

# NIH Public Access

Author Manuscript

Mol Cell Endocrinol. Author manuscript; available in PMC 2011 July 29.

Published in final edited form as:

Mol Cell Endocrinol. 2010 July 29; 323(2): 268–276. doi:10.1016/j.mce.2010.03.013.

## Estrogen receptor alpha 46 is reduced in tamoxifen resistant breast cancer cells and re-expression inhibits cell proliferation and estrogen receptor alpha 66-regulated target gene transcription

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## Summary

Resistance to endocrine therapy is a major clinical problem in breast cancer. The role of ER $\alpha$  splice variants in endocrine resistance is largely unknown. We observed reduced protein expression of an N-terminally truncated ER $\alpha$ 46 in endocrine- resistant LCC2, LCC9, and LY2 compared to MCF-7 breast cancer cells. Transfection of LCC9 and LY2 cells with hER $\alpha$ 46 partially restored growth inhibition by TAM. Overexpression of hER $\alpha$ 46 in MCF-7 cells reduced estradiol (E<sub>2</sub>)-stimulated endogenous pS2, Cyclin D1, nuclear respiratory factor-1 (NRF-1), and progesterone receptor transcription. Expression of oncomiR miR-21 was lower in TAM-resistant LCC9 and LY2 cells compared to MCF-7 cells. Transfection with ER $\alpha$ 46 altered the pharmacology of E<sub>2</sub> regulation of miR-21 expression from inhibition to stimulation, consistent with the hypothesis that hER $\alpha$ 46 inhibits ER $\alpha$  activity. Established miR-21 targets PTEN and PDCD4 were reduced in ER $\alpha$ 46-transfected, E<sub>2</sub>-treated MCF-7 cells. In conclusion, ER $\alpha$ 46 appears to enhance endocrine responses by inhibiting selected ER $\alpha$ 66 responses.

## Keywords

estrogen receptor; tamoxifen; endocrine-resistance; splice variants; miR-21; gene regulation

## 1. Introduction

Breast cancer is the most common form of cancer diagnosed in women in the U.S. and the second leading cause of cancer-related death. Although survival has increased over the past decade, thanks to early detection and the use of tamoxifen (TAM) and aromatase inhibitors (AI) (Chia, et al. 2007), the molecular events leading to initial tumorigenesis and progression

**Disclosure statement:** 

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None of the authors have anything to declare.

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are complex and not completely understood. Lifetime estrogen exposure is widely accepted as a major risk factor for breast cancer development (Santen, et al. 2007). Estrogens promote cell replication by binding to estrogen receptors  $\alpha$  and  $\beta$  (ER $\alpha$  and ER $\beta$ ) and regulating the expression of genes and growth signaling pathways that increase cell proliferation (Mangelsdorf, et al. 1995). Cell-based studies indicate that ER $\beta$  inhibits ER $\alpha$  activity and may play a protective role in breast tumors (Behrens, et al. 2007; Williams, et al. 2007), but the role of ER $\beta$  agonists as therapeutics in breast cancer remains to be determined.

A great concern for women with breast cancer and their medical providers is that ~ 40% of initially ER $\alpha$ + tumors become resistant to TAM and other endocrine therapies including AI (Ring and Dowsett 2004). A variety of interacting mechanisms are involved in endocrine-resistant breast cancer and full elucidation of these interacting mechanisms remains enigmatic (Clarke, et al. 2003; Lykkesfeldt 1996). Examples of mechanisms include: 1) overexpression of epidermal growth factor receptor (EGFR) and/or the oncogene HER-2/neu/ErbB2 (Dowsett 2001); 2) splice variants or point mutations in ER $\alpha$  (Herynk and Fuqua 2004); 3) alterations in the nuclear levels of ER coactivator or corepressor proteins, *e.g.*, increased coactivator AIB1 (Louie, et al. 2004), or decreased corepressor NCoR (Girault, et al. 2003); 4) activation of MAPK (Fan, et al. 2009) and PI3K/AKT signaling pathways (Masri, et al. 2008); and increased expression of miR-221/222 which downregulate ER $\alpha$  post-transcriptionally (Miller, et al. 2008; Zhao, et al. 2008). Given that ERs and SERMs act through multiple cellular pathways, transformation from an endocrine -sensitive to a -resistant phenotype involves multiple genetic and epigenetic events in breast cancer cells (Achuthan, et al. 2001).

An N-terminal truncated splice variant of ER $\alpha$  called ER $\alpha$ 46, lacking as 1-173 which includes AF-1, a ligand-independent transactivation function that is regulated by phosphorylation (Lannigan 2003), was first identified and characterized as a dominant negative (DN) inhibitor of ERa activity in osteoblasts (Denger, et al. 2001). ERa46 heterodimerized with ERa and ER $\beta$  and bound EREs with higher affinity than the ER $\alpha$  homodimer *in vitro* (Denger et al. 2001). Overexpression of ER $\alpha$ 46 inhibited MCF-7 breast cancer cell proliferation and inhibited E<sub>2</sub>-induced luciferase activity from a cyclin D1 promoter-reporter (Penot, et al. 2005). Overexpression of ERa46 and ERa66 in ERa-null MDA-MB-231 cells revealed that ERa46 inhibited basal transcription of the E<sub>2</sub>-regulated pS2 (*TFF1*) gene (Metivier, et al. 2004). Chromatin immunoprecipitation (ChIP) assays showed that unliganded (apo) ERa46 recruited components of the Sin3 corepressor (NCoR/SMRT) complex to the pS2 promoter and that addition of  $E_2$  displaced the corepressor complex, increased RNA pol II recruitment, and increased pS2 transcription (Metivier et al. 2004). Thus, apo-ERa46 appears to repress basal transcription of ER-responsive genes, but  $E_2$  may release ER $\alpha$ 46 repression. The mechanisms regulating ERa46 splice variant expression are unknown, but nuclear levels of ERa46 protein increased with MCF-7 cell confluency (Penot et al. 2005). E<sub>2</sub> was recently reported to increase  $ER\alpha 46$  transcription in human macrophages, but not monocytes (Murphy, et al. 2009). In addition to its effects on genomic ERa activity, ERa46 has been identified as a plasma membrane-associated form of ER $\alpha$  that activates the c-Src-PI3K/Akt pathway in vascular endothelium (Kim and Bender 2005; Li, et al. 2003; Li, et al. 2007; Moriarty, et al. 2006).

