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### Lymphangiogenesis and Lymphatic Metastasis in Breast Cancer

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#### Abstract

Lymphatic metastasis is the main prognostic factor for survival of patients with breast cancer and other epithelial malignancies. Mounting clinical and experimental data suggest that migration of tumor cells into the lymph nodes is greatly facilitated by lymphangiogenesis, a process that generates new lymphatic vessels from pre-existing lymphatics with the aid of circulating lymphatic endothelial progenitor cells. The key protein that induces lymphangiogenesis is vascular endothelial growth factor receptor-3 (VEGFR-3), which is activated by vascular endothelial growth factor-C and -D (VEGF-C and VEGF-D). These lymphangiogenic factors are commonly expressed in malignant, tumor-infiltrating and stromal cells, creating a favorable environment for generation of new lymphatic vessels. Clinical evidence demonstrates that increased lymphatic vessel density in and around tumors is associated with lymphatic metastasis and reduced patient survival. Recent evidence shows that breast cancers induce remodeling of the local lymphatic vessels and the regional lymphatic network in the sentinel and distal lymph nodes. These changes include an increase in number and diameter of tumor-draining lymphatic vessels. Consequently, lymph flow away from the tumor is increased, which significantly increases tumor cell metastasis to draining lymph nodes and may contribute to systemic spread. Collectively, recent advances in the biology of tumor-induced lymphangiogenesis suggest that chemical inhibitors of this process may be an attractive target for inhibiting tumor metastasis and cancer-related death. Nevertheless, this is a relatively new field of study and much remains to be established before the concept of tumor-induced lymphangiogenesis is accepted as a viable anti-metastatic target. This review summarizes the current concepts related to breast cancer lymphangiogenesis and lymphatic metastasis while highlighting controversies and unanswered questions.

#### Keywords

lymphangiogenesis; VEGF-C•VEGFR-3 axis; breast cancer; lymph nodes; lymphatic metastasis

#### 1. Introduction

Metastasis is the leading cause of mortality in patients diagnosed with breast cancer [1;2] and other solid tumors [3;4]. Frequently, the initial sites of metastasis are the regional lymph nodes [5;6]. Mounting clinical and experimental data suggest that migration of tumor cells into the lymph nodes is greatly facilitated by *lymphangiogenesis*, a process that generates

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new lymphatic vessels from pre-existing lymphatics [7;8] or lymphatic endothelial progenitors [9]. This process is dynamic during embryogenesis but is relatively rare in adulthood. The main protein that regulates lymphangiogenesis is vascular endothelial growth factor receptor-3 (VEGFR-3) [10], a tyrosine kinase receptor expressed primarily on lymphatic endothelial cells (LEC) [11]. The VEGFR-3 pathway is activated by binding vascular endothelial growth factor-C (VEGF-C) [10;12] or a related protein, VEGF-D [13-15]. These lymphangiogenic factors are commonly expressed in malignant [16-18], tumor infiltrating [19;20] and stromal cells [21], creating a favorable environment for generation of new lymphatic vessels [12;14;22]. Studies of clinical breast cancers [23;24] and experimental breast tumor models [12:25:26] have provided substantial evidence of increased densities of both intratumoral and peritumoral lymphatic vessels, and their associations with metastasis as well as reduced survival. Recently, enhanced lymph node lymphangiogenesis and lymph flow in tumor draining lymphatic vessels have also been reported to contribute to metastatic spread. Agents that neutralize VEGF-C and VEGF-D, or block VEGFR-3 signaling, reportedly suppress development of new lymphatic vessels, lymphatic hyperplasia, and tumor metastasis in experimental cancer models [27:28]. Importantly, lower VEGFR-3 expression correlates with fewer positive lymph nodes and longer patient survival [22].

Collectively, these data implicate lymphangiogenesis in promoting metastasis to lymph nodes that are likely reservoirs for further dissemination to distant organs, suggesting that targeting tumor-induced lymphangiogenesis would prevent or reduce cancer-related death. Nevertheless, this is a relatively new field of study and much remains to be established before this concept is accepted. The following review summarizes the established and recently emerged concepts related to breast cancer lymphangiogenesis and lymphatic metastasis while highlighting current controversies and unanswered questions.

## 2. Incidence and clinical significance of lymph node (LN) metastasis in breast cancer

## 2.1. Prevalence of lymphatic vessel invasion (LVI) and metastasis through lymphatic channels in breast cancer

Numerous reports suggest that lymphatic vessels facilitate metastasis by providing a portal for tumor cell dissemination [29;30]. Compared with blood vessels, a lymphatic vessel pathway offers many advantages for invasion and transport of pre-metastatic cells, such as: 1) discontinuous basement membrane and loose cell-cell junctions; 2) a much lower flow rate that increases survival by minimizing shear stress; and 3) a 1000-fold higher lymph concentration of hyaluronic acid, a molecule with potent cell-protecting and pro-survival properties [31]. The lymphatic system is naturally equipped to transport cells throughout the body while ensuring their survival and activity. Epithelial tumors, including breast [1;7], melanoma [32], prostate [33], and head and neck [34] cancers, take advantage of the cell-transport capabilities of the lymphatic system and preferentially disseminate through the lymphatic vessels rather than through the hematogenous route.

The preferential spreading through lymphatic vessels might stem from the high frequency of lymphatic vessel invasion (LVI) in breast cancer as compared with blood vessel invasion (BVI) (Table 1 and Table 2). Vascular invasion encompassing both types of vessels has long been recognized as a poor prognostic indicator [35–37]. However, early studies did not distinguish between lymphatic and blood vessels due to the absence of specific lymphatic markers. The emergence of specific lymphatic markers, such as LYVE-1 [38], Prox1 [39], and podoplanin/D2-40 [40;41] has enabled clear distinction between lymphatic and blood vessel invasion by tumor cells [42–44]. Using these markers in conjunction with double

immunostaining yielded extensive evidence for preferential invasion of the lymphatic, rather than blood vessels by breast carcinoma cells [42;44]. This is illustrated by several independent studies involving a substantial number of patients (Table 2). One of the largest studies conducted to date (N=1,408) showed the presence of LVI in 34.2% of all cases while BVI was detected in only 4.2% [2]. A separate study of 177 invasive breast carcinomas [36] detected lymphatic invasion in 96.4% of all specimens, whereas BVI was detected in only 3.5% [36]. A similar tendency of breast tumor cells to invade lymphatics has been shown in additional studies collectively involving more than 3,000 patients that demonstrated a 2–3fold higher frequency of LVI in comparison with BVI (Table 2).

LVI has also been shown to significantly correlate with sentinel [45–47], non-sentinel [45] and axillary LN metastasis [48] as well as with disease outcome[2;49]. Sentinel LN metastasis was detected in 51% of LVI-positive as compared with 30% of LVI-negative patients [46]. A separate study showed that peritumoral LVI (pLVI) is significantly associated with LN metastasis (p=0.004), being present nearly three-fold more frequently in node-positive than in node-negative patients (65% vs. 23%) [50]. This was also supported by an independent study of 1,258 patients that identified pLVI as a highly significant predictor of disease outcome [49]. A strong association between pLVI and axillary metastasis was shown in a large study (N=850) in which positive LN were detected in 51% of the LVI-positive group compared with 19% of the LVI-negative patients [51].

