

# Cardiotoxicity and other adverse events associated with mitoxantrone treatment for MS



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## ABSTRACT

**Background:** Mitoxantrone is used for aggressive multiple sclerosis (MS), but concerns about safety, including cardiotoxicity and other laboratory measures, prevail.

**Objective:** To evaluate the incidence and potential predictors of adverse events associated with mitoxantrone at the MS Clinic, University of British Columbia, Canada.

**Methods:** Retrospective review of patients treated with mitoxantrone by standard protocol; maximum cumulative dose = 120 mg/m<sup>2</sup>. Left ventricular ejection fraction (LVEF) was measured with regular multiple-gated acquisition (MUGA) scans; blood cell counts and biochemical liver tests were performed before infusions. Generalized estimating equations were used to examine potential predictors of adverse events (graded according to the Common Toxicity Criteria, version 4) in patients with normal baseline and ≥1 follow-up MUGA or laboratory assessment.

**Results:** All 163 patients (58% women) treated with mitoxantrone from 1999 to 2007 were reviewed. Mean baseline age was 41.9 (SD 10.8) years, cumulative dose was 59.7 (SD 26.0) mg/m<sup>2</sup>, and median follow-up duration was 14 months (maximum 6.5 years). By study end, 14% developed de novo cardiotoxicity (grade ≥2) as measured by decreased LVEF, 27% neutropenia (grade ≥1), 15% anemia (grade ≥1), and 15% liver toxicity (grade ≥1). Possible predictors of adverse events included sex, age, disease duration, and cumulative dose; only women exposed to a higher cumulative dose were at a greater risk of anemia (adjusted odds ratio 1.26, 95% confidence interval 1.08–1.48 per 10 mg/m<sup>2</sup>).

**Conclusions:** Based on cardiac and laboratory assessments, mitoxantrone was reasonably well tolerated. However, cardiotoxicity was evident after doses well below current maximum recommended levels. A dose-response effect was not apparent. Findings emphasize the importance of monitoring; the long-term effects of mitoxantrone in multiple sclerosis require investigation.

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## GLOSSARY

**AST** = aspartate aminotransferase; **BMI** = body mass index; **CI** = confidence interval; **GEE** = generalized estimating equation; **LLN** = lower limit of normal; **LVEF** = left ventricular ejection fraction; **MS** = multiple sclerosis; **MUGA** = multiple-gated acquisition; **OR** = odds ratio; **UBC** = University of British Columbia; **ULN** = upper limit of normal.

Mitoxantrone is licensed in the United States and some European countries, and is used “off label” in other countries, including Canada, as a disease-modifying therapy for multiple sclerosis (MS).

Despite its promising therapeutic effects,<sup>1–4</sup> widespread use of mitoxantrone for MS is hindered by concerns about potential adverse events. Cardiotoxicity is a major concern; mitoxantrone treatment can result in cardiomyopathy leading to reduced left ventricular ejection fraction (LVEF) and irreversible congestive heart failure.<sup>5</sup> Risk increases with cumulative dose,<sup>6,7</sup> limiting the recommended lifetime dose in MS to 140 mg/m<sup>2</sup>. Other potential adverse events include myelosuppression, leading to anemia, neutropenia or leukopenia, and liver toxicity.<sup>1,2,4,8–11</sup> The mitoxantrone clinical trials for MS reported low rates of adverse events, whereas some

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postmarketing studies have revealed a higher incidence of adverse events, particularly subclinical cardiac events.<sup>12-15</sup>

We examined cardiotoxicity and other laboratory adverse events in a cohort of patients with MS treated with mitoxantrone during routine clinical practice. Sex, age, disease duration, and cumulative dose were investigated as possible predictors of adverse events.

**METHODS** This retrospective review included all patients with MS treated with mitoxantrone at the University of British Columbia (UBC) MS Clinic, Vancouver, Canada, with first infusion between July 1999 and December 2007. Subsequent treatments and monitoring were followed up to January 2009. Mitoxantrone is used at the UBC MS clinic to treat patients with active and aggressive MS. Contraindications include use of a cardiotoxic or cytotoxic medication, history of heart disease, and pregnancy or breast-feeding.