Here we tested the <u>hypothesis</u> that ER $\alpha$ 46 is reduced in TAM-resistant human breast cancer cells and that this reduction contributes to endocrine resistance. Because ER $\alpha$ 46 is a dominant-negative effector of ER $\alpha$ 66 activity (Denger et al. 2001; Metivier et al. 2004; Penot et al. 2005), we speculated that restoration of ER $\alpha$ 46 expression would restore tamoxifen/ antiestrogen-sensitivity to TAM-resistant breast cancer cells. Therefore, we examined the effect of transfection of ER $\alpha$ 46 on basal and E<sub>2</sub>-regulated endogenous ER $\alpha$  target gene expression in E<sub>2</sub>-dependent and TAM-sensitive MCF-7 versus LCC9 and LY2 TAM-resistant breast cancer cell lines. Since E<sub>2</sub> regulates microRNA expression (Klinge 2009) and specifically downregulates oncomiR miR-21 in MCF-7 cells through ER $\alpha$  (Wickramasinghe,

et al. 2009), we examined how ER $\alpha$ 46 affects the expression of miR-21 and its downstream mRNA targets, the tumor suppressors PDCD4 and PTEN. We report here that ER $\alpha$ 46 expression was indeed reduced in the TAM-resistant breast cancer cell lines and that ER $\alpha$ 46 activity opposes that of endogenous ER-regulated gene transcription in MCF-7, LCC9, and LY2 cells.

## 2. Materials and Methods

#### 2.1. Chemicals

 $E_2$  and 4-hydroxytamoxifen (4-OHT) were purchased from Sigma (St. Louis, MO). ICI 182,780 was purchased from Tocris (Ellisville, MO).

#### 2.2. Antibodies

Antibodies were purchased from the indicated suppliers: HC-20 for ER $\alpha$  from Santa Cruz Biotechnology (Santa Cruz, CA), ER $\beta$  from Upstate (cat #06-629, Lake Placid, NY),  $\alpha$ -tubulin from LabVision (Fisher Scientific, Fremont, CA),  $\beta$ -actin from Sigma, Pdcd4 was Genetex (San Antonio, TX); Pten from Cell Signaling (Beverly, MA).

#### 2.3. Cell Culture

MCF-7 (A) cells were purchased from American Type Tissue Collection (ATCC, Manassas, VA). MCF-7 (K) were obtained from Dr. Robert Pauley and Steven J. Santner of the Karmanos Cancer Institute. LCC9 and LY2 breast cancer cells are tamoxifen and raloxifene/ICI 182,780-resistant cell lines that were derived from MCF-7 cells and kindly provided by Dr. Robert Clarke of the Lombardi Cancer Ctr. of Georgetown University, School of Medicine (Bronzert, et al. 1985; Brunner, et al. 1997). Cells were maintained in IMEM supplemented with 5% fetal bovine serum (FBS) and 1% penicillin/streptomycin (Invitrogen) and maintained in a humidified 37°C incubator containing 5% CO<sub>2</sub>.

#### 2.4. Transient transfection

The ER $\alpha$ 46 expression plasmid pCR3.1-hER $\alpha$ 46 (+727/+2030) was kindly provided by Dr. Giles Flouriot (Penot et al. 2005). MCF-7, LCC9, and LY2 cells were transiently transfected with pCDNA3.1 parental vector or pCR3.1-hER $\alpha$ 46 using FuGENE 6 from Roche (Indianapolis, IN) according to the instructions provided. 48 h post-transfection, cells were treated as indicated.

## 2.5. Protein Isolation

Whole cell extracts (WCE) were prepared in modified RIPA buffer (10mM sodium phosphate, pH 7.2; 1% NP-40; 1% Na-deoxycholate; 150mM NaCl; 2mM EDTA; 0.2mM Na<sub>3</sub>VO<sub>4</sub>; 50mM NaF; and 1 $\mu$ g/mL each of 3aprotinin, leupeptin, and pepstatin; Complete Protease Inhibitor (Roche); and 1mM PMSF). Protein concentrations were determined using the Bio-Rad DC Protein Assay (Bio-Rad Laboratories, Hercules, CA).

#### 2.6. Western Blot Analysis

WCE (20, 30, or 40  $\mu$ g protein, per Fig. legends) were separated on 10% polyacrylamide SDS gels and electroblotted onto PVDF membranes. Western blot was performed as described previously (Wickramasinghe et al. 2009). Immuno-reacting bands were visualized using HyGLO quick spray (Denville Scientific Inc, Metuchen, NJ) chemiluminescence reagent on Kodak BioMax ML film (Eastman Kodak, Rochester, NY). Membranes were stripped and reprobed for  $\alpha$ -tubulin or  $\beta$ -actin for normalization. Resulting immunoblots were scanned into Adobe Photoshop 7.0 using a Microtek ScanMaker III scanner (Carson, CA). Un-Scan-It (Silk Scientific, Orem, UT) was used to quantitate the integrated optical densities (IOD) for each

band. The IOD for each band was divided by concordant  $\alpha$ -tubulin or  $\beta$ -actin IOD in the same blot. For comparison between experiments, the  $\beta$ -actin normalized pixel ratios for control-transfected, EtOH-treated MCF-7 cells was set to 1.