Some studies identified both LVI and BVI as poor prognostic markers [2], but the majority of studies identified only LVI as an indicator of short survival {1105, 3497, 3160, 329} (Table 2). This does not indicate that BVI and hematogenous metastasis do not play a significant role in mortality from breast cancer. Clearly, the main cause of death from cancer is not metastasis to lymph nodes *per se*, but distant metastasis that interferes with the function of vital organs such as lung, bone, brain, etc. Nevertheless, strong association of LVI with poor outcome in breast cancer suggests that local LVI eventually leads to BVI that might occur in lymph nodes, or contributes independently of local BVI to distant metastasis through the lymphatic vessel trafficking. Since in breast cancer LVI occurs more frequently than BVI (Table 2), the evidence for LVI has more prognostic power for the disease outcome than that of BVI. Collectively, these studies underscore the prevalence of LVI over BVI in breast cancer. These data also suggest that tumor-associated both pre-existing and newly-generated lymphatic vessels are the main means of transportation for metastatic cells to loco-regional nodes.

#### 2.2. Metastatic incidence to sentinel, axillary, and distant lymph nodes

In patients with clinically staged I and II breast tumors, lymph node status is one of the most important prognostic factors for survival independent of tumor size, histological grade and other clinicopathological parameters [53;54]. Until recently, complete axillary lymph node dissections were conducted routinely and were found to be positive in 30% of patients [55]. However, axillary lymph node dissection is associated with long-term morbidity and poor quality of life, manifesting in reduced shoulder mobility, sensory disturbance, and lymphedema [55]. A much less intrusive procedure, the sentinel lymph node (sLN) biopsy was first reported in 1993 to accurately predict spread of tumor cells to regional nodes [56]. The sentinel lymph node is the first node receiving lymphatic drainage from the tumor that might contain metastatic cells [56]. Patients with positive sLN undergo a complete axillary lymph node dissection, while sLN-negative patients can be spared the procedure. Overall, the sLN is positive in 20–35% of patients with early-stage breast cancer [55–59]. Metastasis to the sentinel lymph node can be defined as macrometastases (>2 mm), micrometastases (<2 mm), or as isolated tumor cells identified by immunostaining using epithelial cell markers [59]. Cytokeratin-based identification of tumor cells improves staging [60] and may prevent local recurrence by removing positive nodes undetectable by routine H&E analysis.

Dissemination of tumor cells from the sentinel to distal non-sentinel nodes, and then to axillary lymph nodes, occurs in 30–35% of patients with positive sLN [61;62]. Presence of macrometastases in the sLN is associated with a significantly higher risk for axillary node metastasis (49% vs. 11%) [61]. Tumor cells can also metastasize to intramammary lymph nodes, particularly in those having extensive axillary involvement and presence of LVI at the primary site [63]. As summarized in Table 3, metastasis to sLN [49], non-sentinel, intramammary [63] and axillary nodes [53;64;65] is strongly associated with poor disease-free survival (DFS) and shorter overall survival (OS). The size of the metastatic lesion in the lymph node [53;64;66] and the number of positive nodes [54;66] exacerbate the prognosis. Combined, these studies indicate that metastatic breast tumor cells preferentially use lymphatic channels to exit the primary site and that the sentinel LN metastasis is associated with spread to distal nodes and non-lymphoid organs, as manifested by significantly reduced DFS and OS rates.

#### 3. Clinical evidence for association of lymphangiogenesis and LN

#### metastasis in breast cancer

While clinical significance of lymphatic metastasis in breast cancer is well recognized, the means of tumor cell transportation to regional LN and relevance to distant metastasis is a matter of considerable debate. Central to this debate are the following questions: (1) Do clinical breast tumors induce lymphangiogenesis either intratumorally or in the peritumoral tissue? (2) How do tumor cells access lymphatic vessels? (3) Are tumor-induced new lymphatic vessels capable of transporting tumor cells? (4) Can lymphatic metastasis occur in the absence of lymphangiogenesis? (5) Do metastatic cells in LN contribute to distant spread? The answers to these questions are crucial to understanding whether tumor-induced lymphangiogenesis is a viable target for inhibition of distant metastasis that is, undoubtedly, the major cause of cancer-related mortality. The next chapter summarizes the current clinical evidence that provides insights to these questions.

#### 3.1. Do clinical breast tumors induce lymphangiogenesis?

To answer this question, multiple studies analyzed the three main parameters defining tumor lymphangiogenesis: (A) expression of lymphangiogenic factors, VEGF-C and VEGF-D; (B) the presence of dividing lymphatic endothelial cells identified by proliferative markers, Ki-67 or PCNA; and (C) increase in lymphatic vessel density (LVD) and invasion (LVI) at the tumor periphery or in the tumor *proper*.

3.1.1. Expression of the main lymphangiogenic mediators, VEGF-C and VEGF-

**D**—A number of studies correlated the expression of VEGF-C and VEGF-D with induction of lymphangiogenesis, lymphatic metastasis and disease outcome (Table 4). Elevated VEGF-C expression has been reported in 30–40% of breast cancers and has been shown to associate with high incidence of LVI, lymph node metastasis and a lower DFS [67–69]. Another study found positive VEGF-C breast tumor cells in 39% of specimens (n=98) with no expression in adjacent normal mammary glands [67]. Additional studies demonstrated VEGF-C expression in 48% of 113 [70] and 87% of 123 [71] breast tumors, respectively, and a significant correlation between tumor VEGF-C positivity, LVD, LN metastasis, DFS and OS [70;71]. In contrast, some studies detected VEGF-C in the majority of the examined tumors, but found no correlation with LVI or LN metastasis [72;73]. These discrepancies might suggest a role for other factors besides VEGF-C and VEGF-D in induction of lymphangiogenesis, or may relate to heterogeneity of analyzed patient populations.

Up-regulation of VEGF-D and correlation with LN metastasis was found in a smaller number of studies, although one study reported immunohistochemical VEGF-D detection in

as many as 81% of 105 breast cancer specimens [74]. Yet, other studies found no correlation of VEGF-D with metastasis or survival, or decreased VEGF-D expression in malignant as compared with normal breast tissue [72]. Studies of other tumor types also reported high expression of VEGF-D in normal tissues [75] and reduced detection in malignant tumors [75;76], suggesting that VEGF-C and VEGF-D play distinct physiological roles. It should also be mentioned that both factors are highly expressed in tumor-associated macrophages [19] suggesting that the tumor environment might be pro-lymphangiogenic regardless of VEGF-C/VEGF-D expression by neoplastic cells.

