thus, we used the simpler, uncorrelated model. This revealed that baseline perfusion from the right middle frontal cortex ROI significantly (P = 0.03) predicted decline in CVLT total recognition discriminability scores beyond time, age, sex, education, APOE  $\varepsilon 4$  and group status, and baseline MMSE and GDS scores. Time (P = 0.0003) and group status (P = 0.004) significantly contributed to the model fit whereas the other covariates did not.

#### **Predictors of Selective Attention Decline**

Figure 3 shows a scatter plot of change in the Stroop-switching, scaled scores over time. In examining the influence of baseline Stroop-switching scores on subsequent Stroop-switching decline, we found no significant difference between the uncorrelated and correlated models. Using the simpler, uncorrelated model, we found that baseline per-fusion from the right precuneus ROI (P = 0.009) and right inferior parietal (P = 0.004) ROIs significantly predicted decline in Stroop-switching scores beyond time, age, sex, education, APOE  $\varepsilon$ 4 and group status, and baseline MMSE and GDS scores. Time (P<0.003), education (P<0.04), and group status (P<0.02) significantly contributed to the model fit whereas the other covariates did not.

#### Predictors of CDR-SB Decline

Figure 4 shows a scatter plot of change in the CDR-SB scores over time. In examining the influence of baseline CDR-SB scores on subsequent CDR-SB decline, we found no significant difference between the uncorrelated and correlated models. Using the simpler, uncorrelated model, we found that baseline perfusion from the right precuneus ROI (P = 0.002), right inferior parietal cortex (P = 0.008), and right middle cingulum (P = 0.046) significantly predicted decline in CDR-SB beyond time, age, sex, education, APOE e4 and group status, and baseline MMSE and GDS scores. Time (P<0.01), age (P<0.05), baseline GDS (P<0.01) and MMSE (P<0.05) scores, and group status (P<0.001) significantly contributed to the model fit whereas the other covariates did not.

#### Predictors of FAQ Decline

Figure 5 shows a scatter plot of change in the FAQ scores over time. In examining the influence of baseline FAQ scores on subsequent FAQ decline, we found a significant difference between the uncorrelated and correlated models (p = 0.009), suggesting that baseline FAQ scores had significant explanatory power for determining future decline. Using a model that incorporated this correlation, we found that baseline perfusion from the right precuneus ROI (P = 0.01) significantly predicted FAQ decline beyond time, age, sex, education, APOE e4 and group status, and baseline MMSE and GDS scores. Time (P = 0.03), baseline GDS (P = 0.03) and MMSE (P = 0.04) scores, and group status (P = 0.005) significantly contributed to the model fit whereas the other covariates did not.

# DISCUSSION

The first aim of this study was to find ASL perfusion MRI correlates of conversion to dementia. An initial voxel-wise analysis of the baseline perfusion data revealed regions of hypoperfusion in the right precuneus, right inferior parietal cortex, right middle cingulum, and right middle frontal cortex in MCI subjects who later became demented compared with MCI subjects who remained nondemented. Although these findings did not survive corrections for multiple comparisons, they are generally consistent with what has previously been reported in the FTD-PET and SPECT literature.<sup>4–6,54</sup> Moreover, when we extracted raw perfusion values from these regions and submitted them to univariate survival analyses, the Cox regression models indicated that baseline perfusion from all 4 ROIs were statistically significant predictors of the risk of conversion.

Our next aim was to compare the predictive value of baseline ASL-MRI measured perfusion with the predictive value of baseline HVs. When we entered HVs and baseline perfusion from the 4 ROIs identified from the voxel-wise SPM analysis into a Cox regression analysis, a forward stepwise selection method selected baseline HVs, baseline perfusion from the right inferior parietal and right middle frontal cortices as significant predictor variables. The finding that baseline HV-predicted progression to dementia is consistent with previous reports.<sup>18,19</sup> The finding that right inferior parietal and middle frontal perfusion were also significant predictors is line with previous reports of reduced regional cerebral blood flow in the frontal, prefrontal, and parietal cortices of converters relative to nonconverters.<sup>4</sup> Other investigators have also reported reduced regional glucose metabolic rate in the right inferior parietal cortex in MCI subjects who converted with AD compared to nonconverters.<sup>5,54</sup>

