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Polymorphisms of *LIG4, BTBD2, HMGA2,* and *RTEL1* Genes Involved in the Double-Strand Break Repair Pathway Predict Glioblastoma Survival

Yanhong Liu, Sanjay Shete, Carol J. Etzel, Michael Scheurer, George Alexiou, Georgina Armstrong, Spyros Tsavachidis, Fu-Wen Liang, Mark Gilbert, Ken Aldape, Terri Armstrong, Richard Houlston, Fay Hosking, Lindsay Robertson, Yuanyuan Xiao, John Wiencke, Margaret Wrensch, Ulrika Andersson, Beatrice S. Melin, and Melissa Bondy

ABSTRACT

Purpose

Glioblastoma (GBM) is the most common and aggressive type of glioma and has the poorest survival. However, a small percentage of patients with GBM survive well beyond the established median. Therefore, identifying the genetic variants that influence this small number of unusually long-term survivors may provide important insight into tumor biology and treatment.

Patients and Methods

Among 590 patients with primary GBM, we evaluated associations of survival with the 100 top-ranking glioma susceptibility single nucleotide polymorphisms from our previous genomewide association study using Cox regression models. We also compared differences in genetic variation between short-term survivors (STS; \leq 12 months) and long-term survivors (LTS; \geq 36 months), and explored classification and regression tree analysis for survival data. We tested results using two independent series totaling 543 GBMs.

Results

We identified *LIG4* rs7325927 and *BTBD2* rs11670188 as predictors of STS in GBM and *CCDC26* rs10464870 and rs891835, *HMGA2* rs1563834, and *RTEL1* rs2297440 as predictors of LTS. Further survival tree analysis revealed that patients ≥ 50 years old with *LIG4* rs7325927 (V) had the worst survival (median survival time, 1.2 years) and exhibited the highest risk of death (hazard ratio, 17.53; 95% CI, 4.27 to 71.97) compared with younger patients with combined *RTEL1* rs2297440 (V) and *HMGA2* rs1563834 (V) genotypes (median survival time, 7.8 years).

Conclusion

Polymorphisms in the *LIG4, BTBD2, HMGA2,* and *RTEL1* genes, which are involved in the double-strand break repair pathway, are associated with GBM survival.

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INTRODUCTION

Glioblastoma (GBM) is the most common and most malignant primary brain tumor in US and European countries, with an annual incidence of approximately three in 100,000 people newly diagnosed each year.¹ Despite recent advances in treatment including surgical resection followed by concurrent chemotherapy with radiation, the median survival remains approximately 9 to 15 months.² Nevertheless, a subset of patients survived for longer than 3 years. Although certain clinical features, such as younger age, good Karnofsky performance status at the time of diagnosis, and extent of resection, are well-known prognostic parameters.³⁻⁵ However, it is likely that other, as-yet-unknown genetic factors may help predict which patients are more likely to have this prolonged survival. Therefore, it is important to determine the genetic factors that influence survival for this rapidly fatal disease and, by doing so, perhaps uncover the molecular signatures of long-term survivorship.

Subtypes of GBM exist, despite indistinguishable features by pathologic evaluation with differing survival durations and responses to treatment.⁶ Some genetic aberrations in GBM have been known for years, such as *MGMT*, *ERBB2, EGFR*, *TP53,* and

From the Departments of Epidemiology, Neuro-Oncology, and Pathology, The University of Texas M. D. Anderson Cancer Center; Department of Pediatrics and Dan L. Duncan Cancer Center, Baylor College of Medicine; Department of Integrative Nursing Care, University of Texas Health Science Center School of Nursing, Houston, TX; Department of Neurosurgery, University Hospital of Ioannina, Ioannina, Greece; Section of Cancer Genetics, Institute of Cancer Research, Sutton, Surrey, United Kingdom; Department of Epidemiology and Biostatistics, Neurological Surgery, University of California, San Francisco, San Francisco, CA; and the Department of Radiation Sciences, Oncology, Umeå University Hospital, Umeå, Sweden.

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Corresponding author: Melissa L. Bondy, PhD, Department of Epidemiology, Unit 1340, The University of Texas M. D. Anderson Cancer Center, 1515 Holcombe Blvd, Houston, TX 77030; e-mail: mbondy@mdanderson.org.