#### 2.7. Cell Proliferation Assays

Cell proliferation was determined by bromodeoxyudridine (BrdU) incorporation using the BrdU ELISA assay from Roche as recommended by the manufacturer. Cells were plated in 96 well plates in phenol red-free IMEM supplemented with 3% dextran coated charcoal stripped FBS (DCC-FBS) for 24 h. Treatments (vehicle control, *i.e.*, ethanol (EtOH), E<sub>2</sub>, 4-OHT, or ICI 182,780, alone or in combination) were added for 48 h. Within each experiment, each treatment was performed in quadruplicate and values were averaged. Values were compared to those in the wells treated with vehicle (EtOH) control which was set to 100. At least 4 separate experiments were performed for each cell line.

#### 2.8. RNA Isolation and Quantitative Real Time RT-PCR (QRT-PCR)

RNA was extracted using Trizol reagent (Invitrogen), followed by purification on RNeasy columns (Qiagen, Valencia, CA). Total RNA was reverse transcribed using random hexamers and the High Capacity cDNA archive kit (PE Applied Biosystems, Foster City, CA). QIAquick PCR purification kit (Qiagen) was used to purify cDNA. Taqman primers and probes for ER $\alpha$  (*ESR1*), ER $\beta$  (*ESR2*), pS2 (*TFF1*), progesterone receptor (PR, *PGR*), NRF-1 (*NRF1*), *PTEN*, and *PDCD4* and control genes 18S rRNA and GAPDH were purchased as Assays-on-Demand<sup>TM</sup> Gene Expression Products (PE Applied Biosystems). QRT-PCR was performed in the ABI PRISM 7900 SDS 2.1 (PE Applied Biosystems) using relative quantification with standard thermal cycler conditions. Analysis and fold differences were determined using the comparative CT method. Fold change was calculated from the  $\Delta\Delta C_T$  values with the formula  $2^{-\Delta\Delta CT}$  and data are presented as relative to expression in EtOH-treated (control) MCF-7 or other cell lines unless otherwise indicated.

#### 2.9. Quantitative real-time PCR (Q-PCR) analysis of miRNA expression

MCF-7, LCC9 and LY2 cells were transiently transfected with pcDNA3.1 or pcDNA3.1-ER $\alpha$ 46 for 30 h and treated for 4 h with ethanol (EtOH, vehicle control), 10 nM E<sub>2</sub>, or 100 nM 4-OHT. MicroRNA was extracted using the Exiqon miRNA isolation kit and quantification of miR-21 was performed using Exiqon miRNA Assays according to manufacturer's instructions (Exiqon, MA). SNORD38B, hsa-miR-765, and 5S rRNA were used for normalization of miRNA expression and 5S rRNA was selected for final normalization as described in the text. Analysis and fold differences were determined using the comparative threshold cycle (Ct) method (again using 5S). Fold change was calculated from the  $\Delta\Delta$ CT values with the formula  $2^{-\Delta\Delta$ CT and data are presented as relative to expression in EtOH-treated (control) in each cell line.

## 3. Results

#### 3.1 Expression of ERa66 and ERa46 in human breast cancer cell lines

We used MCF-7 as a well-established  $E_2$ -dependent, estrogen antagonist-sensitive breast cancer cell line and its derivatives  $E_2$ -independent LCC1 and TAM-resistant LCC2, LCC9 and LY2 which are ER $\alpha$  positive (Bronzert et al. 1985; Brunner et al. 1997) to test the hypothesis that ER $\alpha$ 46 expression is lower in TAM-resistant breast cancer cells compared to TAMsensitive breast cancer cells. We noted no significant difference in ER $\alpha$ 66 or ER $\alpha$ 46 expression in MCF-7 from ATCC or the Karmanos Cancer Center (Fig. 1A, 1B) and subsequent experiments used MCF-7 cells purchased from ATCC. LCC1, LCC9 and LY2 cells differ in their derivation: LCC1 and LCC9 cells were derived from MCF-7 tumor xenografts grown in ovariectomized (ovex) nude mice or ovex mice treated with ICI 182,780, respectively, whereas LY2 cells were derived after step-wise treatment of MCF-7 cells with LY 117018 (Bronzert et al. 1985). Both are resistant to TAM and ICI 182,780. Western blots were performed using HC-20, an ER $\alpha$  antibody that was raised against a peptide in the C-terminus of ER $\alpha$  (Fig. 1A, 1B). TAM-resistant LCC2, LCC9, and LY2 breast cancer cell lines show lower ER $\alpha$ 46 expression than the parental MCF-7 cell line (Fig. 1A, 1B). The levels of ER $\alpha$ 66 and ER $\alpha$ 46 in MCF-7 are similar to those previously reported (Penot et al. 2005).