A third objective of the study was to examine the predictive value of ASL-perfusion MRI and MRI-derived HVs for determining future cognitive and functional decline. Our results indicate that baseline right middle frontal perfusion predicted subsequent decline the CVLT total recognition discriminability index. This is in line with lesion studies that have reported recognition memory impairments in patients with right frontal lobe damage<sup>55,56</sup> and with the vast functional neuroimaging literature that have implicated the right frontal lobe in memory retrieval.57 It is interesting to note that baseline HV did not predict subsequent recognition discriminability decline. However, some investigators have suggested that hippocampal atrophy may not be a good a surrogate for memory loss.58,59 Baseline perfusion from the right inferior parietal cortex predicted subsequent selective attention decline (ie, Stroop switching), consistent with the results of functional neuroimaging studies that have linked inferior parietal activity to switching tasks<sup>60,61</sup> and right inferior parietal activity with Stroop interference. 62 Baseline perfusion from the right precuneus also predicted Stroop-switching decline. The precuneus is a polymodal sensory brain region that has been proposed to be involved in "highlevel integration between posterior association processes and anterior executive functions"63 such as attentional set-shifting,<sup>64</sup> which is required for successful performance of the Stroop-switching task.

Given that functional losses are a diagnostic criterion for AD by Diagnostic and Statistical Manual of Mental Disorders-IV<sup>65</sup> and that baseline perfusion from the right precuneus, inferior parietal cortex and right middle cingulum were all associated with increased risk of converting to dementia, it is not surprising that baseline perfusion from these regions also predicted declines in functional measures (ie, CDR-SB and FAQ). Moreover, subsequent clinical status (eg, converted to dementia or remained nondemented) significantly contributed to the model fit in all cases. This suggests that pathologic processes may be a strong component underlying the decline. However, it is diffcult to completely parcel out pathologic from nonpathologic decline as some of the nondemented MCI subjects may eventually convert to dementia.

This study has several limitations. Firstly, our implementation of ASL-MRI did not cover more inferior regions of the brain such as the medial temporal cortex, where histologic studies suggest AD pathology begins<sup>66</sup> and where several investigators have noted perfusion/ metabolic abnormalities in AD converters relative to nonconverters.6<sup>,9</sup>,11,<sup>13</sup> Secondly, our ASL-MRI measurements of perfusion were based on a simple model of water perfusion in which an instantaneous exchange of water from intravascular to extravascular space was assumed. Moreover, the computations of perfusion did not include variable arterial transit times and variations in the T1 relaxation of the water labels. To the extent that these factions were systematically different between MCI patients who converted to dementia and those who did not, the measurements may have over-estimated or under-estimated cerebral perfusion. Thirdly, the make-up of the MCI group was heterogeneous. Consequently, the converters manifested different types of dementia at follow-up (ie, AD, mixed, and vascular dementia). Finally, our results may not generalize well to the broader population because study participants were drawn from memory clinic referrals and individuals who volunteered to participate in longitudinal studies of aging and dementia. These limitations notwithstanding, our results suggest that hypoperfusion as detected by ASL-MRI can predict subsequent clinical, functional, and cognitive decline and therefore may be useful for identifying candidates for future AD treatment trials.

#### Acknowledgments

Supported by NIH NIA R01 AG010897.

