

Published in final edited form as:

*Endoscopy*. 2009 September ; 41(9): 773–776. doi:10.1055/s-0029-1215045.

## Three-dimensional optical coherence tomography of Barrett's esophagus and buried glands beneath neo-squamous epithelium following radiofrequency ablation

D. C. Adler<sup>1,4</sup>, C. Zhou<sup>1</sup>, T.-H. Tsai<sup>1</sup>, H.-C. Lee<sup>1</sup>, L. Becker<sup>2,3</sup>, J. M. Schmitt<sup>4</sup>, Q. Huang<sup>2,3</sup>, J. G. Fujimoto<sup>1</sup>, and H. Mashimo<sup>2,3</sup>

<sup>1</sup> Department of Electrical Engineering and Computer Science, Research Laboratory of Electronics, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA

<sup>2</sup> VA Boston Healthcare System, Boston, Massachusetts, USA

<sup>3</sup> Harvard Medical School, Boston, Massachusetts, USA

<sup>4</sup> LightLab Imaging Inc., Westford, Massachusetts, USA

### Abstract

We report three-dimensional (3D) endoscopic microscopy findings in Barrett's esophagus, using an endoscopic optical coherence tomography (OCT) system in one patient before and in one patient after radiofrequency ablation (RFA). Findings were compared with those in a normal patient without Barrett's esophagus. In the normal patient, findings were of regular flat squamous mucosa with small subepithelial vessels and glands. In the Barrett's esophagus patient, findings were of large, densely packed glands with distortion of mucosal architecture. In the post-RFA case, findings were of a small number of isolated glands buried beneath 300–500  $\mu\text{m}$  of neosquamous epithelium and lamina propria. Neosquamous epithelium is a marker of successful ablative therapy, while buried glands may have potential for dysplastic progression and are difficult to detect using conventional methods. These results indicate a potential role of 3D-OCT endoscopic microscopy for follow-up, including subsurface assessment, of ablative treatments for Barrett's esophagus.

### Introduction

Ablative therapies including photodynamic therapy, argon plasma coagulation, and radiofrequency ablation (RFA) are increasingly performed for Barrett's esophagus. Residual Barrett's esophagus from incomplete ablation and buried Barrett's esophagus glands beneath regenerative neosquamous epithelium are often found, to varying degrees, on follow-up [1]. While neosquamous epithelium indicates successful therapy, detection of residual disease is important for guiding additional treatments. Due to their diminutive or sub-surface nature, detection of residual Barrett's esophagus, buried glands, and neosquamous epithelium can be challenging using conventional endoscopy alone [1].

Optical coherence tomography (OCT) generates cross-sectional images of internal structure with micrometer resolutions and millimeter imaging depths by measuring the echo time

---

Corresponding author: J. G. Fujimoto, PhD, Department of Electrical Engineering and Computer Science, Research Laboratory of Electronics Massachusetts Institute of Technology, Cambridge, MA 02139 USA, Fax: +01-617-253-9611, jgf@mit.edu.

**Competing interests:** JGF receives royalties from intellectual property owned by MIT and licensed to Carl Zeiss Meditec, Inc. and Lightlab Imaging. JGF is a scientific advisor to and has stock options in Optovue.

delays of back-scattered light [2]. OCT can be performed with fiberoptic probes introduced through the accessory channel of standard endoscopes. Recently, endoscopic three-dimensional (3D) OCT has become possible due to dramatic increases in imaging speed [3,4], providing an endoscopic microscopy tool with a powerful combination of high resolution, large field of view, and rapid data acquisition.

Two-dimensional OCT has been extensively studied in Barrett's esophagus, including the detection of specialized intestinal metaplasia, dysplasia, and adenocarcinoma [5,6]. 3D-OCT has recently been used in pilot studies for esophageal [3] and colon imaging [4]. Here we report 3D-OCT findings of Barrett's esophagus, buried Barrett's esophagus glands beneath neosquamous epithelium following RFA, and normal squamous mucosa.

## Case report

Three white males were recruited between May and December 2008. 3D-OCT was conducted using a fiberoptic probe passed down the accessory channel of a standard esophagogastroduod-noscope (GIF Q180; Olympus, Tokyo, Japan), enabling simultaneous video endoscopy. The endoscope was advanced to a region of interest and the probe was positioned adjacent to the esophageal wall. Biopsies were obtained from the imaged regions and prepared using hematoxylin and eosin stain.

