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Impact of DNA Methylation on the Identification of Aggressive Prostate Cancer

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Abstract

Objectives—Biochemical (PSA) recurrence of prostate cancer following radical prostatectomy remains a major problem. Better biomarkers are needed to identify high-risk patients. DNA methylation of promoter regions leads to gene silencing in many cancers. In this study, we assessed the impact of DNA methylation on the identification of recurrent prostate cancer.

Methods—We studied the methylation status of fifteen pre-specified genes using MSPCR (Methylation Specific PCR) on tissue samples from 151 patients with localized prostate cancer with at least five years of follow-up after prostatectomy.

Results—In a multivariable logistic regression analysis, high Gleason score and involvement of the capsule, lymph nodes, seminal vesicles, or surgical margin were associated with an increased risk of biochemical recurrence. Methylation of *CDH13* by itself (OR=5.50; 95% CI=1.34–22.67; P=0.02) or combined with methylation of *ASC* (OR=5.64 (95% CI=1.47–21.7; P=0.01) was also associated with an increased risk of biochemical recurrence. The presence of methylation of *ASC* and/or *CDH13* yielded a sensitivity of 72.3% (95% CI=57–84.4%) and negative predictive value of 79% (95% CI=66.8–88.3%), which was similar to R_w' , a powerful clinico-pathologic prognostic score. However, 34% (95% CI=21–49%) of the patients who recurred were identified by the methylation profile of *ASC* and *CDH13* rather than R_w' .

Conclusions—Methylation of *CDH13* alone or combined with methylation of *ASC* is independently associated with an increased risk of biochemical recurrence after radical prostatectomy even when one considers the R_w' score. These findings should be validated in an independent, larger cohort of prostate cancer patients who have undergone radical prostatectomies.

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Keywords

DNA methylation; prostate cancer; biochemical recurrence; CDH13; ASC

INTRODUCTION

Prostate cancer is the most common and second most lethal malignancy affecting men in the United States.¹ Despite PSA testing and increased primary treatment, recurrences remain problematic.^{2,3} Several clinico-pathologic scoring systems have been developed to identify patients at greatest risk of recurrence post-surgery including the R_W' and the Kattan nomograms.^{4,5} However, many patients defined as low-risk still recur. Identification of biomarkers could aid in risk stratification and give a clearer understanding of the biologic basis for recurrence.

Prostate cancer is a disease whose complexity continues to unfold. Genetic events such as deletions of *PTEN* and *NKX3.1* and gene fusions involving *TMPRSS* and *ETS* transcription factors are well-characterized events in prostate tumorigenesis.^{6–8} There is also strong evidence for the role of DNA methylation-induced gene silencing in the pathogenesis of prostate cancer.^{9–11} We studied the methylation status of fifteen pre-specified genes whose loss of expression is involved in the progression of cancer.^{6,9–18} In most cases, DNA methylation had previously been shown to silence these genes.

MATERIALS AND METHODS

Study Population

The rate of biochemical recurrence at 5 years post-radical prostatectomy ranges from 16 to 22 percent.^{4,19} Using a retrospective, nested case-control design, we identified 151 patients with at least 5 years of follow-up after surgery for whom tissue specimens were available. One hundred and four patients, or two-thirds, were without biochemical recurrence while 47, or one-third, had recurred. All patients underwent a radical prostatectomy at Johns Hopkins in the PSA screening era, and none received adjuvant therapy. The patient characteristics are shown in Table 1.

Our endpoint was biochemical recurrence-free survival as defined by a $PSA \le 0.2 ng/mL$ at five years post-surgery. Since most patients had not yet experienced overt, measurable disease recurrences, we did not examine this endpoint. The investigators carrying out and interpreting all methylation assays (JJA and JGH) were blinded to the pathological and outcome data.

