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On the Nature of Nicotine Addiction: A Taxometric Analysis

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Abstract

Taxometric procedures were used to determine whether nicotine addiction is best conceptualized as a dimensional or a categorical (i.e., taxonic) phenomenon. Using data from the 2003 National Survey on Drug Use and Health (NSDUH; $N = 12,467$), results from MAMBAC, MAXEIG, and LMODE taxometric analyses provided strong evidence that nicotine addiction has a taxonic latent structure. Members of the addiction taxon, which constituted approximately 48% of those who reported smoking in the past 30 days, consumed a higher number of cigarettes per day, had stronger craving, higher levels of nicotine tolerance, more inflexible smoking patterns, and shorter latencies to smoking their first cigarette on waking compared with nontaxon members. These findings of a distinct addiction taxonic structure were replicated using a 2002 NSDUH sample ($N = 12,224$). Finally, the predictive validity of the taxon variable was compared with a continuous indicator sum. The taxon accounted for most of the predictive variance in the indicator sum, but the latter generally showed significant predictive power even after controlling for the former. Thus, these smoking variables may have both a categorical and a dimensional structure.

Keywords

nicotine addiction; taxometric analysis; cigarette smoking

More than 57 million (27%) American adults reported smoking cigarettes over the previous 30 days (Office of Applied Studies, 2004). The range of cigarette use among these people is exceptionally broad. Many are daily, high-rate smokers—approximately 35% smoke a pack (20 cigarettes) or more each day. Nearly 30% smoke less than a pack of cigarettes on a daily basis, and the remaining 35% do not smoke every day. Most nondaily smokers (72%) average 5 or fewer cigarettes on days on which they smoke. It is commonly assumed that the core difference between daily, high-rate smokers and those with lower levels of cigarette consumption arises from the development of nicotine addiction¹ in the former group (Hughes, Helzer, & Lindberg, 2006; Piper, McCarthy, & Baker, 2006).

The nicotine addiction construct has been variously defined, but most conceptualizations have emphasized a particular constellation of primary features. These include high levels of chronic

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¹Following the recommendation of Baker et al. (2004) and O'Brien, Volkow, and Li (2006), we use the term *addiction* rather than *dependence* in this article. We reserve the latter term to refer to the condition whereby, following chronic exposure to a drug, a withdrawal syndrome emerges when drug levels in the organism decline. We also use *dependence* when talking specifically about Diagnostic and Statistical Manual of Mental Disorders (4th ed., text rev., or *DSM-IV-TR*; American Psychiatric Association, 2000) dependence criteria and diagnoses.

smoking, continued smoking despite knowledge of its harmful impact, impaired control over smoking, the emergence of nicotine withdrawal on cessation, and tolerance to nicotine's effects (American Psychiatric Association, 2000; Piper et al., 2006; World Health Organization, 1992). Although there has been considerable research on the motivational processes presumably responsible for nicotine addiction (e.g., Brandon, Herzog, Irvin, & Gwaltney, 2004; Eissenberg, 2004; Glautier, 2004), little attention has been paid to the latent structure of this construct. In particular, there has been scant research on whether nicotine addiction varies quantitatively or qualitatively across the spectrum of smokers. For example, nicotine addiction may be a natural category such that daily, high-rate smokers differ qualitatively from people who smoke at lower levels. Alternatively, nicotine addiction may lie on a continuum of nicotine use, such that all smokers might be considered more or less addicted along one or more dimensions, with no natural threshold that distinguishes addicted from nonaddicted smokers.

As noted by Tiffany, Conklin, Shiffman, and Clayton (2004), most contemporary theories of nicotine addiction adopt a dimensional perspective with regard to the latent structure of the addiction construct. For example, models that presume that cigarette smoking is maintained by the positive reinforcing effects of nicotine generally posit that those processes are operative across all levels of cigarette consumption with no functional discontinuity between light and heavy smokers (see Glautier, 2004, for a review). Similarly, cognitively based and social learning models of addiction hypothesize that the key cognitive processes responsible for cigarette smoking are continuous across all levels of smoking (see Brandon et al., 2004, for review). Furthermore, approaches that emphasize affective–homeostatic mechanisms as central to addictive motivation typically describe those processes in fundamentally dimensional, not categorical, terms (Eissenberg, 2004). For instance, Koob and Le Moal (1997) hypothesized that repeated uses of nicotine modify the neurobiology of reward such that a person deprived of cigarettes is progressively more anhedonic. In this model, addiction reflects a continuous change in reward sensitivity over repeated nicotine exposures; the model posits no mechanistic threshold that would distinguish the addicted from the nonaddicted smoker.

In contrast, a categorical perspective on nicotine addiction is implicit in current diagnostic classification tools (e.g., the *DSM-IV-TR*; American Psychiatric Association, 2000), which define addiction as an assortment of behavioral attributes that collectively identify certain forms of smoking as qualitatively divergent from other kinds of smoking behavior. In the *DSM-IV-TR*, nicotine addiction is conceptualized as categorically different from nondependent smoking; that is, it is a taxon. According to J. Ruscio, Haslam, and Ruscio (2006), a taxon can be defined as a “grouping of cases that share an underlying commonality, a set of ‘deep’ properties that accounts for the group’s observable similarities” (p. 7). A taxon has boundaries and a distinct group of members, and the discontinuity between members and nonmembers occurs at the latent level. When *DSM-IV-TR* criteria are applied to current adult smokers, approximately 51% are diagnosed as addicted to nicotine (Grant, Hasin, Chou, Stinson, & Dawson, 2004).

The categorical approach adopted by the *DSM-IV-TR* is based on clinical consensus and diagnostic expediency and does not, *sua sponte*, provide sufficient justification for presuming the existence of any taxon (Lenzenweger, 2004). There is, however, ample empirical and theoretical justification for positing the existence of a nicotine addiction taxon. More important, there is a sizable literature on fundamental differences between daily, high-rate smokers and people who display sustained, low levels of cigarette consumption. Various terms have been used to describe the latter type of smoker, including *chippers* (Shiffman, 1989), *occasional smokers* (e.g., Henrikus, Jeffery, & Lando, 1995), and *intermittent smokers* (McCarthy, Zhou, & Hser, 2001). Although inclusion criteria differ across studies, most researchers identify low-level smokers as people who smoke considerably fewer than 20 cigarettes per day and, in many

studies, as those who do not smoke daily. Low-level smokers generally absorb significant amounts of nicotine when they smoke (Brauer, Hatsukami, Hanson, & Shiffman, 1996; Shiffman, Fischer, Zettler-Segal, & Benowitz, 1990), but do not maintain steady-state levels of nicotine (Shiffman, Paty, Kassel, Gnys, & Zettler-Segal, 1994) and do not experience withdrawal when they are abstinent from cigarettes (Shiffman, Paty, Gnys, Kassel, & Elash, 1995). Low-level smokers report experiencing craving in smoking situations (Shiffman & Paty, 2006) and display cue-specific craving when confronted with stimuli associated with smoking (Davies, Willner, & Morgan, 2000; Sayette, Martin, Wertz, Shiffman, & Perrott, 2001). But these smokers say that they do not have very much craving in periods between their cigarettes (Shiffman & Paty, 2006). In contrast, high-rate, daily smokers are more likely to report relatively elevated levels of craving even in the periods between smoking cigarettes (Shiffman & Paty, 2006; Tiffany, Warthen, & Goedeker, in press).

