# The Risk Factors and Outcome of Acute Kidney Injury in the Intensive Care Units

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**Background/Aims**: Acute kidney injury (AKI) is a common and serious complication in critically ill patients, especially in the intensive care unit (ICU). The present study was performed to evaluate the occurrence rate of AKI using the RIFLE (increasing severity classes risk, injury, and failure, and the two outcome classes loss and end-stage kidney disease) classification, to define factors associated with AKI and hospital mortality.

**Methods**: We performed a retrospective study of all ICU patients over a 6-month period at Keimyung University Dongsan Hospital, Daegu, Korea. AKI was evaluated according to the RIFLE classification.

**Results**: AKI occurred in 156 of the 378 patients (41.3%) during their ICU stay, with maximum RIFLE-R, I, and F in 13.8%, 12.4%, and 15.1%, respectively. In univariate analysis, the proportion of medical admission and maximum Sequential Organ Failure Assessment (SOFA) score (SOFAmax) were significantly higher in patients with AKI than in those without. However, these factors did not remain significant in a multivariate analysis. The overall mortality rate of ICU patients was 25.7%. In multivariate analysis, mean age, occurrence of AKI, SOFAmax score, pulmonary disease, and malignancy were independent risk factors for hospital mortality.

**Conclusions**: In these ICU patients, AKI is associated with increased hospital mortality. The RIFLE classification is a simple and useful clinical tool to detect and stratify the severity of AKI, and may aid in the prediction of outcome. (**Korean J Intern Med 2010;25:181-187**)

**Keywords**: Kidney failure; Intensive care units; Risk factors

# INTRODUCTION

Acute kidney injury (AKI) is a common and serious complication in critically ill patients. The mortality rate in AKI patients remains high despite significant advances in medical care [1-4]. Recently, the Acute Dialysis Quality Initiative (ADQI) work group, comprised of experts in the fields of nephrology and critical care medicine, proposed a multilevel classification system for AKI, the "RIFLE" classification [5], which stands for the increasing severity classes Risk, Injury, and Failure, and the two outcome classes Loss and End-stage kidney disease (Fig. 1). Several studies have shown the RIFLE classification to be a simple, readily available clinical tool to classify acute kidney injury in different populations [6].

In addition to the RIFLE classification, several instruments for scoring illness severity, such as the Sequential Organ Failure Assessment (SOFA), Acute Physiology And Chronic Health Evaluation (APACHE) II and III, and Simplified Acute Physiology Score (SAPS) II, are widely used in critically ill patients; they weigh kidney dysfunction variously [2]. Among these scoring systems, the SOFA score may be used to describe the time course of organ dysfunction in individual patients and is useful to evaluate morbidity [7].

Risk factors associated with hospital mortality in critically ill patients with AKI have been evaluated in several studies. The main risk factors identified were advanced age, use of vasopressors, mechanical ventilation, septic shock, hepatorenal syndrome, and cardiogenic

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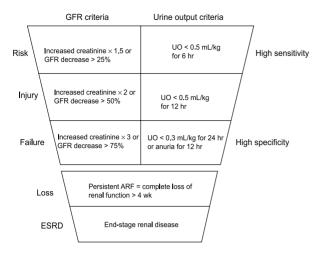


Figure 1. The risk, injury, failure, and the two outcome classes loss and end-stage kidney disease (RIFLE) classification separates criteria for serum creatinine and urine output. GFR, glomerular filtration rate; UO, urine output; ARF, acute renal failure.

shock [6,8].

The present study was performed to apply the RIFLE classification to patients in intensive care units (ICUs), to identify risk factors related to the development of AKI, and factors associated with hospital mortality in ICU patients.

# **METHODS**

# Study population

We constructed a retrospective study of all ICU patients over a 6-month period (July 1, 2007 to December 31, 2007) at the Keimyung University Dongsan Hospital, Daegu, Korea. During the study period, in total, 1,280 patients were admitted to the ICU and the records of all patients were reviewed. We excluded patients who remained in the ICU for less than 48 hours, those readmitted to the ICUs, those admitted after an uncomplicated operation, and those with a history of chronic renal failure requiring renal replacement therapy.

#### **Data collection**

Demographic and laboratory data were retrieved from an electronic hospital database. In total, 378 patients were included. After merging data from the different sources, we performed automated and manual data verification. Patient data included demographic, administrative, physiological, laboratory, and hospital outcome information.