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PTEN. 7-9 Recent studies demonstrated that mutations in *IDH1*¹⁰ and alterations in multiple networking genes (*POLD2, CYCS, MYC, AKR1C3, YME1L1, ANXA7,* and *PDCD4*) ¹¹ were associated with GBM survival. Polymorphisms in *TERT,*¹² *IL4R,*¹³ *EGF,*14,15 and *CX3CR1*¹⁶ genes and GBM survival have also been described. However, to date, no prognostic biomarkers have been found to be sufficiently reliable or useful for clinical practice.

Recently, our group¹⁷ and others¹⁸ found, using GWAS (genome-wide association study) methods, several single nucleotide polymorphisms (SNPs) that were strongly associated with glioma susceptibility. However, both of those studies focused on cancer susceptibility rather than outcomes. For this study, we applied a survival analysis to the 100 top-ranking glioma susceptibility SNPs from the GWAS to determine whether they were also associated with GBM survival. We used two analytic strategies to fulfill this goal. First, we examined overall survival in 590 patients with GBM. Second, to determine whether there are specific genetic variations that distinguish long-term survivors (LTS; survival \geq 36 months) from short-term survivors (STS; survival \leq 12 months), we further compared the differences in gene variations in 97 LTS and 202 STS. We then tested our findings in two additional independent data series.

PATIENTS AND METHODS

Study Population

The population for this study was a subset of GBMs from a prospective study of patients with malignant glioma ($N = 1,304$) consecutively diagnosed and treated at The University of Texas M. D. Anderson Cancer Center (MDACC), Houston, $TX^{17,19}$ The patients were participants in an ongoing glioma epidemiology study from 1992 to 2008, with follow-up until May 2009. We selected primary patients with GBM (International Classification of Diseases code 94403) who were \geq 18 years old at the time of diagnosis. We only included white patients because there are very few non-white patients—11 Hispanic, 12 African American, and three Asian for any meaningful analyses.

Treatment and survival data (dates of death or last contact) were collected retrospectively from medical record review for all patients. Dates of death were confirmed by querying the Social Security Death Index. From the obtained data, we observed patients from the earliest date of registration at MDACC in May 1992 to the date of last contact or death through May 2009. The follow-up periods ranged from 1 month to 17 years (ie, 204 months). The study was approved by M. D. Anderson institutional review board, and written informed consent was obtained from each participant.

Subjects for the Replication Phase

As a validation group, we obtained data for patients from two other institutions (Appendix A1, online only). The first group included 352 newly diagnosed patients with GBM who were seen at the University of California, San Francisco (UCSF) between 2001 and 2006.¹⁸ The second group included 191 patients with GBM ascertained in an outcome study at Umeå University Hospital accrued 2002 to 2007.

Selection of the SNPs and Genotyping Assays

The top-ranking 100 glioma susceptibility SNPs with the smallest *P* values in our GWAS were selected and examined in this study (Appendix Table A2, online only). We contracted with Illumina to conduct the genotyping using the Human 610-Quad Bead Chips (Illumina, San Diego, CA). Subsequent genotyping of SNPs was conducted using either the Illumina 317k chip by decode Genetics (UCSF samples) or single-base primer extension chemistry matrix assisted laser desorption and ionization time of flight mass spectrometry detection by Sequenom (Swedish samples).

Statistical Methods

Survival time was defined as the time between the date of diagnosis and date of death for deceased patients or the last contact date for living patients. The overall survival time was estimated using Kaplan-Meier methods, and log-rank analysis was performed to compare survival curves between groups. Hazard ratios (HRs) and their corresponding 95% CIs were estimated using Cox regression, with adjustment for age, sex, and extent of resection. Genotype frequencies of the LTS and the STS were compared using χ^2 tests. We calculated the odds ratios (ORs) and 95% CIs by unconditional logistic regression analysis with adjustment for diagnosis age, sex, and extent of resection.