On one hand, low-level smokers do not appear to smoke to avoid nicotine withdrawal. On the other hand, as a consequence of their sustained high levels of nicotine exposure, high-rate, daily smokers exhibit a distinct withdrawal syndrome when they abstain from cigarettes (Hughes, Gust, Skoog, Keenan, & Fenwick, 1991). The idea that withdrawal relief or avoidance is at the heart of addictive drug use has long been formalized in several models of addictive motivation and has been forwarded by multiple researchers as the foundation of nicotine addiction (see Eissenberg, 2004, for review). Withdrawal-based models assume that nicotine withdrawal will emerge only after the smoker is exposed to a sufficient dose and frequency of nicotine. Thus, withdrawal relief or avoidance will not motivate use until the smoker escalates smoking to a point at which physical dependence emerges. Withdrawal, then, may provide a mechanism for distinguishing addicted from nonaddicted smokers. This hypothesis must be tempered in light of claims that with some drugs (most notably opiates), withdrawal signs and symptoms can emerge even with a single drug exposure (e.g., Stitzer, Wright, Bigelow, June, & Felch, 1991). This phenomenon of acute dependence, however, has not been demonstrated with nicotine in either human or animal studies (Eissenberg, 2004), and research has indicated that the development of nicotine withdrawal in rats requires uninterrupted exposure to nicotine sustained over several consecutive days (Vann, Balster, & Beardsley, 2005).

A recent model of addiction motivation forwarded by Baker, Piper, McCarthy, Majeskie, and Fiore (2004) suggested an additional mechanism whereby high-rate smokers might be categorically distinct from low-level smokers. These authors hypothesized that addictive behavior is typified by signaled avoidance learning—in essence, addicts learn to avoid the negative affective consequences of abstinence by using drugs when confronted with interoceptive and exteroceptive cues that signal the onset of withdrawal. Behavior supported by avoidance learning is highly resistant to extinction and prone to reinstatement (Bouton, 2000). Furthermore, to the extent that avoidance effectively forestalls the aversive unconditioned stimulus and terminates signals of impending withdrawal, there should be little overt indication in the behavior of addicted smokers that their smoking is driven by attempts to evade withdrawal. As escape learning generally precedes avoidance learning, a major milestone in the emergence of addictive smoking might be a relatively abrupt transition from escape learning to avoidance learning (Tiffany et al., 2004). A categorically distinct form of addiction may emerge when smokers learn to manage the aversive effects of nicotine withdrawal by maintaining a level of drug use that largely avoids withdrawal experiences.

Given the purported limitations of a purely categorical taxonomy for diagnosing substance use disorders, some authors have recommended that *DSM-V* dependence criteria include dimensionality such that symptoms are rated in terms of severity (Helzer, van den Brink, & Guth, 2006). However, these recommendations are not based on empirical evidence of the latent structure of addiction. The extent to which nicotine addiction is purely dimensional or might have categorical elements has not, with the exception of one study, been directly

addressed. Muthén and Asparouhov (2006) applied several latent variable models to data on seven *DSM-IV-TR* nicotine dependence features assessed in current smokers participating in the 2001–2002 National Epidemiological Survey on Alcohol and Related Conditions (Grant, Moore, & Kaplan, 2003). Their comparison of several analyses favored a hybrid model (factor mixture analysis) of nicotine addiction with three classes of smokers: a zero class (i.e., those who endorsed no *DSM-IV-TR* features), a low nonzero class, and a high nonzero class. People in the nonzero classes reported at least one feature of nicotine dependence. The primary characteristic that distinguished membership in those latter two classes was the profile of responses across the seven *DSM-IV-TR* dependence criteria. Those in the high nonzero class were more likely to endorse difficulty quitting or cutting down and continued smoking despite emotional or physical problems from smoking than those in the low nonzero class. This model also included a single dimensional factor across the two nonzero classes, which Muthén and Asparouhov interpreted as a severity dimension. Because this research was intended primarily to be illustrative of the potential of hybrid latent variable models, several substantive and descriptive features of the study were omitted. Nonetheless, the research supports the possibility that nicotine addiction may have a categorical or taxonic structure.

Another group of statistical procedures, collectively known as taxometric analyses, can be deployed to discover whether there are distinct groups, or taxa, contained within a construct (Meehl, 1973; Meehl & Golden, 1982; Meehl & Yonce, 1994; Waller & Meehl, 1998). These methods have been used to evaluate the psychopathological structures that underlie depression (e.g., Haslam & Beck, 1994), dissociative identity disorder (e.g., Waller, Putnam, & Carlson, 1996), eating disorders (e.g., Williamson et al., 2002), posttraumatic stress disorder (e.g., A. M. Ruscio, Ruscio, & Keane, 2002), and schizotypy (e.g., Korfine & Lenzenweger, 1995). To date, only one study has applied taxometric procedures to investigate addictive disorders. Denson and Earleywine (2006) used taxometric analysis on data from the 2001–2002 National Epidemiological Survey on Alcohol and Related Conditions and concluded that cannabis addiction has a dimensional, not a taxonic, latent structure.

To determine the underlying structure of nicotine addiction and to meet the necessary taxometric requirements, the ideal data set would be collected from a large-scale, population-based sample and contain multiple items related to smoking behavior and addiction. The National Survey of Drug Use and Health (NSDUH) is the only survey that produces ongoing estimates of drug use among noninstitutionalized individuals in the United States (for specific information about sample stratification and weighting, see Office of Applied Studies, 2004). The NSDUH contains several possible indicators of smoking addiction, including characteristics of smoking behavior (e.g., the number of cigarettes smoked each day and latency to smoke first cigarette upon waking) and items from the Nicotine Dependence Syndrome Scale (NDSS, Shiffman, Waters, & Hickcox, 2004). The NDSS is a multidimensional instrument used to assess nicotine addiction. Five subscales (i.e., Drive, Priority, Tolerance, Continuity, and Stereotypy) are theorized to tap multiple dimensions that characterize nicotine addiction. These five subscales of the NDSS retained this factor structure when applied to the 2001 NSDUH sample in adults (Flaherty & Shiffman, 2004b). Additionally, the Drive, Tolerance, and Continuity scales appear to be related to measures of smoking history, latency to first cigarette, and current smoking taken from the 2001 NSDUH, suggesting that the instrument has an adequate level of construct validity (Flaherty & Shiffman, 2004a).

We conducted taxometric analyses on selected indicators from the NSDUH to determine whether the resulting data conformed more clearly to a taxonic (categorical) or a dimensional latent structure. A categorical model of nicotine addiction would be supported if results from several taxometric procedures consistently provided evidence of taxa via graphical plots, converging estimates of base rates, and marked resemblance to plots from simulated taxonic data sets. The proposition that nicotine addiction has a primarily dimensional latent structure

would be supported by consistent data plots that did not uncover taxa, high variability of base rate estimates within and across various analyses, and greater similarity to plots created from simulated dimensional data sets.

Method

Participants

These analyses used data from individuals who completed the NSDUH in 2003 and 2002 (Office of Applied Studies, 2003,2004). Detailed descriptions of the sampling procedures for the NSDUH are described elsewhere (Office of Applied Studies, 2004). A total of 67,784 individuals completed the NSDUH in 2003. The sample size in 2002 was 68,126 individuals. Individuals included in the final taxometric analyses were those who reported smoking at least one cigarette in the past 30 days and who were age 18 or older with no missing data (2003 NSDUH: $N = 12,467$; 2002 NSDUH: $N = 12,224$; see Table 1 for demographic characteristics). The decision to exclude smokers under the age of 18 was based on research suggesting that most of these individuals are uptake smokers with unstable smoking patterns (Kandel & Logan, 1984; U.S. Department of Health & Human Services, 1989). Furthermore, Flaherty and Shiffman (2004a) found that the NDSS five-factor model did not fit data collected from adolescents.