Tissue Samples

After IRB permission was granted, sections from 151 paraffin-embedded prostate cancer samples from radical prostatectomies were mounted on H&E slides. Adjacent sections representing the highest Gleason score corresponding to the H&E slides were then taken. Specimens were deparaffinized with xylene, washed twice with 100% ethanol, and digested with Proteinase K. Then, DNA was extracted with phenol-chloroform, and 1 microgram was treated with sodium bisulfite.²⁰

Nested Methylation Specific PCR

Nested MSP was performed using *in vitro* methylated DNA and peripheral blood lymphocytes from a normal volunteer as methylated and unmethylated controls.²⁰ All reactions also had negative H_20 controls. The PCR products were loaded onto 2.5% agarose gels stained with GelStar (Cambrex, E. Rutherford, NJ) and subjected to electrophoresis. The products were

subsequently diluted 1:500 in H_20 and served as the template for the second round PCR reaction with both unmethylated and methylated primers, respectively. All samples were run on 2.5% agarose gels. Samples with a methylated band were scored as a methylated. Samples with only an unmethylated band were scored as unmethylated. Samples with neither band were scored as non-evaluable. Primer sequences and PCR conditions are available on request.

Statistical Analysis

The absence of a PSA> 0.2ng/mL at 5 years post-surgery was used to define a dichotomous outcome of biochemical recurrence. DNA methylation was treated as a binary variable (presence versus absence of methylation). Student's t-test or its nonparametric alternative was used to analyze continuous data, and Fisher's exact test was used for categorical data. A logistic regression approach was used to determine the associations of the factors with biochemical recurrence, including the best known clinico-pathological risk factors and the methylation of the genes. The model building followed two steps: 1) univariate analysis was used to identify important covariates and all variables whose p-values were < 0.25; 2) the variables selected in step 1 were simultaneously included to fit a multiple logistic regression model to verify the importance of each variable in the multivariable setting. The lack of fit of the final model was examined using the Hosmer and Lemeshow goodness-of-fit test. Odds ratios and 95% confidence intervals were reported. Operating characteristics of selected gene methylation and high Rw' score were summarized using sensitivity, specificity, positive and negative predictive values, and likelihood ratios.⁴ McNemar's test was used to compare paired proportions. All statistical tests were two-sided with p values< 0.05 considered statistically significant. Genes included in hypothesis testing were pre-specified and an adjustment for multiple comparisons was not made. Analyses were performed with SAS software (Version 9.1, Cary, NC).

RESULTS

Methylation was not detected in *CDH1*, *PTEN*, *CHFR*, and *AR* genes in the first 50 prostate cancers examined suggesting low frequencies of methylation which did not merit further study on the remaining tumors. For the other 11 genes, all 151 samples were evaluated. We found the following methylation frequencies: *GSTP1* 60%, *MGMT* 30%, *ASC* 37%, *CDKN2A* 30%, *EDNRB* 15%, *CDH13* 45%, *CD44* 19%, *TIMP3* 4%, *RUNX3* 44%, *APC* 71%, and *WIF-1* 28%. Overall, 99% of the PCR reactions were successful and informative. Representative gels for *ASC and CDH13* are shown in Figure 1.

We then compared methylation of each gene as a variable for biochemical recurrence. Methylation of *CDKN2A* was associated with a decreased risk of biochemical recurrence with borderline significance (OR=0.43; 95% CI=0.19–0.98; P=0.05) in univariate analysis. While no individual gene's methylation was associated with a statistically significant increased risk of recurrence in univariate analysis (Table 2A), two genes showed strong trends: *ASC* (OR=1.64; 95% CI=0.81–3.32; P=0.17) and *CDH13* (OR=1.80; 95% CI=0.90–3.61; P=0.10). *ASC* was methylated in 37% of cases, while *CDH13* was methylated in 45% of cases. Following the step 1 selection with the univariate analysis, the clinico-pathologic variables and methylation of *CDKN2A*, *ASC*, *CDH13*, *RUNX3*, *MGMT*, and *GSTP1* were considered in a step 2 multivariable analysis (Table 2B). We included *GSTP1* in the multivariable analysis despite its p-value >0.25 due to its importance in prostate cancer.