## REFERENCES

- Minoshima S, Frey KA, Koeppe RA, et al. A diagnostic approach in Alzheimer's disease using threedimensional stereotactic surface projections of fluorine-18-FDG PET. J Nucl Med 1995;36:1238– 1248. [PubMed: 7790950]
- Kogure D, Matsuda H, Ohnishi T, et al. Longitudinal evaluation of early Alzheimer's disease using brain perfusion SPECT. J Nucl Med 2000;41:1155–1162. [PubMed: 10914904]
- Johnson KA, Albert MS. Perfusion abnormalities in prodromal AD. Neurobiol Aging 2000;21:289– 292. [PubMed: 10867213]
- Encinas M, De Juan R, Marcos A, et al. Regional cerebral blood flow assessed with 99mTc-ECD SPET as a marker of progression of mild cognitive impairment to Alzheimer's disease. Eur J Nucl Med Mol Imaging 2003;30:1473–1480. [PubMed: 14579086]
- 5. Chetelat G, Desgranges B, de la Sayette V, et al. Mild cognitive impairment: can FDG-PET predict who is to rapidly convert to Alzheimer's disease? Neurology 2003;60:1374–1377. [PubMed: 12707450]
- Hirao K, Ohnishi T, Hirata Y, et al. The prediction of rapid conversion to Alzheimer's disease in mild cognitive impairment using regional cerebral blood flow SPECT. Neuroimage 2005;28:1014–1021. [PubMed: 16129627]
- 7. Minoshima S, Giordani B, Berent S, et al. Metabolic reduction in the posterior cingulate cortex in very early Alzheimer's disease. Ann Neurol 1997;42:85–94. [PubMed: 9225689]

- Huang C, Wahlund LO, Svensson L, et al. Cingulate cortex hypoperfusion predicts Alzheimer's disease in mild cognitive impairment. BMC Neurol 2002;2:9–14. [PubMed: 12227833]
- Ishiwata A, Sakayori O, Minoshima S, et al. Preclinical evidence of Alzheimer changes in progressive mild cognitive impairment: a qualitative and quantitative SPECT study. Acta Neurol Scand Suppl 2006;114:91–96.
- Anchisi D, Borroni B, Franceschi M, et al. Heterogeneity of brain glucose metabolism in mild cognitive impairment and clinical progression to Alzheimer disease. Arch Neurol 2005;62:1728– 1733. [PubMed: 16286547]
- Drzezga A, Lautenschlager N, Siebner H, et al. Cerebral metabolic changes accompanying conversion of mild cognitive impairment into Alzheimer's disease: a PET follow-up study. Eur J Nucl Med Mol Imaging 2003;30:1104–1113. [PubMed: 12764551]
- Borroni B, Anchisi D, Paghera B, et al. Combined 99mTc-ECD SPECT and neuropsychological studies in MCI for the assessment of conversion to AD. Neurobiol Aging 2006;27:24–31. [PubMed: 16298237]
- Caroli A, Testa C, Geroldi C, et al. Cerebral perfusion correlates of conversion to Alzheimer's disease in amnestic mild cognitive impairment. J Neurol 2007;12:1698–1707. [PubMed: 17990057]
- 14. Jueptner M, Weiller C. Review: does measurement of regional cerebral blood flow reflect synaptic activity? Implications for PET and fMRI. Neuroimage 1995;2:148–156. [PubMed: 9343597]
- Detre JA, Alsop DC. Perfusion magnetic resonance imaging with continuous arterial spin labeling: methods and clinical applications in the central nervous system. Eur J Radiol 1999;30:115–124. [PubMed: 10401592]
- Alsop DC, Detre JA, Grossman M. Assessment of cerebral blood flow in Alzheimer's disease by spinlabeled magnetic resonance imaging. Ann Neurol 2000;47:93–100. [PubMed: 10632106]
- Johnson NA, Jahng GH, Weiner MW, et al. Pattern of cerebral hypoperfusion in Alzheimer disease and mild cognitive impairment measured with arterial spin-labeling MR imaging: initial experience. Radiology 2005;234:851–859. [PubMed: 15734937]
- Jack CR, Petersen RC, Xu YC, et al. Prediction of AD with MRI-based hippocampal volume in mild cognitive impairment. Neurology 1999;52:1397–1403. [PubMed: 10227624]
- Grundman M, Sencakova D, Jack CR Jr, et al. Brain MRI hippocampal volume and prediction of clinical status in a mild cognitive impairment trial. J Mol Neurosci 2002;19:23–27. [PubMed: 12212787]
- 20. Sagar HJ, Sullivan EV, Gabrieli JD, et al. Temporal ordering and short-term memory deficits in Parkinson's disease. Brain 1988;111:525–539. [PubMed: 3382911]
- 21. Tierney MC, Black SE, Szalai JP, et al. Recognition memory and verbal fluency differentiate probable Alzheimer disease from subcortical ischemic vascular dementia. Arch Neurol 2001;58:1654–1659. [PubMed: 11594925]
- Greene JD, Baddeley AD, Hodges JR. Analysis of the episodic memory deficit in early Alzheimer's disease: evidence from the doors and people test. Neuropsychologia 1996;34:537–551. [PubMed: 8736567]
- Backman L, Small BJ, Fratiglioni L. Stability of the preclinical episodic memory deficit in Alzheimer's disease. Brain 2001;124:96–102. [PubMed: 11133790]
- 24. Barbeau E, Didic M, Tramoni E, et al. Evaluation of visual recognition memory in MCI patients. Neurology 2004;62:1317–1322. [PubMed: 15111668]
- 25. Flicker C, Ferris SH, Reisberg B. Mild cognitive impairment in the elderly: predictors of dementia. Neurology 1991;41:1006–1009. [PubMed: 2067629]
- 26. Delis, DC.; Kramer, JH.; Kaplan, E., et al. California Verbal Learning Test. 2nd ed.. The Psychological Corporation; San Antonio, TX: 2000.
- 27. Perry RJ, Hodges JR. Attention and executive deficits in Alzheimer's disease. A critical review. Brain 1999;122:383–404. [PubMed: 10094249]
- Traykov L, Raoux N, Latour F, et al. Executive functions deficit in mild cognitive impairment. Cogn Behav Neurol 2007;20:219–224. [PubMed: 18091070]
- 29. Belleville S, Bherer L, Lepage E, et al. Task switching capacities in persons with Alzheimer's disease and mild cognitive impairment. Neuropsychologia 2008;46:2225–2233. [PubMed: 18374374]

