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New Insights into the Role of Anabolic Interventions in Dialysis Patients with Protein Energy Wasting

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Abstract

Purpose of review—Patients on maintenance dialysis commonly develop protein-energy wasting (PEW), which is associated with poor survival. There have been several advances in anabolic interventions aimed at improving PEW in these patients in recent years.

Recent findings—Oral or parenteral nutritional supplementation, especially if administered during dialysis, improves net protein anabolism in chronic hemodialysis (CHD) patients. These beneficial effects have been extended to long-term benefits in recent clinical trials. Resistance exercise, alone or combined with intradialytic oral nutrition supplementation also improves net protein balance in the acute setting although recent studies indicated a limited beneficial effect of long-term exercise alone on muscle protein accretion in CHD patients. Anabolic agents such as growth hormone and androgens have been shown to exert significant benefits on visceral protein stores, muscle mass and strength. Ghrelin, a hormone with combined orexigenic and anti-inflammatory effects, is a potential new nutritional intervention in maintenance dialysis patients.

Summary—Existing anabolic therapeutic strategies have proven to be effective in improving PEW in maintenance dialysis patients. Combined anabolic interventions and several new and established anabolic hormones represent as further promising nutritional interventions. Large-scale randomized controlled trials examining the effects of anabolic interventions on mortality and morbidity are still lacking.

Keywords

Dialysis; protein-energy wasting; nutritional supplementation; exercise; anabolic agents

Introduction

Protein-energy wasting (PEW), a state of metabolic and nutritional derangements, is closely associated with high morbidity and mortality in chronic kidney disease (CKD) patients, especially in ones on maintenance dialysis. PEW is present in approximately 20–50% of the maintenance dialysis patients and can result from multiple etiologies, including insufficient dietary nutrient intake, excessive catabolism due to dialysis, metabolic acidosis, chronic inflammation and hormonal derangements (Figure 1) [1,2]**. Given the close association between the presence and severity of PEW and death risk in maintenance dialysis patients, it is obvious that prevention and treatment of PEW is of upmost importance. The multi-factorial origin of PEW renders this task equally challenging.

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Total body protein content is considered to be most physiologically relevant nutritional parameter and an important determinant of PEW. Accordingly, anabolic interventions refer to a cluster of approaches focusing on improving PEW through either enhancing protein synthesis or decreasing protein catabolism, or a combination thereof to maximize total body protein stores. In this review, we will discuss the rationale and efficacy of a variety of anabolic agents, nutritional supplementation, and exercise as anabolic interventions to enhance total body protein content for the maintenance dialysis patient with or at risk of PEW with a specific emphasis on recent advancements in this area.

Nutritional supplementation

Inadequate dietary nutrient intake, especially relative to the actual needs, is one of the harbingers of PEW in maintenance dialysis patients. The etiology of this relative deficiency is multifactorial and includes hemodialysis process per se affecting both whole-body and skeletal muscle protein homeostasis[3,4] and the increased metabolic needs during acute illness and stress conditions[5]. Recent studies indicate that oral or parenteral nutrition supplementation, especially during dialysis, could compensate for the relatively inadequate protein and energy intake.

In a series of metabolic studies, Pupim et al showed that intradialytic oral (IDON) and parenteral nutritional (IDPN) supplementation resulted in robust whole-body and skeletal muscle protein accretion rates[6]. A number of small scale studies have extended these encouraging results to more generalizable nutritional markers in the long-term, especially in the form of oral nutritional supplementation[7–13]. Caglar et al reported that IDON supplementation improved several nutritional parameters (including serum albumin and prealbumin concentrations as well as subjective global assessment) in a large group of maintenance hemodialysis (MHD) patients with PEW[7]. A significant aspect of this study was that nutritional supplementation was given during or around hemodialysis, which not only improved compliance to the treatment but also provided supplements at a time when catabolism is at its highest level in these patients. Kalantar-Zadeh et al reported in a controlled design study that in hypoalbuminemic MHD patients, a short-term (4 weeks) in-center IDON intervention was associated with a significant increase in serum albumin levels [14]. The supplementation consisted of one can of Nepro and one can of Oxepa administered during hemodialysis was also found to be practical, convenient and well-tolerated. A systematic review including 18 studies (5 randomized controlled trials [RCTs], 13 non-RCTs) suggested that enteral nutritional support (oral or tube) increased total (energy and protein) intake and increased serum albumin concentration on average by 0.23 g/dL, with no adverse effects on electrolyte status (serum phosphate and potassium)[15].