Candidate Indicators

Items that measured various aspects of smoking behavior and nicotine addiction on the NSDUH were chosen as candidate indicators. Potential indicators were restricted to measures that used continuous or ordinal scales, as taxometric procedures are not particularly informative when dichotomous variables are used as indicators (J. Ruscio et al., 2006). Smoking behavior variables available for analyses included the number of days the participant smoked in the past 30 days, latency to smoking the first cigarette after waking, the age when an individual began to smoke, and the average number of cigarettes smoked per day during the days an individual smoked.

Average scores of items that loaded on the five factor scales of the NDSS (Drive, Priority, Tolerance, Continuity, and Stereotypy) were also selected as possible indicators. NDSS items were rated on a scale ranging from 1 to 5, representing various levels of intensity or severity. Some items from the NDSS were reverse scored so that higher scores reflected higher levels of addiction. The reliabilities (alpha) of the Drive, Priority, Tolerance, Continuity, and Stereotypy scales of the NDSS as estimated from the 2003 NSDUH were .83, .65, .84, .83, and .40, respectively.

Analytic Procedures

The initial analysis examined whether the proposed indicator variables uncovered evidence of taxonicity by using mean above minus below a cut (MAMBAC; Meehl & Yonce, 1994). We conducted further analyses, maximum eigenvalue (MAXEIG; Waller & Meehl, 1998) and latent modes of factor score density plot (LMODE; Waller & Meehl, 1998), to provide converging lines of evidence regarding the latent structure of nicotine addiction.

To clarify interpretation of the taxometric results, we used J. Ruscio et al.'s (2006) method of creating simulated data sets based on the parameters of the research data. This bootstrap method generates simulated samples of taxonic and dimensional data sets with the same sample size, indicator distributions, and indicator correlations as the research data. These simulated data are then subjected to the same taxometric analyses applied to the research data. Ten sets of simulated dimensional data and 10 sets of simulated taxometric data were created for each analytic procedure. The graphical output from this procedure depicts the average analysis curve

across the 10 simulated data sets as well as curves representing the outcome of the analysis on each data set. The comparison curve fit index (CCFI) quantifies the extent to which graphical plots from the research data match simulated plots (see J. Ruscio et al., 2006, for further discussion). The CCFI² can range from 0 to 1, with values greater than 0.5 suggesting taxonic latent structures and values less than 0.5 providing support for dimensional structures.

Finally, taxometric procedures provided an estimation of base rates. Base rate variability across indicator curves and across the various taxometric procedures was assessed. In general, widely disparate estimates of base rates indicate a dimensional structure, and narrower ranges of consistent values are representative of a taxonic structure. Base rate estimates were only interpreted if the taxometric analyses provided evidence of a taxon within the dataset.

The taxometric procedures were applied in a replication analysis with data from the 2002 NSDUH (using the same inclusion criteria described for the selection of the 2003 sample). Given that the results suggested a taxonic latent structure, we also conducted analyses of associations between theoretically relevant variables (i.e., smoking history, demographic variables, and other drug addiction variables) and taxon group membership using logistic regression procedures.

Results

Indicator Validity

We first assessed the nine candidate indicator variables for their potential utility for taxometric analyses (J. Ruscio et al., 2006; Waller & Meehl, 1998). These analyses were conducted with provisional taxon and nontaxon samples generated using an a priori base rate. Approximately 42% of the 2003 NSDUH sample met the recommended NDSS-derived addiction criterion (Office of Applied Studies, 2004). Therefore, we used an a priori base rate estimate of .40 for the initial validity analyses. (This same a priori base rate was used to generate the simulated taxonic data sets.) Those who were in the top 40% of a total score aggregated across the candidate indicators were assigned to a provisional taxon group, and the remaining cases were assigned to its complement. We calculated average interindicator correlations between the variables selected as potential indicators to assess their suitability for taxometric analysis. In addition, we calculated the average of each indicator score for the provisional taxon and nontaxon samples, and the difference of these scores, expressed as Cohen's *d*, was used as an index of the potential taxometric utility of the candidate indicator. Guidelines in the taxometric literature suggest using indicators that have an average interitem correlation of less than .30 within the provisional taxon and nontaxon groups, have a Cohen's *d* of at least 1.25, and are not overly skewed (Meehl, 1995; J. Ruscio et al., 2006).

Results of the indicator validity analysis (Table 2) revealed that five of the nine candidate variables met the standards for taxometric analyses: average number of cigarettes smoked per day, latency to first cigarette after waking, and the composite scores for the Tolerance, Drive, and Continuity scales of the NDSS. These variables were used as indicators for all taxometric analyses. The age of an individual's first cigarette and the NDSS Stereotypy and Priority scales were excluded for not meeting the Cohen's *d* criterion; the number of days smoked in the past 30 days was excluded because it was excessively skewed.

²The CCFI is derived by first calculating the root-mean-square residual of the *y* values on the values of the averaged plots from the research data and the simulated dimensional and taxonic plots: $Fit_{RMSR} = \sqrt{\sum (y_{res.data} - y_{sim.data})^2 / N}$ where *y_{res.data}* is a data point on the averaged plot of the research data, *y_{sim.data}* is the corresponding data point on the averaged taxonic or dimensional data plot, and *N* is the number of data points on each curve. The two fit values, *Fit_{RMSR-dim}* and *Fit_{RMSR-tax}* are integrated into one index (CCFI) by the following equation: $CCFI = Fit_{RMSR-dim} / (Fit_{RMSR-dim} + Fit_{RMSR-tax})$.

MAMBAC

In MAMBAC analysis, one indicator served as an input variable, and another served as the output variable. The mean of the output variable below a sliding cut on the input variable was subtracted from the mean above the cut for each pair, resulting in a mean difference score. In our analysis, 500 evenly spaced sliding cuts were made, beginning 250 cases from either extreme. Each indicator served as both an input and an output variable in every possible pairwise combination. The MAMBAC function was then graphed for each of the 20 pairwise combinations. Data supporting a taxonic latent structure would produce a plot that appeared peaked or humped. If the data were better represented by a dimensional structure, the plot would appear disk shaped or concave.

Across the 20 MAMBAC plots, each indicator produced an inverted-U-shaped peak consistent with a taxonic latent structure. None of the individual MAMBAC graphs appeared to be predominantly concave when inspected visually. The MAMBAC curves were averaged, and the average MAMBAC curve was compared with the curves from simulated dimensional and simulated taxonic data (Figure 1). The averaged MAMBAC curve appeared to be more similar to the simulated peaked taxonic plot than the simulated dimensional plot, which had a concave shape. The CCFI was .827, indicating that the research data were strongly supportive of a taxonic structure. The estimated taxon base rates for the individual MAMBAC curves ranged from .481 to .591 ($M = .529$, $SD = .045$). The relatively narrow range of the estimated base rate estimates provided further support for a taxonic latent structure for nicotine addiction. (See Table 3 for base rate and CCFI estimates for each taxometric procedure.)

MAXEIG

MAXEIG is a multivariate taxometric procedure in which one of the indicator variables is sorted in ascending order, and the remaining variables are used as output variables. The first eigenvalue of the covariance matrix of output variables is plotted within successive intervals. In this analysis, 30 equal-sized intervals (416 cases per interval) were used. A separate graph was produced for each input variable; plots consisted of the smoothed eigenvalues on the y -axis and the midpoints of the intervals of each input variable on the x -axis. Similar to MAMBAC, evidence of taxonicity in the plot of eigenvalues produces a peak, whereas a relatively flat plot suggests dimensionality.

