

# Small heat-shock proteins interact with a flanking domain to suppress polyglutamine aggregation

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Edited by George H. Lorimer, University of Maryland, College Park, MD, and approved April 15, 2010 (received for review December 20, 2009)

**Small heat-shock proteins (sHsps) are molecular chaperones that play an important protective role against cellular protein misfolding by interacting with partially unfolded proteins on their off-folding pathway, preventing their aggregation. Polyglutamine (polyQ) repeat expansion leads to the formation of fibrillar protein aggregates and neuronal cell death in nine diseases, including Huntington disease and the spinocerebellar ataxias (SCAs). There is evidence that sHsps have a role in suppression of polyQ-induced neurodegeneration; for example, the sHsp alphaB-crystallin ( $\alpha$ B-c) has been identified as a suppressor of SCA3 toxicity in a *Drosophila* model. However, the molecular mechanism for this suppression is unknown. In this study we tested the ability of  $\alpha$ B-c to suppress the aggregation of a polyQ protein. We found that  $\alpha$ B-c does not inhibit the formation of SDS-insoluble polyQ fibrils. We further tested the effect of  $\alpha$ B-c on the aggregation of ataxin-3, a polyQ protein that aggregates via a two-stage aggregation mechanism. The first stage involves association of the N-terminal Josephin domain followed by polyQ-mediated interactions and the formation of SDS-resistant mature fibrils. Our data show that  $\alpha$ B-c potentially inhibits the first stage of ataxin-3 aggregation; however, the second polyQ-dependent stage can still proceed. By using NMR spectroscopy, we have determined that  $\alpha$ B-c interacts with an extensive region on the surface of the Josephin domain. These data provide an example of a domain/region flanking an amyloidogenic sequence that has a critical role in modulating aggregation of a polypeptide and plays a role in the interaction with molecular chaperones to prevent this aggregation.**

fibrillogenesis |  $\alpha$ -crystallin

**S**mall heat-shock proteins (sHsps) are important in the maintenance of cellular homeostasis. sHsps are induced under stress conditions and interact with partially unfolded proteins on their off-folding pathways, thereby providing a protective mechanism against protein misfolding and aggregation (1). They are present in many species, and 10 have been identified in the human proteome (see reviews in refs. 1 and 2). Alpha-crystallin ( $\alpha$ -crystallin) is the most well characterized sHsp. The two isoforms of  $\alpha$ -crystallin,  $\alpha$ A-crystallin ( $\alpha$ A-c) and  $\alpha$ B-crystallin ( $\alpha$ B-c), are a large component of the human eye lens, and  $\alpha$ B-c is expressed in many other cell types including neurons (3, 4). Monomeric  $\alpha$ B-c is approximately 20 kDa in mass, and under native conditions it forms a heterogeneous array of multimeric complexes ranging from 160 to 1,000 kDa in mass (2). The activity of  $\alpha$ B-c is thought to involve hydrophobic interactions between  $\alpha$ B-c and the partially folded protein. Under stress conditions, such as elevated temperature, the activity of  $\alpha$ B-c is enhanced because of a conformational change within the multimeric complex (5, 6). Cellular stress is induced in several neurodegenerative diseases, such as Alzheimer's disease (7), prion diseases (8), Parkinson disease (4), and Huntington disease (HD) (4), and there is evidence of increased  $\alpha$ B-c activity (4). In addition,  $\alpha$ B-c reduces neurotoxicity in a number of disease models (9, 10). In vitro the interaction between

$\alpha$ B-c and a number of aggregating proteins has been characterized. Most substrates aggregate through a nucleation-dependent kinetic mechanism; however, the mode of interaction with  $\alpha$ B-c appears to be dependent upon the specific target protein and the type of aggregation—i.e., amorphous or fibrillar (11–13). Complex stability is influenced by properties of the target protein, for example, the fibril-forming proteins apolipoprotein-CII (11) and amyloid- $\beta$  (14) transiently interact with  $\alpha$ B-c whereas  $\alpha$ -synuclein (6) and  $\kappa$ -casein (15) form stable noncovalent complexes. Determining the mechanism by which  $\alpha$ B-c interacts with specific aggregation-prone substrates is therefore important and may provide insight into the misfolding pathways leading to toxicity.