#### RIFLE classification

All patients included in the study were classified according to the highest RIFLE criteria during the first 7 days in the ICU. Patients who presented with neither oliguria nor marked increases in serum creatinine were included in the non-AKI group. When baseline serum creatinine level was unknown in a patient with no history of chronic kidney insufficiency, we calculated the baseline creatinine using the modification of diet in renal disease (MDRD) equation, as recommended by the ADQI, assuming a glomerular filtration rate of 75 mL/min / 1.73 m<sup>2</sup> [5,9].

#### SOFA score

Organ failure was defined by the SOFA score, based on six different scores, one for each of the respiratory, cardiovascular, hepatic, coagulation, renal, and neurological

Table 1. SOFA score

SOFA score	0	1	2	3	4
Respiration PaO <sub>2</sub> / FiO <sub>2</sub> mmHg	> 400	≤ 400	≤ 300	≤ 200	≤ 100
Coagulation, Platelets ×10 <sup>3</sup> /mm <sup>3</sup>	> 150	≤ 150	≤ 100	≤ 50	≤ 20
Liver bilirubin, mg/dL	< 1.2	1.2 - 1.9	2.0 - 5.9	6.0 - 11.9	>12.0
Cardiovascular hypotension	No	MAP < 70 mmHg	Dopamine ≤ 5 or	Dopamine ≤ 5 or	Dopamine ≤ 5 or
			Dobutamine (any dose) <sup>a</sup>	Epinephrine ≤ 1 or	Epinephrine ≤ 1 or
				Norepiephrine ≤ 0.1 <sup>a</sup>	Norepiephrine ≤ 0.1 <sup>a</sup>
Central nervous system	15	13 ± 14	10 - 12	6 - 9	< 6
Glasgow coma scale, score					
Renal creatininin, mg/dL or	< 1.2	1.2 - 1.9	2.0 - 3.4	3.5 - 4.9 or	> 5.0 or
urine output, mL/day				< 500	< 200

SOFA, sequential organ failure assessment; MAP, mean arterial pressure.

<sup>&</sup>lt;sup>a</sup>Adrenergic agents administered for at least 1 hr (doses given in μg/kg•min).

Table 2. Patient baseline characteristics

Characteristics	Value		
Number of patients	378		
Mean age, yr	62.6 ± 15.9		
Males	237 (62.6)		
Medical admission	312 (82.5)		
Reason for admission			
Pulmonary disease	85 (22.4)		
Gastrointestinal disease	75 (19.8)		
Cardiovascular disease	41 (10.8)		
Malignancy	59 (15.6)		
Infection	41 (10.8)		
Neurological disease	34 (8.9)		
Trauma	25 (6.6)		
Others	19 (4.9)		
Baseline serum creatinine, mg/dL	1.1 ± 0.7		
SOFAmax score	$7.4 \pm 4.3$		
Hospital stay, day (range)	17.2 ± 17.2 (2 to 155)		
Hospital mortality	97 (25.7)		

Values are presented as number (%) or mean ± SD. SOFAmax, maximum sequential organ failure assessment.

systems (Table 1) [10]. We observed the changes in SOFA score every 24 hours during the first 7 days in the ICU. The worst values for each parameter in any 24-hour

period were recorded.

## Statistical analyses

Data were analyzed using the SPSS version 12.0 (SPSS Inc., Chicago, IL, USA). Categorical data are expressed as proportions, and subgroups were analyzed using the  $\chi^2$ test. Risk factors were evaluated by univariate analysis and in a multivariate analysis with a multiple logistic stepwise regression procedure. The relationships between different factors and mortality were evaluated using a Cox Proportional Hazards model, and survival curves were constructed. Variables with p < 0.05 were included in the model. Odds ratios were estimated form the b coefficients obtained, with respective 95% confidence intervals. In all comparisons, p values < 0.05 were deemed to indicate statistical significance.

# RESULTS

#### Patient baseline characteristics

Patient baseline characteristic are summarized in Table 2. In total, 378 patients were included in this study. The mean age of the patients was  $62.6 \pm 15.9$  years and they comprised 237 men (62.7%) and 141 women (37.3%).