The results of a recent randomized clinical trial provided further insight to nutritional supplementation in MHD patients, albeit with certain inherent limitations. Cano *et al.* reported the results of the largest and arguably best executed nutritional intervention study in MHD patients with PEW. This study provided encouraging data on nutritional supplementation efforts in MHD patients with PEW. The investigators of the FINE study randomly assigned 186 MHD patients with PEW to receive one year of IDPN and oral nutritional supplementation or oral supplementation alone[16]***. After stratification by center, patients were randomized to receive IDPN and standard oral supplements providing 500 kcal/day and 25 g/day protein, or oral supplements alone for 1 year. The nutritional supplement goal was to bring patients intakes up to the recommended amounts of 30–35 kcal/kg/day and 1.2 g/kg/day, respectively. The primary outcome, two-year mortality, was similar in the two groups (39% in the control group and 43% in the IDPN group), suggesting that oral nutritional supplementation is equally effective as IDPN when oral intake is possible. Increases in serum prealbumin were associated

with decreases in two-year mortality and hospitalization rate, providing the first prospective evidence of a link between response to nutritional therapy and improved outcomes.

Despite the negative primary outcome of the study, there are several important observations of the FINEs that give much cause for optimism. First, as the investigators point out, the route of administration of nutritional supplementation (i.e. oral or combined oral-parenteral) does not have any significant effect on survival in MHD patients with PEW, assuming that equal and adequate amounts of protein and calories are provided. Similarly, the route of administration does not influence the improvements in most nutritional markers that are observed following supplementation. These findings are not unexpected; several reports have shown that intradialytic oral and parenteral nutritional supplementation improve whole body and skeletal muscle protein homeostasis to a comparable extent in the short term (Figure 2) [6].

Second, despite the lack of an appropriate control group, the results of the FINE study imply that nutritional supplementation does indeed improve nutritional markers in MHD patients with PEW if the targets for dietary protein and energy intake recommended by the National Kidney Foundation's Kidney Disease Outcomes Quality Initiative-KDOQI (>1.2 g/kg/day and >30 kcal/kg/day, respectively) are achieved. It is of note that the improvement in serum albumin reported by Cano *et al.* (~2 g/l) is highly consistent with that described in the majority of other published studies reporting the effectiveness of nutritional interventions [15]. These data also confirm the appropriateness of the KDOQI dietary protein and calorie intake guidelines[17].

Third, the results imply that nutritional interventions in general improve survival in MHD patients. This conclusion should, however, be applied with caution because the study did not include a no-intervention arm, and the nutritional improvements may just reflect a regression-to-the-mean phenomenon. Although this is a critical limitation of the study, the authors appropriately note that it would have been unethical to withhold nutritional therapy. One can, however, compare the overall 2-year mortality rate in the study (42%) with the published mortality rate obtained from European registry data, adjusted for at least one of the FINE study inclusion criteria (a serum albumin <35 g/l; 49%). This comparison indicates an approximately 15% improvement in overall mortality, a survival benefit that, if it is a treatment effect, is unmatched by any other proposed therapy for high-risk MDH patients to date. Finally, the results indicate that simple nutritional markers, such as serum prealbumin, can be used as surrogate markers not only of nutritional status but also possibly of hospitalization and survival.