- 30. Delis, DC.; Kaplan, E.; Kramer, JH. Delis-Kaplan Executive Function System. The Psychological Corporation; San Antonio, TX: 2001.
- 31. Pfeffer RI, Kurosaki TT, Hurrah CH Jr, et al. Measurement of functional activities in older adults in the community. J Gerontol 1982;37:323–329. [PubMed: 7069156]
- 32. Daly E, Zaitchik D, Copeland M, et al. Predicting conversion to Alzheimer disease using standardized clinical information. Arch Neurol 2000;57:675–680. [PubMed: 10815133]
- 33. Lynch CA, Walsh C, Blanco A, et al. The clinical dementia rating sum of box score in mild dementia. Dement Geriatr Cogn Disord 2006;21:40–43. [PubMed: 16254429]
- Dickerson BC, Sperling RA, Hyman BT, et al. Clinical prediction of Alzheimer disease dementia across the spectrum of mild cognitive impairment. Arch Gen Psychiatry 2007;64:1443–1450. [PubMed: 18056553]
- 35. Morris JC. The Clinical Dementia Rating (CDR): current version and scoring rules. Neurology 1993;43:2412–2414. [PubMed: 8232972]
- DeCarli C, Mungas D, Harvey D, et al. Memory impairment, but not cerebrovascular disease, predicts progression of MCI to dementia. Neurology 2004;63:220–227. [PubMed: 15277612]
- Miller SL, Fenstermacher E, Bates J, et al. Hippocampal activation in adults with mild cognitive impairment predicts subsequent cognitive decline. J Neurol Neurosurg Psychiatry 2008;79:630–635. [PubMed: 17846109]
- Dickerson BC, Salat DH, Bates JF, et al. Medial temporal lobe function and structure in mild cognitive impairment. Ann Neurol 2004;56:27–35. [PubMed: 15236399]
- Rockwood K, Strang D, MacKnight C, et al. Interrater reliability of the Clinical Dementia Rating in a multicenter trial. J Am Geriatr Soc 2000;48:558–559. [PubMed: 10811551]
- 40. Schafer KA, Tractenberg RE, Sano M, et al. Reliability of monitoring the clinical dementia rating in multicenter clinical trials. Alzheimer Dis Assoc Disord 2004;18:219–222. [PubMed: 15592134]
- 41. Folstein MF, Folstein SE, McHugh PR. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. J Psychiatric Res 1975;12:189–198.
- 42. Yesavage JA, Brink TL, Rose TL, et al. Development and validation of Geriatric Depression Rating Scale: a preliminary report. J Psychiatr Res 1982;17:37–49. [PubMed: 7183759]
- 43. Jahng GH, Zhu XP, Matson GB, et al. Improved perfusion-weighted MRI by a novel double inversion with proximal labeling of both tagged and control acquisitions. Magn Reson Med 2003;49:307–314. [PubMed: 12541251]
- 44. Muller-Gartner HW, Links JM, Prince JL, et al. Measurement of radiotracer concentration in brain gray matter using positron emission tomography: MRI-based correction for partial volume effects. J Cereb Blood Flow Metab 1992;12:571–583. [PubMed: 1618936]
- 45. Van Leemput K, Maes F, Vandermeulen D, et al. Automated model-based tissue classification of MR images of the brain. IEEE Trans Med Imaging 1999;18:897–908. [PubMed: 10628949]
- Haller JW, Banerjee A, Christensen GE, et al. Three-dimensional hippocampal MR morphometry with high-dimensional transformation of a neuroanatomic atlas. Radiology 1997;202:504–510. [PubMed: 9015081]
- 47. Hsu YY, Schuff N, Du AT, et al. Comparison of automated and manual MRI volumetry of hippocampus in normal aging and dementia. J Magn Reson Imaging 2002;16:305–310. [PubMed: 12205587]
- Mathalon DH, Sullivan EV, Rawles JM, Pfefferbaum A. Correction for head size in brain-imaging measurements. Psychiatry Res 1993;50:121–139. [PubMed: 8378488]
- 49. Tzurio-Mazoyer N, Landeau B, Papathanassiou D, et al. Automated anatomical labeling of activations in SPM using a macroscopic anatomical parcellation of the MNI MRI single-subject brain. Neuroimage 2002;15:273–289. [PubMed: 11771995]
- 50. Pinheiro, JC.; Bates, DM. Mixed-Effects Models in S and S-Plus. Springer-Verlag; New York: 2000.
- Stuck AE, Walthert JM, Nikolaus T, et al. Risk factors for functional status decline in communityliving elderly people: a systematic literature review. Soc Sci Med 1999;48:445–469. [PubMed: 10075171]
- 52. Aguero-Torres H, Thomas VS, Winblad B, et al. The impact of somatic and cognitive disorders on the functional status of the elderly. J Clin Epidemiol 2002;55:1007–1012. [PubMed: 12464377]