#### 3.2. Transfection of TAM-resistant LCC9 and LY2 cells with ERα46

ERα46 is a dominant negative inhibitor of ERα66 function in transfected osteosarcoma SaOs cells (Denger et al. 2001) and overexpression of ER $\alpha$ 46 in ER-negative rat PC12 pheochromocytoma cells did not stimulate thymidine incorporation in response to  $E_2$ , indicating that ERa46 does not have ligand-dependent proliferative activity, at least in that cell line (Penot et al. 2005). If the observed decrease in ERa46 in the TAM-resistant LCC9 and LY2 cells shifts the balance of ER $\alpha$ 46:ER $\alpha$ 66 to a proliferative ER $\alpha$ 66 state, then re-expression of ER $\alpha$ 46 might be expected to inhibit ER $\alpha$ 66-induced cell proliferation. LCC9 and LY2 cells were transfected with pCDNA3.1 parental vector or pCR3.1-ERα46 (Penot et al. 2005) expression vector. Transfected LCC9 and LY2 cells expressed ~3-4-fold higher ERα46 protein relative to vector-transfected cells (Fig. 1C and D). We observed only a 50% increase in ER $\alpha$ 46 in transfected MCF-7 cells, but ER $\alpha$ 46 transfection increased ER $\alpha$ 66 ~ 2-fold. The reason for the ~15% increase in ER $\alpha$ 46 protein in vector-transfected cells, including MCF-7, LCC9, and LY2 cells is unknown. It is possible that the CMV promoter in the pcDNA3.1 plasmid triggers an interferon-response in the cells (DeFilippis, et al. 2010) which has been reported to cause alternative splicing (Stoss, et al. 2000), in this case causing an increase in  $ER\alpha 46$  expression, but studies examining this possibility are beyond the scope of the present study. ER $\alpha$ 46 in the transfected LCC9 and LY2 cells was 4- and 3- fold higher than nontransfected MCF-7 and 2- and 1.3- fold higher than MCF-7 cells transfected with ERa46 (Fig. 1C and D). BrdU assays were performed to determine how ERa46 affects LCC9 and LY2 cell proliferation (Fig. 2A and B). Transfection with ER $\alpha$ 46 reduced basal proliferation ~ 12% in LY2 cells (Inset in Fig. 2B), but had no effect on LCC9 basal proliferation. As anticipated, neither 4-OHT nor ICI inhibited LCC9 or LY2 cell proliferation. In fact, 4-OHT stimulated LCC9 cell proliferation. However, cells transfected with ER $\alpha$ 46 were growth-inhibited by treatment with 4-OHT or ICI. Proliferation of LY2 cells transfected with ERa46 and treated with 1 nM  $E_2$  were also inhibited relative to the vector-transfected LY2 cells. Further, the relative proliferation of ERa46-transfected LY2 cells treated with 100 nM 4-OHT was significantly reduced by cotreatment with 10 nM E<sub>2</sub>. These data indicate that ERa46 has a ligand-dependent, anti-proliferative activity in these two TAM-resistant breast cancer cell lines. Thus, ER $\alpha$ 46 appears necessary for antiestrogen-mediated inhibition of breast cancer cell proliferation since ER $\alpha$ 46 transfection restored the ability of estrogen antagonists to repress the growth of these spontaneous endocrine-resistant breast cancer cells.

#### 3.3. ERα46 inhibits E<sub>2</sub>-induced Cyclin D1 transcription in MCF-7 cells

Since ER $\alpha$ 46 overexpression in MCF-7 cells inhibited E<sub>2</sub>-induced luciferase reporter activity from the cyclin D1 promoter and inhibited cell cycle progression by blocking cells in G0/G1 (Penot et al. 2005), we examined how ER $\alpha$ 46 transfection affected basal and E<sub>2</sub>-induced endogenous cyclin D1 in MCF-7, LCC9, and LY2 cells (Fig. 3). ER $\alpha$ 46 slightly, but significantly, increased basal cyclin D1 expression, but blocked E<sub>2</sub>-induced cyclin D1 expression in MCF-7 cells. These data are in contrast to the suppression of basal luciferase activity from the cyclin D1-promoter luciferase reporter in transfected MCF-7 cells reported earlier (Penot et al. 2005). The difference between endogenous gene expression and cyclin D1 promoter activity is likely due to chromatin context and the role of E<sub>2</sub>-ER $\alpha$  interaction with an enhancer in the 3'UTR of the cyclin D1 gene that has a key role in E<sub>2</sub>-induced cyclin D1

transcription (Eeckhoute, et al. 2006). Cyclin D1 expression was significantly lower in LCC9 and LY2 cells compared to MCF-7 cells (Supplemental Fig. 1). When compared to EtOH treatment within each cell line, neither  $E_2$  nor 4-OHT regulated cyclin D1 transcription in LCC9 and LY2 cells (Fig. 3), findings commensurate with their endocrine-independent status. Further, and in contrast to results in MCF-7, transfection with ER $\alpha$ 46 had no effect on cyclin D1 transcription in the TAM-resistant LCC9 or LY2 cells.

# 3.4. Overexpression of ER $\alpha$ 46 decreases expression of estrogen-responsive genes in MCF-7 cells

Overexpression of ER $\alpha$ 46 in MCF-7 cells inhibited E<sub>2</sub>-induced endogenous pS2 (*TFF1*) and progesterone receptor (PR, *PGR*) transcription (Fig. 4A). The levels of E<sub>2</sub>-induced transcription of both pS2 and PR are consistent with other reports (Carreau, et al. 2008). LCC9 and LY2 cells did not express mRNA transcripts for either pS2 or PR and transfection with ER $\alpha$ 46 had no effect on the transcription of either gene (data not shown). The lack of PR expression is consistent with the reported phenotypes of these cell lines (Bronzert et al. 1985;Brunner et al. 1997). Conversely, overexpression of ER $\alpha$ 46 in MCF-7 cells reduced basal ER $\beta$  mRNA (Fig. 4B, Supplemental Fig. 2). The antiestrogen-resistant LCC9 and LY2 cells had lower ER $\beta$  mRNA expression relative to MCF-7 (Supplemental Fig. 2). ER $\beta$  mRNA expression did not change in ER $\alpha$ 46-transfected LCC9 cells, but E<sub>2</sub> and 4-OHT increased ER $\beta$  transcription ER $\alpha$ 46-transfected LY2 cells, indicating cell line-specific differences in the ER $\beta$  transcriptional response to ER $\alpha$ 46.

In contrast to the observed increase in ER $\beta$  mRNA in ER $\alpha$ 46-transfected LY2 cells with E<sub>2</sub> treatment (Fig 4B), no increase in ER $\beta$  protein was observed in LY2 cells (Fig. 5). To better visualize the ER $\beta$  bands in MCF-7 cells, a different exposure is shown in Supplemental Fig. 3. The differences in mRNA and protein levels are likely due to differences in treatment time: although cells were transfected with ER $\alpha$ 46 for 24 h prior to ligand treatment for both gene and protein expression studies, mRNA and protein were analyzed 4 h and 24 h post-treatment, respectively. ER $\alpha$ 46 reduced basal ER $\beta$  protein in MCF-7 cells and inhibited E<sub>2</sub>-induce increase in ER $\beta$  in LCC9 cells.