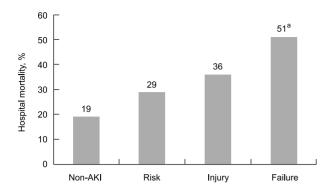
Table 3. Baseline characteristics of patients classified according to RIFLE criteria

	Niero Alzi	AKI				
	Non-AKI (n = 222)	All (n = 156)	Risk (n = 52)	Injury (n = 47)	Failure (n = 57)	p value
Mean age, yr	62.9 ± 16.5	62.3 ± 14.9	62.5 ± 16.2	65.7 ± 12.4	59.3 ± 15.3	NS
Males	140 (63)	97 (62.2)	33 (63)	27 (57)	37 (65)	NS
Medical admission	172 (77)	140 (89.7)	48 (92)	42 (89)	50 (87)	0.015
Reason for admission						
Pulmonary disease	55 (24.8)	30 (19.2)	10 (19.2)	11 (23.4)	9 (15.8)	NS
Gastrointestinal disease	47 (21.2)	28 (17.9)	7 (13.5)	9 (19.1)	12 (21.1)	NS
Cardiovascular disease	20 (9.0)	21 (13.5)	9 (17.3)	8 (17.0)	4 (7.0)	NS
Malignancy	30 (13.5)	29 (18.6)	10 (19.2)	5 (10.6)	14 (24.6)	NS
Infection	18 (8.1)	23 (14.7)	7 (13.5)	6 (12.8)	10 (17.5)	NS
Neurological disease	23 (10.4)	11 (7.1)	4 (7.7)	4 (8.5)	3 (5.3)	NS
Trauma	19 (8.6)	6 (3.8)	2 (3.8)	1 (2.1)	3 (5.3)	NS
Others	10 (4.5)	9 (5.8)	4 (7.6)	3 (6.3)	2 (3.5)	NS
Hospital stay, day	16.5 ± 16.1	18.3 ± 18.6	16.5 ± 16.0	$20.0 \pm 22.5$	18.7 ± 17.5	NS
SOFAmax score	6.1 ± 3.6	$9.3 \pm 9.8$	$8.7 \pm 4.2$	$8.4 \pm 4.6$	10.5 ± 4.1	0.000

Values are presented as number (%) or mean ± SD.

Tested by one-way analysis of variance among groups.

RIFLE, risk, injury, failure, and the two outcome classes loss and end-stage kidney disease; AKI, acute kidney injury; NS, not significant; SOFAmax, maximum sequential organ failure assessment.

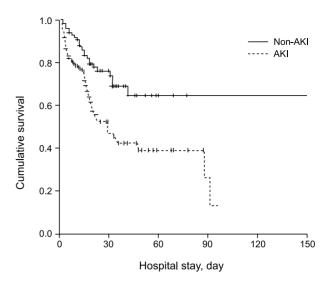


**Figure 2.** Hospital mortality rates for intensive care unit (ICU) patients without acute kidney injury and ICU patients with increasing risk, injury, failure, and the two outcome classes loss and end-stage kidney disease (RIFLE) criteria.  $^{a}p < 0.005$ , tested by oneway analysis of variance among groups. AKI, acute kidney injury.

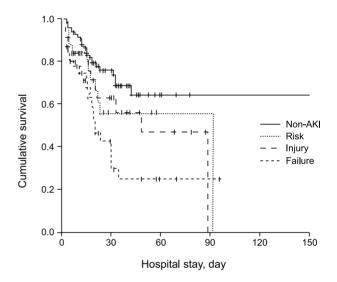
Regarding the type of ICU admission, 312 (82.5%) cases were medical admissions. Reasons for admission to the ICU included pulmonary disease (n = 85, 22.4%), gastrointestinal disease (n = 75, 19.8%), cardiovascular disease (n = 41, 10.8%), malignancy (n = 59, 15.6%), infection (n = 41, 10.8%), neurological disease (n = 34, 8.9%), trauma (n = 25, 6.6%), endocrinological disease (n = 13, 3.4%), acute intoxication (n = 5, 1.3%), and obstetric and gynecological disease (n = 1, 0.2%). The baseline serum creatinine level was  $1.1 \pm 0.7$  mg/dL, and the mean SOFAmax score was  $7.4 \pm 4.3$ . The mean length of hospital stay was  $17.2 \pm 17.2$  days (range, 2 to 155) and the overall hospital mortality rate of the ICU patients was 25.7%.