The standard treatment protocol during the study period consisted of mitoxantrone (12 mg/m<sup>2</sup> IV) infused over 30 minutes, preceded by methylprednisolone (1 g IV) and dolasetron (100 mg IV). Mitoxantrone was administered monthly for 3 months, and then 3-monthly to a maximum cumulative dose of 120 mg/m<sup>2</sup>. Cardiac function was monitored by a cardiologist and included multiple-gated acquisition (MUGA) scans before infusion (baseline), after the third and sixth doses, and then at the physician's discretion. Complete blood cell count and biochemical liver tests were performed before each infusion. Reduction or termination of infusions due to adverse events was at the physician's discretion.

Laboratory indication of mitoxantrone-induced cardiotoxicity (measured by LVEF) was the primary outcome of interest. Myelosuppression, anemia, and liver toxicity, as measured by neutrophil count, hemoglobin level, and increased aspartate aminotransferase (AST), were also investigated. Patients with normal baseline LVEF ( $\geq 50\%$ ), neutrophil count ( $\geq$  the lower limit of normal [LLN]), hemoglobin level ( $\geq$  LLN), or AST ( $\leq$  the upper limit of normal [ULN]) and with  $\geq 1$  follow-up test result were included in the respective analyses.

Adverse events were described according to the Common Toxicity Criteria for Adverse Events, version 4, as grade 1 (mild), 2 (moderate), 3 (severe), or 4 (life threatening).<sup>16</sup>

The influence of sex, age ( $\leq 35$ , 36–45, and  $> 46$  years), disease duration ( $\leq 5$ , 6–11, and  $\geq 12$  years) and cumulative dose of mitoxantrone on the odds of each subclinical adverse event occurring in repeated measures was explored using the generalized estimating equation (GEE). Cardiotoxicity was defined as a follow-up resting LVEF  $< 50\%$  or an absolute reduction in LVEF  $\geq 10\%$  points from baseline; equivalent to at least a grade 2 adverse event (the lowest grade available for this type of adverse event<sup>16</sup>). Grade 1 or higher events were used to define neutropenia (neutrophil count  $< LLN$ ), anemia (hemoglobin  $< LLN$ ), and liver toxicity (AST  $> UNL$ ). ULN and LLN values were provided by each testing laboratory. All multivariable models were adjusted for ethnicity (white vs nonwhite) and body mass index (BMI).

Potential bias created by the exclusion of patients from the cardiotoxicity analysis was explored by comparing their characteristics to those of the included patients using the  $\chi^2$  test and the  $t$  test. All analyses were performed using SPSS version 15.0 (SPSS Inc., Chicago IL; 2006.).

**Standard protocol approvals, registrations, and patient consents.** The UBC Clinical Ethics Board approved the study. Written informed consent was obtained from all patients participating in the study.

**RESULTS** During the study period, 163 patients with MS received at least 1 infusion of mitoxantrone; their baseline characteristics and treatment details are shown in table 1. In total, 160 patients were included in at least 1 of the analyses; reasons for exclusion are listed in table 2.

**Table 1** Characteristics of mitoxantrone-treated patients with multiple sclerosis (n = 163)

<b>Sex, n (%)</b>	
Women	95 (58.3)
Men	68 (41.7)
<b>Ethnicity,<sup>a</sup> n (%)</b>	
White	128 (78.5)
Nonwhite	27 (16.6)
Unknown	8 (4.9)
<b>Disease course, n (%)</b>	
Relapsing at onset	154 (94.5)
Primary progressive	5 (3.1)
Undetermined	4 (2.5)
<b>Age at first infusion, mean (SD), y</b>	41.9 (10.8)
<b>Disease duration, mean (SD), y</b>	10.9 (9.1)
<b>Body mass index, mean (SD), kg/m<sup>2</sup></b>	24.7 (4.8)
<b>EDSS score before treatment start, median [range]</b>	6.0 [1.0–8.0]
<b>No. of mitoxantrone infusions, median [range]</b>	5.0 [1–12]
<b>Cumulative dose of mitoxantrone, mean (SD), mg/m<sup>2</sup></b>	59.7 (26.0)
<b>Follow-up duration for patients included in the analysis of cardiotoxicity,<sup>b</sup> median [range], mo</b>	14 [3–78]
<b>No. of follow-up MUGA scans per patient,<sup>b</sup> median [range]</b>	2 [1–5]
<b>Follow-up duration for patients included in the analysis of CBC or liver enzyme data,<sup>c</sup> median [range], mo</b>	12 [ $< 1$ –76]
<b>No. of follow-up CBC/liver enzyme tests,<sup>c</sup> median [range]</b>	5 [1–28]

Abbreviations: CBC = complete blood count; EDSS = Extended Disability Status Scale; MUGA = multiple-gated acquisition.