To evaluate the chance of obtaining a false-positive association in our data set, we used the false-positive report probability (FPRP) test²⁰ and the Bayesian false-discovery probability (BFDP) test.²¹ For our analyses, we used the moderate range of prior probabilities .08 and .05; the FPRP and BFDP cutoff value of .2 and .8, respectively, as suggested by the authors for summary analyses.^{20,21}

Finally, we produced a classification and regression tree (CART) for survival data to identify higher-order interactions between clinical factors and genetic variants using the RPART package 22 in S-PLUS Version 8.0.4 $\,$ (TIBCO, Palo Alto, CA). CART is a prognostic system with a hierarchical structure, based on recursive portioning that build a decision tree to identify subgroups at higher risk of death. Specifically, the recursive procedure starts at the root node and uses a log-rank statistic to determine the first optimal split and each subsequent split of the data set. This process continues until the terminal nodes have no subsequent statistically significant splits or the terminal nodes reach a prespecified minimum size (n \geq 10). Each end node contains the numbers of total and censored observations falling into the current category, as well as a HR and 95% CI adjusted by age, sex, and extent of resection. The reference group is the one with the smallest percentage of censored observations. Kaplan-Meier estimation of the cumulative survival for each subgroup was also performed; log-rank *P* value was set to indicate significance.

RESULTS

Patient Characteristics

The final population of the MDACC study consisted of 590 patients with primary GBM (Table 1). At the end of our study (May 2009), 544 (92.2%) of 590 patients with GBM had died. The median survival time (MST) was 22.63 months. Among these 590 patients with GBM, 202 were STS, and 97 were LTS (52 survived ≥ 5 years). The MST was 7.8 and 76.1 months in the STS and LTS, respectively. No patients survived in the STS, whereas 48 (49.5%) were still alive in the LTS.

Examinations of the demographic data for the LTS and STS shows that patients in the LTS (mean age, 48.1 years) were significantly younger than patients in the STS (mean age, 55.9 years; $P < .001$). Also, patients in the LTS were much more likely to have undergone a gross total resection than were patients in the STS $(57.6\% \nu 21.6\%; P \leq .001).$

Association Between SNPs and Overall Survival

Of the 100 SNPs evaluated, six showed a statistically significantly correlation with overall survival according to the log-rank test and Cox regression analysis (Table 2). *LIG4* rs7325927, *BTBD2* rs11670188, *RGS22* rs4734443, and chromosome 3 rs13099725 were associated with STS, whereas RTEL1 rs2297440 and rs6010620 were associated with LTS. A strong gene-dosage effect on survival was

Abbreviations: HR, hazard ratio; MST, median survival time (months); LTS, long-term survivors; STS, short-term survivors; NA, not available. Numbers do not add up to the column totals due to missing values.

observed when the six SNPs were analyzed in combination (Table 2), with highly significant differences for overall survival (log-rank *P* < .001). The MST decreased progressively as the number of at-risk SNPs increased.

Association of SNPs With Long-Term Survival Between LTS and STS

Eight SNPs were statistically different between LTS and STS. As presented in Table 3, *LIG4* rs7325927 and *BTBD2* rs11670188 were associated with STS, whereas *CCDC26* rs10464870 and rs891835 (in strong linkage disequilibrium [LD]), *VPS8* rs6765837, *RTEL1* rs2297440, and rs6010620 (in strong LD), *HMGA2* rs1563834 were associated with LTS. The joint effect of these combined eight SNPs showed that the risk of early death increased progressively as the number of at-risk SNPs increased $(P_{\text{trend}} < .001)$.

To evaluate the robustness of these findings, we calculated FPRP and BFDP values at two levels of prior probabilities (.08 and .05) for the eight SNPs (Table 4). At a prior probability level of .08, six of these eight SNPs (*LIG4* rs7325927, *BTBD2* rs11670188, *CCDC26* rs10464870 and rs891835, *VPS8* rs6765837, and *RTEL1* rs2297440) remained noteworthy (FPRP \leq 0.2, or BFDP \leq 0.8). At a very low prior probability of .05, only *LIG4* rs7325927 remained noteworthy.

