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# *FAS* **and** *FASLG* **genetic variants and risk of second primary malignancy in patients with squamous cell carcinoma of the head and neck**

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# **Abstract**

**Background—**Single nucleotide polymorphisms (SNPs) in the promoter region of the *FAS* and *FASLG* may alter the transcriptional activity of these genes. We, therefore, investigated the association between the *FAS* and *FASLG* polymorphisms and risk of second primary tumor (SPM) after index squamous cell carcinoma of the head and neck (SCCHN).

**Methods—**We used Log-rank test and Cox proportional hazard models to assess the association of the four SNPs (*FAS* -1377G>A, *FAS* -670A>G, *FASLG* -844C>T and *FASLG* -124 A>G) with the SPM-free survival and SPM risk among 1,286 incident SCCHN patients.

**Results—**Compared to patients having the *FAS* -670 AA or the *FASLG* -844CC genotypes, the patients having variant genotypes of *FAS* -670 AG/GG or *FASLG* -844 CT/TT genotypes had a significantly increased risk of SPM, respectively. A trend for significantly increased SPM risk with increasing number of risk genotypes of the four polymorphisms was observed in a dose-response manner. Moreover, the patients with three or four combined risk genotypes had an appropriately 1.8 or 2.5-fold increased risk for developing SPM compared with patients with zero or one risk genotypes, respectively.

**Conclusions—**Our results suggest a modestly increased risk of SPM after index SCCHN with *FAS* -670 A>G and *FASLG* -844 C>T polymorphisms and an even greater risk of SPM with multiple combined *FAS* and *FASLG* risk genotypes.

**Impact—**The *FAS* and *FASLG* polymorphisms may serve as a susceptible marker for SCCHN patients at high SPM risk.

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*FAS/FASLG*; Squamous cell carcinoma of head and neck; Second primary malignancy; Genetic susceptibility; Polymorphism

#### **Translational relevance**

The high frequency of second primary malignancies (SPM) occurs in approximately 15% of squamous cell carcinoma of the head and neck (SCCHN) patients. Although the incidence of SCCHN in the U.S. has been in decline over past two decades and the diagnostic and therapeutic approaches for the patients have been improved, the poor prognosis for SCCHN patients has not significantly improved. Therefore, *FAS* and *FASLG* polymorphisms may serve as a marker for genetic susceptibility to SPMs after index SCCHN, and for identifying high-risk subgroups of SCCHN patients who might benefit from management of alternative treatment and predictable patient outcome for an improved survival and a better quality of life. Moreover, identifying markers of risk for SPM among cancer survivors would greatly enhance secondary prevention, which is currently limited to rather simplistic clinical posttreatment screenings.

## **Introduction**

The incidence of squamous cell carcinoma of the head and neck (SCCHN) in the U.S. has been in decline over past two decades, largely due to a decline in the prevalence of smoking (1). The poor prognosis for SCCHN patients has not significantly improved, partly because of the high frequency of second primary malignancies (SPM), which occurs in approximately 15% of SCCHN patients (2–4), although the diagnostic and therapeutic approaches for SCCHN patients have been improved.

Although previous and continued exposures to smoking and alcohol use are associated with risk of developing SPMs (5–7), only a small proportion of exposed individuals develops SPM, suggesting that genetic factors may contribute to the inter-individual variation in susceptibility to SPMs (8–10). We and others have reported that genetic predisposition involved in several molecular pathways, such as carcinogen metabolism, DNA repair, and cell cycle control, is associated with the risk of SPM after primary SCCHN (11–16).

Apoptosis is the physiological mechanism of programmed cell death that plays an important role in diverse biological processes such as development, homeostasis of tissues, and elimination of cancer cells (17,18). The acquired ability to resist apoptotic stimuli is one of the primary characteristics of a malignant cell, and abnormal regulation of apoptosis is a key mechanism in the development of cancer (19). FAS is a cell surface receptor that can interact with the FAS ligand (FASLG) to trigger apoptosis (20–22). Therefore, the FAS/FASLG pathway plays an important role in regulation of apoptosis and maintenance of cellular homeostasis, and genetic alteration of the FAS/FASLG signaling pathway may result in immune escape, and thus tumorigenesis including SPM.