Several additional caveats regarding oral or parenteral nutritional supplementation in maintenance dialysis patients include the fact that there is no study that has examined the dose, content or even the need for fat emulsions for maintenance dialysis patients with PEW, except a few small scale reports. The few published studies indicated no difference between olive oilbased fat emulsion vs. regular soybean oil-based emulsions on nutritional markers and plasma lipid, oxidative, inflammatory and immune parameters. Another important aspect of therapeutic nutritional supplementation that is usually overlooked, especially in the form of oral administration is compliance. Most, if not all of such studies are hampered by a noncompliance rate of a minimum of 25% and almost up to 40% in some cases, despite the advantages of research conditions[18]. The landmark FINE study reported a mean compliance rate of 60% for oral supplementation group and 75% for the IDPN group. It is important to note that despite inadequate compliance in FINEs, all subjects reached a dietary protein and calorie intake of >1.2 g/kg/day and >30 kcal/kg/day, respectively and displayed significant increases in serum albumin and prealbumin.

In terms of peritoneal dialysis (PD) patients, three metabolic studies have indicated beneficial effects of amino acid (AA) dialysate on net protein balance, similar to MHD patients[19–21]

*. Despite the obvious *acute* benefits of AA based dialysate solutions, long-term studies have not been as encouraging in PD patients with PEW[22]. While this might be simply related to study design issue such as inadequate sample size, imprecise methods used to assess nutritional status, inconsistent dietary monitoring[23], the consistency in lack of a benefit is somewhat discouraging.

Exercise

Numerous exercise regimens, including aerobic, resistance exercise or a combination of both have been suggested as nutritional interventions in ESRD population[24]. The rationale for such intervention is that exercise can induce significant physiological, functional, and psychological benefits without serious adverse events as has been shown in the healthy adults, elderly and those with frailty and/or chronic disease[25–27]. Since augmentation of skeletal muscle mass is considered to be an important aspect of nutritional management of ESRD patients, resistance training, compared to aerobic exercise, is the exercise modality of choice to improve physical performance and activity, at least theoretically[28–35].

In follow-up to earlier studies indicating type 1 and type 2 muscle fiber hypertrophy and increased peak torque of the leg extensors of the dominant leg in response to exercise in MHD patients, Johansen et al reported in a randomized clinical trial that 12 wk of moderate intensity lower body PRT can improve quadriceps muscle area measured by magnetic resonance imaging (MRI), although this was not detectable by dual energy X-ray absorptiometry (DEXA) [36]. Of note, these changes were accompanied by a significant increase body weight and fat mass. In another randomized controlled study[37], Cheema et al showed that 12-wk highintensity PRT administered during routine HD treatment did not improve skeletal muscle quantity measured by computed tomography (CT) scan, although there were statistically significant improvements in muscle attenuation (intramuscular lipid content via attenuation evaluated by CT), muscle strength and other anthropometric data. A further analysis of 24 weeks of intervention did not result in any additional benefit either [38]. Kopple et al studied the effects of different forms of exercise training (endurance, strength, or a combination where patients underwent about one-half each of the endurance and strength training of the first two groups) on mRNA levels of genes in muscle that may contribute to increased exercise capacity [39]. While there were no significant changes in lean body mass with any of the individual exercise regimens over 6 months, it was concluded that exercise training in hemodialysis patients induces changes in skeletal muscle mRNA and increases muscle insulin growth factor-1 (IGF-I) protein, which may promote protein anabolism.

Collectively, these studies indicate that the presumed beneficial effects of resistance exercise such as improvements in muscle quality and quantity, strength and physical functioning are not consistently observed in maintenance dialysis patients. Further research is necessary to both understand the observed lack of obvious benefits and strategies to improve the exercise regimens.

Anabolic agents

Patients on maintenance dialysis have low circulating levels of certain anabolic hormones (testosterone), resistance to other anabolic hormones (growth hormone and insulin-like growth factor I) and increased levels of some catabolic hormones (cortisol). These hormonal derangements, individually or collectively, contribute to the development or worsening of PEW. It is therefore reasonable to speculate that pharmacological doses of anabolic hormones could be of potential value in the treatment of PEW of maintenance dialysis patients.

