

A defuncting polymorphism in *FCGR2B* is associated with protection against malaria but susceptibility to systemic lupus erythematosus

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Systemic lupus erythematosus (SLE) is a multisystem autoimmune disease more prevalent in people of African and Asian origin than Caucasian origin. FcγRIIb is an inhibitory Fc receptor with a critical role in immune regulation. Mouse data suggest that FcγRIIb deficiency increases susceptibility to autoimmune disease but protects against infection. We show that a SNP in human *FCGR2B* that abrogates receptor function is strongly associated with susceptibility to SLE in both Caucasians and Southeast Asians. The minor allele of this SNP is more common in Southeast Asians and Africans, populations from areas where malaria is endemic, than in Caucasians. We show that homozygosity for the minor allele is associated with substantial protection against severe malaria in an East African population (odds ratio = 0.56; $P = 7.1 \times 10^{-5}$). This protective effect against malaria may contribute to the higher frequency of this SNP and hence, SLE in Africans and Southeast Asians.

genetic association study | autoimmunity | infectious disease | selection | bacterial septicemia

Systemic lupus erythematosus (SLE) is a systemic autoimmune disease. The incidence of disease is estimated to be between 12 and 64 cases per 100,000 individuals with at least a two- to four-fold higher incidence in non-Caucasians compared with Caucasians (1). The high sibling risk ratio (λ_s) of 29 indicates a strong genetic contribution to disease susceptibility (2), and five recent genome-wide association studies have identified and replicated several loci as well as confirmed association at a number of those previously implicated (reviewed in ref. 3). Histologically, the disease is characterized by deposition of immune complexes containing both Ig and complement components. Any organ may be affected, but those most commonly involved are skin, joints, and kidney (4).

FCGR2B encodes Fc gamma receptor IIb (FcγRIIb), an IgG receptor expressed on immune cells including B cells, dendritic cells, macrophages, and plasma cells. When coligated by immune complexes to activatory Fc receptors on myeloid-lineage cells or the B cell receptor on B cells, FcγRIIb signals through an immunoreceptor tyrosine-based inhibitory motif (ITIM) to suppress downstream events such as cellular proliferation, phagocytosis, and inflammatory cytokine release (5). Manipulation of this receptor in mouse models emphasizes the importance of FcγRIIb in immune regulation. FcγRIIb-deficient mice are prone to inducible and spontaneous autoimmune disease with a phenotype that resembles human SLE (5, 6). Restoring FcγRIIb expression in lupus-prone mice prevents autoimmunity (7), and even subtle B cell-specific overexpression is sufficient to alleviate SLE (8).

Polymorphisms in *FCGR2B* and its regulatory regions are found in mouse and man. A mouse promoter haplotype associated with

reduced expression of FcγRIIb is present in all polygenic mouse models of SLE (9, 10). In humans, *FCGR* gene duplication and low levels of linkage disequilibrium have led to poor coverage of the region on the platforms used for genome-wide association studies. Candidate gene association studies have, however, linked a polymorphism in *FCGR2B* (rs1050501) with susceptibility to SLE. The minor allele of this SNP codes for a threonine instead of isoleucine at position 232 in the transmembrane domain of FcγRIIb. In vitro studies have shown that the threonine form of the receptor (FcγRIIb^{T232}) is excluded from lipid rafts, and thus, it is unable to interact with activatory receptors and exert an inhibitory effect on cellular function (11, 12).

Although previous small studies have reported an association of FcγRIIb^{T232} with SLE in Asians (13–16), no such association had been shown in two studies in Caucasians (17, 18). We found this surprising, because the functional effect of the polymorphism in vitro is seen in B cells and monocyte-derived macrophages from Caucasian individuals (11). The minor allele frequency (MAF) of rs1050501 is subject to considerable ethnic variation, being lower in Caucasians (0.10) (19) than East Africans (0.25) (19) or Southeast Asians (0.22–0.25) (13–16). This low allele frequency meant that previous studies in Caucasians were underpowered to detect the odds ratio (OR) observed in Southeast Asians (power < 21%). We have, therefore, performed a larger study in Caucasians as well as the largest study performed to date in Southeast Asians, and we meta-analyzed all available data.