Existing data suggest that polymorphisms of *FAS/FASLG* have been associated with increased susceptibility to a variety of cancers, including SCCHN (23–30). Single nucleotide polymorphisms (SNPs) are the most common form of human genetic variation, and the functional SNPs in the promoters of *FAS* and *FASLG* genes have been identified to be related to the differential expression of these two genes  $(31–33)$ , which may affect risk of SPM after index SCCHN. For example, the *FAS* -1377G>A and -670A>G polymorphisms have been

shown to interfere with the SP1 and STAT1 transcription factor binding sites, respectively, hence decreasing promoter activity and in turn *FAS* gene expression (31,32), while the C allele of the *FASLG* -844C>T polymorphism creates a binding site for the CAAT/enhancer binding protein β transcription factor, resulting in higher basal expression of the *FASLG* gene (33). However, there is no report on the functional relevance of the *FASLG* -124 A>G polymorphism. Our previous study showed that the *FAS* -670 A>G and -1377G>A polymorphisms were associated with an increased risk of SCCHN (30), but no risk of SCCHN was associated with the *FASLG* -844C>T and -124 A>G polymorphisms. To date, the association between the *FAS* and *FASLG* polymorphisms and risk of SPM after index SCCHN has not been reported.

Given the role of the *FAS* and *FASLG* genes in regulating cell death and abnormal expression of FAS and/or FASLG in various types of tumors, including SCCHN, we hypothesized that *FAS* and *FASLG* polymorphisms contribute to genetic susceptibility to SPMs after index SCCHN, and these polymorphisms may be genetic markers to identify high-risk subgroups of SCCHN patients who might benefit from management of alternative treatment and predictable patient outcome. To test the hypothesis, we compared the SPM-free survival and the risk of SPM between the different genotyping groups in a cohort of 1286 incident SCCHN patients.

# **Materials and Methods**

#### **Study subjects**

Between May 1995 and January 2007, 1,667 patients with incident SCCHN were consecutively recruited at the University of Texas M. D. Anderson Cancer Center as part of a ongoing molecular epidemiologic study of SCCHN. These patients were newly diagnosed, histopathologically confirmed, and untreated squamous cell carcinomas of the oral cavity, oropharynx, hypopharynx or larynx. All patients completed an IRB-approved informed consent, without the restriction of age, sex, ethnicity, or clinical stage. Approximately 95% of contacted patients consented to enrollment in the study. The exclusion criteria included any prior cancer history excepting nonmelanoma skin cancer, distant metastases at presentation, primary sinonasal tumors, salivary gland tumors, cervical metastases of unknown origin, and tumors outside the upper aerodigestive tract. In addition, blood samples for genotyping data were not available for some patients recruited early in the study, and these patients were excluded from this analysis, as were patients without follow-up and patients who underwent only palliative treatment. Therefore, there are a total of 1,286 patients available for the final analysis of this study.

Patients were monitored through their treatment and post-treatment course with regularly scheduled clinical and radiographic examinations. SPMs were distinguished from local recurrences based on modified criteria of Warren and Gates (34). Second lesions with different histopathologic type, and/or occurring more than 5 years following treatment for the primary tumor, and/or clearly separated by normal epithelium based on clinical and radiographic assessment were considered SPM. The second lesion was classified as a local recurrence rather than a SPM if there was discrepancy or differing opinion regarding the origin of the tumor. Pulmonary lesions were considered SPM if they had a non-squamous histology; or if they were isolated squamous lesions greater than 5 years from initial SCCHN and felt to be SPM by the thoracic oncologist and thoracic surgeon. SPMs were then classified as tobacco-associated (e.g, SCCHN or cancers of the esophagus, lung, or bladder) and non tobacco-associated SPM.