Visual inspection of each of the five MAXEIG graphs revealed peaks rather than flat plots, which was consistent with a taxonic interpretation. Furthermore, the averaged MAXEIG appeared very similar to the graph of the simulated taxonic data, as these graphs were peaked, whereas the graph for the simulated dimensional data appeared relatively flat (Figure 2). The CCFI was .948, supplying more support for taxonicity in the data. The estimated base rates for the individual MAXEIG curves ranged from .453 to .495 ($M = .477$, $SD = .016$); the relatively low variation in base rate estimates provided additional support for a taxonic interpretation of the indicator data.

LMODE

In LMODE, the indicators were factor analyzed, and a distribution of estimated true factor scores on the first principal factor was plotted. Factor score density plots from taxonic data would be bimodal, that is, would have two humps, whereas factor score density plots from dimensional data would be unimodal, with one hump. Although LMODE procedures provide visual evidence and an estimate of base rates, they do not allow for a calculation of CCFI.

The graph of the LMODE analyses revealed a distinct bimodal distribution, suggesting taxonicity (Figure 3). Furthermore, the LMODE graph of the actual NSDUH data closely resembled the graph of the simulated taxonic data. The estimated base rate from the LMODE

analysis was .467, which was similar to the estimates derived from the MAMBAC and MAXEIG analyses.

Taxometric Analyses Using 2002 NSDUH Data

The taxometric procedures were applied to data from the 2002 NSDUH in an attempt to replicate the profile of effects found in the 2003 NSDUH sample. The taxometric procedures, which were conducted using the same indicators as in the examination of the 2003 NSDUH data,³ provided clear evidence of a taxonic structure underlying nicotine addiction (Figures 4· 5 and 6; Table 3). Overall, the individual MAMBAC plots were peaked. The averaged MAMBAC curve appeared more similar to the graph of the simulated taxonic data than to the graph of the simulated dimensional data. The MAMBAC CCFI of .82 was similar to the results from the 2003 NSDUH sample. Base rate estimates did not vary widely ($M = .548$, $SD = .075$, range = .476 – .673). Furthermore, the individual MAXEIG curves were consistently peaked in the 2002 NSDUH sample, and the average MAXEIG graph resulted in a peaked shape that was highly similar to the graph generated from the simulated taxonic data (CCFI = .921). Estimated base rates from the MAXEIG sample continued to vary little, ranging from .451 to .499 ($M = .483$, $SD = .019$). Results from LMODE analyses resulted in a bimodal distribution, which matched the bimodal distribution generated from the simulated taxonic data. The estimated base rate was .455; this estimate was similar to those from the other taxonic procedures using the 2002 and 2003 NSDUH samples.⁴

Characteristics of Group Membership on Taxometric Indicators

The base rate generated from the taxometric analysis was applied to the 2003 NSDUH data to describe characteristics of the typical taxon and nontaxon member for the taxometric indicators. J. Ruscio et al. (2006) outlined a technique to estimate the optimum cutting score for MAXEIG in which the indicator values are summed for each case and then sorted in descending order by the total indicator sum.⁵ The estimated base rate (in this case, .477) was used as a cut point. Cases above this cut were assigned to the taxon group, and cases below this cut were assigned to the nontaxon group. Using this method, the cut point in our analysis was 14.33. The estimated base rate of .477 from the MAXEIG procedures was selected because it was the intermediary between the higher estimate produced by MAMBAC (0.529) and the lower estimate produced by LMODE (0.467). Table 4 shows the average indicator scores for the members of the taxon and nontaxon groups.

Correlates of Taxon Membership

The association between taxon membership and several variables including smoking history, drug addiction, health, and selected demographic characteristics was examined (see Table 5). We used logistic regression to evaluate the prediction of taxon group membership with the listed variables as predictors. The overall model was highly significant: Wald $\chi^2(11) = 2,447.10$, Cox and Snell $R^2 = .305$, $p < .0001$. Examination of the odds ratios from the multivariate logistic regression showed that 7 of the 11 variables made significant independent contributions to the prediction of membership in the taxon group. Relative to the nontaxon group, members of the nicotine addiction taxon smoked their first cigarette at a younger age, reported smoking more days out of the past 30 days, and were more likely to purchase their cigarettes by the carton than by the pack. The taxon and nontaxon members did not differ

³Preliminary validity analyses conducted on the nine candidate indicators with the 2002 NSDUH data produced a profile of effects identical to that identified with the 2003 NSDUH data.

⁴Taxometric analyses conducted separately by gender for the 2003 and 2002 NSDUH data produced patterns of results and taxometric indices in women and men nearly identical to those observed in the full samples.

⁵The indicator sum was calculated by adding the point values of the 12 items making up the five taxonic indicators. See Table 4 for point values for each item.

significantly in terms of rates of alcohol abuse or dependence or of rates of marijuana abuse or dependence. Those in the taxon group, however, were more likely to abuse or be dependent on illicit drugs (excluding marijuana). Moreover, taxon members reported significantly more symptoms of psychological distress than nontaxon members in the previous year and rated their overall health as poorer. Finally, the education level of taxon members was lower than that of nontaxon members.

We also conducted a series of bivariate regressions to compare the validity of taxon membership relative to the summed indicator variable as predictors for each of the 11 variables listed in Table 6 as dependent variables. In the case of dichotomous dependent variables, we used logistic regression and calculated generalized R_s^2 —all other analyses used ordinary least squares regression with conventional R_s^2 . With the exception of one set of analyses (alcohol abuse and dependence), both the indicator sum and the taxon variables were significant predictors of the dependent variables (all $ps < .01$; see Table 6). Of note, additional models evaluating the predictive validity of the residual of the indicator sum variable (with taxon membership covaried out of the continuous variable; analyses not shown) revealed that the residual was also significantly associated with each of the dependent variables (with the exception of marijuana abuse or dependence). We also calculated a relative R^2 for each dependent variable as the ratio of the R^2 for the taxon variable to the R^2 for the indicator sum variable. In all analyses but one (income), the variance attributed to taxon membership accounted for a majority of the predictive variance in the indicator sum variable, with an average of 69% across the 10 analyses showing significant regression effects.

Finally, examination of the means for days smoked out of the past 30 suggested that taxon membership might be strongly associated with daily smoking. To explore this relationship further, we converted the past 30 days smoking variable into a dichotomous measure on the basis of whether participants reported smoking on each of the past 30 days. An analysis of the two-way contingency table between taxon membership and daily smoking for the 2003 NSDUH data (Table 7) revealed that daily smoking showed considerable sensitivity for assignment to the taxon group (sensitivity = .891) but substantially less specificity (.638), with a large number of daily smokers in the nontaxon group. These results indicated that daily smoking might be a necessary but not a sufficient condition for taxon membership.

Discussion

This study is the first to investigate the latent structure of nicotine addiction using taxometric analyses. Multiple lines of evidence from three statistically distinct procedures (MAMBAC, MAXEIG, and LMODE) applied to large, nationally representative samples of adult smokers showed that the selected indicators had a marked taxonic structure. Moreover, evidence for this addiction taxon was found across datasets from different years. None of the analyses provided support for a purely dimensional structure. Overall, the results were consistent with the proposition that there is a qualitative difference between addicted and nonaddicted smokers. The analyses showed that relative to nonaddicted smokers, members of the addiction taxon could be distinguished as people who consumed a high number of cigarettes per day and had strong craving to smoke, high tolerance to nicotine, relatively unvarying smoking patterns, and shorter latencies to smoke their first cigarette on waking.