Growth hormone—Abnormalities in the physiological axis of growth hormone (GH) and IGF-I have been long-established[40]. Growth hormone is the major promoter of growth in

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children and exerts anabolic actions even in adults, such as enhancement of protein synthesis, reduced protein degradation, increased fat mobilization, and increased gluconeogenesis, with IGF-1 being the major mediator of these actions[1]. A few controlled studies were performed on a small number of patients for short periods of time, showing the consistent results on reducing protein catabolism, increasing LBM and visceral protein concentrations (serum albumin and transferrin)[41–44]. Most recently, a Phase-2 randomized, double-blind, placebo-controlled, 26-week proof-of-concept clinical trial in 139 adult chronic HD patients showed that GH led to statistically significant gains in LBM as compared to placebo[45]***. Statistically significant beneficial changes in other cardiovascular biomarkers of mortality (transferrin, high density lipoprotein, homocysteine) as well as quality of life were observed. There was also a trend (p = 0.06) toward increased levels of serum albumin as compared to placebo. While this promising Phase 2 trial was followed by a large-scale two-year clinical trial (OPPORTUNITY Study) testing whether GH will produce improvements in mortality, it was prematurely terminated due to slow recruitment[46]***.

Anabolic steroids (testosterone and nandrolone decanoate)—As many as 50% to 70% of CKD stage-5 men have been reported to be hypogonadal on the basis of low concentrations of total and free testosterone[47]. These disorders often worsen even after initiation of maintenance dialysis treatment[48]. Testosterone abnormalities have recently been linked to disorders in bone composition[49] and endothelial dysfunction[50] in HD patients. A recent prospective observational study showed the significant inverse correlation between testosterone levels and all-cause and cardiovascular disease-related mortality in 126 chronic HD patients during a mean 41 follow-up months[51]**. Strong inverse independent correlations were also observed between testosterone and various inflammatory markers. These observational data encourage further research into the role of testosterone as a modifiable risk factor in CKD and create a rationale for randomized controlled trials with testosterone supplementation in this patient group. Despite positive results of increased muscle mass and strength in several other clinical populations, such as elderly[52], COPD[53], and patients with HIV wasting[54], there has not been a clinic trial showing the effect of testosterone on CKD patients.

Nandrolone decanoate (ND), a non-17 α -alkylated modified androgen analogue of testosterone, appears to be effective in increasing LBM and muscle strength in dialysis patients [55–58]. In a recent randomized controlled trial, Johansen et al assessed body composition, muscle strength and physical functioning while administering ND 100mg intramuscularly weekly for 6 months in 29 MHD patients[36]. ND induced a 4.5kg LBM gain and a fat loss of 2.4kg from baseline. It also demonstrated significant improvements with ND in quadriceps muscle CSA measured by MRI and physical functioning. More recently, a dose-finding study in 54 patients on HD or PD explored the efficacy and safety of low, medium or high doses of ND (50, 100 or 200 mg/week for 24 weeks, respectively, in males). The doses were halved in females[59]. The results indicated that ND increase appendicular LBM in a dose-responsive manner. It was also noted that in the majority of patients ND did not increase fluid retention in excess of that associated with protein accretion and suggested dosing of ND up to 200 mg/week in males and 50 mg/week in females should be investigated to improve body composition. On the other hand, the highest dose of ND (100 mg/week) was intolerable in females because of virilizing effects. Other potential side effects included voice change and hirsutism in women, abnormalities in prostatic markers in men, liver tests and lipid metabolism indicating that patients in future studies should be regularly followed.

Ghrelin—Ghrelin is a peptide that activates neurons of the arcuate nucleus of the hypothalamus, an area known to be important in the regulation of feeding. Ghrelin is also an endogenous ligand for the growth hormone secretagogue (GHS) receptor type 1a. Parenteral or oral administration of a ghrelin-mimetic GHS have been shown to restore levels of growth

hormone and IGF-I in older persons to levels seen in young adults[60]. Ghrelin provides further benefit in muscle wasting conditions via its appetite stimulating (orexigenic) and antiinflammatory effects[60,61]*. A recent RCT study by Nass et all showed that a particular ghrelin mimetic given over 2 years had significant anabolic effects age-associated changes in body composition with an excellent side-effect profile.