At presentation all patients provided epidemiological data, including alcohol and smoking status. Those subjects who had smoked at least 100 cigarettes in their lifetime were defined as ever smokers, otherwise, they were considered never smokers. Subjects who had drunk at least one alcoholic beverage/per day for at least one year during their lifetime were defined as ever drinkers and those who never had such a pattern of drinking were defined as never drinkers.

Clinical data were obtained at initial presentation and through follow-up examinations and included overall stage at presentation of index tumor, site of index tumor, and treatment. Index cancer stage was then dichotomized into the early stage (including I and II clinical stage) and late stage (III and IV). We also grouped treatment into four categories: surgery only, surgery with radiotherapy and/or chemotherapy, radiotherapy, and radiotherapy plus chemotherapy.

#### **Genotyping of the FAS and FASLG Polymorphisms**

DNA was extracted from 1 ml of blood sample with the Qiagen DNA Blood Mini Kit (Qiagen, Valencia, CA) according to manufacturer's instructions. We genotyped the four single nucleotide polymorphisms (SNPs) of the *FAS* and *FASLG* gene: *FAS* -1377G>A, *FAS* -670A>G, *FASLG* -844C>T and *FASLG* -124 A>G by the polymerase chain reactionrestriction fragment length polymorphism (PCR-RFLP) assay (30). The primers, polymerase chain reaction and restriction enzymes for these polymorphisms have been described previously (30). Approximately 10% of samples have been reassayed demonstrating 100% concordance.

#### **Statistical Analysis**

For all analyses in this study, statistical significance was set at  $P < 0.05$ , and all tests were twosided. The Statistical Analysis System software (version 9.1.3; SAS Institute) was used to perform all statistical analyses. SPM occurrence was considered as the primary endpoint of the study. The Student's t test was used to compare the mean age and follow-up time of the patients who developed a SPM and those who did not. The differences in ethnicity, sex, smoking and alcohol status, index tumor site, index tumor stage, treatment, and genotype distributions between the two groups were evaluated using the chi-squared test. Time-to-event was calculated from the date of diagnosis of the index SCCHN to the date of SPM occurrence. Patients who were not known to have an event at the date of last contact, or who died were censored. The associations between individual epidemiological risk factors, clinical characteristics including index tumor site, index tumor stage, and treatment variables, and time to the occurrence of SPMs, were initially assessed using univariate Cox proportional hazards regression models. The data were consistent with the assumptions of the Cox proportional hazards regression model from the examination of Kaplan-Meier survival curves and logminus-log survival plots.

In the univariate analysis, we evaluated epidemiological variables, assessed at the time of diagnosis, such as age in years, ethnicity, sex, and smoking and alcohol status, and clinical characteristics, such as index tumor site, index tumor stage, and treatment. We did not incorporate any interaction terms in the first step in building a multivariable model for time to SPM occurrence. A multivariable proportional hazards model was built using the variables that had prognostic potential suggested by the univariate analysis  $(P < 0.25)$ . Due to epidemiological and clinical considerations in building the model, age, sex, and ethnicity were always retained in the main-effects and final multivariable model. We used a stepwise search strategy to build the multivariable models, for which a threshold level of 0.25 for the likelihood ratio test was used as a cutoff to determine whether a variable could be entered into, or removed from, the regression model. We assessed associations using hazard ratios (HR) and their 95% confidence intervals (CI) for a SPM development. The final fully adjusted Cox regression models included age, sex, ethnicity, and smoking and alcohol status.