The higher MAF in people of Southeast Asian and African descent, populations from areas where malaria is endemic, raises the possibility that decreased FcγRIIb function may provide a survival advantage against this parasitic infection (19). Malaria causes 1–3 million deaths annually, predominantly in children, and it has exerted considerable selective pressure on the human genome (20). The decreased inhibitory function caused by FcγRIIb^{T232} results in increased B cell and myeloid cell activation. Although this might predispose to SLE, a more active immune system may be beneficial in response to infection. FcγRIIb-deficient mice are resistant to the

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manifestations of severe disease after infection with *Plasmodium chabaudi chabaudi*, a rodent malaria causing disease with some similarities to human infection with *Plasmodium falciparum* (19). Similarly, in humans, FcγRIIb^{T232} increases phagocytosis of *P. falciparum* by monocyte-derived macrophages in vitro (19). In this study, we genotyped rs1050501 in children with mild and severe malaria to determine if FcγRIIb^{T232} was associated with protection against malaria, because this may explain the increased MAF in populations from malarial areas.

Results

SLE and FcγRIIb^{T232}. We compared the genotypes of 819 patients with SLE from Hong Kong with 1,026 ethnically matched controls, the largest study of this *FCGR2B* SNP in SLE performed so far. When we combined the results with other studies of Southeast Asians in a meta-analysis, this enhanced the significance of the association of FcγRIIb^{T232} homozygosity with SLE with an OR of 1.7 ($P = 8.0 \times 10^{-5}$), despite not reaching significance alone (Table S1 and Fig. S1). We also compared the genotypes of 326 Caucasian SLE patients with 1,296 controls. Homozygosity for FcγRIIb^{T232} was significantly associated with SLE ($P = 0.014$) (Table S2 and Fig. S2). Meta-analysis of our study with the published Caucasian SLE case-control studies gave an OR for FcγRIIb^{T232} homozygotes of 2.06 (Fig. S2) compared with 1.70 in Asians, showing that FcγRIIb^{T232} homozygosity is a strong susceptibility factor for SLE in both ethnic groups. When studies in both ethnic groups were combined, FcγRIIb^{T232} homozygosity was associated with SLE with an OR of 1.73 ($P = 8.0 \times 10^{-6}$) (Fig. 1), making it one of the strongest genetic associations with SLE yet described (3).

Malaria and FcγRIIb^{T232}. We first genotyped FcγRIIb^{T232} in 473 Kenyan children from a malaria-endemic area who had repeated

episodes of uncomplicated mild malaria associated with frequent parasite exposure. We did not see an association between FcγRIIb^{T232} and frequency of malarial infection before or after adjustment for age, season, ethnicity, HbS phenotype, and thalassemia genotype (Tables S3, S4, S5, and S6), suggesting that this disease phenotype is not modified by FcγRIIb^{T232}.

In this region of Kenya, most children suffer recurrent episodes of mild clinical malaria, but only some go on to develop severe malaria, the greatest cause of infant mortality across most of sub-Saharan Africa (21). To investigate if FcγRIIb^{T232} was protective against severe malaria, we genotyped 998 control children and 684 children admitted to the high-dependency unit of Kilifi District Hospital with *P. falciparum* parasitemia complicated by clinical features of severe malaria. When cases and controls were compared, individuals homozygous for FcγRIIb^{T232} were protected against severe malaria (OR = 0.50; $P = 1.0 \times 10^{-3}$) (Table 1). These findings were replicated in an independent, temporally distinct case-control analysis of samples collected from the same region (OR = 0.62; $P = 0.019$) (Table 1), giving a combined OR of 0.56 ($P = 7.1 \times 10^{-5}$). The genotypes for both control groups were in Hardy-Weinberg equilibrium, but those of both case groups were not ($P = 0.001$ and $P = 0.027$ for case groups 1 and 2, respectively) consistent with FcγRIIb^{T232} being subject to selection pressure from severe malaria.

A polymorphism in the promoter region of *FCGR2B*, rs3219018, has been associated with changes in FcγRIIb expression and SLE in Caucasians (22), and it might have an additional effect on susceptibility to infection. We genotyped 96 Kenyan controls and found no minor alleles, despite finding a MAF of 0.16 in 90 U.K. Caucasians. With a minor allele frequency of less than 0.005, this SNP cannot be responsible for the effects seen in our malarial case-control cohorts.