# Comparison of patient characteristics according to the RIFLE classification

The AKI and non-AKI groups consisted of 156 cases (41.3%) and 222 cases (58.7%), respectively. The AKI group was also classified according to RIFLE as follows: risk (n = 52, 13.8%), injury (n = 47, 12.4%), and failure (n = 57, 15.1%). The mean age, gender, reason for admission, and length of hospital stay were not significantly different between the four groups. However, the proportion of medical admissions was significantly higher in the AKI group than in the non-AKI group. The SOFAmax score during the first week after admission was significantly higher in the AKI group than in the non-AKI group (Table 3). However, in a multivariate analysis, neither medical admission nor SOFAmax score were independent risk factors for the occurrence of AKI.



**Figure 3.** Hospital survival. Cumulative survival rates differed significantly for non-AKI versus AKI patients. *p*<0.001, tested by Log rank test. AKI, acute kidney injury.



**Figure 4.** Hospital survival rate based on risk, injury, failure, and the two outcome classes loss and end-stage kidney disease (RIFLE) classification. There were a progressive and significant decrease in cumulative survival rate associated with increasing RIFLE classification among all patients. p < 0.001, tested by Log rank test. AKI, acute kidney injury.

#### Hospital mortality and survival rates

The overall mortality among patients studied was 25.7% (97 / 378). The hospital mortality rate was 19% for non-AKI patients, 29% for risk patients, 36% for injury patients, and 51% for failure patients (p < 0.005, Fig. 2). Cumulative survival rates differed significantly for non-AKI versus AKI patients (p < 0.001, Fig. 3). Patients with risk, injury, and failure had 90-day cumulative survival rates of 56.6%, 47.0%, and 25.2%, respectively, compared

Table 4. Univariate analysis of risk for hospital mortality

	Survivors	Non-survivors		
	(n = 281)	(n = 97)	p value	
Mean age, yr	61.5 ± 16.6	65.8 ± 12.7	0.008	
Males	180 (64.1)	57 (58.8)	0.394	
Acute kidney injury	95 (33.8)	61 (62.9)	0.000	
Risk	37 (13.2)	15 (15.5)	NS	
Injury	30 (10.7)	17 (17.5)	NS	
Failure	28 (10.0)	29 (21.9)	0.000	
Medical admission	224 (79.7)	88 (90.7)	0.013	
Reason for admission				
Pulmonary disease	54 (19.2)	31 (32.0)	0.011	
Gastrointestinal disease	65 (23.1)	10 (10.3)	0.007	
Cardiovascular disease	36 (12.8)	5 (5.2)	0.038	
Malignancy	29 (10.3)	30 (30.9)	0.000	
Infection	33 (11.7)	8 (8.2)	NS	
Neurological disease	25 (8.9)	9 (9.3)	NS	
Trauma	23 (8.2)	2 (2.1)	0.034	
Hospital stay, day	17.8 ± 15.8	15.2 ± 20.6	0.201	
SOFAmax score	6.2 ± 3.6	10.7 ± 4.0	0.000	

Values are presented as number (%) or mean ± SD.

NS, not significant; SOFAmax, maximum sequential organ failure assessment.

Table 5. Multivariate analysis of risk for hospital mortality

	Odds ratio	95% Confidence interval		p value
	Oddo Idilo	Lower	Upper	p value
Mean age	1.026	1.004	1.049	0.021
Acute kidney injury	1.499	1.167	1.924	0.001
Medical admission	1.555	0.590	4.098	NS
Reason for admission				
Pulmonary disease	2.532	1.140	5.624	0.023
Gastrointestinal disease	0.698	0.262	1.864	NS
Cardiovascular disease	0.705	0.223	2.230	NS
Malignancy	4.173	1.800	9.673	0.001
Trauma	0.461	0.079	2.706	NS
SOFAmax score	1.282	1.186	1.386	0.000

NS, not significant; SOFAmax, maximum sequential organ failure assessment.

with 68.5% for non-AKI patients. There was a progressive and significant decrease in cumulative survival rate associated with increasing RIFLE classification among all patients (p < 0.001, Fig. 4).

# Factors associated with hospital mortality

Non-survivors were older than survivors (65.8  $\pm$  12.7 vs.  $61.5 \pm 16.6$  years, respectively, p = 0.008) and more commonly developed AKI during the ICU stay (62.9% vs.

33.8%, respectively; p < 0.001). The SOFAmax score was significantly higher in non-survivors than in survivors  $(10.7 \pm 4.0 \text{ vs. } 6.2 \pm 3.6, \text{ respectively; } p < 0.001).$  The proportions of medical admission, pulmonary disease, and malignancy as reasons for admission were significantly higher in non-survivors than in survivors. However, the proportions of gastrointestinal disease, cardiovascular disease, and trauma were significantly lower in non-survivors than in survivors. Neither length of hospital stay nor gender was significantly different between survivors and non-survivors (Table 4).