Polyglutamine (polyQ) expansion leads to the formation of fibrillar protein aggregates and neuronal cell death in nine diseases, including HD and the spinocerebellar ataxias (SCAs) 1, 2, 3, 6, 7, and 17. PolyQ peptides and proteins form fibrillar aggregates by a nucleation-dependent mechanism that is initiated by a monomeric nucleus (16–18). Recent evidence suggests that polyQ protein misfolding involves not only the polyQ tract but other aggregation-prone regions within the polyQ proteins (19–21). There is experimental evidence that the proteins ataxin-3 (in SCA3), ataxin-1 (in SCA1), and huntingtin (in HD) form fibrillar aggregates by a multidomain misfolding mechanism in which non-polyQ regions of the protein self-associate before the polyQ tract (19, 20, 22). Our laboratory has previously shown that ataxin-3 has a minimal two-stage aggregation mechanism (19). The first stage involves aggregation of the globular N-terminal Josephin domain that precedes the self-association of expanded polyQ segments (19).

sHsp overexpression decreases neuronal toxicity in HD by nonchaperone mechanisms, including suppression of reactive oxygen species (23) and stimulation of autophagy (24). In disease models overexpression of  $\alpha$ B-c modulates toxicity in a context-dependent manner.  $\alpha$ B-c did not alter the HD phenotype in a *Drosophila* model (25); however, it suppressed SCA3 toxicity in a *Drosophila* model (9). Enhanced suppression was observed when  $\alpha$ B-c was coexpressed with full-length ataxin-3 in comparison with a C-terminal fragment not containing the Josephin domain (9). These data suggest that non-polyQ protein regions influence sHsp chaperone activity.

In this study we tested the chaperone ability of  $\alpha$ B-c for a polyQ protein (SpAcQ52) that forms fibrillar aggregates by a mechanism involving only the polyQ region. We found that

Author contributions: A.L.R., J.A.C., and S.P.B. designed research; A.L.R., S.J.H., H.M.S., and M.J.S. performed research; H.E. contributed new reagents/analytic tools; A.L.R., S.J.H., H.M.S., M.J.S., J.A.C., and S.P.B. analyzed data; and A.L.R., S.J.H., H.E., M.J.S., J.A.C., and S.P.B. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.0914773107/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.0914773107/-DCSupplemental).



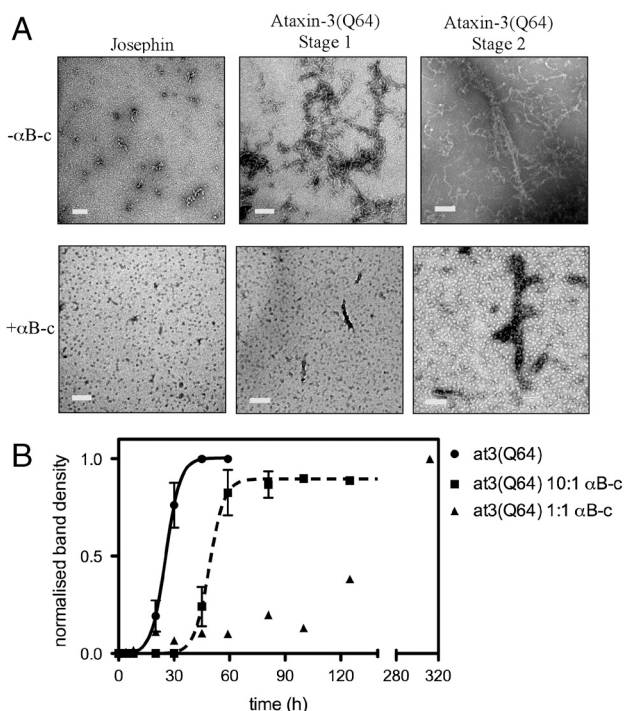
**Table 1. Half-lives (in hours) of Ataxin-3(Q64) aggregation followed by ThT and SDS insolubility in the presence and absence of  $\alpha$ B-c**

	ThT		SDS insolubility
	Stage 1	Stage 2	
Ataxin-3(Q64)	9.3 $\pm$ 0.2	31.7 $\pm$ 0.9	25.5 $\pm$ 1.1
Ataxin-3(Q64) 10:1 $\alpha$ B-c	19.7 $\pm$ 0.6	49 $\pm$ 4	45.1 $\pm$ 1.3
Ataxin-3(Q64) 1:1 $\alpha$ B-c	*	*	*
Josephin	59.1 $\pm$ 3	†	†
Josephin 10:1 $\alpha$ B-c	174 $\pm$ 0.3	†	†
Josephin 1:1 $\alpha$ B-c	†	†	†