The prototype 3D-OCT system, developed with LightLab Imaging (Westford, Massachusetts, USA), had a 5- $\mu\text{m}$  axial resolution, 14- $\mu\text{m}$  lateral resolution, and tissue imaging depth of 1500  $\mu\text{m}$ . The probe scanned a helical pattern with a 10-mm circumference and 20-mm pullback length. The volumetric data was cylindrically shaped but was converted to rectangular form by unfolding [4] to better visualize *en face* features. Each acquisition captured a 300 mm<sup>3</sup> tissue volume in 20 seconds, providing 3D endoscopic microscopy over a large field of view.

Conventional endoscopy of the mid-esophagus of the 59-year-old control patient showed smooth, pale-pink mucosa. Fig. 1 shows a volumetric rendering of 3D-OCT data from the normal esophagus with orthoplanes marked in red, blue, and green.

Fig. 2 shows each orthoplane with colored arrow pairs indicating the locations of the complementary planes.

An *en face* XZ orthoplane at a depth of 175  $\mu\text{m}$ , corresponding to the epithelial layer, was featureless and unremarkable aside from hypointense artifacts from mucus on the probe. Cross-sectional XY and YZ orthoplanes showed well-defined layered architecture. The epithelium, lamina propria, muscularis mucosa, submucosa, and muscularis propria were distinct layers with alternating hypo- and hyperintensity. The epithelium was devoid of glands or vessels, while small (< 100  $\mu\text{m}$ ) submucosal structures possibly corresponding to deep esophageal glands were discerned. Complete *en face* and cross-sectional flythroughs are shown in Video 1.

The patient with nonablated Barrett's esophagus was 87 years old with Barrett's esophagus islands near the gastroesophageal junction (GEJ). The patient had been diagnosed with short-segment Barrett's esophagus in 1991 and had been on proton pump inhibitors (PPIs) for over 15 years. Conventional endoscopy of the distal esophagus showed discrete regions of salmon-pink mucosa consistent with Barrett's esophagus islands. Fig. 3 shows 3D-OCT orthoplanes from a volumetric dataset spanning the GEJ. At a depth of 345  $\mu\text{m}$ , an *en face* orthoplane illustrated clear delineation between gastric mucosa on the left (distal) and esophageal mucosa on the right (proximal). Atypical glands with ovular cross-sections and ~150- $\mu\text{m}$  lengths (range 90–500  $\mu\text{m}$ ), consistent with Barrett's esophagus, were observed

proximal to the GEJ. Barrett's esophagus regions were surrounded by normal squamous mucosa, illustrating the difficulty in detecting small glandular islands with 2D-OCT or random biopsy.

Cross-sectional orthoplanes revealed clear differences in layered architecture between gastric, normal squamous, and Barrett's esophagus regions. A YZ orthoplane showed normal gastric mucosa with a vertical pit pattern and reduced light penetration, consistent with previous 2D-OCT studies [6], as well as regions of normal squamous and Barrett's esophagus. An XY orthoplane showed distortion of layered architecture and abnormal glandular features in the Barrett's esophagus regions. Fig. 4 shows magnified orthoplanes in a region spanning normal squamous mucosa and a Barrett's esophagus island.

Densely packed Barrett's esophagus glands beneath 300–500  $\mu\text{m}$  of superficial tissue were discernible. Biopsy findings from the same area (Fig. 5) showed good correlation with 3D-OCT findings, with numerous glands and distorted layered architecture visible.

Complete *en face* and cross-sectional flythroughs are shown in Video 2.