In the multivariate analysis, increasing age, occurrence of AKI, increasing SOFA score, pulmonary disease, and malignancy were independent risk factors for hospital mortality (Table 5).

### DISCUSSION

We conducted a single-center study with 378 ICU patients to characterize AKI, defined by RIFLE classification, and related this classification to mortality in critically ill patients. In the present study, 41.3% of the ICU patients developed AKI, which was associated with increased mortality, compared with those who did not develop AKI. Hoste et al. [2] reported that AKI occurred in 67% of ICU patients, with maximum RIFLE classes of risk, injury, and failure in 12%, 27%, and 28% of cases, respectively. The incidence of almost 70% may appear inconsistent with the existing literature [5,11-13]. The incidence of AKI in the present study was somewhat lower than those in other studies [2,14] using the same RIFLE classification. The most likely explanations are differences in the patient case mix, factors related to a single-center versus multicenter study, and differences in the type of admission (i.e., medical vs. surgical). Hoste et al. [2] suggested that medical admission was less likely to result in AKI, compared with surgical admission [4]. Medical admission accounted for less than 50% of cases in a multicenter cohort study of AKI [4], and the rate was about 78% in a single-center cohort study [14]. In the present study, medical admission accounted for the majority of ICU patients. Hoste et al. [2] reported that increasing age, greater severity of illness (APACHE III, SOFA), preexisting chronic kidney insufficiency, and previous admission to a non-ICU ward were associated with significant mortality rates, ranging from 20% to 80%, and associated with increased risk for the occurrence of AKI.

Importantly, even a mild degree of kidney dysfunction, RIFLE class risk or injury, was associated with elevated mortality rate, compared with patients who maintained normal function. In the present study, the overall hospital mortality rate of ICU patients was 25.7%, which was significantly higher for AKI patients versus non-AKI patients. We also found that ICU mortality ranged from 29% in risk patients, 36% in injury patients, and 51% in failure patients. Factors associated with mortality in our ICU patients included advanced age, development of AKI, baseline severity of illness, and the presence of pulmonary disease and malignancy. These findings were consistent with previous reports [2,3,15]. Hoste et al. [2] demonstrated the association of AKI with increased hospital mortality in a general ICU population and demonstrated that there was increasing mortality risk over RIFLE classes, although these ICU patients had similar comorbidity rates, as reflected by non-renal SOFA scores. They also proposed that the pathophysiological changes resulting from kidney insufficiency and adverse effects of renal replacement treatment may be associated with increased mortality rate [2,16,17]. Uchino et al. [3] reported that there was an almost linear increase in hospital mortality rate with increasing RIFLE class, with patients in the risk group having a mortality rate more than three times higher than that of patients without AKI. In multivariate analysis, the risk group showed an odds ratio of hospital mortality of 2.5, that of the injury group was 5.4, and that of the failure group was 10.1 [3]. They suggested that the development of AKI has greater prognostic implications than the need for ICU or mechanical ventilation, and the development of failure is one of the most powerful identifiable outcome predictors for hospitalized patients [3]. Abosaif et al. [18] also reported that ICU mortality rate increased from 38.3% among patients in the risk group to 50% in the injury group and to 74.5% among patients in the failure group. Our study confirmed that even a mild degree of kidney dysfunction posed a significant risk of hospital mortality.

This study has some limitations. First, it was a retrospective study using existing medical records, which in itself caused some difficulty in the assessment of AKI and the statistical analyses. Second, we classified patients according to the highest RIFLE criteria during the first 7 days in the ICU, similar to the study reported previously by Hoste et al. [2]. More recently, the Acute Kidney Injury Network (AKIN) group proposed refinements to the RIFLE classification [13]. In particular, the AKIN group sought to increase the sensitivity of the RIFLE classification by setting a 48-hour window on the first documentation of criteria and broadening the risk category of RIFLE to include increases in serum creatinine of ≥ 0.3 mg/dL even if this does not reach the 50% cutoff [13].

In conclusion, in these ICU patients, acute kidney risk, injury, and failure, as defined by the recently developed RIFLE classification, were associated with increased

hospital mortality rates. The RIFLE classification is a simple and useful clinical tool to detect and stratify the severity of AKI, and possibly to predict outcome.

#### Conflict of interest

No potential conflict of interest relevant to this article was reported.

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