CDH13 was the only gene whose methylation was found to be significantly associated with recurrence (OR=5.50; 95% CI=1.34–22.67; P=0.02) after adjusting for all of the other factors. Results of the Hosmer and Lemeshow test showed no evidence of a lack of fit in the final model (P=0.60). We further evaluated a profile combining the two genes (CDH13 and ASC) associated with an increased odds ratio of recurrence in another multivariable analysis with a similar multivariable logistic regression model (data not shown). Tumors with methylation of ASC

and/or *CDH13* were independently associated with an increased risk of recurrence compared to tumors without methylation of both of these genes (OR=5.64; 95% CI=1.47–21.7; P=0.01).

The operating characteristics of combining the two genes were further evaluated and compared with the previously defined R_w' score.⁴ A high R_w' score was more specific and was associated with a higher positive predictive value and higher likelihood ratio for recurrence than the methylation status of *ASC* and *CDH13* (Table 3).⁴ However, a trend toward higher sensitivity for the methylation of *ASC* in combination with *CDH13* (72.3%; 95% CI=57.4–84.4%) compared to the R_w' score (55.3%; 95% CI=40.1–69.8%) was observed, although it did not reach statistical significance (P=0.10). Additionally, the methylation status of these 2 genes had a negative predictive value and a negative likelihood ratio not statistically different from the R_w' score (Table 3).

COMMENT

While a pathology report after radical prostatectomy gives valuable information about recurrence risk, there are limitations. For the growing group of patients with early stage, low-grade prostate cancer, outcomes can be quite variable with some patients experiencing recurrences, some of which will be lethal. In addition, there can be significant inter-pathologist variability in interpretation of the Gleason score.²¹

There are only two reports, both using quantitative MSP, that describe gene methylation associated with an increased risk of biochemical recurrence or a reduced time to biochemical recurrence, respectively.^{13,18} In the first report, *PTGS2* methylation was found in over 90% of the primary tumor samples examined.¹³ In the other report, the frequency of *APC* methylation was not stated, but we and others have found that the *APC* methylation frequency in prostate cancer exceeds 71%.^{13,18} Hence, these reports do not separate outcomes according to the presence or absence of gene methylation. Since quantitative MSPCR approaches do not adjust for the percentage of tumors cells which comprise a sample, increased methylation may simply reflect a sample with more transformed cells. Higher tumor burden, as measured by involvement of multiple cores or a high percentage of tumor cells within each core, is associated with prostate cancer aggressiveness.²² Finally, pre-defined, binary cut-offs make one's findings most applicable to future study populations.

Of note, we found that *CDH13* methylation was independently associated with a statistically significant increased risk of biochemical recurrence (OR=5.50; 95% CI=1.34–22.67; P=0.02). Cadherins are calcium-dependent cell-cell adhesion molecules whose loss in solid tumors may be important for epithelial to mesenchymal transition and increased metastatic potential.^{23–} 25 *CDH13* methylation is associated with high Gleason tumors, which increases one's risk of recurrence.¹¹ *CDH13* expression is diminished in many human prostate cancers, and knockdown of *CDH13* in normal prostate cells resulted in enhanced tumorigenicity, providing an explanation for our findings.²⁶

ASC methylation was also associated with an increased risk of recurrence in multivariable analysis. ASC was first identified in human leukemia and is involved in apoptosis, providing an explanation for the risk of recurrence.²⁷ The selection of ASC and CDH13 as a combination for the step 2 multivariable model was based on their individual trends predicting an increased risk of biochemical recurrence in univariate analysis and their increased odds ratios of recurrence in multivariable analysis. Methylation of these 2 genes (ASC and CDH13), while not associated with a greater odds ratio of recurrence than CDH13 alone, was associated with improved sensitivity (72.3%, 95% CI=57–84.4%) for detecting recurrences versus CDH13 alone (sensitivity= 55.3% (95% CI=40.1 – 69.8%). Methylation of ASC and/or CDH13 was

independently associated with an increased risk of recurrence (OR=5.64; 95% CI 1.39–18.2; P=0.01) in multivariable analysis adjusting for all the covariates present in Table 2.