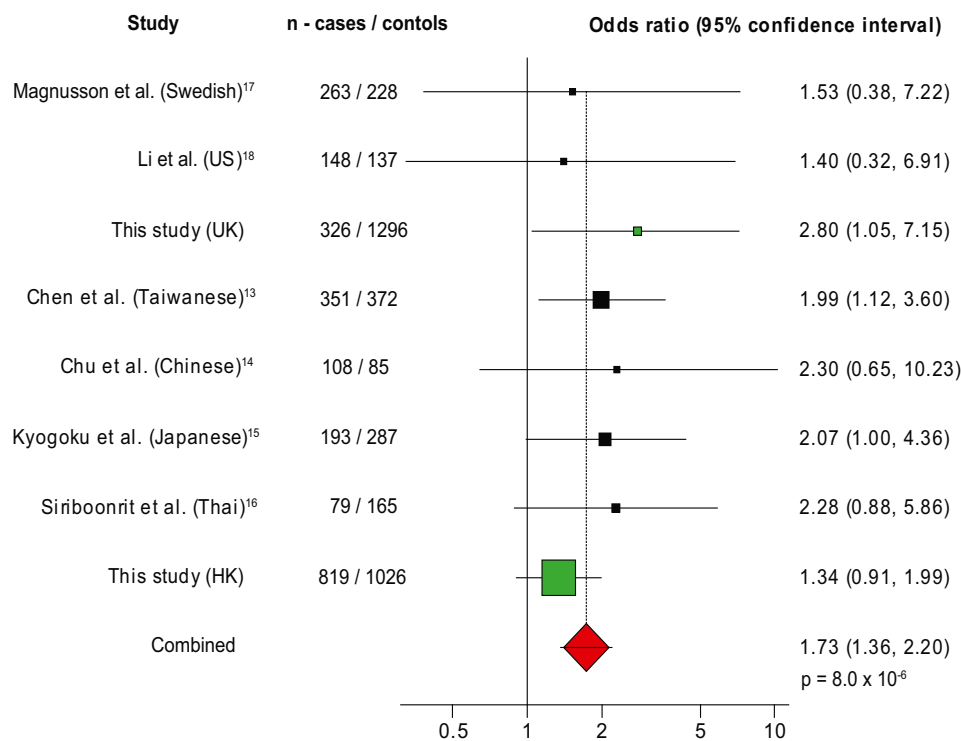


Fig. 1. Homozygosity for FcγRIIb^{T232} is associated with SLE. Meta-analysis of studies shows the ORs and 95% confidence intervals for FcγRIIb^{T232} homozygosity in SLE cases and ethnically matched controls of Asian and Caucasian ethnicity. The studies that we have performed are shown in green. The size of the box in the forest plot reflects the weighting of the study in the combined analysis (studies in Caucasians contribute less owing to the lower minor allele frequency). Analysis performed using StatsDirect software (random effects model by DerSimonian and Laird shown in ref. 37).

Table 1. Distribution of genotype frequencies for FcγRIIb^{T232} in controls and severe malarial cohorts of Kenyan children

	Malarial control group 1 (n = 998)		Severe malaria case group 1 (n = 684)			Control group 2 (n = 1,706)		Severe malaria case group 2 (n = 731)			Combined
	n	Frequency	n	Frequency	P value	n	Frequency	n	Frequency	P value	P value
II	475	0.476	345	0.504		875	0.513	391	0.534		
TT	87	0.087	31	0.045	4.1 × 10 ^{-3*}	125	0.073	34	0.047	0.048*	
IT	436	0.436	308	0.450		706	0.414	306	0.419		
TT	87	0.087	31	0.0453	1.0 × 10 ^{-3‡}	125	0.073	34	0.047	0.019‡	7.1 × 10 ^{-5§}
IT and II	911	0.913	653	0.955	OR = 0.50 (0.33–0.76)	1,581	0.927	696	0.953	OR = 0.62 (0.42–0.91)	OR = 0.56 (0.42–0.74)

II denotes individuals homozygous for FcγRIIb^{T232}, TT denotes individuals homozygous for FcγRIIb^{T232}, and IT denotes heterozygotes.

*P value was calculated by χ^2 test using 2 × 3 contingency table (df = 2).

‡Genotype was not associated with specific features of severe malaria, including hematocrit, parasitemia, conscious level, or respiratory symptoms (multiple logistic regression) (Table S8).