\*Aggregation incomplete after 300 h; therefore, data could not be fitted.  
 †Josephin does not undergo stage 2 of the ataxin-3 aggregation pathway and therefore does not form SDS-insoluble fibrils.  
 ‡An equimolar amount of  $\alpha$ B-c completely inhibited Josephin aggregation over 300 h.

no fibrils were observed in samples incubated with equimolar concentrations of  $\alpha$ B-c. Small, spherical aggregates characteristic of oligomeric  $\alpha$ B-c are observed (Fig. 3A). After 7 h of incubation, curvilinear worm-like aggregates, 5–15 nm in width, consistent with the previously described morphology of stage-one aggregates (19), were observed in samples of at3(Q64) incubated alone. In the presence of  $\alpha$ B-c, there were very few small aggregates present after 7 h. After 24 h, at3(Q64) was beginning to form larger fibrillar structures, ranging from 200 to 300 nm in length and 12 to 30 nm in width. These are consistent with the morphology of stage-two/endpoint fibrils. There were very few stage-two fibrils present in the samples incubated with  $\alpha$ B-c.

**At3(Q64) Forms SDS-Insoluble Fibrils at a Rate Modulated by Increasing  $\alpha$ B-c Concentrations.** We tested the effect of  $\alpha$ B-c on the formation of SDS-resistant at3(Q64) fibrils by using the filter-trap assay. Analysis of the membranes by densitometry showed that  $\alpha$ B-c retards the formation of SDS-insoluble fibrils, as demonstrated



**Fig. 3.** The effect of  $\alpha$ B-c on the formation of at3(Q64) SDS-insoluble fibrils. (A) TEM analysis of Josephin and ataxin-3 fibrils. (B) The poly(Q)-dependent stage of At3(Q64) aggregation was monitored by detection of SDS-insoluble fibrils using the membrane filter-trap assay. Membranes were analyzed by densitometry and data normalized.

by a twofold increase in half-life for at3(Q64) aggregation occurring in the presence of substoichiometric concentrations of  $\alpha$ B-c [at3(Q64) 10:1  $\alpha$ B-c] (Fig. 3B and Table 1). The inhibitory effect of  $\alpha$ B-c is more pronounced at stoichiometric concentrations where SDS-insoluble fibrils were still formed but at much lower amounts relative to at3(Q64) incubated alone. The equimolar data could not be fit to the classic nucleation-dependent model because aggregation is incomplete over the 300-h time scale.

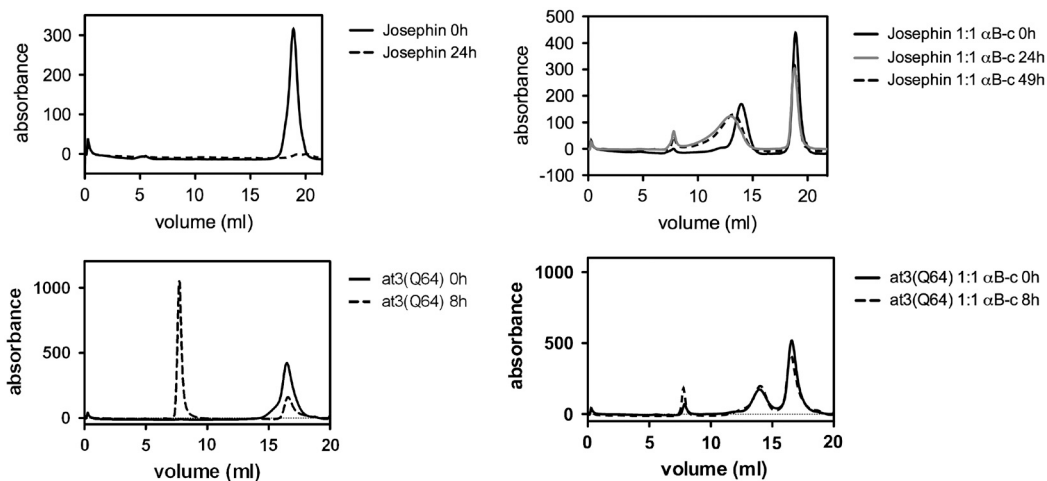
**The Presence of  $\alpha$ B-c Retains Ataxin-3 in a Monomeric Conformation.**  $\alpha$ B-c exists as a dynamic oligomeric complex (2). Depending on the target protein, it can irreversibly or transiently bind to target proteins, thereby inhibiting aggregation. To determine the stability of the  $\alpha$ B-c:at3 association we performed size-exclusion chromatography (SEC) of Josephin and at3(Q64) incubated with equimolar concentrations of  $\alpha$ B-c (Fig. 4).