As opposed to the methylation of *ASC* and *CDH13*, we observed a decrease in odds ratios of recurrence for the individual clinico-pathological variables in multivariable analysis versus univariate analysis, which suggests that many recurring patients have multiple high-risk clinico-pathological features present simultaneously (Table 2). This highlights the importance of our DNA methylation findings, which appear to correctly identify patients misclassified as low-risk (due to absence of involvement of these clinico-pathological variables) who still recur. Of note, 34% percent (95% CI=21–49%) of the men who recurred were classified as low risk due to R_w' scores <2.84, but were appropriately identified as recurrences by methylation of *ASC* or *CDH13*. Our findings suggests that determination of methylation of these genes may have the greatest utility for those patients treated with surgery whose tumors lack adverse clinico-pathological features or for patients treated with radiotherapy, for whom pathological staging is not available.

This study of DNA methylation in 151 patients with localized prostate cancer undergoing radical prostatectomy is unique and important for several reasons. First, it is the largest published series in which methylation was found to be independently associated with an increased risk of biochemical recurrence even when *all* of the currently accepted clinico-pathological variables were incorporated into a multivariable analysis.^{13,18} In addition, our cohort included a heterogeneous group of patients. Finally, our approach had a 99% success rate on paraffin specimens, suggesting this could be done on routinely collected tissues.

CONCLUSIONS

Methylation of *CDH13* by itself or in combination with *ASC* is related to recurrence in patients undergoing radical prostatectomy. Given the high sensitivity, high negative predictive value, and low negative likelihood ratio, tumors without methylation of *ASC* and *CDH13* were associated with a significantly reduced risk of recurrence after radical prostatectomy. While promising, these results should be validated on a separate cohort of patients with prostate cancer treated with radical prostatectomies at another institution. Second, methylation of other, novel genes may aid in identifying patients at risk of recurrence. Finally, improved prognostic information needs to be linked to changes in care. This will require advances in adjuvant therapies, so that better risk stratification tools, such as DNA methylation, do not simply increase anxiety without increasing survival.

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A. MSPCR of ASC IVD H20 NL PC1 PC



B. MSPCR of CDH13

| IV | 'D | н | 20 | N | L | P | C1 | PC | 22 | P | C3 |
|----|----|---|----|---|---|---|----|----|----|---|----|
| U | М | U | М | U | М | U | М | U | М | U | М |
| | | | | | | | | | | | |
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| - | | | | | | | | | | | |
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| | | | | | | | - | - | - | | |

Figure 1. Gel Illustrating MSPCR Reaction

IVD=*In vitro* methylated DNA, the methylated control; NL=Normal lymphocytes, the unmethylated control; PC1, 2, 3=Prostate cancer samples; U=Unmethylated reaction, M=Methylated reaction