#### 3.1.2 Presence of dividing lymphatic endothelial cells in clinical breast cancer

-Detection of dividing lymphatic endothelial cells (LEC) became central to the current controversy on whether lymphangiogenesis occurs in breast cancer and whether lymphatic metastasis occurs through pre-existing or newly-formed lymphatic vessels [77-80]. This controversy exists because neither the mere presence of pro-lymphangiogenic factors nor frequent incidence of LN metastasis constitutes a proof of tumor-induced, actively ongoing formation of new lymphatic vessels. Resolving this question has been sought by double staining using antibodies to specific lymphatic markers (LYVE-1 or D2-40) combined with antibodies to proliferative markers such Ki-67 (MIB-1) or PCNA. This approach represents a significant improvement over previously employed histological evaluation (i.e., by H&E) to identify dividing LEC. However, most studies still use colorimetric stains, which are inferior to immunofluorescence in terms of discerning among individually stained structures. As a result, interpretation of overlapped lymphatic marker/Ki-67 positivity might depend on whether the positive cells are seen to be proliferating LEC, or dividing tumor cells that had invaded lymphatic vessels. Additional challenges in detection of proliferating LEC are: 1) a relatively low rate of vessel formation in well-established tumors; 2) a lower density and heterogeneity of tumor lymphatics compared with tumor blood vessels; and 3) variability in sprouting of new vessels at different points along the parental lymphatic vessel [81], with the latter being undetectable in two-dimensional evaluation. Moreover, the formation of new lymphatic vessels might not require endothelial mitotic division if they originate from circulating progenitors or non-endothelial cells via transdifferentiation [82].

Given these technical and biological limitations, it is not surprising that several studies failed to detect Ki-67 or PCNA markers on LYVE-1 or D2-40-labeled structures [77;78;80] (Table 5). The authors of these studies concluded that active lymphangiogenesis is absent in clinical human breast cancer, and lymphatic metastasis occurs either through undefined nonvascular routes or through invasion of pre-existing lymphatic vessels juxtaposed to the tumor-stroma border. These explanations are entirely possible as nothing prevents tumor cells to invade pre-existing lymphatic vessels if the new lymphatic vessels are not formed in a particular tumor environment. However, evidence from several research groups also supports tumor-induced lymphangiogenesis and shows its clinical relevance to lymphatic metastasis (Table 5). For instance, double Ki-67/podoplanin staining of a large panel (N=177) of invasive breast carcinomas determined that 29% of specimens displayed Ki-67 positive nuclei in 2.2% of intratumoral, peritumoral and peripheral lymphatics [23]. Frequency of positive nuclei was strongly associated with a high lymphatic density (P=0.001), LN metastasis and survival [23]. An independent study detected a similar fraction of proliferating LEC (LECP%) in peritumoral lymphatics and also identified LECP % as an independent prognostic factor for LN metastasis [83]. Studies that compared LECP % in inflammatory and non-inflammatory breast cancers found that the former have both a higher incidence of Ki-67 positive lymphatics (80% versus 50%) and an increased median LECP% [24;84]. Active lymphangiogenesis was also detected in positive sentinel LN [85;86] that displayed a significantly higher median LECP% (P<0.001) than uninvolved LN [86]. Moreover, high frequency of Ki-67-labeled lymphatics in positive sLN was strongly associated (P=0.01) with axillary metastasis [85], supporting the contention that tumor-

induced lymphangiogenesis promotes dissemination from both the primary tumor and secondary metastatic sites. Nevertheless, with the exception of very active lymphangiogenesis in inflammatory breast cancer [24;84], a relatively low fraction of dividing lymphatic endothelial cells (2–6%) and some discrepancy between high %LVI and low %LECP in other breast cancer types suggest that both new and existing lymphatic vessels partake in lymphatic metastasis.

#### 3.1.3 Clinical evidence of increase in lymphatic vessel density (LVD)-In

contrast to challenges mentioned earlier in the detection of dividing LEC, enumerating lymphatic vessels seemed initially a straightforward measure of lymphangiogenesis. It was expected that quantitative analysis of intratumoral, peritumoral and non-tumor lymphatic vessel densities (LVD) should settle the question of induction of breast cancer lymphangiogenesis, analogously to the previous establishment of intratumoral blood vessel density (BVD) as a reliable indicator of tumor-induced angiogenesis [87]. Technically, however, LVD quantification is much more challenging than BVD because of the natural heterogeneous distribution of lymphatic vessels. Additional complexity arises from the fact that, in contrast to blood vessels, lymphatic vessels support spread of metastatic cells, but not tumor cell proliferation and expansion of the tumor mass. Therefore, subtle increases in LVD might be missed in tumor sections set aside for immunohistochemical analysis, although they might suffice for tumor dissemination in a patient. As a result, findings and interpretations from the studies that focused on infrequently occurring intratumoral lymphatic vessels [79], or those that compared a heterogeneous LVD pattern to more orderly tumor blood vessel distribution [77], fueled the debate whether lymphangiogenesis exists in breast cancer [77-80].

The main evidence supporting the claim that lymphangiogenesis does not exit in tumors is detection of decreased LVD or absence of intratumoral lymphatic vessels (LV) compared with normal breast tissue [77–80]. The same studies, however, reported a significant increase (P=0.0001) in *peritumoral* LVD [78;80] with some lymphatic vessels (LV) containing tumor emboli [77]. Similar findings were also reported in an independent study (N=180) in which the intratumoral LV were detected in only 12% of the tumors, whereas the peritumoral LV were present in 94% [88]. The bias toward development of peritumoral lymphatics has also been noted in a study on 177 specimens of invasive breast cancer, in which intra- and peritumoral lymphatic vessels were detected in 41% and 100% of the samples, respectively [23]. However, a study comparing LVD in a highly metastatic inflammatory breast cancer (IBC, N=29) with invasive but non-IBC (N=59) tumors, found intratumoral lymphatic vessels in as many as 80-82% of samples in both tumor groups [24]. As shown in Table 6, there is a wide range of opinions with regard to a prognostic value of intratumoral LVD. However, a consensus seems to exist with regard to increased density of *peritumoral* lymphatic vessels (Table 6) that might be sufficient for tumor cell transit to LN even in the absence of intratumoral lymphatics.

#### 3.2. How do tumor cells access lymphatic vessels?

It has been proposed that tumor cells, in addition to passive invasion of lymphatic vessels, may use physiological attractants SDF-1 (also known as CXCL12) and CCL21 to migrate through the lymphatic channels toward regional lymph nodes. This hypothesis has been supported by several lines of evidence. First, significant number of breast cancers (30% to up to 70%) over-express CXCR4 and/or CCR7, which are the receptors for SDF-1 and CCL21, respectively [89–91]. Up-regulation of the expression of either CXCR4 or CCR7 strongly correlates with lymph node metastasis [89;92]. Second, SDF-1 is highly expressed in tissues that are often sites for breast cancer metastasis, including lymph node, lung, liver, and bone marrow, and the extracts from these tissues stimulates migration of breast

carcinoma cells [93]. Third, stimulation of either CXCR4 or CCR7 with respective ligands increases migration and invasiveness of cultured breast cancer cells [94–96]. Fourth, antagonists or neutralizing antibodies against these chemokine receptors significantly reduce both lymphatic and distant metastasis in breast cancer animal models [93]. Collectively, these studies suggest that CXCR4 or CCR7-positive breast tumors may exhibit enhanced lymphatic metastasis due to active chemo-attraction and directional migration toward ligand-overexpressing lymph nodes.