‡P value and OR calculated by χ^2 test using 2 × 2 contingency table (df = 1). Numbers in parentheses refer to 95% confidence intervals.

§Combined P value from meta-analysis of the two cohorts using StatsDirect software.

Bacteremia and FcγRIIb^{T232}. FcγRIIb may influence susceptibility to bacterial infection, which is also a major contributor to the high infant mortality rate in sub-Saharan Africa (21). FcγRIIb-deficient mice show increased phagocytosis of opsonized bacteria and are protected from pneumococcal infection (23), whereas mice overexpressing FcγRIIb on macrophages are more susceptible to it (8). Moreover, humans homozygous for FcγRIIb^{T232} show increased phagocytosis of *Streptococcus pneumoniae* in vitro (19). We, therefore, genotyped two groups of children admitted to Kilifi District Hospital with blood culture-proven bacterial infection as well as controls recruited from the same study area. No significant association was found between FcγRIIb homozygosity and protection against bacterial infection (Table S7).

Discussion

We have shown that FcγRIIb^{T232} is associated with susceptibility to SLE with an OR of 1.7. This effect size, when reviewed in the context of recent genome-wide association studies as well as candidate and linkage studies, is the fifth strongest described for a common polymorphism. Thus, the OR reported for MHC class II (DR2 and DR3) is 2.0, and the OR for a polymorphism in *IRF5* (encoding IFN regulatory factor 5) is 1.8; however, a recently reported SNP in *ITGAM* (alpha M integrin) has an OR of 1.6 (3). The increased MAF of rs1050501 in individuals of Southeast Asian and African descent may, therefore, contribute to the increased prevalence and severity of SLE, which has long been noted in these ethnic groups (1).

We have also shown that FcγRIIb^{T232} is associated with protection against severe malarial infection. The proportion of children with severe malaria who are homozygous for TT is one-half of that in controls, indicating that possession of the TT genotype reduces the chances of acquiring severe malaria by ~50%. A number of mechanisms may contribute to this protective effect. Macrophages derived from both FcγRIIb^{T232} individuals and FcγRIIb-deficient mice phagocytose malarial parasites more avidly (19). Such uptake may be increased by antimalarial antibodies—in mice, FcγRIIb deficiency enhances antibody responses (5), including those to malaria (19), and thus, children with FcγRIIb^{T232} may develop better humoral immunity against malaria.

TNF α suppresses *P. chabaudi chabaudi* in mice (24), and TNF-R1 knockout mice are more susceptible to this infection (25). TNF α also increases clearance of plasmodium infection by macrophages (26) and enhances killing of *P. falciparum* and *P. vivax* through the actions of intermediates such as nitric oxide (27)—nitric oxide, in turn, correlates with resistance in children infected with *P. falciparum* (28). FcγRIIb-deficient mice produce higher levels of TNF α both at baseline and after infection with *P. chabaudi chabaudi*, as do FcγRIIb^{T232} human macrophages (19), and thus, TNF α might help drive the protection associated with FcγRIIb^{T232}; however, after

severe malaria is established, its role in human disease is likely to be complex (29). Indeed, it has been suggested that the delicate balance between proinflammatory and antiinflammatory mediators required to survive repeated malarial infections modulates the immune system and protects against autoimmune disease, explaining why SLE is less common in malaria-endemic Africa than in people of African descent living in Europe and the United States (30).

We did not see an association of FcγRIIb^{T232} with bacteremia in Kenyan children. This observation, together with the fact that bacterial infection has been a major selection pressure in Caucasian as well as African populations, suggests that it is not responsible for ethnic differences in *FCGR2B* genotypes. It does not, however, exclude genetic variation in FcγRIIb from playing a role in bacterial infection. It is quite possible that different bacterial infections are influenced in different ways by FcγRIIb, depending on the specific mechanism used by the immune system for their clearance; this includes the predominant IgG isotypes that they induce, which bind with different affinities to Fc receptors (5). Even in a single infection, FcγRIIb can have different effects on pathogenesis; mice deficient in the receptor are protected from primary streptococcal peritonitis but susceptible to septic shock induced by the same organism (23). In addition, epistatic interactions with polymorphisms in other *FCGR* genes, some of which also differ in MAF between racial groups, may influence the effect of FcγRIIb^{T232} genotype on infections. Thus, although alteration of susceptibility to bacterial mortality is unlikely to drive the ethnic differences in the FcγRIIb genotype that we observe, we have not excluded a more subtle role for it in bacterial infection; definition of this role would require the analysis of larger and more narrowly defined cohorts.