Table 1

Demographic, Clinical and Pathologic Characteristics for the 151 Patients

| Variable | Total (n=151) | Relapse (n=47) | Non-relapse (n=104) | P value |
|-----------------------------|----------------|----------------|---------------------|-----------|
| Age (yrs) | | | | |
| Mean (± SD) | 58.5 (± 6.1) | 58.8 (± 5.5) | 58.3 (± 6.4) | 0.703* |
| Median (range) | 59.0 (41 - 71) | 59.0 (47 - 69) | 60.0 (41 – 71) | |
| Race | | | | |
| Caucasian | 141 (93.4) | 43 (91.5) | 98 (94.2) | 0.503 |
| Non Caucasian | 10 (6.6) | 4 (8.5) | 6 (5.8) | 1 |
| Clinical stage | | | | |
| T1a | 1 (0.7) | 0 (0) | 1 (1.0) | 0.326 |
| T1b | 4 (2.7) | 1 (2.1) | 3 (2.9) | |
| Tlc | 66 (43.7) | 18 (38.3) | 48 (46.1) | |
| T2a | 44 (29.1) | 16 (34.0) | 28 (26.9) | |
| T2b | 23 (15.2) | 7 (14.9) | 16 (15.4) | |
| T2c | 11 (7.3) | 4 (8.5) | 7 (6.7) | |
| T3a | 2 (1.3) | 1 (2.1) | 1 (1.0) | |
| Preoperative PSA | | | | |
| ≤4.01 | 27 (17.9) | 3 (6.4) | 24 (23.1) | < 0.0001* |
| 4.0 - 10.0 | 80 (53.0) | 15 (31.9) | 65 (62.5) | |
| 10.0 - 20.0 | 35 (23.1) | 24 (51.1) | 11 (10.6) | |
| > 20.0 | 9 (6.0) | 5 (10.6) | 4 (3.8) | |
| Postoperative Gleason score | | | | |
| 0 - Gleason sum 5 | 5 (3.3) | 0 (0) | 5 (4.8) | < 0.0001* |
| 1 - Gleason pattern 3+3 | 61 (40.4) | 4 (8.5) | 57 (54.8) | |
| 2 - Gleason pattern 3+4 | 49 (32.4) | 15 (31.9) | 34 (32.7) | |
| 3 - Gleason patter 4+3 | 16 (10.6) | 13 (27.7) | 3 (2.9) | |
| 4 - Gleason sum 8–10 | 20 (13.3) | 15 (31.9) | 5 (4.8) | 1 |
| Extra capsular penetration | | | | |
| No | 82 (54.3) | 9 (19.1) | 73 (70.2) | < 0.0001 |
| Yes | 69 (45.7) | 38 (80.9) | 31 (29.8) | |
| Surgical margin involvement | | | | |
| No | 117 (77.5) | 27 (57.5) | 90 (86.5) | < 0.0001 |
| Yes | 34 (22.5) | 20 (42.5) | 14 (13.5) | |
| Lymph node involvement | | | | |
| No | 133 (88.1) | 31 (66.0) | 102 (98.1) | < 0.0001 |
| Yes | 18 (11.9) | 16 (34.0) | 2 (1.9) | |
| Seminal vesicle involvement | | | | |

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| Variable | Total (n=151) | Relapse (n=47) | Non-relapse (n=104) | P value |
|----------|---------------|----------------|---------------------|----------|
| No | 131 (86.7) | 29 (61.7) | 102 (98.1) | < 0.0001 |
| Yes | 20 (13.3) | 18 (38.3) | 2 (1.9) | |

* Analyzed using t test or nonparametric Wilcoxon rank sum test for continuous data;

Numbers shown are frequencies with percentages in parentheses except for age.

Table 2

DNA Methylation is Associated with an Increased Risk of PSA Recurrence

| A. Univariate Analysis of | the Risk of Bio | ochemical Recu | rrence |
|------------------------------|-----------------|---------------------|----------|
| Variable | Odds ratio | 95% CI [#] | P value |
| Preoperative PSA* | 1.15 | 1.07 – 1.24 | 0.0001 |
| Postoperative Gleason score* | 4.05 | 2.55 - 6.44 | < 0.0001 |
| Extra capsular penetration | 9.67 | 4.17 – 22.4 | < 0.0001 |
| Lymph node involvement | 25.8 | 5.62 - 118.5 | < 0.0001 |
| Seminal vesicle involvement | 31.0 | 6.80 - 141.6 | < 0.0001 |
| Surgical margin involvement | 4.66 | 2.08 - 10.4 | 0.0002 |
| CDKN2A methylation | 0.43 | 0.19 – 0.98 | 0.05 |
| CD44 methylation | 0.98 | 0.41 – 2.36 | 0.97 |
| WIF1 methylation | 0.82 | 0.38 - 1.80 | 0.63 |
| GSTP1 methylation | 1.07 | 0.53 - 2.18 | 0.85 |
| EDNRB methylation | 1.49 | 0.59 – 3.74 | 0.40 |
| ASC methylation | 1.64 | 0.81 - 3.32 | 0.17 |
| CDH13 methylation | 1.80 | 0.90 - 3.61 | 0.10 |
| RUNX3 methylation | 0.65 | 0.32 - 1.32 | 0.23 |
| MGMT methylation | 0.62 | 0.28 - 1.37 | 0.24 |
| TIMP3 methylation | 0.43 | 0.05 - 3.75 | 0.44 |
| APC methylation | 1.26 | 0.58 - 2.74 | 0.57 |