The patient with RFA-treated Barrett's esophagus was 72 years old with long-segment Barrett's esophagus first diagnosed in 1996. The patient had received three RFA treatments, the most recent being 9 months prior to 3D-OCT imaging. Conventional endoscopy of the distal esophagus showed a normal GEJ with no evidence of residual or buried Barrett's esophagus. Fig. 6 shows 3D-OCT orthoplanes taken from a volumetric dataset spanning the GEJ

An *en face* orthoplane at a depth of 390  $\mu\text{m}$  showed clear delineation between gastric mucosa on the left (distal) and esophageal mucosa on the right (proximal), and was remarkable for scattered glandular structures of a size and shape consistent with Barrett's esophagus glands. Cross-sectional XY and YZ orthoplanes showed deeply buried glands beneath  $\sim 350$   $\mu\text{m}$  (range 300–500  $\mu\text{m}$ ) of comparatively normal epithelium and lamina propria. Normal epithelium on top of glands suggests that this is neosquamous epithelium which has regenerated following RFA. Biopsy specimens from this area contained gastric mucosa and GEJ tissue with no evidence of buried Barrett's esophagus glands. This is not surprising given the scattered and sparse nature of the glands visible with 3D-OCT. Complete *en face* and cross-sectional fly-throughs are shown in Video 3.

## Discussion

3D-OCT may enhance the assessment of Barrett's esophagus patients prior to and following ablative therapies such as RFA, by enabling comprehensive imaging of glandular microstructure over a surface area of 200  $\text{mm}^2$  with a 1.5-mm imaging depth. One pinch biopsy specimen, by comparison, samples a 4  $\text{mm}^2$  surface area and penetrates  $< 1$  mm. The increased analysis volume of 3D-OCT may be used to guide re-ablation or re-biopsy, with improved detection of buried glands or neosquamous epithelium following therapy and with reduced histology processing loads. Datasets can be acquired in 20 seconds, suggesting the possibility of volumetric assessment without histology processing. With 3D-OCT endomicroscopy, *en face* tissue sections can be visualized at arbitrary depths to determine the density and location of untreated, residual, or buried Barrett's esophagus glands and neosquamous epithelium. Cross-sectional image slices can be precisely aligned to *en face* features or anatomic landmarks such as the GEJ, enabling quantification of gland size and location for possible use in treatment planning. In comparison with confocal endoscopic microscopy, 3D-OCT provides fields of view that are orders of magnitude larger, with increased imaging depths at the expense of decreased transverse resolution. In the future, 3D-OCT may be used to guide biopsy for enhanced detection of buried Barrett's esophagus

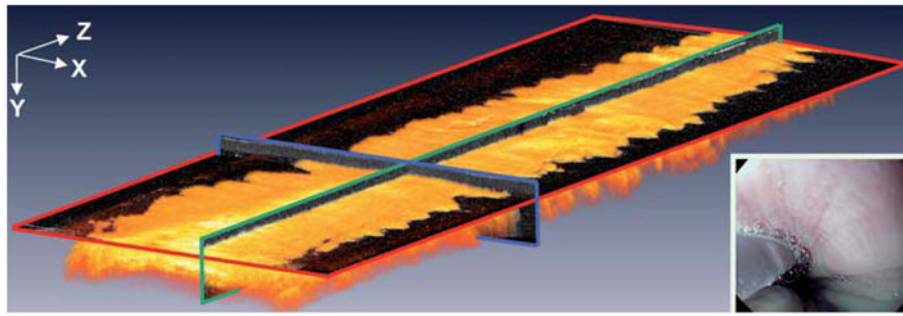
glands or, ultimately, to assist in directing re-treatment. This work was supported by NIH grant R01-CA75289-13 (JGF) and AFOSR contracts FA-9550-(JGF)07-1-0014 (JGF) and FA 9550-07-1-0101.

## Supplementary Material

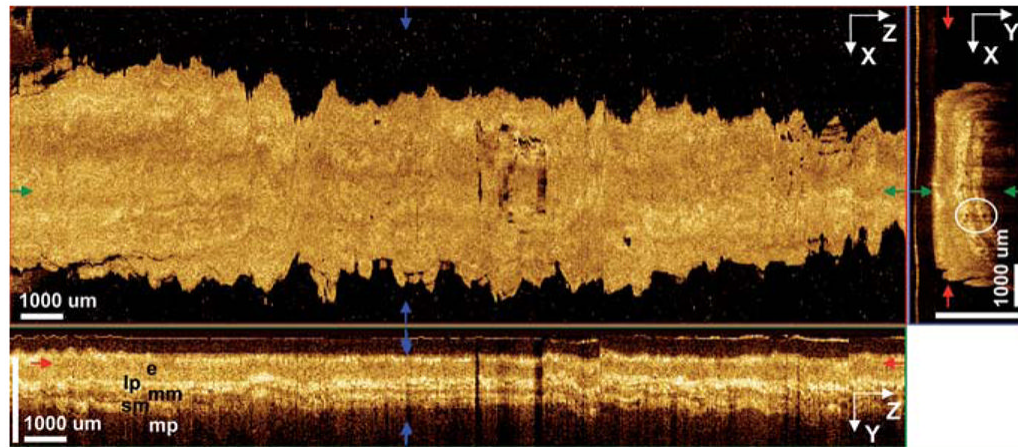
Refer to Web version on PubMed Central for supplementary material.