Characterization of the Nicotine Addiction Taxon

The five indicators providing evidence of an addiction taxon included items related to NDSS Drive, Continuity, and Tolerance and latency to first cigarette on waking and average number of cigarettes smoked per day. According to Shiffman et al. (2004), items on the Drive scale relate to craving to smoke, a loss of control over smoking, and the experience of negative affect

when abstinent from cigarettes. Although craving is not listed in *DSM-IV-TR* as a critical component of drug dependence, findings from several lines of research have consistently suggested that craving is a central component of nicotine withdrawal (Colby et al., 2004). Our results support the proposal that craving may be a core feature of nicotine addiction (Tiffany et al., in press).

The items contributing to the Continuity factor represent smoking patterns that are uniform within and across days. Like craving, an uninterrupted, stable pattern of smoking is not included in *DSM-IV-TR* nicotine dependence criteria. The item related to latency to smoke was originally derived from the Fagerström Tolerance Questionnaire (Fagerström, 1978). Subsequent research has found that two of the Fagerström Tolerance Questionnaire items, latency to first cigarette and number of cigarettes per day, are the variables most consistently related to other measures of smoking behavior (Lichtenstein & Mermelstein, 1986). Recently, Baker et al. (2007) reported that latency to the first cigarette of the day was the single best predictor of relapse among people attempting to quit smoking. These findings complement the present results, which indicate that latency to smoke and the number of cigarettes consumed per day are important behavioral attributes of a nicotine addiction taxon.

The regression procedures identified additional features that significantly differentiated the addicted from the nonaddicted smoker. Smokers in the addiction taxon reported smoking nearly every day of the previous month, whereas those in the nonaddicted group smoked cigarettes on a little more than half of the preceding 30 days. Smokers in the taxon group were more likely to purchase cigarettes by the carton and more likely to begin smoking at a younger age—on average, smokers in the taxon group had their first cigarette more than a year earlier than smokers in the nonaddicted group. Although the two groups did not differ in their rates of alcohol or marijuana abuse or dependence, those in the addiction taxon had higher rates of illicit drug abuse or dependence, reported more symptoms of psychological distress, described themselves as being in poorer health, and had a lower level of education than those in the nonaddicted group. Many of these characteristics reflect features previously reported in the literature as distinguishing heavy smokers from low-level smokers (e.g., deBry & Tiffany, 2008; Gilpin, Cavin, & Pierce, 1997; Presson, Chassin, & Sherman, 2002; Pomerleau, Collins, Shiffman, and Pomerleau, 1993; Shiffman & Paty, 2006).

Theoretical and Heuristic Implications

These taxometric results do not support the assumption implicit in several addiction theories that smokers, regardless of their level of cigarette use, only differ in terms of their severity of nicotine addiction. The results are consistent with models of drug addiction that presume that high-rate, daily smokers display a profile of cigarette smoking and related behavior that is categorically distinct from the smoking behaviors of low-level smokers. As noted in the introduction, withdrawal-based models that assume that the withdrawal syndrome emerges only after sustained, continuous exposure to an abused drug would be most compatible with the proposal that nicotine addiction represents a qualitatively discrete form of smoking behavior. Certain aspects of the findings were clearly congruent with this conceptualization of addictive smoking. First, the prototypical smoker in the addiction taxon tended to smoke a relatively large number of cigarettes on a daily basis. This pattern of uninterrupted smoking would be most likely to support the emergence of a pronounced withdrawal syndrome on cessation of smoking. Second, although none of the indicators uniquely and directly indexed the withdrawal syndrome, the NDSS Drive subscale contains one item that would qualify as a principal feature of nicotine withdrawal, namely, “After not smoking for a while, you need to smoke in order to feel less restless and irritable.” Indeed, Baker et al. (2004) have proposed that negative affectivity following suspension of drug use is the defining feature of drug withdrawal. Third, the NDSS Tolerance subscale also contributed to the identification of the

taxon. Tolerance, a reduction in drug effect after repeated exposure to the drug, has been portrayed by some researchers as a manifestation of the same adaptive process that gives rise to the withdrawal syndrome in the abstinent state (e.g., Siegel, Baptista, Kim, McDonald, & Weise-Kelly, 2000).

The apparent qualitative distinction between addicted and non-addicted smokers raises questions about the development of nicotine addiction. Most contemporary models propose that the emergence of nicotine addiction is a dynamic process that unfolds continuously over time and situations (Tiffany et al., 2004). Moreover, some researchers (e.g., DiFranza & Wellman, 2005) have hypothesized that individual symptoms of nicotine addiction readily develop over initial, intermittent exposures to tobacco and that addictive smoking, characterized by loss of autonomy over smoking, emerges very early during the course of smoking history. That proposal stands in contrast to the present findings, which suggest that the threshold for nicotine addiction is not reached until a person is smoking at relatively high rates on a daily basis. Issues regarding transitions from nonaddicted to addicted smoking may be best addressed by focusing on the trajectories of taxonic indicators in longitudinal studies that begin tracking people relatively early in the course of their smoking history.

It may also be instructive to study smokers whose data fall near the boundary between the addicted and nonaddicted groups—for example, individuals who smoke at high rates but are not in the taxon because of their low scores on other indicators. These investigations would allow us to determine the “sharpness” of the demarcation line between addicted and nonaddicted smokers (Lenzenweger, 2004) and point to fundamental psychological and biological processes responsible for the development of nicotine addiction. Studies of the genetics of smoking may also be informed by the findings of the present research. The heritability of nicotine addiction, variously defined, is at least 50% (see Batra, Patkar, Berrettini, Weinstein, & Leone, 2003, for a review). Most studies on the genetics of smoking have operationalized addiction phenotypes through arbitrary cutoffs on diagnostic criteria or on scales putatively indexing addiction. The taxon identified in this research represents an excellent starting point for a more refined, empirically validated phenotyping of the nicotine addiction construct.

Although the present results appear most consistent with withdrawal-based models of addiction, it is important to note that the indicators available for analyses were not distinctively representative of key facets of withdrawal-based models. Moreover, even if withdrawal is a defining feature of the proposed nicotine addiction taxon, there is nothing in this research that allows us to discern whether withdrawal-based processes are causes or consequences of addictive smoking. Moreover, critical variables suggested by other models of addiction, such as essential manifestations of positive reinforcement processes, were not available as indicators. Thus, this study is not dispositive with regard to any specific model of addiction. Nonetheless, these results, if replicated and validated, indicate that any comprehensive theory of nicotine addiction might have to account for the apparent categorical aspects of the latent structure of smoking-related behavior.

Considerations for the Diagnosis of Nicotine Addiction

The taxonic conceptualization is superficially consistent with *DSM-IV-TR* and International Statistical Classification of Diseases and Related Health Problems (World Health Organization, 1992) categorical models of substance dependence. But the *DSM-IV-TR* approach to nicotine dependence does not map fully on to the findings generated by the present study. Although the taxometric indicators and *DSM-IV-TR* dependence criteria do share some features (i.e., high levels of smoking and tolerance), they do not completely overlap. Furthermore, although the base rate of the nicotine addiction taxon identified in this study (nearly .50) is remarkably close to the incidence of nicotine dependence among current adult

smokers reported in some studies (e.g., 51%; Grant et al., 2004), these estimates are derived from somewhat dissimilar approaches. The taxometric analyses use empirically generated cut points along the indicator distributions of symptom severity to distinguish between addicted and nonaddicted smokers. *DSM-IV-TR* uses a clinically defined cut-off on a total symptom count to establish the boundaries of these two groups.