These distinct properties along with promising data in otherwise elderly population make ghrelin and ghrelin analogues part of an attractive therapeutic strategies for the treatment of PEW in chronic disease states, such as CKD. Indeed, in an experimental study, administration of ghrelin and two synthetic ghrelin-receptor agonists (BIM-28125 and BIM-28131) increased food intake, attenuated muscle protein degradation and decreased circulating inflammatory cytokines in nephrectomized animals[62]. There are also preliminary studies of subcutaneous ghrelin administration in dialysis patients. Wynne et al administered subcutaneous ghrelin and saline placebo in a randomized, double-blind, crossover protocol to nine PD patients with mild to moderate malnutrition. Administration of subcutaneous ghrelin significantly increased short-term food intake without any significant side effects[63]. Future clinical studies exploring the efficacy and feasibility of ghrelin or ghrelin mimetics are greatly needed in this high-risk patient population.

Ccombination anabolic interventions—A potential strategy to augment the anabolic effects of nutritional supplementation is concomitant exposure to resistance exercise around the time of administration of nutritional supplementation. Short-term studies in healthy subjects and MHD patients showed that post-exercise net muscle protein accretion is increased with oral nutrition supplement when compared to exercise or oral supplement alone [64–66]. A metabolic study by Pupim et al indicated an incremental beneficial effect of GH, IDPN and exercise in MHD patients, at least in the acute setting[67]. Johansen *et al* showed that exercise and ND induced an additive increase in muscle CSA, albeit a small extent [36]. The next logical step is to test the hypothesis that these acute changes would translate into long-term benefits in muscle mass and strength in maintenance dialysis. Such studies are currently underway.

Economic Implications of Nutritional interventions

It is also important to assess the impact of nutritional supplements not only in terms of changes in nutritional parameters, but to extrapolate these observations to potential improvements in hospitalization, mortality, and cost-effectiveness. In a recent study, Lacson et al showed that a hypothetical increase in serum albumin concentration in the order of 2 g/L in 50% of the United States dialysis population would be associated with projections of approximately 1400 lives saved, approximately 6000 hospitalizations averted, and approximately \$36 million in Medicare cost savings resulting from a reduction of approximately 20,000 hospital days over one year[68]. This is a reasonable estimation since 2 g/L increase in serum albumin is the average improvement reported in most nutritional intervention studies.

Conclusion

In summary, the available evidence suggests that the imbalance between protein synthesis and degradation in dialysis population can be compensated by various anabolic strategies. Nutritional supplementation, administered orally or parenterally, is effective in the treatment of PEW. Resistance or endurance exercise, while effective in the short term, seems to lack consistent evidence in improving LBM over long-term. Various anabolic agents are shown to increase visceral protein concentrations and muscle mass and strength simultaneously. Further larger scale randomized controlled trials of anabolic interventions, individually or combined should be performed in maintenance dialysis patients to assess their efficacy regarding quality of life, morbidity and mortality.

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Figure 1.

Schematic representation of the causes and manifestations of the protein–energy wasting syndrome in kidney disease. (Fouque D, Kalantar-Zadeh K, Kopple J, Cano N, *et al.* A proposed nomenclature and diagnostic criteria for protein-energy wasting in acute and chronic kidney disease. *Kidney Int* 2008; **73**: 391–398)

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Figure 2.

Forearm muscle protein homeostasis dynamic components during HD, comparing Control ($\overset{\bullet}{\bullet}$), IDPN ($\overset{\bullet}{\bullet}$), and PO ($\overset{\bullet}{\bullet}$) in eight CHD patients with deranged nutritional status. Skeletal muscle protein homeostasis during HD improved with both IDPN and PO versus control (P = 0.005 and 0.009 for IDPN versus control and PO versus control, respectively). PO resulted in persistent anabolic benefits in the post-HD phase for muscle protein metabolism, when anabolic benefits of IDPN dissipated (data not shown in figure). Units are ug/100ml/min. * denotes P< 0.05 versus Control. Adapted from reference ⁶ (Pupim LB, Majchrzak KM, Flakoll PJ, Ikizler TA. Intradialytic oral nutrition improves protein homeostasis in chronic hemodialysis patients with deranged nutritional status. *J Am Soc Nephrol* 2006; 17: 3149–3157) with permission.