<sup>a</sup> People who identified as “nonwhite” were of South Asian (4), Middle Eastern (4), East Asian (14), North American Native (1), or mixed (4) origin.

<sup>b</sup> Only presented for patients included in the analysis of subclinical cardiac events (available baseline, normal baseline, and at least 1 follow-up for left ventricular ejection fraction); n = 128.

<sup>c</sup> Only presented for patients included in at least 1 of the laboratory outcome analyses (available baseline, normal baseline, and at least 1 follow-up for neutrophils, hemoglobin, or aspartate aminotransferase); n = 150.

**Table 2** Reason for exclusion from adverse event analyses

	Cardiotoxicity	Neutropenia	Anemia	Liver toxicity
Excluded, n (%)	35 (21)	17 (10)	24 (15)	29 (13)
Reason				
No baseline or follow-up available	32	11	11	20
Abnormal baseline	3 <sup>a</sup>	6	13	9
Included, n (%)	128 (79)	146 (90)	139 (85)	134 (87)

<sup>a</sup> Further baseline cardiac examinations confirmed that left ventricular ejection fraction was within normal range before mitoxantrone treatment was initiated for these 3 patients.

Patients excluded from the cardiotoxicity analysis (n = 35) were comparable to those included (n = 128) by sex, age, disease duration, ethnicity, clinical course, and BMI ( $p > 0.05$ ). Excluded patients, however, received a lower cumulative dose of mitoxantrone ( $p < 0.001$ ). All 163 patient files were reviewed for serious adverse events.

**Cardiotoxicity.** Among 128 patients with normal baseline LVEF, 18 (14%) developed reduced LVEF (grade 2 toxicity) during follow-up. Of these, 3 (17%) recovered to normal at their next assessment, but 9 (50%) had 2 consecutive low MUGA scans. The remaining 6 had no further available follow-up. In GEE analyses, a subclinical reduction in LVEF was not associated with cumulative dose of mitoxantrone, sex, age, or disease duration (figure e-1A on the *Neurology*<sup>®</sup> Web site at [www.neurology.org](http://www.neurology.org)). One woman had nonfatal coronary heart failure 31 months after treatment end (cumulative dose 75.7 mg/m<sup>2</sup>), having demonstrated a significantly decreased LVEF during monitoring without full recovery.

**Neutropenia.** Among 146 patients, 40 (27%) had 1 or more follow-up reduced neutrophil counts (grade  $\geq 1$ ); of these, neutropenia was evident in 26 (18% of all patients) outside of the nadir (i.e., excluding measurements taken 10–18 days after infusion). Seventeen cases had severely decreased counts (grade 3 or 4), but all recovered to at least  $1.0 \times 10^9/L$  (grade 2). Neutropenia was not associated with any of the considered variables in GEE analyses (figure e-1B).

**Anemia.** Low hemoglobin levels developed in 21 of 139 patients (15%). The maximum toxicity reached (2 cases) was grade 2 ( $<100$  g/L to 80 g/L) after low cumulative doses of mitoxantrone ( $<50$  mg/m<sup>2</sup>) and being sustained well after treatment ended. Women had a greater odds of low hemoglobin than men (adjusted odds ratio [OR] 8.61, 95% confidence interval [CI] 2.25–32.85), and among women, anemia was associated with increasing dose of mitoxantrone

(adjusted OR 1.26, 95% CI 1.08–1.48 per 10 mg/m<sup>2</sup>; figure e-1C).

**Liver toxicity.** Increased AST developed in 21 of 134 patients (16%); the maximum toxicity reached was grade 2 ( $>3.0$ – $5.0 \times$  ULN). GEE analyses revealed no significant predictors of increased AST (figure e-1D).

**DISCUSSION** The incidence of subclinical cardiotoxicity was higher than that reported in the phase II/III mitoxantrone clinical trials<sup>2,4</sup> and by some,<sup>11,17,18</sup> although not all,<sup>12–15</sup> postmarketing studies.