#### 3.3. Are tumor-induced new lymphatic vessels capable of transporting tumor cells?

3.3.1 Evidence for the ability of tumor-induced lymphatic vessels to transfer fluid and metastatic cells-Lymphatic metastasis was reported also to occur in the absence of intratumoral lymphatic vasculature [97;98]. It has also been shown in some experimental systems that intratumoral lymphatic vessels might be present but conduct no fluid whereas the only peritumoral lymphatics are functional [99;100]. This conclusion was further supported by an experimental study of B16-F10 melanoma over-expressing VEGF-C that demonstrated functionality in 40% of peritumoral but not intratumoral lymphatic vessels [101]. The peritumoral lymphatics incorporated a proliferative marker [101], indicating actively ongoing lymphangiogenesis rather than lymphatics existing prior to tumor implantation. This study [101] showed a tracer uptake by peritumoral lymphatics, but did not demonstrate actual lymph flow from the tumor to loco-regional nodes. In contrast, a separate study using a fluorescent dye injected proximally to B16-F10 melanoma tumors implanted into the foot of mice showed a 23-fold increase in lymph flow to the popliteal LN as compared with the nontumor bearing leg [102]. Studies in the T241 fibrosarcoma model over-expressing VEGF-C also showed an increase in volumetric lymph flow by 40%, coincident with a 200-fold increase in tumor cell dissemination and a 4-fold increase in LN metastasis [103]. Moreover, blocking VEGF-C reduced lymphatic hyperplasia, lymph flow, and lymph node metastasis [104].

A recent study of orthotopic MDA-MB-231 breast tumors showed that tumors not only enhanced lymph fluid flow but also transfer of metastatic cells [105]. Tumor cells labeled by red fluorescence were imaged in real-time during invasion of "green" lymphatic vessels visualized by FITC-dextran, then exiting the primary site through the lymphatics, clustering into clumps at the lymphatic vessel junction, entering the subcapsular sinuses of the inguinal lymph node and intruding the node parenchyma [105]. This work was the first to provide unambiguous fluorescent imagery demonstrating the utilization of lymphatic vessels by tumor cells for transit from the primary site to LN destination. It also highlighted specific mechanistic steps occurring during this transfer, and demonstrated the role of high interstitial pressure that might enhance the drainage rate and increase intravasation into the peritumoral lymphatics [105].

#### 3.3.2. Evidence for presence and functionality of lymphatic vessels

**associated with sentinel lymph nodes**—It was initially thought that the main factors driving LN metastasis are access of tumor cells to lymphatic vessels and the flow rate of lymph fluid in the draining vessels. Another significant factor is tumor-dependent induction of lymphangiogenesis and increased lymph flow in the sentinel and other draining lymph nodes [106]. This process, termed Lymph Node Lymphangiogenesis (LNL), has been observed in multiple animal models of tumor and inflammation, and is supported by clinical observations.

LNL was detected in the B16-F10 melanoma model, in which tumors implanted into the footpad of C57BL/J6 mice increased lymphangiogenesis in the tumor-draining popliteal lymph node by 9-fold (P<0.0001) compared with the non-tumor-draining lymph node [102].

Significantly, morphologic changes in LN lymphatics occurred prior to detection of metastasis suggesting that such changes might predict a metastatic risk. A nearly 15-fold increase in lymph flow in the tumor-bearing footpads was detected by fluorescent imaging, which recorded the arrival of quantum dots to the tumor-draining node within 2 minutes, as compared with 30 minutes to the contralateral node [102]. Similar findings were obtained in a model of nasopharyngeal carcinoma, which increased volume of the sentinel lymph nodes by 3-4-fold compared with contralateral lymph nodes [107]. Lymph node enlargement was accompanied by robust lymphangiogenesis manifested by both an increase in number of lymphatic sinuses and diameter of their lumens [107]. LNL was also shown in a mouse skin inflammation model that expresses VEGF-A in keratinocytes under the K14-promoter [108]. Compared with normal non-stimulated lymph nodes, the draining nodes from the chronically inflamed skin had increased weight (4.3-fold), cellularity (3.9-fold) and density of LYVE-1 positive vessels [108]. These studies showed that functionality of tumordraining lymphatic vessels is increased, rather than decreased, as has been previously suggested [109]. The enhanced drainage that results from both hyperplasia and increased lymphatic vessel density may increase transport of metastatic cells from the tumor periphery to sentinel nodes and beyond.

#### 3.4. Can lymphatic metastasis occur in the absence of lymphangiogenesis?

Although several studies suggested that LEC proliferation occurs in intratumoral and more frequently, in peritumoral vessels, the pre-existing lymphatic vessels may equally contribute to metastatic spread. In other words, lymphatic metastasis may not *exclusively* depend on generation of new vessels, although, undoubtedly, increase in LVD significantly increases a potential for a tumor cell to invade lymphatic vessel surface. This may account for strong statistical associations between LVI encompassing both pre-existing and new vessels and LN metastasis [37;51;110]. It is possible, however, that in some cases breast tumors fail to induce lymphangiogenesis and lymphatic metastasis occurs only through the pre-existing vessels [77]. Collectively, current reports suggest that new lymphatic vessels may provide an additional track for tumor cell transit, thus bolstering the pro-metastatic activity of the pre-existing lymphatic vessels situated at the tumor border.

#### 3.5. Do metastatic cells in LN contribute to distant spread?

Current controversies include the prognostic value of lymphangiogenic markers, the active status of lymphangiogenesis and the role of new lymphatic vessels in transportation of tumor cells to lymph nodes. However, the most crucial issue to resolve is whether metastatic cells stop their travel in lymph nodes or continue to disseminate throughout the body. This is because lymph node metastases by themselves are not life-threatening and could be removed surgically; it is only the distant metastasis to bone and visceral organs that causes death in patients of breast [44] and other solid tumors.

There are two opposing views on this subject. One school of thought is that metastasis to lymph nodes merely reflects tumor aggressiveness, with little to no contribution of nodal metastatic cells to spread to distant organs (Fig. 1, **pathway A** describing lymphatic-independent hematogenous model of metastasis). This proposal is mainly based on evidence from clinical trials that showed no survival benefits for patients undergoing lymphadenectomy (lymph node removal) [111]. The second argument supporting this notion is based on the concept that tumor dissemination is organ-specific and, therefore, metastatic cells adapted to lymphatic circulation and establishing lesions within the lymphatic system may not be able to develop metastasis elsewhere [112]. Opponents of this view maintain that, although primary tumors may disseminate independently from LN metastases, the latter greatly contribute to the spread by generating metastatic cells that may use either LN blood

vessels or efferent lymphatic vessels to colonize distal organs (Fig. 1, **pathway B** describing lymphatic-dependent sequential model of metastasis and Fig. 2, **panels 1–5**).