The high mortality from malaria has resulted in the strongest known force for evolutionary selection in the recent history of the human genome (20). The most widely known examples of this are the retention of the sickle cell and thalassemia traits: in Kenyan children from Kilifi, sickle-cell trait protects against severe malaria with an odds ratio of 0.17 (31), whereas heterozygous and homozygous alpha thalassemia confer a protective effect with odds ratios of 0.57 and 0.73, respectively (32). With an odds ratio of 0.56, homozygous FcγRIIb^{T232} has a similar protective effect against malaria to heterozygous thalassaemia, and thus, it could explain the higher MAF of FcγRIIb^{T232} seen in Africans and Southeast Asians. Malaria seems to have driven retention of a polymorphism predisposing to a polygenic autoimmune disease, and this may begin to explain the ethnic differences seen in frequency of that disease.

Materials and Methods

Subjects. Southeast SLE cases and controls. Nine hundred and twenty-two Hong Kong SLE patients were recruited from three Hong Kong hospitals. Medical records were reviewed to confirm that subjects met the criteria of the American

College of Rheumatology (ACR) for SLE diagnosis (33). Control samples (1,116) were obtained from the Hong Kong Red Cross. Patients and controls were all self-reported Chinese ethnicity living in Hong Kong. The study was approved by the Institutional Review Board of the University of Hong Kong and Hospital Authority, Hong Kong West Cluster, New Territory West Cluster, and Hong Kong East Cluster, and all patients gave informed consent.

Caucasian SLE cases and controls. Caucasian control individuals (1,296) were collected in Cambridge, United Kingdom, by the National Blood Service, and all were self-reported as Caucasian (described previously in ref. 11); 381 Caucasian SLE cases were collected in London, United Kingdom, and these patients were diagnosed with SLE according to clinical and serological features defined by the ACR. They were self-classified as Caucasian (34).

Mild malaria subjects. Five hundred and eighteen children were recruited from the Ngerenya area of Kilifi and monitored from October 1998 to September 2003 by weekly surveillance in the community (35). Malaria was defined as fever (an axillary temperature of >37.4 °C) or a clinical history of fever in association with a slide positive for *P. falciparum* parasites at any density. During the 62,427 weeks of patient follow-up, there were 2,270 episodes of mild malaria. Details of age, season, ethnicity, hemoglobin S, and α -thalassaemia genotype were available for this cohort, allowing for adjustment for potential confounding factors.

Severe malaria cases and controls. The first group of severe malaria cases (severe malaria case group 1) was comprised of children admitted to the high-dependency unit at Kilifi District Hospital with *P. falciparum* malaria, and these cases were complicated by one or more clinical features of severity (coma, prostration, multiple seizures, severe malarial anemia, and/or hyperparasitemia) between 1992 and 1997 (32). The first group of Kenyan controls (severe malaria control group 1) was derived from cord-blood samples that were collected at Kilifi District Hospital during the period of 1992–2002. The second group of severe malaria cases (severe malaria case group 2) was comprised of a nonoverlapping cohort of children meeting the same criteria as children in case group 1 who were admitted to the same hospital during the period of 2000–2008. The second group of controls (control group 2) consisted of infants who were residents of the same study area as cases and were recruited between 2006 and 2007. Both control groups were selected to reflect the population served by Kilifi District Hospital and were confirmed to be well-matched to cases with regard to location of residence and ethnic group, the two most significant confounding factors of which we are aware. Our results may underestimate the protective effect of $Fc\gamma RIIb^{T232}$ if a significant proportion of controls subsequently develop severe malaria, but it was confirmed that none of the controls from either group were admitted to Kilifi District Hospital with severe malaria, making this unlikely.