 $E_2$  and 4-OHT increased NRF-1 transcription in MCF-7 cells and overexpression of ER $\alpha$ 46 blocked ligand-stimulated NRF-1 expression (Fig. 4C).  $E_2$  repressed NRF-1 transcription in LCC9 cells and overexpression of ER $\alpha$ 46 had no effect on NRF-1 expression. In LY2 cells, 4-OHT increased NRF-1 transcription and overexpression of ER $\alpha$ 46 increased basal NRF-1 expression while having no effect on the level of NRF-1 in 4-OHT-treated cells.

#### 3.5. Overexpression of ERa46 allows E2-induced increase in miR-21 expression

We recently reported that  $E_2$  suppresses miR-21 expression in MCF-7 cells (Wickramasinghe et al. 2009). We examined how overexpression of ER $\alpha$ 46 affected miR-21 transcription. For these experiments, we evaluated SNORD38B, hsa-miR-765, and 5S rRNA for normalization of miR-21 expression and 5S rRNA was selected for final normalization because SNORD38B and miR-765 were expressed at different levels in the 3 cell lines and their expression was altered by  $E_2$  and 4-OHT treatment (Supplemental Fig. 4A-C). miR-21 expression was lower in TAM-resistant LCC9 and LY2 cells compared to MCF-7 cells (Supplemental Fig. 4D). This observation agrees with reduced miR-21 in another TAM-resistance cell line derived from MCF-7 cells (Miller et al. 2008).  $E_2$  reduced miR-21 transcription in MCF-7 and LCC9 while the reduction in miR-21 in  $E_2$ -treated LY2 cells was not statistically significant (Fig. 6A). 4-OHT reduced miR-21 in LY2, but not in MCF-7 or LCC9 cells. Overexpression of ER $\alpha$ 46 in all three cell lines resulted in an increase in miR-21 in response to  $E_2$ . In ER $\alpha$ 46-transfected LY2 cells, 4-OHT increased miR-21 relative to untransfected LY2 cells, but the

level of miR-21 was lower than that in the EtOH-treated, ER $\alpha$ 46-transfected LY2 cells, so the relative expression was similar. Overall, these results indicate that ER $\alpha$ 46 overexpression counteracts the E<sub>2</sub>-ER $\alpha$ 66-mediated repression of miR-21 expression, suggesting that ER $\alpha$  AF-1 is important for E<sub>2</sub>-induced miR-21 repression. Further, there are cell line-specific differences in the regulation of miR-21 expression, implicating roles for other factors in regulating miR-21 expression.

#### 3.6. Effect of ERα46 on endogenous miR-21 target genes in MCF-7 cells

Since overexpression of ER $\alpha$ 46 increased miR-21 expression with E<sub>2</sub> in the three breast cancer cell lines cells, the effect of E<sub>2</sub> on the mRNA and protein levels of endogenous miR-21-target genes *PDCD4* and *PTEN* was examined by Q-PCR (Fig. 6B and C) and immunoblot (Fig. 7A and B). As expected based on the decrease in miR-21 with E<sub>2</sub> in MCF-7 cells, and as previously reported (Wickramasinghe et al. 2009), E<sub>2</sub> increased *PDCD4* and *PTEN* mRNA (Fig. 6A and B) and Pten and Pdcd4 protein (Fig. 7A and B), results reflecting reduced miR-21 levels (Fig. 6A), thus increasing transcript stability. Conversely, overexpression of ER $\alpha$ 46 reduced mRNA levels of *PTEN* and *PDCD4* in E<sub>2</sub>-treated MCF-7 cells (Fig. 6B and C), results reflecting increased miR-21 (Fig. 6A), thus reducing transcript stability. The concordant reduction in Pten and Pdcd4 proteins is seen in Fig. 7A and B. Overall, these data indicate that E<sub>2</sub> has opposite effects on miR-21 expression with ER $\alpha$ 66 and ER $\alpha$ 46, implicating a role for AF-1 in E<sub>2</sub>-induced miR-21 repression.

## 4. Discussion

Because endocrine resistance is a major concern in breast cancer recurrence, metastases, and survival, numerous laboratories have invested major effort in elucidating the mechanisms by which cancer cells lose their sensitivity to antiestrogens. Multiple overlapping and interconnecting pathways involving ER function contribute in endocrine resistance (Clarke et al. 2003; Ring and Dowsett 2004). This study evaluated the hypothesis that a reduction in  $ER\alpha 46$  expression in TAM-resistant breast cancer cells contributes to endocrine resistance. We focused our experiments on the effect of ER $\alpha$ 46 overexpression on 4-OHT-responsiveness in LCC9 and LY2 cells, resistant to both TAM and Fulvestrant, because most breast cancer patients with  $ER\alpha$ -positive tumors have historically been treated with tamoxifen as the first line of adjuvant therapy and only treated with fulvestrant at the time of relapse (Robertson, et al. 2004). ER $\alpha$ 46 lacks AF-1 and has intact AF-1 (Flouriot, et al. 2000). Previous studies reported that  $ER\alpha 46$  is a ligand-dependent transcriptional regulator that is a cell-specific manner depending on the relative strength of AF-1 and AF-2 in that cell line (Carreau et al. 2008; Flouriot et al. 2000; Penot et al. 2005). Here we report that ER $\alpha$ 46 expression is reduced in TAM-resistant LCC2, LCC9, and LY2 breast cancer cells. A role for ERa46 in TAMresponsiveness was indicated by experiments in which restoration of ER $\alpha$ 46 expression by transient transfection reduced basal LY2 cell proliferation and increased the ability of 4-OHT and ICI 182,780 to inhibit LCC9 and LY2 cell proliferation. These data are in agreement with a report that overexpression of ERa46 inhibits MCF-7 and PC12 cell proliferation (Penot et al. 2005) and HT-29 colon cancer cell proliferation (Jiang, et al. 2008) but are the first to examine ERa46 expression and activity in endocrine-resistant breast cancer cells. The observation that overexpression of ER $\alpha$ 46 in LY2 cells caused the cells to be growth-inhibited by E<sub>2</sub> and that E<sub>2</sub> enhanced 4-OHT-induced inhibition of cell proliferation is reminiscent of earlier observations. This 'reversal' of E<sub>2</sub> pharmacology in LY2 cells was seen previously with restoration of COUP-TFII expression in LY2 cells (Riggs, et al. 2006) and is similar to the model that Jordan has proposed for the third phase of antiestrogen resistance in breast cancer (Lewis-Wambi and Jordan 2009; Liu, et al. 2003). The inhibition of breast cancer cell proliferation by  $E_2$  involves E2-induced apoptosis, but the mechanism remains unknown (Lewis-Wambi and Jordan 2009).