# **Results**

#### **Patient Characteristics**

Table 1 showed the demographics, risk exposure, and clinical variables for the 1,286 patients, which included 1166 patients who did not develop SPM while 120 (9.3%) patients who

developed SPM. The overall median follow-up time was 29.7 months (range 0 to 142.4 months). Of the 120 patients with SPM, 81 patients developed SPMs at tobacco-associated sites including 44 (36.7%) SCCHN and 37 (30.8%) other tobacco-associated cancers (34, 28.3% lung cancer, 2, 1.7% esophagus cancer, and 1, 0.83% bladder cancer); 35 (29.2%) developed SPMs at other sites (10, 8.3% prostate cancer, 8, 6.7% papillary thyroid carcinoma, 4, 3.3% colon adenocarcinoma, 3, 2.5% lymphoma, 3, 2.5% hepatic adenocarcinoma, 2, 1.7% breast cancer, and 1, 0.83% each for the remainder including sarcoma, renal cell carcinoma, endometrial carcinoma, leukemia, and maxillary sinus adenocarcinoma); and 4 (3.3%) developed SPMs at both sites (2, 1.7% patients with both SCCHN and prostate cancer and 2, 1.7% patients with both SCCHN and papillary thyroid carcinoma). Of the 44 patients with second SCCHN, 24 (55%) were synchronous SCCHN primaries. Of these 24 patients with synchronous SCCHN, two patients had bilateral oral cavity cancers, three had bilateral oropharyngeal cancers, one had bilateral hypopharyngeal cancers, and the remainder had simultaneous cancers of more than one head and neck subsite.

The mean age at diagnosis for the total patients was 57.5 years (range, 18–94 years, median, 57 years), and the mean age of patients at index SCCHN who developed SPM was significantly older compared with the mean age of patients who did not develop SPM (60.8 years vs. 57.1 years, respectively; *P* < 0.0001). Compared with the SPM-free group, patients who developed SPM were more likely older ( $P < 0.0001$ ) and non-Hispanic whites ( $P = 0.050$ ). However, no significant differences were observed between patients who did not develop SPM and patients who developed SPM, regarding sex ( $P = 0.525$ ), smoking ( $P = 0.129$ ), alcohol drinking ( $P = 0.129$ ) 0.352), index cancer site ( $P = 0.322$ ), index cancer stage ( $P = 0.681$ ), and treatment ( $P = 0.889$ ).

### **Association between the FAS and FASLG polymorphisms and risk of SPM**

As shown in Table 2, *FAS* -670 AG+GG genotypes were more frequent in the patients who developed SPM (83.3%) than the patients who did not develop SPM (73.2%) and were associated with a significantly increased risk of SPM compared with the *FAS* -670 AA genotype (OR,1.57; 95% CI, 1.00–2.54). Compared with the *FASLG* -844 CC genotype, the *FASLG* -844 CT+TT genotypes were also more frequent in the patients who developed SPM (70.8%) than the patients who did not develop SPM (59.2%) and were associated with a significantly increased risk of SPM (OR,1.71; 95% CI, 1.15–2.54). However, the differences between the variant genotypes (*FAS* -1377 GA+AA or *FASLG* -124 AG+GG) and the wild-type homozygous genotypes (*FAS* -1377 GG or *FASLG*-124 AA) for *FAS* -1377G>A or *FASLG*  $-124A>G$  polymorphism were not statistically significant ( $P = 0.879$  and  $P = 0.458$ , respectively). For these two polymorphisms, no significant SPM risks were observed between the patients who developed SPM and who did not develop SPM (OR, 0.87; 95% CI, 0.56–1.36 for *FAS* -1377G>A and OR, 1.15; 95% CI, 0.75–1.77 for *FASLG* -124A>G, respectively).

#### **Association between the combined genotypes of the FAS and FASLG polymorphisms and SPM risk**

Because any of the four SNPs of the *FAS* and *FASLG* genes in the apoptotic pathway appeared to have a minor effect on risk of SPM, we then performed combined analysis of all four SNPs to focus on potentially modifying effect of the combined genotypes on risk of SPM (Table 3). In the 1,286 patients who had data available on all four SNPs, we categorized all putative risk  $(ORS > 1.0)$  genotypes of each SNP into a new variable according to the number of risk genotypes (for the protective genotype, e.g., *FAS* -1377G>A, we reversed the reference group). For the combined analysis, we found that the patients with 0–2 risk genotypes of the four polymorphisms experienced a significantly reduced SPM-free survival compared with patients with 3–4 risk genotypes (log-rank,  $P = 0.0143$ , Fig. 1). There was a trend for increased SPM risk with increasing number of risk genotypes, and this trend in risk was statistically significant in a dose-response manner ( $P = 0.004$  for trend). Specifically, the patients with 3 or 4 risk

genotypes had an approximately 1.8- (HR, 1.83; 95% CI, 1.00–3.36) or 2.5-fold (HR, 2.53; 95% CI, 1.26–5.06) increased risk for developing SPM, compared to patients with 0–1 risk genotypes, respectively.