Survival Tree Analysis

We built a survival tree using the clinical variables (age and sex) and the six SNPs that were found to be noteworthy at prior probability of 0.08. Figure 1A depicts the survival tree structure generated by CART analysis. The first split on the decision tree was

age, confirming that age was the most important risk factor among those considered. Further inspection of the tree structure suggested distinct patterns of survival, resulting seven terminal nodes belonging to two sides of the tree. In particular, young patients (\leq 50 years) with combined variant type (V) of *RTEL1* rs2297440 and *HMGA2* rs1563834 had the best prognosis, MST 93.9 months (7.8 years; node 1). This subgroup has 10 patients, all were LTS, and eight of them were still alive. Using node 1 as reference group, the young individuals with wild type (W) of *RTEL1* rs2297440, *BTBD2* rs11670188 (V), and *CCDC26* rs10464870 (W) exhibited worse survival (MST, 13.8 months; node 5) and a 16-fold risk of death (HR, 16.84; 95% CI, 3.88 to 73.04). Node 5 has 19 patients, all of them were deceased. Likewise, the older patients $($ > 50 years) with *LIG4* rs7325927 (V) also showed a very short survival time (MST, 14.8 months; node 7) and exhibited the highest risk of death (HR, 17.53, 95% CI, 4.27 to 71.97), supporting the protective effect of *RTEL1, HMGA2,* and *CCDC26,* and risk effect of old age, *BTBD2* and *LIG4* found in the main effect analysis. Figure 1B shows the Kaplan-Meier estimates of cumulative survival for each end node.

Replication Results

We further looked at the six noteworthy SNPs (*LIG4* rs7325927, *BTBD2* rs11670188, *CCDC26* rs10464870 and rs891835, *VPS8* rs6765837, and *RTEL1* rs2297440) using two independent series. In the UCSF study, except for *VPS8* rs6765837, all of other five SNPs were available for confirmation. In the Swedish study,*VPS8* rs6765837 and *BTBD2* rs11670188 were unavailable for analysis. The confirmation analysis was adjusted for age and sex. Only the heterozygote of *BTBD2* rs11670188 in UCSF group showed significant association with overall

0 387 387 362 21.77 .023 1 Reference 1 182 165 25.78 0.84 0.71 to 1.02 2 20 20 16 27.02 20 20 30.28 to 0.92

0-2 494 452 25.28 .001 1 Reference 3-4 83 83 80 14.37 1.75 1.25 to 2.19 5-6 12 11 9.41 1.63 0.88 to 2.86

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Abbreviations: SNP, single nucleotide polymorphism; HR, hazard ratio. MST, median survival time (months).

Adjusted for diagnosis age, sex and extent of resection.

No. of at-risk alleles for the 6 SNPs†

†At-risk alleles were defined as the minor allele of the risk SNPs and the common allele of the protective SNPs.

survival (HR, 1.31; 95% CI, 1.03 to 1.68; Appendix Table A3, online only). None of the SNPs were significantly different between STS and LTS in both replication data sets (data not shown).

DISCUSSION

In this study, we identified *LIG4* rs7325927 and *BTBD2* rs11670188 as predictors of STS in GBM and *CCDC26* rs10464870 and rs891835, *HMGA2* rs1563834, and *RTEL1* rs2297440 as predictors of LTS. Consistent with most other studies,²³ we found age at diagnosis to be a very important predictive factor in GBM survival. In the Surveillance, Epidemiology and End Results data, 5-year survival rates are approximately 13% for 15 to 45 year olds and only 1% for those \geq 75 years old.24 Our LTS (mean age, 48.1 years) was significantly younger than the STS (mean age, 55.9 years). Moreover, age is the initial split on the survival tree, confirming that age is the most important risk factor.

Double-Strand Break Repair Genes Polymorphisms Predict Glioblastoma Survival

Abbreviations: STS, short-term survivors; LTS, long-term survivors; SNP, single nucleotide polymorphism; OR, odds ratio.

Adjusted for age, sex, and extent of resection.

†At-risk alleles were defined as the minor allele of the risk SNPs and the common allele of the protective SNPs.

Further, different genes play roles in different age group. The older patients ($>$ 50 years) with variant type of *LIG4* rs7325927 showed the worst prognosis; whereas young patients (\leq 50 years) with combined variant type of *RTEL1* rs2297440 and *HMGA2* rs1563834 had the best prognosis.