Monomeric Josephin eluted from a Superose 6 column at approximately 18.9 mL. After 24-h incubation, Josephin formed insoluble aggregates that were removed by centrifugation prior to injection onto the column; therefore, the monomeric protein peak eluting at 18.9 mL was diminished (Fig. 4, *Top Left*).  $\alpha$ B-c displayed a broad peak centered around 14 mL, consistent with  $\alpha$ B-c being present as a polydisperse oligomer. In the presence of  $\alpha$ B-c, most of the Josephin is retained in a monomeric conformation at 49 h, with some recruited into the  $\alpha$ B-c complex, observed by a distinct shift and broadening of the  $\alpha$ B-c peak (Fig. 4, *Top Right*). We performed SDS-PAGE to examine the composition of the peaks eluting at various times in the SEC experiments (Fig. S1A). A small amount of Josephin coelutes with the multimeric  $\alpha$ B-c complex after a 48-h incubation period, and this is consistent with the shift and broadening of the peak corresponding to multimeric  $\alpha$ B-c (Fig. 4).

At3(Q64) eluted as a single peak with a retention volume of approximately 17.8 mL. After 8 h, most of the at3(Q64) had aggregated, indicated by the presence of a large peak in the void volume (approximately 8 mL) of the Superose 6 column (Fig. 4, *Bottom Left*). After 8 h in the presence of  $\alpha$ B-c, most of the at3(Q64) is retained in a monomeric conformation, with little change in the elution profile after this time (Fig. 4, *Bottom Right*). No at3(Q64) coeluted with the multimeric  $\alpha$ B-c (Fig. S1B), consistent with the observation that the  $\alpha$ B-c peak did not shift in the experiments with at3(Q64) (Fig. 4). The SEC data suggest that  $\alpha$ B-c does not form a stable complex with at3(Q64)/Josephin maintaining the proteins in a monomeric conformation.

**Addition of  $\alpha$ B-c Affects Josephin/at3(Q64) Fibril Propagation.** The observation that  $\alpha$ B-c retains Josephin and at3(Q64) in a monomeric conformation suggests that  $\alpha$ B-c inhibits the conformational changes involved in nucleation of aggregation.  $\alpha$ B-c has also been shown to inhibit fibril propagation in a number of systems (6, 11, 14, 27). We tested the effect of  $\alpha$ B-c on Josephin and at3(Q64) fibril propagation by adding  $\alpha$ B-c at various intervals after the commencement of aggregation.

When  $\alpha$ B-c was added to isolated Josephin at 48 and 96 h, there was no further increase in ThT intensity, suggesting that  $\alpha$ B-c prevents the further propagation of Josephin fibrils (Fig. S2A). Similar behavior is observed when  $\alpha$ B-c is added at time points along the aggregation pathway of  $\alpha$ -synuclein (6). When  $\alpha$ B-c was added to the at3(Q64) reactions at various intervals, there was a flattening of the curve for a period of time and then a second slower aggregation phase (Fig. S2B). Because of the absence of this phase in the isolated Josephin reactions, we predict that the second phase is because of poly(Q)-dependent aggregation. Interestingly, the length of the flattened period was longer when  $\alpha$ B-c was added at earlier time points in comparison to later time points, supporting the hypothesis that the rate at which poly(Q) interactions occurs is accelerated by the initial formation of stage-one Josephin-dependent fibrils.