- Tierney MC, Yao C, Kiss A, McDowell I. Neuropsychological tests accurately predict incident Alzheimer disease after 5 and 10 years. Neurology 2005;64:1853–1859. [PubMed: 15955933]
- 54. Mosconi L, Perani D, Sorbi S, et al. MCI conversion to dementia and the APOE genotype: a prediction study with FDG-PET. Neurology 2004;63:2332–2340. [PubMed: 15623696]
- Curran T, Schacter DL, Norman KA, et al. False recognition after a right frontal lobe infarction: memory for general and specific information. Neuropsychologia 1997;35:1035–1049. [PubMed: 9226663]
- McDonald CR, Bauer RM, Filoteo JV, et al. Episodic memory in patients with focal frontal lobe lesions. Cortex 2006;42:1080–1092. [PubMed: 17209414]
- Tulving E, Kapur S, Craik FIM, et al. Hemispheric encoding/retrieval asymmetry in episodic memory: positron emission tomography findings. Proc Natl Acad Sci USA 1994;91:2016–2020. [PubMed: 8134342]
- Marquis S, Moore MM, Howieson DB, et al. Independent predictors of cognitive decline in healthy elderly persons. Arch Neurol 2002;59:601–606. [PubMed: 11939895]
- 59. Ylikoski R, Salonen O, Mantyla R, et al. Hippocampal and temporal lobe atrophy and age-related decline in memory. Acta Neurol Scand Suppl 2000;101:273–278.
- Garavan H, Ross TJ, Li SJ, et al. A parametric manipulation of central executive functioning. Cereb Cortex 2000;10:585–592. [PubMed: 10859136]
- Kubler A, Murphy K, Kaufman J, et al. Co-ordination within and between verbal and visuospatial working memory: network modulation and anterior frontal recruitment. Neuro-Image 2003;20:1298– 1308. [PubMed: 14568498]
- 62. Liu X, Wang H, Corbly CR, et al. The involvement of the inferior parietal cortex in the numerical Stroop effect and the distance effect in a two-digit number comparison task. J Cogn Neurosci 2006;18:1518–1530. [PubMed: 16989552]
- 63. Cavanna AE, Trimble MR. The precuneus: a review of its functional anatomy and behavioural correlates. Brain 2006;129:564–583. [PubMed: 16399806]
- Nagahama Y, Okada T, Katsumi Y, et al. Transient neural activity in the medial superior frontal gyrus and precuneus time locked with attention shift between object features. Neuro-image 1999;10:193– 199. [PubMed: 10417251]
- 65. American Psychological Association. Diagnostic and Statistical Manual of Mental Disorders-IV (DSM-IV). American Psychiatric Association; Washington, DC: 1994.
- 66. Braak H, Braak E. Neuropathological staging of Alzheimer-related changes. Acta Neuropathologica 1991;82:239–259. [PubMed: 1759558]