# **Discussion**

In this study, we investigated the association between the *FAS* -1377G>A and -670A>G and the *FASLG* -844C>T and *FASLG* -124 A>G polymorphisms on the risk of SPM after index SCCHN. We found that both the *FAS* -670 A>G and the *FASLG* -844 C>T polymorphisms were associated with a significantly increased risk of SPM in patients with SCCHN. Although we did not observe any significant association of *FAS* -1377G>A or *FASLG* -124A>G polymorphism with risk of SPM, we did observe an effect of the combined risk genotypes of the four polymorphisms on SPM risk in patients with primary SCCHN, and the trend in risk was statistically significant in a dose-response manner. In addition, the patients with 3 or 4 risk genotypes had almost 1.8- or 2.5-fold increased risk for developing SPM compared with patients with 0 or1 risk genotypes. To the best of our knowledge, there have been no previous studies examining the combined effects of genetic variants in the apoptotic pathway on risk of SPM after index SCCHN.

It has been shown that downregulation of FAS may protect tumor cells from elimination by antitumor immune responses, whereas up-regulation of FASLG may increase the ability of tumor cells to counterattack the immune system by inducing apoptosis of FAS-sensitive lymphocytes (35–38). Alteration of FAS and FASLG expression decrease the apoptotic capacity of cells and many tumor cells might evade or suppress the immune system. Most studies indicated that decreasing the expression of FAS and/or increasing the expression of FASLG is a common feature of malignant transformation and an early event associated with the development of most human cancers, including SCCHN (23–27,30,39–41). Given the important roles of FAS and FASLG in apoptosis process, it is biologically plausible that alteration of *FAS* and *FASLG* genes, such as genetic polymorphisms, may affect risk of cancer including SPM.

The *FAS* -1377G>A polymorphism has been reported to be associated with increased risk of developing lung cancer (24), breast cancer (25,39), esophageal squamous cell cancer (26), colorectal cancer (27), SCCHN (30) and acute myeloid leukemia (32). *FAS* -670A>G polymorphism was found to be associated with increased risk of esophageal squamous cell cancer (26), SCCHN (30) and gynecological cancer (40). In the current study, we observed the significant association of *FAS* -670A>G but not *FAS* -1377G>A polymorphism with risk of SPM after index SCCHN. Although the exact mechanism of how the polymorphism affect SPM development is unclear, Sibley et al. reported that the *FAS* -670G allele had a greatly reduced ability to bind transcription factor signal transducers and activators of transcription 1 (STAT1) (32) and less expressed on ex vivo-stimulated T cells (41). Decreased FAS expression resulting from a *FAS* promoter polymorphism may help the transformed cells evade FASmediated cell death, subsequently affecting risk of cancer including SPM.

The *FASLG -*844T/C polymorphism is also located in the promoter region of the gene, and basal FASLG expression is higher in cells carrying the C allele than in cells carrying the T allele, as measured in a luciferase reporter assay and when expressed in peripheral blood fibrocytes (33). Sun et al. found that *FASLG* -844 C allele is associated with increased activation-induced T cell apoptosis *in vitro*, which is consistent with the findings in current study (25,41). Transformed cells with the *FASLG -*844CC genotype that express a high level of FASLG may create an immuno-privileged site by killing cytotoxic immune cells and thus escape host immuno-surveillance. The association between the *FASLG -*844C>T polymorphism and increased risk of some cancers has been reported in previous studies (24–