A major finding in this study was the consistent association of *LIG4* rs7325927 with STS. *LIG4* rs7325927 was the only noteworthy SNP at the FPRP low prior probability of .05. Survival tree analysis showed that older patients with *LIG4* rs7325927 (V) exhibited the highest risk of death, which strongly suggests that *LIG4* rs7325927

NOTE. Bold data have a noteworthy association at the 0.2 FPRP or 0.8 BFDP level suggesting a true association.

Abbreviations: FPRP, false-positive report probability; BFDP, Bayesian false-discovery probability; SNP, single nucleotide polymorphism; STS, short-term survivors; LTS, long-term survivors; OR, odds ratio.

OR were calculated for the heterozygote and mutant homozygous *v* the common homozygous types, as reported in Table 3.

or a genetic variant in LD with this SNP is associated with STS. *LIG4* (DNA ligase IV; OMIM 601837) is essential for V(D)J recombination and DNA double-strand break repair (DSBR) through nonhomologous end joining (NHEJ). As a critical protein involved in NHEJ, *LIG4* forms a heterodimer with *XRCC4* to execute the final rejoining step of NHEJ. Polymorphisms of this gene have been related to risk of glioma²⁵ and multiple myeloma,²⁶ as well as to the survival of breast cancer.²⁷

Another promising finding is the association of *BTBD2* rs11670188 with STS. Coincidentally, *BTBD2* (blood-tumor barrier

Fig 1. Survival tree analysis. (A) Survival tree. The number of patients and events/ deceased are indicated in the nodes. (B) Kaplan-Meier curves of survival times in patients for seven nodes. Node1 had a significantly improved prognosis, with a median survival time (MST; in months) of 7.8 years, compared with 1.2 years for node 5. y, years; V, variant type; W, wild type; HR, hazard ratio.

modification; OMIM 608531) has also been implicated in DSBR pathway because of its tight interaction with *Top1*. *Top1* can cause double-stranded breaks²⁸ and plays a central role in regulating cell survival.^{29,30} Bredel et al³¹ have shown that high expression of DNA Top II alpha is associated with prolonged survival in patients with GBM. The *CCDC26*, *HMGA2,* and *RTEL1* genes are also of interest. *CCDC26* modulates retinoic acid, which in turn increases programmed cell death in GBM cells and reduces telomerase activity32-34; whereas both *HMGA2* (high-mobility group protein family, member 2; OMIM 600698)^{35,36} and *RTEL1* (regulator of telomere elongation helicase 1; OMIM 608833 ³⁷ were recently proved to be directly involved in DSBR pathway.

It is interesting to note that of the five important genes noted in this study, four (*LIG4*, *BTBD2*, *HMGA2,* and *RTEL1*) are directly or indirectly involved in the DSBR pathway, suggesting a very strong genetic interaction network. This is particularly intriguing because DSBR plays a prominent role in cell survival, maintenance of genomic integrity, and prevention of tumorigenesis. DSBs can be generated by endogenous reactive oxygen species or destabilization of stalled replication forks as well as by exposure to a variety of exogenous agents, including ionizing radiation (IR) and chemotherapeutic agents. Furthermore, IR is the only established risk factor for glioma, $38-40$ and IR is also used in cancer radiation therapy. Germline variation in DSBR capabilities may affect survival because of altered response to radiation or chemotherapy. Therefore, understanding how these DSBR genes and SNPs function epistatically in the same biologic pathway that influences survival of patients with GBM, whether as enhancers or inhibitors of response to radiation or chemotherapy would be very interesting in future studies.

Although our study demonstrates a strong association of certain SNPs with outcome, and there is biologic plausibility for the associations observed in our study. However, there are limitations of our study. The main one is that most of our findings did not reach statistically significant level in the replication series. However, replication failure should not be surprising or be interpreted as necessarily refuting the initial findings because of the potential problems such as population stratification. Our study and the UCSF study are clinic based while the Swedish study was mainly population based. When comparing the characteristics of the three groups, we observed large discrepancies in age at diagnosis (median 52 years in ours *v* 56 in Swedish and UCSF cases), and survival time (MST 22.6 months in our data set *v* 16.3 in the UCSF, 13.9 months in Swedish set).