This investigation focused on the latent structure of nicotine addiction and excluded other types of substance use disorders, whereas *DSM-IV-TR* uses the same criteria for all drug-dependence disorders. It is uncertain whether our findings reflect a taxon specific to nicotine, or whether nicotine addiction shares a common latent structure with all addictive disorders or represents a more general vulnerability for externalizing disorders (Hicks et al., 2007; Krueger, Markon, Patrick, & Iacono, 2005). Given that rates of alcohol and marijuana use disorders did not significantly discriminate between smokers in and out of the nicotine addiction taxon, the taxon identified here may be somewhat independent of these drug abuse disorders. This possibility must be qualified in light of the fact that the drug use predictor variables lumped abuse and dependence into a single measure and the finding that a variable representing illicit drug abuse or dependence did discriminate the two smoking groups. Currently, only one published study has used taxometric methods to investigate drugs other than nicotine, and results supported a dimensional structure underlying cannabis addiction (Denson & Earleywine, 2006). More studies are needed to evaluate this finding, as that investigation had several limitations. More important, the study only used dichotomous indicators (i.e., either endorsing or not endorsing each symptom of *DSM-IV-TR* cannabis dependence), which are not well suited for taxometric analyses. Future investigations should reexamine marijuana addiction using continuous indicators. Additional taxometric studies should also be conducted to explore the latent structures underlying other major drug addictions including alcohol, opioid, and cocaine addiction.

Clinical Implications

Ideally, the manifest system adopted for the description and diagnosis of nicotine addiction would match the latent structure of the addiction construct. The present results support the feasibility of diagnosing nicotine addiction via an empirically derived boundary distinguishing addicted from nonaddicted smokers. Beyond its diagnostic function, we would expect that this taxometrically derived classification would have prognostic and treatment utility. With regard to prognosis, smokers meeting criteria for the addiction taxon would most likely have considerably greater difficulty quitting smoking and have a substantially greater probability of relapse than smokers not in the taxon group. Moreover, smokers' sensitivity to selected treatments may vary systematically as a function of whether they are in the addiction taxon. For example, treatments specifically targeting nicotine withdrawal, such as nicotine replacement therapies, may be effective only for smokers in the nicotine addiction taxon.

Limitations

Several variables available for analyses did not meet our selection criteria for inclusion as indicators (i.e., number of days smoked in the past 30 days, age at first cigarette, NDSS Priority, and NDSS Stereotypy). The extent to which these constructs are meaningful with regard to understanding the latent structure of smoking addiction remains an open question. Some of the variables used to represent these constructs on the NSDUH were psychometrically inadequate. For example, both the NDSS Priority and the NDSS Stereotypy scales had very low levels of reliability. Even for indicators found to be taxometrically useful, their sensitivity could be enhanced. For instance, given that average number of cigarettes consumed per day appeared to be one of the defining attributes of an addiction taxon, this measure could be improved with a more precise estimate of daily nicotine exposure as opposed to the relatively coarse assessment used in the NSDUH.

A number of potentially valid indicators of nicotine addiction, such as nicotine withdrawal, are not captured well by the NDSS scales, and it will be useful in future studies to collect data from self-report instruments beyond those analyzed in the present research. Preferably, candidate indicators would be collected across a wide range of behavioral and biological domains so as to diminish the reliance on self-report, which may be biased or incomplete. This may allow the discovery of other features that distinguish the taxon group from the nontaxon group beyond the data gathered through the NDSS and other self-report items on the NSDUH.

The taxometric analyses were designed to determine whether the data were best represented by a one-group (dimensional) or a two-group (taxonic) latent structure (J. Ruscio et al., 2006). The identification of a single taxonic boundary does not mean that the latent structure of nicotine addiction could not be differently parsed into subgroups and/or multiple dimensions on the basis of additional analyses on these and other indicators. For instance, Muthén and Asparouhov (2006) described a hybrid multiclass model of nicotine addiction derived from *DSM-IV-TR* criteria with two classes of smokers distinguished primarily on the basis of two diagnostic features: attempts to cut down or quit smoking and continued smoking despite emotional and physical problems from cigarette use. It would be useful to apply those modeling procedures to the data used in the present research to determine the extent to which the latent structure identified by a hybrid multiclass model maps onto the results generated through taxometric procedures.

The taxonic solution supported by these analyses also does not preclude the possibility that there are levels of severity of nicotine addiction within the taxon group or meaningful dimensional variation within the nontaxon group (see Waller & Meehl, 1998, and J. Ruscio et al., 2006, for discussions of the potential importance of dimensional variation within taxon and/or nontaxon groups). For example, Shiffman and Sayette (2005) found that factor scales from the NDSS clearly discriminated heavy smokers from low-level smokers and that even among low-level smokers, selected NDSS scales were significantly predictive of other smoking-related variables. Our finding that variance attributable to taxon membership did not account completely for the predictive validity of the continuous indicator sum variable is entirely consistent with the view that the latent structure of the indicator variables may have both categorical and dimensional features. Furthermore, the death, disease, and disability caused by cigarette smoking are determined by the net exposure to cigarette smoke over time (Burns, Major, Shanks, Thun, & Samet, 2001). Consequently, for any smoker a dimensional variable, the level of sustained cigarette exposure, has critical health implications irrespective of that person's standing with regard to nicotine addiction. Nonetheless, the strong evidence of a taxon in this research suggests that there is a natural, latent category in smoking-related behaviors. A fuller understanding of the processes responsible for the formation of that category should lead to more clinically useful assessments of nicotine addiction and more effective treatments for cigarette smokers.

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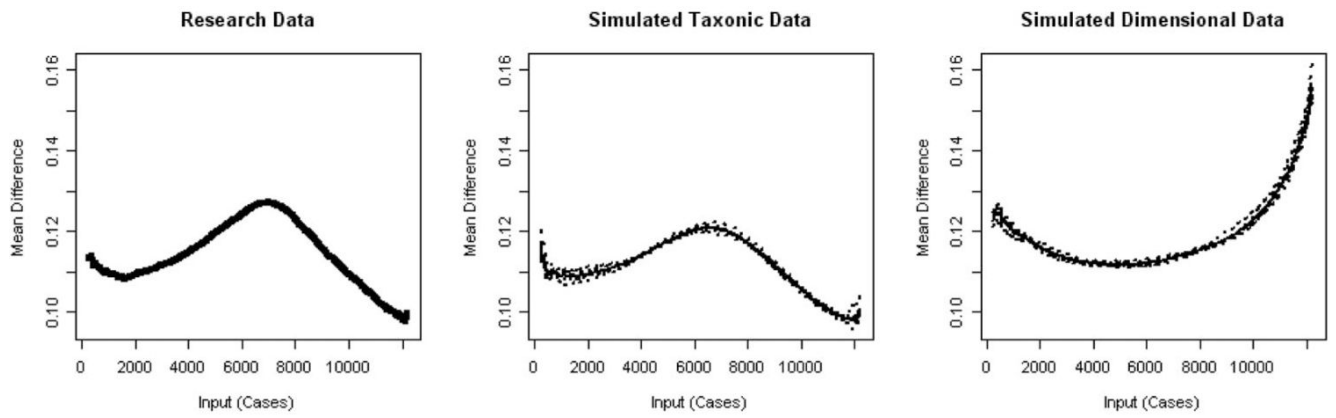


Figure 1. 2003 National Survey on Drug Use and Health averaged mean above minus below a cut plot for research data (left panel) and simulated data (middle and right panels).