This is, to our knowledge, the first study examining the impact of ER $\alpha$ 46 on endogenous gene expression in MCF-7, LCC9, and LY2 breast cancer cells. As expected based on previous reports using E<sub>2</sub>-target gene promoter-luciferase reporter assays in transfected cells (Carreau et al. 2008; Penot et al. 2005), overexpression of ERα46 in MCF-7 cells inhibited E<sub>2</sub>-induced transcription of endogenous E2-regulated genes Cyclin D1/CCND1, NRF-1/NRF1, pS2/ *TFF1*, and PR/*PGR*. However, in contrast to the finding that apoER $\alpha$ 46 reduced basal pS2 expression in MDA-MB-231 cells (Metivier et al. 2004), we did not detect reduced basal pS2 or PR in MCF-7 cells. These findings implicate cell-line-specific mediators in differential responses to overexpression of ER $\alpha$ 46. The inhibition of endogenous E<sub>2</sub>-induced CCND1 is also commensurate with the reduction in MCF-7 cell proliferation in ER $\alpha$ 46-overexpressing cells (Penot et al. 2005). The LCC9 and LY2 tamoxifen-resistant cells did not express pS2/ TFF1 or PR/PGR, and showed no E<sub>2</sub>-induced CCND1 or NRF1 transcription. NRF-1 is a transcription factor regulating mitochondrial biogenesis and function as well as a variety of cellular responses including protein synthesis, DNA replication and repair, and cell proliferation (Scarpulla 2008). Although forced overexpression of cyclin D1 rendered MCF-7 cells resistant to the antiestrogen arzoxifene (Zwart, et al. 2009) and overexpression of cyclin D1 is linked to TAM-resistance and STAT3 activation (Ishii, et al. 2008), endogenous cyclin D1 expression was lower in TAM-resistant LCC9 and LY2 cells and was not regulated by  $E_2$ , 4-OHT, or by ERa46. Thus, inhibition of *CCND1* or NRF-1 transcription does not appear to be involved in the ability of ER $\alpha$ 46 to reduce LCC9 and LY2 cell proliferation in response to 4-OHT or ICI 182,780.

In contrast, to our results in ER $\alpha$ -expressing breast cancer cells, overexpression of ER $\alpha$ 46 in ER $\alpha$ /PGR/ERBB3-negative MDA-MB-231 breast cancer cells increased E<sub>2</sub>-stimulated pS2 expression (Metivier et al. 2004). This difference is likely due to the activity levels of AF-1 in MCF-7 versus MDA-MB-231 cells. ER $\alpha$ 46 lacks the N-terminal A/B domain and thus has no AF-1 (Flouriot et al. 2000). AF-1 has higher activity than the ligand-activated C-terminal AF-2 in more differentiated cell lines including MCF-7, and LCC9 and LY2 cells which retain ER $\alpha$  expression, although they show reduced E<sub>2</sub>-regulated transcriptional responses as seen here and reported previously (Riggs et al. 2006). In contrast, AF-2 predominates in dedifferentiated and undifferentiated cell lines including MDA-MB-231 and HeLa cells (Flouriot et al. 2000; Metivier, et al. 2002; Metivier, et al. 2002; Penot et al. 2005).

To our knowledge, this is the first report that  $E_2$ -ER $\alpha$ 46 increases transcription of the oncomiR miR-21 and thus reduces the transcript and protein expression of miR-21 target genes PDCD4 and PTEN in MCF-7 human breast cancer cells. The data shown here demonstrate opposite effects of endogenous ERa66 and ERa46 in mediating E2-regulation of miR-21 transcription. Thus, AF-1 function in ER $\alpha$ 66 results in E<sub>2</sub>-induced miR-21 inhibition whereas the absence of AF-1 in ERa46 allows E2-induced miR-21 transcription. Further detailed mechanistic studies are in progress to parse the roles of AF-1 and AF-2 in ER $\alpha$ -regulation of miR-21 transcription are in progress. Given the established role of miR-21 as a key regulator in oncogenesis (Selcuklu, et al. 2009), the increase in miR-21 in response to E2 in ERa46expressing breast cancer cells appears to contradict results from the cell proliferation studies showing that ER $\alpha$ 46 caused LY2 cells to be growth inhibited by E<sub>2</sub>. Differences in time course of the experiments is one possible explanation. However, miR-21 also downregulates CDC25A, negatively regulates G1-S transition, and participates in DNA damage-induced G2-M checkpoint control in colon cancer cells (Wang, et al. 2009). Thus, despite the observation that miR-21 was the most significantly up-regulated miRNA in breast tumor biopsies (Sempere, et al. 2007), the precise regulation and role of miR-21 in TAM-resistant breast cancer requires further investigation.