The issue of survival benefits from lymphadenectomy remains controversial with several caveats to be considered. First, the lack of therapeutic value of lymphadenectomy is mainly based on trials conducted in the years 1980–2000 [111], when breast cancer detection was delayed and patients were presented with more advanced disease. Second, node positivity in earlier studies was primarily determined histologically, a method that misses 20-50% of micrometastases and isolated tumor cells compared with cytokeratin immunostaining [113]. Indeed, re-examination of node specimens by anti-cytokeratin staining detected micrometastases in 20% more cases than previously determined by H&E and demonstrated differences in disease-free and overall survival in some of the groups with or without node dissection [60]. Third, recent clinical trials showed significant benefit for patients with small melanoma tumors who underwent lymphadenectomy immediately, thus disputing the previous conclusion [114]. Fourth, experimental assessment of this question in a melanoma model also showed significant survival benefit of sentinel lymphadenectomy for mice bearing small tumors [115]. The same study also found that cells from primary tumors and sLN have equal metastatic potential to colonize distant organs [115], thus arguing against the hypothesis that nodal metastatic cells are biologically restricted to their first destination site. Lastly, much of the recent information on tumor lymphatic biology directly contradicts the opinion that metastatic cells in the lymph nodes "venture no farther" [116]. Several recent lines of evidence support the active role of the lymphatic system in the distant spread of metastatic breast carcinoma cells. Table 3 presents a selective list of large clinical studies that demonstrated highly significant associations between LN metastasis and distant metastasis, and survival. Table 1, Table 2, Table 4 and Table 6 show correlation of poor outcome with additional lymphangiogenic parameters including VEGF-C expression [71], LVD [70;88], LVI [18;36;50] and the consequences of partial [117] or omitted [118] axillary dissection in sLN-positive patients.

Because clinical studies are correlative by nature, one can argue that all of the above indicates tumor aggressiveness rather than promotion of metastasis by the lymphatic system, although a perennial finding of pLVI as an independent prognostic factor for survival hardly fits this explanation. However, it is even more difficult to explain mounting data from experimental studies implicating lymphatic metastases as essential precursors for systemic dissemination. Ectopic expression of a single protein, VEGF-C [12] or VEGF-D [119], factors, which primarily affect lymphatic endothelial cells and not tumor cells [120], invariably increases not only lymph node metastasis but also lung metastasis [7;119]. Moreover, distant metastasis does not develop in mice lacking LN lesions [121], suggesting that nodal metastatic cells are pre-requisite for the formation of lung lesions. Defined molecular agents neutralizing VEGF-C/-D suppress both LN and lung metastasis [122-124], which is unexplainable if lung metastasis occurs independently of the lymphatic dissemination. Additional argument in the favor of a sequential manner of dissemination (Fig. 1, pathway B) is the observation that in many experimental systems LN metastasis precedes metastasis to visceral organs [25;98;120]. The role of the lymphatic system in distant metastasis is also supported by findings that tumor-induced LNL (section 3.2.2) increases lymphatic surface and lymph flow in both afferent and efferent vessels of the sLN [102], suggesting that tumor cell transit is accelerated not only toward the sLN but also toward distal nodes located along the lymphatic trunk. Arrival of metastatic cells to the first node as schematically illustrated in panel 4 of Fig. 2 further promotes remodeling and enlargement of the lymphatic system [121]. The new concept of LNL strongly suggests that both lymphatic and distant metastases are the combined result of tumor-inherent behavior and reactivity of the host immune system, of which the sLN is the first organ, to tumorderived stimuli. Remodeling and expansion of the tumor associated lymphatic system has

been documented not only before metastasis occurred [102;107;121], but even before the primary malignant tumors were fully formed in transgenic mice [98]. This recent information compels the conclusion that lymphangiogenesis plays an active role in distant dissemination, which is critically relevant to development of effective anti-metastatic strategies.

## 4. Experimental evidence supporting causality between lymphangiogenesis and LN metastasis

## 4.1 Induction of lymphangiogenesis and LN metastasis by forced VEGF-C/VEGF-D expression

The causality between tumor-induced lymphangiogenesis and lymph node metastasis has been demonstrated in several experimental models targeting lymphangiogenic factors and receptors through forced expression, RNA interference, antagonistic antibodies, soluble receptor traps, and multi-kinase inhibitors. One of the first reports in an orthotopic green fluorescent protein (GFP)-tagged MDA-MB-435 breast cancer model showed that overexpression of VEGF-C increased intratumoral lymphangiogenesis by 4.6-fold, which coincided with a 60% increase in incidence of LN metastasis [7]. Pulmonary metastatic lesions were up to 60% larger in mice with VEGF-C overexpressing tumors compared with control mice [7], suggesting that LN metastasis contributes to dissemination to visceral organs.

Similar findings were demonstrated in studies using the MCF-7 breast carcinoma line. The parental low-expressing VEGF-C line neither induced lymphangiogenesis nor generated spontaneous metastasis upon implantation in the mammary fat pad of immunodeficient mice [14]. In contrast, MCF-7 cells with ectopic VEGF-C expression developed tumors with extensive intra- and peritumoral hyperplastic lymphatic vessels [14]. Significantly, LN metastasis was detected in 70% of mice with VEGF-C overexpressing MCF-7 tumors as compared with 0% in mice implanted with parental MCF-7 line [12;14]. A VEGF-C-dependent increase in lymphangiogenesis and LN metastasis has also been demonstrated in other models of solid tumors including lung [27], prostate [125], melanoma [120;126], gastric carcinoma [127], fibrosarcoma [120] and colorectal cancer [128]. Most of these studies reported a correlation between LN and distant metastasis [27;120;125], favoring the hypothesis that lymph node metastasis is an intermediate step toward systemic dissemination.

Whereas experimentally-induced VEGF-C invariably increased lymphangiogenesis and metastasis, tumor lines with an endogenously high level of VEGF-C have been shown to preferentially undergo spontaneous lymphogenous metastasis as shown in models of breast [25;123;129], prostate [130] and gastric carcinomas [131]. Depletion of VEGF-C by stable shRNA in mouse breast carcinoma C166 and BJMC3879 models drastically reduced intratumoral lymphangiogenesis and inhibited LN and lung metastasis [123;124]. In contrast, suppression of VEGF-C in a PC3 prostate cancer model reduced intratumoral LVD by 3-fold but had no effect on peritumoral lymphatics or the incidence of LN metastasis [130], suggesting that tumor cells can also disseminate through pre-existing vessels whose maintenance does not depend on VEGF-C.