Bacteremia cases and controls. The first group of bacteremia cases (bacterial case group 1) were children (<13 years) admitted to Kilifi District Hospital with blood culture positive for bacterial infection (Gram positive and negative) between 1998 and 2002 (36). The most frequent organisms were *S. pneumoniae* (22%), *Salmonella typhi* (14%), *Haemophilus influenzae* (12%), and *Escherichia coli* (9%). The controls for these cases (bacterial control group 1) were frequency matched to a subset of the cases (two per case) on the basis of time (recruited within 14 days), location of home, age, and sex. Not all cases were matched for logistic reasons; the subset of the controls used was randomly selected. The second group of bacteremia cases (bacterial case group 2) was comprised of children meeting the same criteria admitted between 2003 and 2008. The controls used for these cases were the same as those used for the second group of malarial cases (control group 2) and therefore, reflect the geography and ethnicity of the population served by Kilifi District Hospital. It is likely that some children in the control groups could have developed bacteremia warranting hospitalization before or after recruitment. The risk of a child being hospitalized with bacteraemia between 0 and 5 years was ~ 25 in 1,000 in Kilifi at this time (36), suggesting that around 2.5% of the controls may have been affected. As described for malaria above, such misclassification of a small proportion of controls would lead to a conservative bias, underestimating the significance of our finding.

Ethical approval for the collection of the Kenyan samples was given by the Kenya Medical Research Institute (KEMRI) National Scientific Steering and Research Committees.

Genotyping Methods. rs 1050501. Genomic DNA from the mild and first cohort of severe malaria cases and the Caucasian controls was genotyped by sequencing as previously described (11). The sequencing reactions were performed using Applied Biosystems BigDye chemistry, and the sequences were analyzed using an ABI 3700 capillary sequencer. Analysis of the sequence traces was performed using Sequencher software and was double-scored by a second operator. Genotypes were scored for 473 of 518 mild malaria cases and 684 of 734 severe malaria cases.

For the remaining cohorts, PCR was performed using the following primers: sense 5'-CTA-AGA-GGA-GCC-CTT-CCC-TAT-GT-3' and antisense 5'-AAT-ACG-GGC-CTA-GAT-CTG-AAT-GTG-3' (18). The PCR products were purified using exonuclease I and shrimp alkaline phosphatase (Exosap; GE Healthcare) and then, were genotyped using an Applied Biosystems Custom TaqMan Human SNP Genotyping Assay in accordance with the manufacturer's protocol. All genotyping data were double-scored by two independent scorers to minimize error. In addition, 96 samples were genotyped by both methods with 100% concordance between assays. Genotypes were scored for 326 of 381 Caucasian SLE cases, 819 of 876 Hong Kong SLE cases, 1,026 of 1,116 Hong Kong controls, 998 of 1,038 malaria controls in the first cohort (malaria control group 1), 809 of the first cohort of 857 bacterial cases (bacterial case group 1), 1,706 of 1,810 cord-blood control samples (control group 2), 730 of 741 cases in the second severe malaria cohort (severe malarial case group 2), and 948 of 964 cases in the second bacterial cohort (bacterial case group 2). **rs 3219018.** A nested PCR amplified a 15-kb region specific for *FCGR2B*, which was followed by amplification of the promoter region, with primers as previously described (22). The PCR products were purified using Exosap and sequenced using an ABI 3730 sequencer. Sequences were analyzed using Sequencher software and called by two independent researchers.

Statistics. Genotypes were compared by two-sided χ^2 tests, as indicated in the table legends, using Graphpad Prism. A recessive model was used for two reasons. First, previous genetic studies had suggested that homozygosity for $Fc\gamma RIIb^{T232}$ was associated with SLE (13, 15). Second, the polymorphism disables the receptor (11, 12), and thus, functional deficiency of the receptor in minor allele homozygotes would be consistent with a recessive effect.

Previous studies in Southeast Asians suggested an association of $Fc\gamma RIIb^{T232}$ homozygosity with SLE with an OR of 2–2.3. Our Hong Kong SLE study had 95% power to detect an OR of 2.0, and our Caucasian study was powered to 44% for this OR. Our studies were considerably better powered than previous studies (13–18), and the findings were strengthened by meta-analysis with published data. Meta-analyses were performed using StatsDirect software.

Power calculations for the malaria-association studies were based on an assumption that the effect size of $Fc\gamma RIIb^{T232}$ on susceptibility to severe malaria might be similar to that seen in thalassaemia. Thus, with an OR of 0.57, malaria case group 1 and control group 1 had a power of 77%, whereas malaria case group 2 and control group 2 had a power of 82%. The first bacteraemia study was powered to 70% for an OR of 0.57, whereas the second group of cases and controls had a power of 89%.

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