Since its identification in 1996, the role of ER $\beta$  in breast cancer has remained enigmatic with some studies indicating that ER $\beta$  acts as a tumor suppressor by antagonizing ER $\alpha$  activity

(Behrens et al. 2007; Paruthiyil, et al. 2004; Pinton, et al. 2009; Sotoca Covaleda, et al. 2008; Williams, et al. 2008), while other studies oppose this model (Speirs, et al. 1999; Speirs, et al. 1999). Thus, while the increase in ER $\beta$  mRNA expression seen with E<sub>2</sub> and 4-OHT treatment of ERa46 transfected TAM-resistant LY2 cells correlates with reduced basal, E2, and 4-OHTregulated proliferation, we did not detect an increase in ERß protein 24 h post treatment. Future studies will be required to assay the time course of ER $\beta$  mRNA and protein stability to parse the mechanism connecting these findings. For example, mRNA and protein stability may be altered by ER $\alpha$ 46 and E<sub>2</sub> treatment in LY2. Although no one has examined the half-life of ER $\beta$  in MCF-7, LCC9, and LY2 cells, ER $\beta$  mRNA half-life was ~ 18 h in rat granulosa cells (Guo, et al. 2001). Altered ER $\beta$  mRNA stability in the ER $\alpha$ 46-transfected LY2 cells may result from differences in  $E_2$ -ER $\alpha$ 46-regulated miRNA expression, *i.e.*, upregulation of miRNAs that reduce ESR2 message levels, thus reducing ERB protein. Given our findings of altered miR-21 expression and the observation that no one has yet identified miRNAs regulating  $ER\beta$ expression, this idea appears worthy of follow-up experiments. Although 2 common SNPs were identified in the 3'UTR of the human *ESR2* gene, they did not influence ER $\beta$  mRNA stability in a heterologous HEK-293 cell system (Putnik, et al. 2009). Likewise, since unliganded ERß represses ERa-mediated MCF-7 cell proliferation, closer examination of the time course of ERB mRNA and protein expression in ERa46-transfected cells will provide insight into ER $\beta$ 's apparent antagonist activity (Levy, et al. 2010).

Interest in the role of ER $\alpha$  splice variants in breast cancer has intensified recently with the identification of ER $\alpha$ 36 (Fowler, et al. 2009). ER $\alpha$ 36 is produced by alternative splicing at the 3' end, yielding a 36 kDa protein lacking both AF-1 and AF-2; further, the last 138 aa in the C-terminal F-domain of ER $\alpha$ 66 are replaced with a unique 22 aa sequence (Shi, et al. 2009). Coexpression of ER $\alpha$ 66 and ER $\alpha$ 36 in human breast tumors was associated with shorter disease-free survival (Shi et al. 2009). When transfected in ER-null HEK293 cells, ER $\alpha$ 36, like ER $\alpha$ 46, was a dominant negative inhibitor of ER $\alpha$ 66 transcriptional activity (Wang, et al. 2006) ER $\alpha$ 36 localized to the cytoplasm and plasma membrane of HEK293 cells and treatment with E<sub>2</sub>, 4-OHT, or ICI 182,780 stimulated MAPK phosphorylation (Wang et al. 2006). ER $\alpha$ 66-positive/ER $\alpha$ 36-positive breast cancers have been speculated to be resistant to tamoxifen (Fowler et al. 2009), but this remains to be determined.

An  $[^{3}H]$ Tamoxifen-aziridine-bound protein of 43kDa was increased in crude tumor homogenates prepared from hormone refractory breast tumors and separated sucrose density gradients (Piccart, et al. 1991). How this relates to ER $\alpha$ 46 as described here can not be determined. Further, there was no confirmation of the identity of the  $[^{3}H]$ Tamoxifen-aziridinebound fragment and no mechanistic follow-up experiments were performed on this observation until the present study. Interestingly, the expression of the  $[^{3}H]$ Tamoxifen-aziridine-bound 43 kDa variant seems to be connected with a 36kDa ERa variant in aggressive breast tumors (Trivedi et al Breast cancer Res. Treat 40:231–241 1996). Again, how these

In summary, we report for the first time that ERa46 expression is reduced in tamoxifen-resistant breast cancer cell lines and that overexpression of ERa46 results in a restoration of TAMinhibition of cell proliferation. ERa46 overexpression results in transcriptional responses opposite that of endogenous ERa66 in MCF-7 and the two antiestrogen-resistant breast cancer cell lines (LCC9 and LY2). For example, miR-21 is down-regulated in response to E<sub>2</sub> in an ERa-dependent manner, but upregulated by E<sub>2</sub>-ERa46. Furthermore, this stimulation of miR-21 correlates with up-regulation of miR-21 targets: tumor suppressors *PDCD4* and *PTEN*. The identification of miR-21 as a miRNA target of ERa46 regulation may offer a role for ERa46 in inhibiting disease progression.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgments

We thank Dr. Gilles Flouriot for providing the pCR3.1-hERa46 plasmid and Dr. Robert Clarke for providing the LCC1, LCC2, LCC9, and LY2 cells.

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#### Fig. 1. Expression of ERa66 and ERa46 in breast cancer cell lines

Representative western blots for ERa protein expression using 30µg of WCE from the indicated TAM-sensitive and TAM-resistant breast cancer cell lines and the HC-20 ER $\alpha$  antibody (A). MCF-7 A is from ATCC and MCF-7 K is from the Karmanos Cancer Center. The bar graph summarizes ER $\alpha$ 66 and ER $\alpha$ 46 protein expression as the mean  $\pm$  SEM of 4 separate experiments in which ER $\alpha$ 66 and ER $\alpha$ 46 were normalized by  $\beta$ -actin expression in that membrane (B). The levels of ER $\alpha$ 66 and ER $\alpha$ 46 were normalized to ER $\alpha$ 66 expression in MCF-7 A cells between experiments for comparison with the ER $\alpha$ 66 expression in MCF-7 A set to 1 for comparison. Western blot of ER $\alpha$  protein expression using 30µg of WCE from the indicated cell lines transfected with control vector (C) or ER $\alpha$ 46 as indicated (C). rhER $\alpha$ 66 was prepared from baculovirus-infected SF-21 cells as a standard (Kulakosky, et al. 2002). The bar graph (D) summarizes ER $\alpha$ 66 and ER $\alpha$ 46 protein expression in 7 different experiments. First, ER $\alpha$ 66 and ER $\alpha$ 46 were normalized by  $\beta$ actin or  $\alpha$ -tubulin expression and then the levels of ER $\alpha$ 66 and ER $\alpha$ 46 were normalized to ER $\alpha$ 66 and ER $\alpha$ 46 in controltransfected MCF-7 cells (MCF-7-C, i.e., transfected with the pcDNA3.1 vector alone) which was set to 1 for comparison (D). Likewise, the -C after LCC9 and LY2 means that the cells were transfected with pCDNA3.1 parental plasmid. \* Significantly different from ERa66 and \*\* ERα46 in MCF-7 control transfected cells, respectively. # and ## Significantly different from ERa66 or ERa46 in pcDNA3.1 control plasmid-transfected same cell line, respectively.