27,33,41). In this study, we found that the *FASLG* -844 variant genotypes (CT+TT) were associated with a significantly increased risk of SPM in patients after index SCCHN compared with the *FASLG* -844CC genotype, although our previous case-control study indicated that no risk of SCCHN was associated with any of the *FASLG* genotypes (30). The exact mechanism for these conflicting results remains unknown. It might be possible that effect of this *FASLG -*844C>T polymorphism in normal epithelium of the head and neck differs from those in SCCHN tumor tissues which have numerous somatic changes. It also might be that this *FASLG -*844C>T polymorphism may function differently in etiology (case–control study) and prognosis (case only study) because the normal epithelium of the head and neck and SCCHN tumor tissues have significant differences in genetic profiles such as somatic genetic changes. Moreover, this polymorphism of *FASLG -*844C>T may have different roles in etiology and prognosis through the interaction of this *FASLG -*844C>T variant with the normal genes in normal tissues, genetically altered genes in SCCHN tissues, smoking behavior, human papillomavirus (HPV), and other environmental risk factors, respectively. Several studies have also suggested that genetic factors, previous treatments, within the context of previous or continued exposure to risk factors, may affect the risk of SPM after index SCCHN (42–44). Therefore, all these factors may affect functionality of this *FASLG -*844C>T polymorphism in development of both SPM and SCCHN. However, these hypotheses need to be tested in future studies.

Although this was a large and well-characterized cohort in SCCHN patients by Head and Neck Center at M. D. Anderson Cancer Center, there were several inherent limitations in our study. Firstly, multiple ethnicities were included in this cohort, in which 84.5% of patients were non-Hispanic whites. Secondly, the demographics, exposure, and clinical data for the cohort were collected prospectively, while clinical outcomes including SPM were collected retrospectively without a strictly defined screening or follow-up regimen. Furthermore, the follow-up time to the development of SPM in this study may have been limited by patients with stage III and IV index cancer who were lost to follow-up. These patients may not have had as much opportunity to develop SPM because of being recruited lately or dying relatively soon after diagnosis. It is also possible that a screening bias in the detection of SPMs exists such that tobacco-associated SPMs (i.e., SCCHN, esophagus, or lung cancers) were detected more readily than non-tobacco associated cancers. However, such a bias should be non-differential (i.e., not different between groups having different genotypes). In addition, the low SPM rate may be due to our high prevalence of never smokers (26.7%) and our strict criteria in defining SPM. Finally, the absence of human papillomavirus (HPV) status did not allow us to evaluate its potential influence on the development of SPMs in patients with index SCCHN. With the information available, we will take HPV and smoking status into account as confounders in our future studies when we analyze the associations between this and/or other genetic polymorphisms and risk of SPM. Despite these limitations, the current investigation supports a significant role of *FAS* and *FASLG* polymorphisms in individual variation in susceptibility to SPM after index SCCHN.

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# **Abbreviations**



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**Table 1**







 $^d$  Adjuvant Treatment: adjuvant radiotherapy and/or chemotherapy  $a$ Adjuvant Treatment: adjuvant radiotherapy and/or chemotherapy

 ${}^b{\hbox{\footnotesize XRT}}$  : radiotherapy

*c P* values were calculated from chi-square test NIH-PA Author Manuscript

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 $c$ Ref. = reference group.

 $\label{eq:1} \begin{aligned} c_\text{Ref.} = \text{reference group}. \end{aligned}$ 

# **Table 3**

SPM risk associated with FAS and FASLG polymorphisms after index SCCHN SPM risk associated with *FAS and FASLG* polymorphisms after index SCCHN



ho did not.

 $b$  Adjusted for age, sex, ethnicity, tobacco smoking and alcohol drinking in a Cox model. *b*Adjusted for age, sex, ethnicity, tobacco smoking and alcohol drinking in a Cox model.

 $\label{eq:1} \begin{aligned} c_\text{Ref.} = \text{reference group}. \end{aligned}$  $c$ <sub>Ref.</sub> = reference group.