Genetic heterogeneity—this heterogeneity may exist even in populations of the same ethnic group such as whites with European ancestry.41,42 We compared the distribution of four SNPs in the three groups, and found one (*LIG4* rs7325927) was significant in our data set versus Swedish and UCSF, one (*RTEL1* rs2297440) was marginal significant in ours and Swedish versus UCSF (Appendix Table A4, online only), indicating differences in the case populations. Different environmental exposures and different patterns of care would also lead to greater difficulties in replication. As raised by Morgan et al,⁴³ replication studies face the risk of nonvalidation because of the lack of generalizability, especially in a heterogeneous treatment population for a pathologically and clinically heterogeneous tumor such as GBMs (GBM, the glioblastoma "multiforme," the latter term now taken away, was a very useful reminder

of this fact). Gorroochurn et al⁴⁴ point out, "even if these problems were to be remedied, trying to replicate many initial findings, even if they are quite significant, maybe be predisposed to failure and should not be interpret as necessarily contradiction the initial association." The same feeling has been echoed elsewhere, ⁴⁵ and Liu et al⁴⁶ have provided a theoretical justification. Thus, on a second look, replication, while important and valuable, is difficult to achieve and may not be sufficient or necessary. Additional information from other lines of evidence, such as detailed molecular mechanistic studies, may be useful for validating and illuminating the functional relevance of genes identified in our study.

Another limitation is the possibility of effect change in treatment on survival because first-line GBM treatment has been changed from involved-field cranial irradiation to chemoirradiation with daily temozolomide in 2005. However, among 590 patients with GBM, 93.6% (552) were diagnosed before 2005; only 6.4%³⁸ were diagnosed after 2005, and none of them were LTS. Therefore, we do not think that there will be any bias toward genes that are important for DNA repairs. The third limitation is the potential limited applicability of the findings to other ethnic groups, such as African Americans, Asians, and Hispanics.

In conclusion, we have provided evidence to implicate that *LIG4* rs7325927 and *BTBD2* rs11670188 are predictors of GBM STS and that *CCDC26* rs10464870 and rs891835, *RTEL1* rs2297440 and rs6010620, and *HMGA2* rs1563834 are predictors of LTS. It is highly likely that the polymorphisms of these genes, which are directly or indirectly involved in the DSBR pathway, will be novel and potentially prognostic biomarkers for GBM survival, and this could be the beginning of a risk assessment model that could predict which patients would be LTS or STS.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Although all authors completed the disclosure declaration, the following author(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

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AUTHOR CONTRIBUTIONS

Conception and design: Yanhong Liu, Sanjay Shete, Richard Houlston, Melissa Bondy

Financial support: Sanjay Shete, Carol J. Etzel, Margaret Wrensch, Beatrice S. Melin, Melissa Bondy

Administrative support: Melissa Bondy

Provision of study materials or patients: Georgina Armstrong, Mark Gilbert, Ken Aldape, Terri Armstrong, Yuanyuan Xiao, John Wiencke, Margaret Wrensch, Beatrice S. Melin, Melissa Bondy

Collection and assembly of data: Georgina Armstrong, Spyros Tsavachidis, Mark Gilbert, Ken Aldape, Terri Armstrong, John Wiencke, Ulrika Andersson

Data analysis and interpretation: Yanhong Liu, Sanjay Shete, Carol J. Etzel, Michael Scheurer, Fu-Wen Liang, Richard Houlston, Fay Hosking, Lindsay Robertson, Yuanyuan Xiao, Margaret Wrensch, Ulrika Andersson, Beatrice S. Melin, Melissa Bondy

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Manuscript writing: Yanhong Liu

Final approval of manuscript: Yanhong Liu, Sanjay Shete, Carol J. Etzel, Michael Scheurer, George Alexiou, Georgina Armstrong, Spyros Tsavachidis, Fu-Wen Liang, Mark Gilbert, Ken Aldape, Terri Armstrong, Richard Houlston, Fay Hosking, Lindsay Robertson, Yuanyuan Xiao, John Wiencke, Margaret Wrensch, Ulrika Andersson, Beatrice S. Melin, Melissa Bondy

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