A role for VEGF-D in lymphatic metastasis has been shown in experimental models of lines overexpressing this factor including HEK293 [15], hepatocellular [132] and pancreatic [133] cancer cells. VEGF-D overexpression induced intratumoral or peritumoral lymphangiogenesis and lymphatic hyperplasia, which coincided with a significant increase in LN metastasis. A transgenic RipTag model that forms spontaneous VEGF-D overexpressing pancreatic  $\beta$ -cell tumors showed a significantly increased intratumoral and

peritumoral LVD accompanied by a 60–80% increase in LN and lung, but not liver, metastases compared with  $\beta$ -cell tumors that did not express VEGF-D [119]. The high incidence of pulmonary and lack of hepatic metastasis suggested that tumors metastasized first to the lymph nodes and subsequently colonized the lungs but did not spread hematogenously to colonize the liver.

#### 4.2 Role of VEGF-A in promotion of breast tumor-induced lymphangiogenesis

In addition to being a potent angiogenic factor [134;135], VEGF-A has recently emerged as a strong promoter of inflammatory [108;136;137] and tumor lymphangiogenesis [138–140]. This was first demonstrated by induction of new lymphatic vessels in the ear and peritoneal lining of nude mice infected with VEGF-A over-expressing adenovirus [136]. These neolymphatics resembled hyperplasic vessels found in lymphatic malformations, suggesting that elevated VEGF-A at malignant and chronically inflamed sites might contribute to pathological lymphangiogenesis. This hypothesis was subsequently supported by studies demonstrating induction of a strong lymphangiogenic response in the rat [141] and mouse [142] models of corneal injury, chronic inflammation [137] and VEGF-A-induced skin tumorigenesis [138]. Additionally, VEGF-A mediated lymphangiogenesis has been shown in T241 fibrosarcoma [140] and MDA-MB-435 breast carcinoma cells [26] that have been engineered to overexpress VEGF-A. In all tumor experimental models studied to date, induction of VEGF-A-dependent intratumoral [12] or peritumoral [140] lymphatic vessels correlated with lymphatic invasion [138], and lymph node and distant metastasis [26;138;140]. We recently demonstrated, using an orthotopic luciferase-tagged MDA-MB-231 tumor model, that neutralizing VEGF-A reduces the density of intratumoral lymphatic vessels by 80% [25] and inhibits LN and lung metastasis by 3.2-fold and 4.5-fold, respectively [25]. Inhibition of VEGF-A signaling by anti-VEGFR-2 antibody also suppressed new lymphatic vessels and tumor spread, although less efficiently than anti-VEGFR-3 antibody treatment [26]. These studies support the causality between tumor induction of lymphangiogenesis and both lymphatic and distant metastasis, thus suggesting that targeting VEGF-A may suppress both hematogenous and lymphatic metastasis.

#### 4.3 Potential role of other factors mediating lymphangiogenesis

Additional factors implicated in lymphangiogenesis include angiopoietins (Ang-1, Ang-2, Ang-3), fibroblast growth factor (FGF)-2, platelet-derived growth factor (PDGF), insulin growth factor-1 and -2 (IGF-1,-2), hepatocyte growth factor (HGF) and growth hormone (GH). Ang-1 and Ang-2, ligands for the tyrosine kinase receptor Tie-2 expressed by endothelial and stromal cells regulate both angiogenesis and lymphangiogenesis. In contrast to antagonistic effects of Ang-1 and Ang-2 on blood vessels, both factors are agonists for Tie-2 activation in cultured LEC [143] and lymphatic endothelium in vivo, as illustrated by Ang-1-dependent rescue of lymphatic defects in Ang-2 knockout mice [144]. Ang-1 and Ang-2 are elevated in plasma of breast cancer patients [145;146] and are indicators of lymphatic metastasis [147–149]. Lymphangiogenic effects of FGF-2 [150], PDGF, IGF-1 and -2 [151], as well as HGF [152], have been shown in a mouse corneal assay whereas the effect of GH was shown in a wound healing model [153]. FGF-2 and HGF-induced lymphangiogenesis correlated with increased VEGF-C expression and was inhibited by anti-VEGFR-3 blocking antibody [150:152]. In contrast, the effects of PDGF isoforms [154] and IGF-1 and IGF-2 factors [151] were not inhibited by soluble VEGFR-3, suggesting an independent mechanism. Although extensive circumstantial data link these factors to both vessel formation and metastasis [155-157], none was examined systematically with regard to breast cancer-induced lymphangiogenesis, lymphatic invasion and lymphatic metastasis. Currently, their roles in these processes are not fully established.

#### 4.4 Role of circulating LEC progenitors in tumor-induced lymphangiogenesis

Circulating stem progenitor endothelial cells have been shown to contribute to growth of blood vasculature during embryonic development, inflammation, tissue remodeling and malignancy [158–161]. In comparison, few studies have focused on LEC progenitors, particularly with regard to their contribution in tumor lymphangiogenesis. The cell types that potentially comprise adult LEC progenitors include CD34-positive hematopoietic stem cells (HSC) [9;162], CD14<sup>+</sup> monocytes [163] and CD11b<sup>+</sup> macrophages [82]. Contribution of HSC to lymphangiogenesis has been shown by bone marrow (BM) transplantation of GFPlabeled cells co-expressing VEGFR-3 and LYVE-1 that incorporated into 1-3% of lymphatic vessels in the liver, intestine, gastric tract, and the kidney [164]. GFP<sup>+</sup> labeled HSC injected into APC<sup>Min/+</sup> mice with spontaneous intestinal adenomas have been shown to incorporate into tumor lymphatic vessels [164]. Lymphatic vessel integration of LYVE-1positive, GFP<sup>+</sup>/CD34<sup>+</sup> cells has also been shown in a tumor T241 fibrosarcoma model and a model of inflammatory lymphangiogenesis induced by FGF-2 in the mouse cornea [162]. A corneal inflammation assay also showed incorporation of CD11b<sup>+</sup> macrophages to new lymphatic vessels [82]. A notably elegant study examining lymphangiogenesis in gendermismatched renal transplant patients undergoing rejection identified donor-derived Prox1<sup>+</sup> LEC progenitors incorporated into the lymphatic vessels [163]. This strongly supports an active pro-lymphangiogenic role of circulating progenitors in inflammatory lymphangiogenesis in humans.

In contrast to the aforementioned studies, transplantation of unpurified GFP<sup>+</sup> BM cells into mice bearing Lewis lung carcinoma showed extensive BM cell recruitment to the vicinity of tumor lymphatic vessels but did not show incorporation of progenitors into the vessels [165]. The discrepancy with other studies [162;164] could relate to the fact that total BM cell mixture, not purified CD34<sup>+</sup> hematopoietic cells, was used for transplantation, which diluted the number of transplanted LEC progenitors of CD34 lineage that comprise a relatively low (1–3%) fraction [164]. This topic is currently a subject of debate, and the extent and specific functions of LEC progenitors in breast tumor lymphangiogenesis are yet to be established.

#### 5. Cellular and molecular mechanisms of lymphangiogenesis

Although tumor lymphangiogenesis might be regulated in a distinct manner from physiologically driven lymphangiogenesis occurring during embryogenesis, or, in adults during wound healing, such differences are not readily apparent. The common pathway involved in embryonic, adult, physiological and pathological lymphangiogenesis is VEGFR-3. This conclusion is reached because antibodies blocking this pathway, through either receptor or ligand binding impairment, suppress the formation of new lymphatic vessels in all examined settings, regardless of the developmental stage or health status. We, therefore, will focus on regulation of the VEGFR-3 pathway as it is the main established contributor to development of new lymphatic vessels, including those generated in or around breast tumors.