| B. Multivariable Analysis o | of the Risk of H | Biochemical Recu | irrence |
|-----------------------------|------------------|------------------|---------|
| Covariate | Odds ratio | 95% CI* | P value |
| Preoperative PSA | 1.00 | 0.91 – 1.09 | 0.99 |
| Postoperative Gleason score | 3.77 | 1.87 – 7.62 | 0.0002 |
| Extra capsular penetration | 4.92 | 1.31 – 18.52 | 0.02 |
| Lymph node involvement | 7.26 | 0.92 - 57.59 | 0.06 |
| Seminal vesicle involvement | 13.41 | 1.76 - 101.84 | 0.01 |
| Surgical margin involvement | 7.73 | 1.90 - 31.46 | 0.004 |
| CDKN2A methylation | 0.43 | 0.10 - 1.90 | 0.27 |
| GSTP1 methylation | 0.30 | 0.07 - 1.24 | 0.10 |
| ASC methylation | 2.08 | 0.57 – 7.60 | 0.27 |
| CDH13 methylation | 5.51 | 1.34 - 22.67 | 0.02 |
| RUNX3 methylation | 0.60 | 0.16 - 2.28 | 0.45 |
| MGMT methylation | 0.33 | 0.07 - 1.63 | 0.17 |

*Preoperative PSA and postoperative Gleason score were treated as continuous variables

[#]CI: Confidence interval

Table 3

Performance of ${}^{\#}R_w'$ Score and Gene Methylation in Predicting Biochemical Recurrence

| Variable | * Senstivity (%) (95% $\operatorname{CI}^{\mathcal{E}}$) | § Specificity (%) (95% CI) | ¥ PPV (%) (95% CI) | † NPV (%) (95% CI) | € LR+ (95% CI) | ‡ LR− (95% CI) |
|------------------------------------|---|----------------------------|--------------------|--------------------|----------------------|-----------------------|
| # R _w ' (>2.84) | 55.3(40.1-69.8) | 95.1 (88.9 – 98.4) | 83.9 (66.3 – 94.5) | 82.2 (74.1 – 88.6) | 11.3 (4.6 – 27.5) | 0.5(0.3-0.6) |
| ASC, CDH13 (at least 1 methylated) | 72.3 (57.4 – 84.4) | 48.0 (38.0 – 58.2) | 39.1 (28.8 – 50.1) | 79.0 (66.8 - 88.3) | $1.4 \; (1.1 - 1.8)$ | $0.6\ (0.3-0.9)$ |
| | | | | | | |

 ${}^{\#}_{W'}$, weighted risk of recurrence, based on lymph node status, seminal vesicle status, surgical margin status, and postoperative Gleason score

* Sensitivity is calculated as the number of true positives divided by the number of true positives plus false negatives

 $^{\&}$ Specificity is calculated as the number of true negatives divided by the number of true negatives plus false positives

 * PPV (positive predictive value) is calculated as the number of true positives divided by the number of true positives plus false positives

 $\dot{\tau}$ NPV (negative predictive value) is calculated as the number of true negatives divided by the number of true negatives plus false negatives

 ${\rm \pounds R}_{\rm LR+}$ (likelihood ratio of a positive test result) is calculated as sensitivity/(1-specificity)

 \sharp LR- (likelihood ratio of a negative test result) is calculated as (1-sensitivity)/specificity.

 $t_{\rm CI:}$ Confidence interval.