## References

1. Odze RD, Lauwers GY. Histopathology of Barrett's esophagus after ablation and endoscopic mucosal resection therapy. *Endoscopy* 2008;40:1008–1015. [PubMed: 19065484]
2. Huang D, Swanson EA, Lin CP, et al. Optical coherence tomography. *Science* 1991;254:1178–1181. [PubMed: 1957169]
3. Suter MJ, Vakoc BJ, Yachimski PS, et al. Comprehensive microscopy of the esophagus in human patients with optical frequency domain imaging. *Gastrointest Endosc* 2008;68:745–753. [PubMed: 18926183]
4. Adler DC, Zhou C, Tsai T-H, et al. Three-dimensional endomicroscopy of the human colon using optical coherence tomography. *Optics Express* 2009;17:784–796. [PubMed: 19158891]
5. Isenberg G, Sivak MV, Chak A, et al. Accuracy of endoscopic optical coherence tomography in the detection of dysplasia in Barrett's esophagus: a prospective, double-blinded study. *Gastrointest Endosc* 2005;62:825–831. [PubMed: 16301020]
6. Chen Y, Aguirre AD, Hsiung PL, et al. Ultrahigh resolution optical coherence tomography of Barrett's esophagus: preliminary descriptive clinical study correlating images with histology. *Endoscopy* 2007;39:599–605. [PubMed: 17611914]



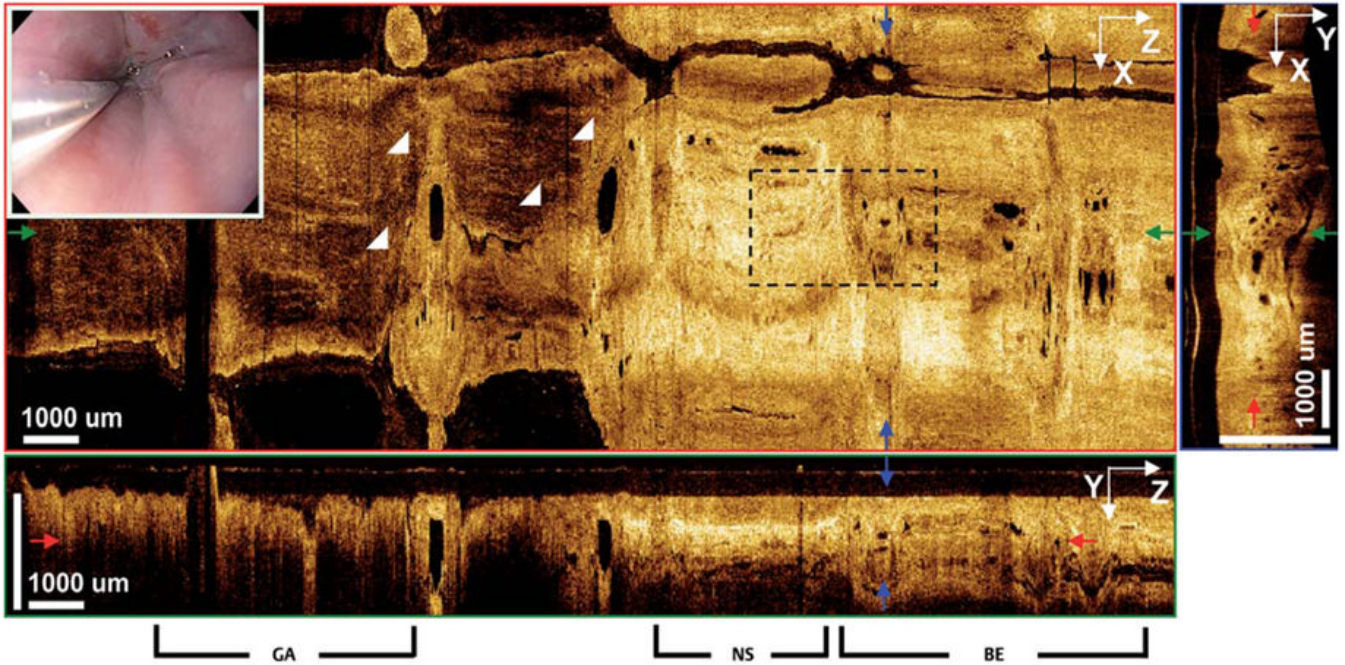
**Fig. 1.** Volumetric rendering of 3D-OCT data from the normal mid-esophagus. Orthoplanes are shown in red, blue, and green. **Inset** A video endoscopy image with the 3D-OCT probe in position prior to image acquisition.