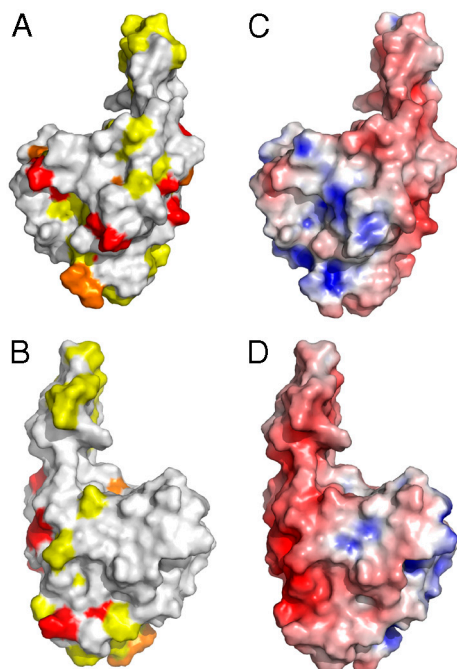
**Fig. 4.** Ataxin-3 aggregation in the presence and absence of  $\alpha$ B-c monitored by SEC. Samples of Josephin and At3(Q64) incubated in the presence and absence of  $\alpha$ B-c were removed at indicated time points and analyzed by SEC using a Superose 6 column. In the samples containing  $\alpha$ B-c, equimolar amounts of substrate: $\alpha$ B-c were used.

**$\alpha$ B-c Interacts with an Extensive Region of Josephin.** The ability of  $\alpha$ B-c to inhibit ataxin-3 aggregation appears to be derived from inhibition of the Josephin-dependent stage (stage one) of the multidomain aggregation pathway. We used NMR spectroscopy to probe the molecular details of the Josephin: $\alpha$ B-c interaction surface.

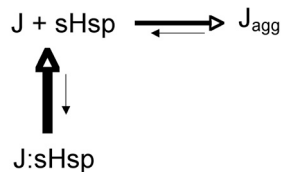
The NMR data show that  $\alpha$ B-c interacts with an extensive region of Josephin (Fig. 5). A number of backbone amide cross-peaks in the  $^{15}$ N-HSQC (heteronuclear single quantum coherence) spectrum of Josephin were significantly broadened upon titration of  $\alpha$ B-c up to a fivefold stoichiometric excess (Fig. S3). The broadening is consistent with exchange between the free

and  $\alpha$ B-c bound states of Josephin. Exchange broadening occurs when the time scale of the interaction is commensurate with the difference in NMR frequencies between the bound and free states, which is consistent with the transient interaction mechanism observed by SEC (Fig. 4). The Josephin residues perturbed to the largest degree were Q16, L19, N21, E26, S29, S35, I36, I77, W87, Q100, C114, V123, G127, F131, S135, L137, T138, L148, L155, Q156, E158, I162, Q176, and R182. These residues are confined to the globular subdomain of Josephin and include the active site residue C114.

Because chemical shift perturbations can arise through indirect mechanisms (rather than a direct interaction between  $\alpha$ B-c and Josephin), we used the paramagnetic relaxation agent Gd-diethylenetriamine pentaacetic acid-bismethylamide [Gd(DTPA-BMA)] to independently confirm the interaction surface (31, 32). The presence of Gd(DTPA-BMA) in solution causes paramagnetic relaxation enhancement, broadening the protein resonances. By performing these  $^{15}$ N-HSQC experiments in the presence and absence of  $\alpha$ B-c, we could determine the  $\alpha$ B-c interaction surface of Josephin, because the regions that interact with  $\alpha$ B-c were partially shielded from paramagnetic relaxation (Fig. S4). Josephin residues that had >20% stronger signals in the presence of Gd(DTPA-BMA) and a twofold excess of  $\alpha$ B-c were S3, G11, L13, Q24, F28, A49, E50, G51, G52, T54, E56, D57, R59, T60, F61, S66, D71, G73, F75, S76, E90, N115, K128, N132, G139, L142, F151, G159, D168, E173, L178, M180, and I181. The identity of these residues correlates well with those broadened in the  $\alpha$ B-c-bound state of Josephin (see above, Fig. 5). When mapped to the Josephin structure all of the residues that appeared to be most shielded from the paramagnetic probe by the presence of  $\alpha$ B-c were found to be surface-exposed, validating these regions as the  $\alpha$ B-c binding interface.



**Fig. 5.**  $\alpha$ B-c interacts with specific regions of Josephin. (A and B) The residues perturbed upon addition of  $\alpha$ B-c were mapped onto the Josephin domain (Protein Data Bank ID code 1YZB). Residues in intermediate exchange in the presence of  $\alpha$ B-c (Red), residues shielded from broadening by Gd (DTPA-BMA) in the presence of  $\alpha$ B-c (Yellow), and orange shows the overlapping residues. A and B show opposing faces of the Josephin structure. (C and D) The electrostatic surface of Josephin. Blue represents positive charge and red indicates negatively charged regions. C and D show opposing faces of the Josephin structure.