#### 5.1 Regulation of expression of VEGF-3 ligands, VEGF-C and VEGF-D

VEGF-C and VEGF-D are secreted glycoproteins that induce proliferation, migration, and survival of LEC through activation of VEGFR-3 [10;166]. VEGF-C/-D undergo proteolytic processing to form 31/29kD immature isoforms that exclusively activate VEGFR-3 and 21kD mature isoforms that have increased affinity to VEGFR-3 and additional capacity to bind VEGFR-2 [167;168]. Both VEGF-C and VEGF-D are processed by the furin convertases, PC5 and PC7 [169;170], and the serine protease, plasmin [171]. VEGF-C [172] but not VEGF-D [173] is required for embryonic development of the lymphatic system

[172]. VEGF-C induced lymphatic vessel hyperplasia and lymphangiogenesis in embryonic and postnatal mice, whereas VEGF-D induced these effects only in postnatal mice [174]. Comparative frequency of VEGF-C and VEGF-D expression in normal and malignant tissues suggest that VEGF-C plays a more important role in tumor lymphangiogenesis. Taken together, these studies suggest that although VEGF-C and VEGF-D bind the same receptor, these two ligands might play distinct functions in maintenance of normal lymphatic vessels and inducing new ones.

## 5.2. Role of VEGFR-3 receptor pathway in LEC activation and induction of lymphangiogenesis

VEGFR-3 is a member of the VEGF-receptor family, a group of structurally related receptor tyrosine kinases comprised of seven immunoglobulin-like domains, a single transmembrane domain, and an interrupted kinase domain [175]. VEGFR-3 exists in two alternatively spliced isoforms, long (VEGFR-3L) and short (VEGFR-3S), differing by 65 amino acids in the C-terminus [176]. VEGFR-3L contains 16 tyrosine residues in the intracellular domain, six of which are located in the cytoplasmic tail [177]. Three of the six most distal phosphorylated tyrosine residues are absent in VEGFR-3S, suggesting the two isoforms have different signaling capabilities [178]. RT-PCR analysis of breast and prostate carcinomas showed that VEGFR-3S isoform is expressed at a higher level than VEGFR-3L and correlates with lymphatic metastasis [179;180]. This suggests that VEGFR-3S is the predominant splice variant in malignant cells; however, it remains unclear whether mRNA for the two isoforms correlates with protein expression.

The VEGFR-3 pathway follows canonical activation of tyrosine kinase receptors, including binding of VEGF-C/-D homodimers followed by receptor dimerization and phosphorylation of tyrosine residues in the kinase domains. The VEGFR-3 pathway has been partially elucidated using tryptic mapping and deletion mutants. Phosphorylation of VEGFR-3 activates Akt, JNK and Erk signaling pathways through interaction with adaptor proteins Shc and Grb2 [166;181]. VEGFR-3 signaling in LEC is also mediated through PKC-dependent activation of the p42/44 MAPK pathway [166]. Deletion of tyrosines Y1230/1231 and Y1337 decreased endothelial cell proliferation, migration and survival *in vitro* [178] while mutation of Y1337 drastically reduced phosphorylation of Shc protein [178]. Another means to regulate VEGFR-3 is through the formation of heterodimers with VEGFR-2 receptor [182]. This interaction may block phosphorylation of the two most distal tyrosines, thus inhibiting downstream VEGFR-3 signaling [183].

VEGFR-3 can also be regulated through its interactions with integrins that control endothelial cell adhesion to the extracellular matrix (ECM) necessary for cell survival [184]. Both fibronectin, the major ligand for  $\alpha_5\beta_1$  integrin, and VEGF-C156S, a specific activator of VEGFR-3, promote VEGFR- $3/\alpha_5\beta_1$  interactions leading to phosphorylation of PI3K and enhanced endothelial cell survival [185]. Likewise, ECM proteins enhance VEGF-Dinduced migration of LEC [186]. In a corneal inflammation assay, inhibition of  $\alpha_5$  integrin signaling by the inhibitor JSM6424 reduced lymphovascular area by 50% compared with control animals [187]. These studies suggest that  $\alpha_5\beta_1$  integrin contributes to VEGFR-3 signaling in inflammatory lymphangiogenesis, although the extent of integrins to tumorinduced lymphangiogenesis remains to be determined.

## 5.3. Promotion of lymphangiogenesis and LN metastasis by tumor-associated inflammation

Chronic inflammation is a hallmark of breast cancer [103;188] and has been repeatedly linked to increased tumorigenesis [189;190], angiogenesis [191;192], lymphangiogenesis [20;193] and metastatic progression [194–196]. Lymphangiogenesis, driven by chronically

inflamed tumor environment, substantially increases the area of lymphatic-tumor interface, thus increasing intravasation of tumor cells and their potential to reach regional lymph nodes. The tumor inflammatory environment is created mainly by infiltrates of immune cells [197;198] that may contain macrophages [18;19;141], dendritic cells [199], neutrophils [193] and mast cells [200]. The presence of infiltrates that secrete an array of pro-angiogenic factors[18;201] is associated with poor prognosis [202;203]. Additional sources of inflammatory mediators are tumor fibroblasts[193] and endothelial cells [204;205], as well as cytokine-overexpressing neoplastic cells. The inflammatory stimuli derived from these cells mediate their effects mainly through activation of the NF- $\kappa$ B pathway [206]. This key intracellular mediator of inflammation plays a paramount role in induction of lymphangiogenesis through several complementary mechanisms. First, NF-KB induces expression of lymphangiogenic factors VEGF-C [207] and VEGF-A [208]. Second, many genes transcribed by NF- $\kappa$ B (e.g., TNF- $\alpha$ , IL-1 $\beta$ , IL-6, IL-8, IL-7 and COX-2) increase lymphangiogenesis indirectly, by up regulating expression of VEGF-C or VEGF-D [21;209;210]. Third, NF-kB regulated enzymes, metalloproteinases, and uPA degrade extracellular matrix and proteolytically cleave VEGF-C and VEGF-D to create mature forms with higher affinity to VEGFR-3 and the new ability to activate VEGFR-2 receptor [171]. Lastly, NF-KB activates transcription of the VEGFR-2 receptor that binds VEGF-A as well as mature versions of VEGF-C/-D factors [196;211]. Our laboratory recently established that NF-kB also directly regulates VEGFR-3 expression, thus enhancing LEC responsiveness to VEGF-C in vivo [212]. Collectively, this evidence suggests that inflammation-induced activation of NF-kB facilitates metastasis not only by enhancing proliferation [213;214], migration [215;216], survival [217;218] and invasion [195;216]of the tumor cells but also through transcription of critical lymphangiogenic genes. The strategies to counteract the traits endowed by tumor-associated inflammation are likely to reduce node metastasis and improve patient survival.