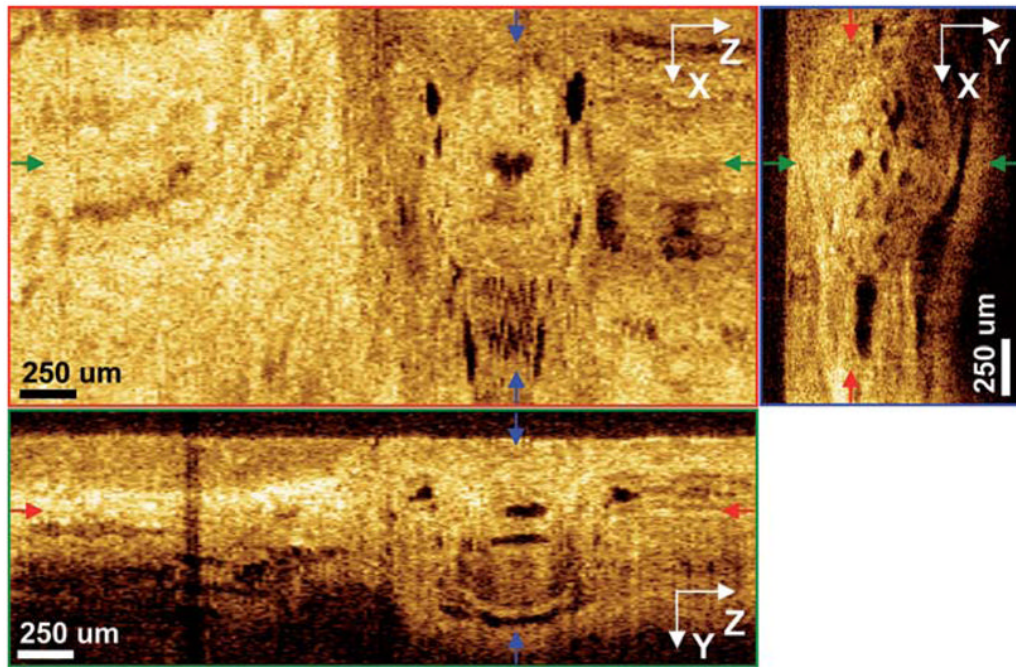
**Fig. 2.**

3D-OCT orthoplanes of normal squamous mucosa. The *en face* XZ orthoplane is located in the epithelial layer at a depth of 175  $\mu\text{m}$ . The colored arrows indicate locations of complementary orthoplanes. The cross-sectional YZ orthoplane shows the epithelium (e), lamina propria (lp), muscularis mucosa (mm), submucosa (sm), and muscularis propria (mp). The cross-sectional XY orthoplane shows subepithelial glands and vessels (circle).