Antiestrogen/TAM-resistant LCC9 (A) and LY2 (B) breast cells were transiently transfected with pCDNA3 parental vector or pCR3.1-hER $\alpha$ 46 (Penot et al. 2005) for 24 h as described in Material and Methods. Cells were then treated as indicated and BrdU incorporation was measured after 48 h of treatment. Values are the average of 3 separate determinations ± SEM. The inset shows the actual absorbance value in a representative experiment for each cell line showing the higher proliferation of LY2 and the significant reduction in basal proliferation with ER $\alpha$ 46 transfection in LY2 (B). \* Significantly different from EtOH control, p < 0.05. ## Significantly different from pcDNA3-transfected control, p < 0.05. † Significantly different from 100 nM 4-OHT ER $\alpha$ 46-transfected LY2, p < 0.05.



Fig 3. Overexpression of ERa46 inhibits  $\rm E_2\text{-}induced$  endogenous Cyclin D1 gene transcription in MCF-7 cells

MCF-7 (ATCC), LCC9, and LY2 cells were transiently transfected with pCDNA3 parental vector or pCR3.1-hER $\alpha$ 46 (Penot et al. 2005) for 30 h as described in Material and Methods. Cells were treated with EtOH, 10 nM E<sub>2</sub>, or 100 nM 4-OHT for 4 h. Q-RT-PCR analysis of cyclin D1 (*CCND1*) expression was normalized to 18S and the fold comparison was against EtOH for pcDNA 3.1-transfected cells within each cell line as described in Material and Methods. Values are the average  $\pm$  SEM of three separate experiments. \* Significantly different from EtOH control, p < 0.05. # Significantly different from pcDNA3-transfected control, p < 0.05.



## Fig. 4. Overexpression of ERa46 alters basal and $E_2\mbox{-}induced$ endogenous gene transcription in a gene- and cell- specific manner

The cell lines indicated (MCF-7 (ATCC) in A; and MCF-7 (ATCC), LCC9, and LY2 in B and C) were transfected with pcDNA3.1 (vector) or pcDNA3.1-ER $\alpha$ 46 as described in Materials and Methods. Thirty h after transfection, cells were treated with EtOH, 10 nM E<sub>2</sub>, or 100 nM 4-OHT for 4 h. Q-PCR was performed to measure pS2/*TTF1* and *PGR* (A), *ESR2* (ER $\beta$ ) (B), and *NRF1* (NRF-1) (C) mRNA expression. The fold comparison was against EtOH for pcDNA 3.1-transfected cells within each cell line as described in Material and Methods. Values are the average +/– SEM of three separate transfection experiments in which all determinations were performed in triplicate. \* Significantly different from EtOH control, p < 0.05, # Significantly different from the same treatment in pcDNA3.1-transfected cells.



#### Fig. 5. Overexpression of ER $\alpha$ 46 alters ER $\beta$ protein expression

The indicated cell lines were transfected with pcDNA3.1 (control vector) or pcDNA3.1hER $\alpha$ 46 for 24 h and then treated with EtOH (vehicle control) or 10 nM E<sub>2</sub> for 24 h. 20 µg WCE was separated by 10% SDS-PAGE and immunoblotted for ER $\beta$  (A) The membrane was stripped and reprobed for  $\beta$ actin. Quantitation of the data (B) are described in Materials and Methods. The blot is representative of 2 separate experiments showing similar results.



Fig. 6. Overexpression of ERa46 stimulates  $\rm E_2$ -induced endogenous miR-21 gene transcription and reduces miR-21 targets PTEN and PDCD4 in MCF-7 cells

MCF-7 (ATCC), LCC9, and LY2 cell lines were transfected with pcDNA3.1 (vector) or pcDNA3.1-ER $\alpha$ 46 as described in Materials and Methods. Thirty h after transfection, cells were treated with EtOH, 10 nM E<sub>2</sub>, or 100 nM 4-OHT for 4 h. Q-PCR was performed to measure miR-21 normalized to 5S RNA (A). Q-PCR was performed to measure *PTEN* and *PDCD4* relative to 18S in MCF-7 cells transfected and treated as indicated. Values are the average +/ – SEM of three separate transfection experiments in which all determinations were performed in triplicate. \* Significantly different from EtOH control, p < 0.05. # Significantly different from the same treatment in pcDNA3.1-transfected cells, p < 0.05.



#### Fig. 7. ERa46 inhibits $E_2$ -induced increase Pten and Pdcd4 in MCF-7 cells

MCF-7 (ATCC) cells were transfected with pcDNA3.1 or pcDNA3.1-ER $\alpha$ 46. Twenty-four h after transfection, cells were treated with EtOH or 10 nM E<sub>2</sub> for 24 h. 5 µg WCE were slot blotted onto PVDF membranes, stained with Ponceau S, washed and probed for Pten (A) or Pdcd4 (B). Values are the average +/– Std dev of duplicate samples. \* Significantly different from EtOH control, p < 0.05, # Significantly different from the same treatment in pcDNA3.1-transfected cells.