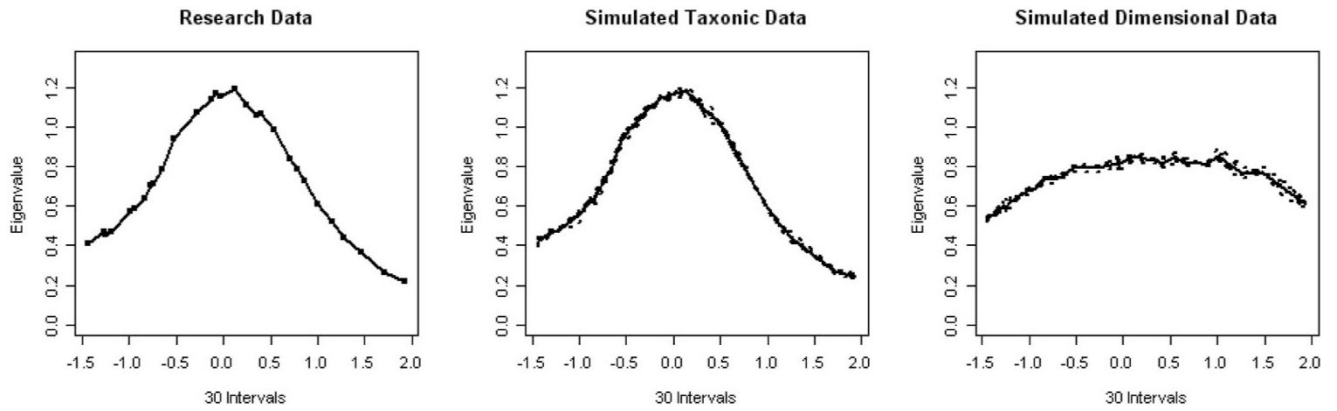


Figure 2. 2003 National Survey on Drug Use and Health averaged maximum eigenvalue plot for research data (left panel) and simulated data (middle and right panels).

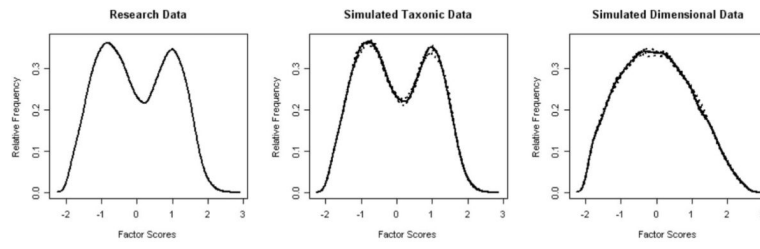


Figure 3. 2003 National Survey on Drug Use and Health latent mode plot for research data (panel 1) and simulated data (panels 2 and 3).

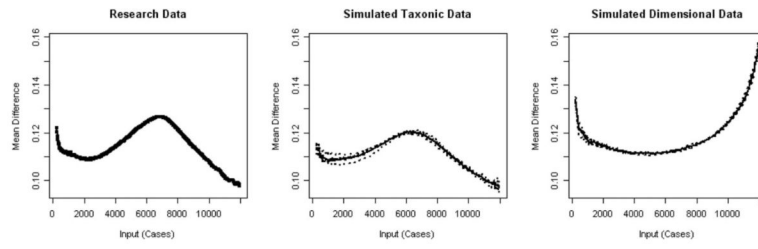


Figure 4. 2002 National Survey on Drug Use and Health averaged mean above minus below a cut plot for research data (left panel) and simulated data (middle and right panels).

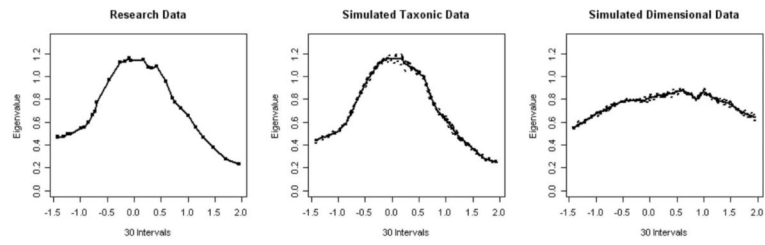


Figure 5. 2002 National Survey on Drug Use and Health averaged maximum eigenvalue plot for research data (left panel) and simulated data (middle and right panels).

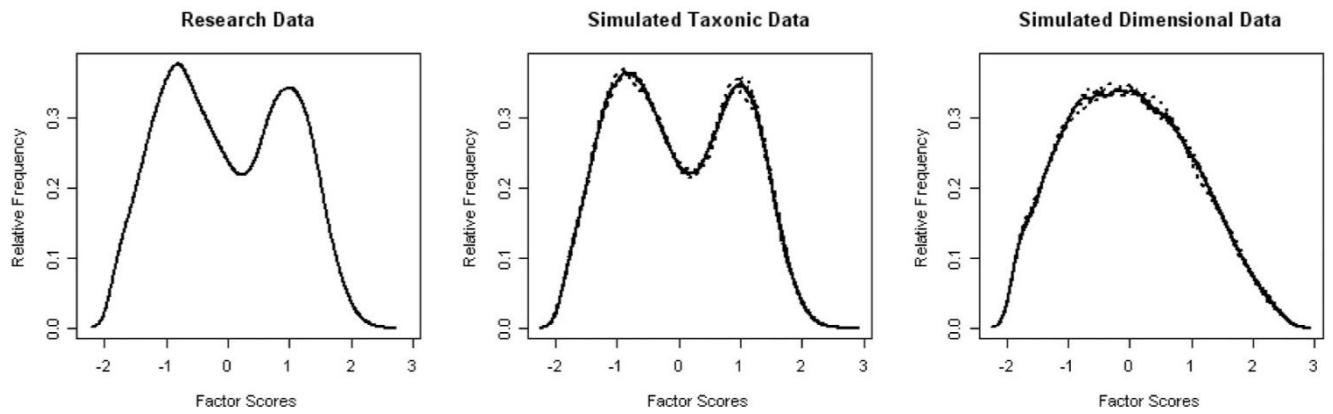


Figure 6. 2002 National Survey on Drug Use and Health latent mode plot for research data (left panel) and simulated data (middle and right panels).

Table 1
Demographic Characteristics of 2003 and 2002 National Survey on Drug Use and Health Samples

Demographic characteristic	2003 sample (N = 12,467; n[%])	2002 sample (N = 12,224; n[%])
Age		
18–25	7,256 (58.2)	7,106 (58.1)
26–34	1,844 (14.8)	1,746 (14.3)
35 or older	3,367 (27.0)	3,372 (27.6)
Gender		
Male	6,430 (51.6)	6,196 (50.7)
Female	6,037 (48.4)	6,028 (49.3)
Ethnicity		
Non-Hispanic White	8,976 (72.0)	9,195 (75.2)
Non-Hispanic Black/African American	1,229 (9.9)	1,158 (9.5)
Non-Hispanic Native American/Alaskan Native	234 (1.9)	180 (1.5)
Non-Hispanic Native Hawaiian/Other Pacific Islander	66 (0.5)	55 (0.4)
Non-Hispanic Asian	241 (1.9)	227 (1.9)
Non-Hispanic more than one race	296 (2.4)	228 (1.9)
Hispanic	1,425 (11.4)	1,181 (9.6)

Indicator Distribution and Correlation Statistics for 2003 National Survey on Drug Use and Health Using an a Prior Base Rate of .40 to Form Provisional Samples (N = 11,441)

Table 2

Candidate indicators	Cohen's <i>d</i>	Skew	Kurtosis	Average correlation		
				Full sample	Nontaxon	Taxon
Number of days smoked in the past 30 days	1.28	-1.11	-0.49	.381	.236	-.013
Age of first cigarette	0.89	-1.38	11.00	.121	-.090	-.111
Latency to first cigarette ^a	1.37	0.23	-1.41	.386	.224	.100
Average no. cigarettes ^a	1.43	-0.11	-0.29	.422	.286	.090
Nicotine Dependence Symptom Scale						
Drive ^a	1.66	0.11	-0.98	.453	.298	.132
Priority	0.59	1.75	3.15	.215	.096	.093
Continuity ^a	1.55	0.09	-1.12	.425	.277	.093
Stereotypy	0.40	0.29	-0.43	.158	.120	-.025
Tolerance ^a	1.31	0.63	-0.70	.391	.249	.102

Note. Because these analyses required complete data sets, participants with any missing data were deleted, and the sample size was attenuated here relative to the sample used in the taxometric analyses. Average correlation = mean interindicator correlation for the full sample and the provisional nontaxon and taxon samples.