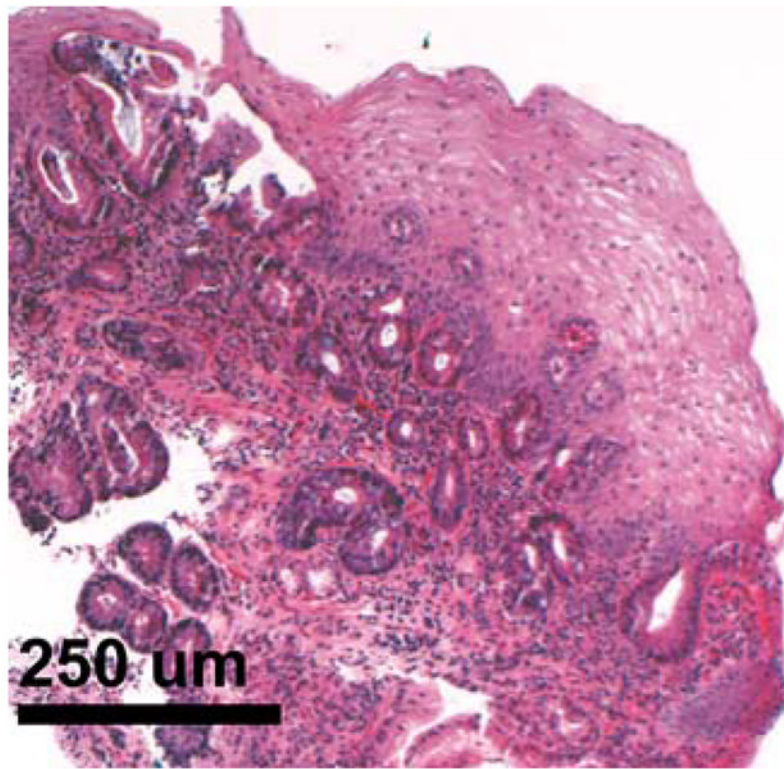


**Fig. 3.** 3D-OCT orthoplanes of Barrett's esophagus glands near the gastroesophageal junction (GEJ) in a patient with no ablation. The *en face* XZ orthoplane at 345  $\mu\text{m}$  depth shows the GEJ (triangles) and irregular glandular features. Dashed box indicates the region of interest shown in Fig. 4. The cross-sectional YZ orthoplane shows gastric (GA), normal squamous (NS), and Barrett's esophagus (BE) regions. The cross-sectional XY orthoplane shows Barrett's esophagus glands. **Inset** A video endoscopy image.

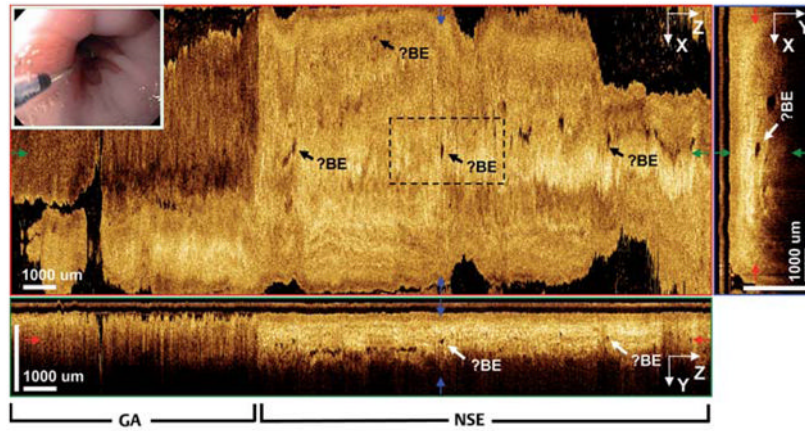


**Fig. 4.** Region of interest shown as dashed box in Fig. 3. Magnified orthoplanes of Barrett's esophagus glands, showing transition from normal squamous mucosa to Barrett's esophagus in a patient with no radiofrequency ablation (RFA).





**Fig. 5.** Biopsy specimen from a Barrett's esophagus island in the same area imaged with 3D-OCT in Fig. 4. A good correlation with cross-sectional 3D-OCT orthoplanes is observed.



**Fig. 6.** 3D-OCT orthoplanes of distal esophagus previously treated with radiofrequency ablation (RFA). The *en face* XZ orthoplane of the epithelium at 390  $\mu\text{m}$  tissue depth shows scattered, buried glands (?Barrett's esophagus [?BE]) beneath neo-squamous epithelium. The cross-sectional YZ orthoplane shows gastric (GA) and neosquamous epithelium (NSE) regions. The cross-sectional XY orthoplane shows scattered glands buried 350–400  $\mu\text{m}$  beneath the tissue surface. **Inset** Video endoscopy image.