**Fig. 6.** A schematic model describing the effect of  $\alpha$ B-c on at3 aggregation. Multimeric  $\alpha$ B-c (a sHsp) transiently binds to at3 molecules in which Josephin is in an aggregation-prone conformation ( $J_{agg}$ ). The J:sHsp complex is unstable, and monomeric Josephin is released from the sHsp.

## Discussion

**sHsps Do Not Inhibit PolyQ Aggregation.** sHsps have a critical role in cellular homeostasis and can provide a barrier to the aggregation of partially unfolded proteins that are central to many neurodegenerative diseases. We investigated the effect of  $\alpha$ B-c on the aggregation of a polyQ-containing model protein, finding that  $\alpha$ B-c does not affect the formation of SDS-resistant polyQ fibrils when fibril formation is entirely polyQ-dependent (Fig. 1). Typically, sHsps (along with many other molecular chaperones) interact with exposed hydrophobic patches on target proteins. Glutamine is a hydrophilic amino acid; therefore, the surface hydrophobicity of polyQ would not increase during early aggregation stages. In addition, the polyQ region is thought to be in a condensed disordered state in the native ensemble (33, 34), therefore decreasing the accessibility of the side chains to sHsp interactions (35). In a manner akin to our findings with sHsps, molecular chaperones from other classes, e.g., Hsp70 and the chaperonin TRiC, which recognize surface hydrophobic patches of amyloidogenic intermediates, only weakly associate with polyQ proteins (28, 36). Therefore, the physicochemical properties of the polyQ tract may lead to its evasion by some chaperone protective mechanisms.

**$\alpha$ B-c Inhibits the Josephin-Dependent Stage of Ataxin-3 Aggregation.** Pathological polyQ tracts are located within specific host proteins, and properties of the host protein are known to modulate aggregation and cellular toxicity (37, 38). Although molecular chaperones do not alter the misfolding of pure polyQ, genetic screens in *Drosophila* and *Caenorhabditis elegans* have identified several chaperones that modulate toxicity induced by ataxin-3, ataxin-1, and Htt exon 1, proteins that contain well-structured domain(s) coupled to a polyQ repeat (9, 39). As a result, we hypothesize that non-polyQ aggregation mechanisms play a role in pathogenesis. A previous study from our group has described a two-stage aggregation mechanism for pathological variants of ataxin-3 (19). Our data show that  $\alpha$ B-c inhibits nucleation of the Josephin-dependent stage of ataxin-3 aggregation, subsequently having an indirect effect on the rate of poly(Q) intermolecular interactions. The chaperonin TRiC was recently shown to have a similar effect on the aggregation of Htt exon 1; TRiC suppresses aggregation by binding to the N-terminal domain adjacent to the polyQ tract (40).

$\alpha$ B-c had a more potent inhibitory effect on the aggregation of Josephin alone compared with at3(Q64). The Josephin aggregation stage is enhanced when this domain is part of at3(Q64), suggesting that the presence of the polyQ-containing C-terminal tail increases the rate of Josephin aggregation. The presence of the C-terminal polyQ tail leads to a slight decrease in the thermodynamic stability of Josephin (41), and we speculate that the tail alters the dynamic properties of the core domain enhancing the rate of conformational rearrangements on the aggregation pathway. The observation that  $\alpha$ B-c has a greater inhibitory effect on the aggregation of isolated Josephin can be rationalized by a previous study demonstrating that larger target proteins require more  $\alpha$ B-c (on a molar basis) for complete suppression of aggregation (42). The altered potency is also consistent with data showing that  $\alpha$ B-c has a preference for target proteins that aggregate more slowly (43).

For the full-length protein, the interaction of  $\alpha$ B-c with Josephin significantly slows the subsequent poly(Q)-dependent aggregation (Fig. 1 and Table 1). These data suggest that Josephin self-interactions lower the kinetic barrier to poly(Q)-dependent aggregation. The multidomain aggregation mechanisms described for ataxin-3 and Htt exon 1 suggest that a pool of small stage-one species can enhance the rate of polyQ-dependent aggregation by increasing the local polyQ concentration (19, 20). This suggestion is consistent with our data (Fig. S2B), which demonstrated that the formation of small Josephin fibrils is necessary for efficient formation of poly(Q)-dependent SDS-insoluble fibrils.