^aIndicators used in taxometric analyses.

Table 3

Estimated Base Rates and Comparison Curve Fit Index of Taxometric Analyses on the National Survey on Drug Use and Health

Estimate	2003 sample (N = 12,467)	2002 sample (N = 12,224)
Base rate		
MAMBAC	0.529	0.548
MAXEIG	0.477	0.483
LMODE	0.467	0.461
Comparison curve fit index		
MAMBAC	0.827	0.820
MAXEIG	0.948	0.921

Note. MAMBAC = mean above minus below a cut; MAXEIG = maximum eigenvalue; LMODE = latent mode.

Table 4
 Mean Indicator Values for Taxon and Nontaxon Members Using the 2003 National Survey on Drug Use and Health

Indicator	M (SD)	
	Taxon (N = 5,947)	Nontaxon (N = 6,520)
Latency to first cigarette: On the days that you smoke, how soon after you wake up do you have your first cigarette? (with point values)	3.08 (0.83)	1.51 (0.83)
Within the first 5 minutes (4)		
Between 6 and 30 minutes (3)		
Between 31 and 60 minutes (2)		
More than 60 minutes (1)		
Average no. cigarettes: On the days you smoked cigarettes during the past 30 days, how many cigarettes did you smoke per day, on average? (with point values)	4.72 (0.96)	2.88 (1.07)
1 cigarette per day (2)		
2 to 5 cigarettes per day (3)		
6 to 15 cigarettes per day (about one-half pack) (4)		
16 to 25 cigarettes per day (about one pack) (5)		
26 to 35 cigarettes per day (about one-and-a-half packs) (6)		
More than 35 cigarettes per day (about two packs or more) (7)		
NDSS drive ^a	3.61 (0.81)	2.03 (0.79)
1 After not smoking for a while, you need to smoke in order to feel less restless and irritable.		
2 When you don't smoke for a few hours, you start to crave cigarettes.		
3 You sometimes have strong cravings for a cigarette where it feels like you're In the grip of a force you can't control.		
4 You feel a sense of control over your smoking that is, you can "take it or leave it" at any time (reversed scored).		
NDSS continuity ^a	3.68 (0.87)	1.94 (0.9)
1 You smoke cigarettes fairly regularly throughout the day.		
2 You smoke about the same amount on weekends as on weekdays.		
3 You smoke just about the same number of cigarettes from day to day.		
NDSS tolerance ^a	3.06 (1.11)	1.60 (0.75)
1 Since you started smoking, the amount you smoke has increased.		
2 Compared to when you first started smoking, you need to smoke a lot more now in order to be satisfied.		

Indicator	M (SD)	
	Taxon (N = 5,947)	Nontaxon (N = 6,520)
3	Compared to when you first started smoking, you can smoke much, much more now before you start to feel anything.	

Note. NDSS = Nicotine Dependence Symptom Scale.

^aNDSS item response options and point values: *not at all true* (1), *somewhat true* (2), *moderately true* (3), *very true* (4), and *extremely true* (5).

Table 5
Results of Logistic Regression of Taxon and Nontaxon Members in the 2003 National Survey on Drug Use and Health

Variable	Taxon <i>M</i> (<i>SD</i>)	Nontaxon <i>M</i> (<i>SD</i>)	Odds ratio	95% confidence interval
Age at first cigarette	14.12 (3.49)	15.26 (3.76)	0.945**	0.934–0.957
No. days smoked cigarettes in the past 30 days	28.47 (5.53)	17.69 (11.66)	1.132**	1.125–1.139
Buy cigarettes by pack (1) or carton (2)	1.31 (0.46)	1.08 (0.26)	3.725**	3.302–4.202
Perceived risk of smoking one or more packs of cigarettes per day on a scale ranging from 1 (<i>no risk</i>) to 4 (<i>great risk</i>)	3.35 (0.74)	3.47 (0.72)	0.960	0.905–1.081
Alcohol abuse or dependence (past year; 1 = yes)	0.209 (0.41)	0.219 (0.42)	1.009	0.904–1.125
Marijuana abuse or dependence (past year; 1 = yes)	0.081 (0.27)	0.066 (0.25)	1.165	0.981–1.384
Illicit drug abuse or dependence (excluding marijuana, past year; 1 = yes)	0.061 (0.24)	0.042 (0.18)	1.438*	1.154–1.793
Level of selected symptoms of emotional distress (past year) on a scale of 0 to 24	7.01 (6.23)	5.70 (5.57)	1.040**	1.032–1.048
Overall health on a scale ranging from 1 (<i>excellent</i>) to 5 (<i>poor</i>)	2.48 (0.95)	2.18 (0.91)	1.177**	1.122–1.235
Education level on a scale ranging from 1 (<i>fifth grade or less</i>) to 11 (<i>college senior/16th year or higher</i>)	7.94 (1.69)	8.43 (1.88)	0.887**	0.864–0.910
Income level on a scale from 1 (<i>less than \$20,000</i>) to 4 (<i>\$75,000 or more</i>)	2.07 (0.95)	2.12 (1.03)	0.974	0.931–1.019

Note. Alcohol, marijuana, and illicit drug abuse or dependence assessed as meeting Diagnostic and Statistical Manual of Mental Disorders (4th ed., text rev.; American Psychological Association, 2000) abuse or dependence criteria for the respective drug category. Level of selected symptoms of emotional distress was calculated by assigning a value of 0 to 4 to each reported response regarding the frequency of six symptoms of distress: Nervous, feeling hopeless, restless or fidgety, so sad or depressed that nothing could cheer you up, everything was an effort, and feeling no good or worthless.

* $p < .01$.

** $p < .0001$.

Table 6

Regression Analyses Comparing Taxon With Sum of Indicators as Predictor Variables

Dependent variable	Predictor R^2		Relative R^2
	Group	Indicator sum	
Age at first cigarette	.0241	.0312	.77
Past 30 days' smoking	.2483	.4141	.60
Pack or carton ^a	.0909	.1204	.75
Risk of smoking	.0068	.0100	.68
Alcohol abuse/dependence ^a	.0001	.0000	—
Marijuana abuse/dependence ^a	.0007	.0007	1.0
Illicit drug abuse/dependence ^a	.0031	.0048	.65
Emotional distress symptoms	.0128	.0185	.69
Overall health	.0237	.0363	.65
Education level	.0186	.0278	.67
Income level	.0007	.0017	.41

Note. Group = taxon or nontaxon; relative R^2 = ratio of group R^2 to indicator sum R^2 .

^aLogistic regression (generalized R^2).

Table 7

Relationship Between Taxon Membership and Daily Smoking in the 2003 National Survey on Drug Use and Health

Past 30 days smoking	Taxon	Nontaxon
Daily	5,300	2,360
Nondaily	647	4,160

Note. Daily = people who reported smoking on each of the past 30 days