#### FIGURE 1.

Statistical parametric maps showing regions of hypoperfusion in the 13 mild cognitive impairment subjects who converted to dementia relative to the 35 mild cognitive impairment subjects who remain nondemented. Converters had hypoperfusion in the right precuneus/ posterior cingulum (shown in the sagittal and axial slices), right middle cingulum (shown in the sagittal and coronal slices), right middle frontal cortex (shown in the coronal slice), and right inferior parietal cortex (shown in the axial slice). The shaded areas in the sagittal and coronal slices represent regions not covered by our implementation of arterial-spin labeling magnetic resonance imaging.



#### FIGURE 2.

Scatter plots of the subjects' California Verbal Learning Test total recognition discriminability scores as a function of time.



# FIGURE 3.

Scatter plots of the subjects' Delis Kaplan Executive Function System-Stroop switching (scaled) scores as a function of time.



### FIGURE 4.

Scatter plots of the subjects' Clinical Dementia Rating Scale sum of boxes scores as a function of time.





#### Characteristic All **Converted to Dementia Remain Nondemented** N (% female) 51 (33%) 13 (23%) 35 (13%) 76.3 (7.2) 77.1 (5.0) 76.0 (7.8) Age (year), mean (SD) Education (year), mean (SD) 16.5 (2.8) 16.7 (2.9) 16.5 (2.8) Baseline GDS, mean (SD) 6.3 (4.6) 5.2 (4.7) 6.8 (4.5) Baseline MMSE, mean (SD) 28.2 (1.7) 27.5 (1.8) 28.5 (1.7) Follow-up time (year), mean (SD) 2.7 (1.0) 3.1 (1.1) 2.5 (1.0) Number with 2 timepoints 7 2 5 Number with 3 timepoints 24 6 18 Number with 4 timepoints 10 3 7 7 Number with 5 timepoints 2 5 Frequency of APOE ɛ4 allele carriers 46% 38% 49%

Demographic, Clinical, and Genetic Characteristics of Study Group

**TABLE 1** 

APOE indicates apolipoprotein E; GDS, Geriatric Depression Scale; MMSE, mini-mental state examination

# TABLE 2

Areas of Hypoperfusion in Subjects who Converted to Dementia Relative to Those who Remain Nondemented

Brain Region	х	у	z	t Statistic	z Value
Right precuneus	16	-50	28	3.43	3.26
Right inferior parietal	4	-42	28	3.41	3.23
Right middle cingulum	16	20	32	3.40	3.23
Right middle frontal	36	20	50	3.36	3.19

Voxel coordinates represent the peak voxel in local maxima, coordinates are expressed in Montreal Neurological Institute stereotactic space. P = 0.001, uncorrected, extent threshold = 10 voxels.

#### TABLE 3

# Risk of Conversion: Univariate Analyses Region of Interest Relative Risk P Value

Region of Interest	Relative risk	Р
Right precuneus	0.56	0.002
Right inferior parietal cortex	0.76	0.003
Right middle cingulated	0.64	0.009
Right middle frontal cortex	0.69	0.001