The significant effect of  $\alpha$ B-c on ataxin-3 aggregation is consistent with a *Drosophila* model in which overexpression of  $\alpha$ B-c decreased SCA3-induced toxicity (9), suggesting that inhibition of aggregation by chaperones is relevant in vivo. Another *Drosophila* study demonstrated that chaperone-induced retention of ataxin-3 in a monomeric conformation suppresses toxicity (44). Conversely,  $\alpha$ B-c overexpression had no effect on the HD phenotype (25). Htt exon 1 has been proposed to have a similar two-stage aggregation mechanism compared to ataxin-3 (20). The alternative effects of  $\alpha$ B-c in the ataxin-3 and Htt systems demonstrate how specific differences in multidomain misfolding pathways could lead to variable cellular responses.

**$\alpha$ B-c Transiently Interacts with Monomeric Josephin.** The aggregation data clearly show that  $\alpha$ B-c suppresses aggregation by associating with species on the Josephin aggregation pathway. Our SEC and NMR data show that inhibition mechanistically occurs by transient association of  $\alpha$ B-c with a defined region on the Josephin surface that is partially coincident with the ubiquitin and HHR23B interacting surfaces (45–47). Interestingly, the perturbed residues are not all hydrophobic in character, and it is possible that some surface polar residues appear perturbed in our NMR studies because their amide groups are neighboring interacting hydrophobic groups. Whereas hydrophobic interactions are clearly important for chaperone action, it is also being increasingly recognized that substrate specificity is not purely driven by hydrophobic contacts (48–50).

The SEC data show that the proteins are retained in a monomeric conformation in the presence of  $\alpha$ B-c (Fig. 4). These data suggest that the rate at which  $\alpha$ B-c interacts with Josephin is more rapid than the rate of misfolding. The NMR data indicate that this is a low affinity interaction; therefore, during this interaction, most of the Josephin is in a monomeric uncomplexed state. These data are consistent with a mechanism whereby  $\alpha$ B-c binds and sequesters the free pool of monomeric proteins, thereby preventing fibril growth (Fig. 6).

The interaction between  $\alpha$ B-c and monomeric Josephin, encompassing a large region of the target protein, is also observed, in the interaction of  $\alpha$ B-c with the fibril-forming proteins  $\alpha$ -synuclein (27) and  $\kappa$ -casein (6).

## Conclusions

Our data demonstrate that inhibition of a non-polyQ aggregation stage by a chaperone can significantly impede protein aggregation involved in polyQ diseases. These data support the two-stage model as a plausible scheme for ataxin-3 aggregation in vitro. Therapeutic up-regulation of chaperone activity may be beneficial in SCA3 and other polyQ diseases that have a multidomain aggregation mechanism.

## Experimental Procedures

**Preparation of Proteins.** SpAcQ52 and the ataxin-3 variants used in this study were expressed and purified as previously described (26, 51).  $\alpha$ B-c was expressed and purified as described in ref. 52.

**Protein Aggregation Assays.** Aggregation assays were performed for SpAcQ52 and ataxin-3 variants at a protein concentration of 20  $\mu$ M in Tris-buffered saline (TBS) (100 mM Tris, 80 mM NaCl, pH 7.4) containing 2 mM PMSEF, 5 mM EDTA, and 15 mM  $\beta$ -mercaptoethanol. Variable concentrations of  $\alpha$ B-c were added to the samples, ranging from 2 to 40  $\mu$ M. Samples were incubated at 37 °C.

**ThT Measurements, Membrane Filter-Trap Assay, and TEM.** ThT measurements, the membrane filter-trap assay, and TEM analysis were performed as described in refs. 19 and 26.

**SEC.** SEC of at3(Q64) and Josephin in the presence and absence of equimolar concentrations of  $\alpha$ B-c was performed on an Akta-

FPLC equipped with a Superose 6 10/300 GL column (Pharmacia). Prior to injection onto the column, samples were centrifuged for 5 min at 13,000 rpm to remove insoluble aggregated material. The absorbance at 214 nm was measured as proteins were eluted in TBS buffer at a constant flow rate of 0.5 mL/min.

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