This adverse event is considered moderate by recognized standard criteria,<sup>16</sup> but the potential long-term consequences are unknown. Interestingly, no dose-response effect was observed, perhaps because of the relatively narrow dose range used in this cohort. This underlines the need for careful monitoring of all patients with MS during and after treatment as emphasized by the recent, more stringent US Food and Drug Administration guidelines.<sup>19</sup> These recommendations include LVEF evaluation before every dose of mitoxantrone and annual evaluations after treatment cessation to detect late-occurring cardiotoxicity.

The observed differences between the results of clinical trials and postmarketing studies are likely due to variation in total follow-up, patient populations, timing of laboratory measurements, monitoring techniques (such as MUGA vs echocardiogram), and criteria for cardiotoxicity. Notably, the definition of decreased LVEF has been inconsistent between studies; greater homogeneity and clarity regarding the criteria used in reports of mitoxantrone-related cardiotoxicity would facilitate pooling and interpretation across studies.

As observed by others,<sup>7,17</sup> we found no association of cardiac events with sex or age; we also found no association with MS disease duration at start of treatment. None of these indicators can be regarded as helpful prognostic factors for mitoxantrone associated cardiotoxic events.

The incidences of reversible anemia or neutropenia in patients with MS exposed to mitoxantrone were higher than those reported in the pivotal trial,<sup>4</sup> but the incidences of liver toxicity were comparable. These adverse events were mostly transient and not severe. Anemia was far more common in women and was associated with a higher dose; women in particular should be carefully monitored for signs of anemia during mitoxantrone treatment for MS.

Patients with MS could potentially be more susceptible to cardiotoxic or other adverse events; there is evidence that both low ventricular ejection fraction<sup>20</sup> and increased liver enzymes<sup>21</sup> are more fre-

quent in “untreated” patients with MS than expected. This emphasizes the need for careful monitoring when exposing patients with MS to potentially toxic treatments.

The lack of an untreated comparison group is a limitation of our study; however, serial LVEF measurements would be too invasive to justify enrollment of a control group outside of a clinical trial. Our data were collected retrospectively, and as a result, the documentation was unavoidably incomplete. Excluded patients were exposed to a lower cumulative dose of mitoxantrone and might have experienced more adverse events precipitating cessation of treatment, potentially resulting in an underestimate of the adverse event rate.

These results indicate that cardiotoxic events are evident in patients with MS after doses of mitoxantrone well below current maximum recommended levels. Thus, there is potential for cardiac injury even at the low doses given to patients with MS. Although mitoxantrone was reasonably well tolerated in our cohort over the short term, the long-term cardiac effects are unknown, and extended prospective follow-up is required to examine these and other serious potential side effects of treatment.

#### AUTHOR CONTRIBUTIONS

All statistical analyses were performed by Elaine Kingwell.

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#### DISCLOSURE

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#### Classification scheme requirements for therapeutic questions

**Class I.** A randomized, controlled clinical trial of the intervention of interest with masked or objective outcome assessment, in a representative population. Relevant baseline characteristics are presented and substantially equivalent among treatment groups or there is appropriate statistical adjustment for differences.

**Class II.** A randomized, controlled clinical trial of the intervention of interest in a representative population with masked or objective outcome assessment that lacks one criterion a-e in Class I or a prospective matched cohort study with masked or objective outcome assessment in a representative population that meets b-e in Class I. Relevant baseline characteristics are presented and substantially equivalent among treatment groups or there is appropriate statistical adjustment for differences.

**Class III.** All other controlled trials (including well-defined natural history controls or patients serving as their own controls) in a representative population, where outcome is independently assessed, or independently derived by objective outcome measurements.

**Class IV.** Studies not meeting Class I, II, or III criteria including consensus or expert opinion.

#### AAN classification of recommendations

**A =** Established as effective, ineffective, or harmful (or established as useful/predictive or not useful/predictive) for the given condition in the specified population. (Level A rating requires at least two consistent Class I studies.)

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**C =** Possibly effective, ineffective, or harmful (or possibly useful/predictive or not useful/predictive) for the given condition in the specified population. (Level C rating requires at least one Class II study or two consistent Class III studies.)

**U =** Data inadequate or conflicting; given current knowledge, treatment (test, predictor) is unproven.