## 6. Therapeutic opportunities for inhibiting lymphatic metastasis in breast cancer

Given strong associations among tumor-induced lymphangiogenesis, LVI, regional lymphatic spread, distant metastasis and survival (Table 1-Table 6), inhibition of tumor lymphatic vessels appears to be an attractive target. Based on the current understanding of mechanisms governing malignant lymphangiogenesis (Fig. 2, panels 1 and 2), the most logical targets for pharmacological inhibition are the main lymphangiogenic factors and receptors (VEGF-C, VEGF-D, VEGF-A, VEGFR-3 and VEGFR-2), lymphatic invasion ligand•receptor promoting pairs (e.g., SDF-1•CXCR4, CCL21•CCR7) and a variety of prolymphangiogenic ancillary proteins (e.g., Ang-2, neuropilin-2, inflammatory mediators). Inhibition of lymphangiogenic factors or receptors has been assessed in several experimental models that demonstrated a significant anti-metastatic efficacy when administrated in animals with recently implanted or small-sized tumors [26;120;219]. However, monotherapies with either anti-VEGFR-3 and anti-VEGFR-2 antibodies were significantly less efficacious in suppressing metastasis in mice with well-established tumors [26], which is an anticipated situation in clinics. This is probably because, at the time of diagnosis, lymphangiogenesis is already induced at both the primary site and the sentinel lymph node, pre-existing lymphatic vessels are activated, tumor lymph drainage is increased and micrometastases might be present at least in the sentinel node. It is, therefore, unlikely that depletion of lymphangiogenic mediators, even at earlier stages of the disease, would significantly affect the rate of lymphatic metastasis, although it is likely to reduce the incidence of subsequent distant metastasis. Likewise, bevacizumab monotherapy that targets the most potent tumor angiogenic factor, VEGF-A, is minimally effective in clinics [220] despite strong performance in experimental models [221;222]. In contrast, combination

therapies using bevacizumab and various chemotherapeutic drugs [223] are highly successful in both tumor models [129;224] and clinical studies [220;225;226]. This is mainly because VEGF-A [227;228], like VEGF-C [166;229] and VEGF-D [166;229], is a potent survival factor for endothelial cells that cannot recover from damage inflicted by simultaneously administered cytotoxic therapies. Analogously, a combination of chemo- and anti-lymphangiogenic therapies might be highly effective in eliminating metastatic cells while preventing the re-building of the damaged lymphatic vasculature. Agents that may block lymphangiogenesis include antibodies, fusion proteins, or shRNA, as well as orally-administered inhibitors that target tyrosine kinase receptors required for angiogenesis and lymphangiogenesis (Sunitinib, E7080, cediranib, Vadnetanib, PTK/ZK, and MAZ51) [229]. This approach is particularly appealing given the new concept of LEC division induced at both the tumor vicinity [23] and the draining nodes [85;86], which suggests susceptibility of tumor-associated lymphatic endothelium to commonly used anti-proliferative anti-cancer drugs.

#### Abbreviations

ALNM	axillary lymph node metastasis
BM	bone marrow
BVI	blood vascular invasion
DFS	disease-free survival
H&E	hematoxylin and eosin
IHC	immunohistochemistry
LEC	lymphatic endothelial cells
LN	lymph node(s)
LNL	lymph node lymphangiogenesis
LVD	lymphatic vessel density
LVI	lymphatic vessel invasion
MFP	mammary fat pad
OS	overall survival
sLN	sentinel lymph node
qRT-PCR	quantitative reverse transcriptase polymerase chain reaction
pLVI	peritumoral lymphatic vascular invasion
VEGF-A-C,-D	vascular endothelial growth factor-A, -C, -D
VEGFR-2, -3	vascular endothelial growth factor receptor-2, -3

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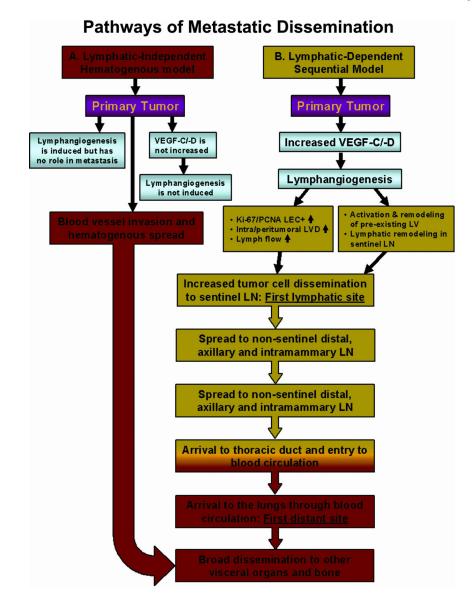
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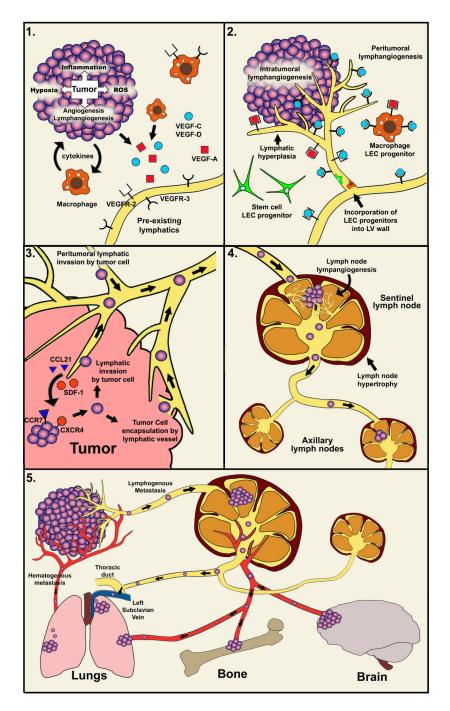


### Figure 1. Comparison of lymphatic-independent (hematogenous) and lymphatic-dependent (lymphogenous) pathways of metastatic dissemination

**A. Lymphatic-independent, hematogenous metastatic model.** This concept implies that lymphangiogenesis is either not induced or, if induced, new lymphatic vessels do not contribute to tumor dissemination. It is envisioned that the main disseminating pathway is through invasion of intratumoral blood vessels that deliver metastatic cells to distant organs such as lung, liver, bone, and brain. Based on this model, aggressive tumor cells may also invade pre-existing peritumoral lymphatics that transport tumor cells to loco-regional nodes; however, node-derived metastatic cells do not contribute to distant metastasis. **B.** 

**Lymphatic-dependent, sequential model of dissemination in breast cancer.** The second model suggests that breast tumors induce intratumoral or peritumoral lymphangiogenesis as well as remodeling of the lymphatic system in the sentinel and distal lymph nodes. This is manifested by increased number of lymphatic vessels, increased frequency of dividing lymphatic endothelial cells and increased lymph flow between the primary tumor and the sentinel node. These attributes promote tumor cell dissemination through tumor-associated lymphatic vessels to the sLN and spread to intramammary and axillary lymph nodes. Nodal

metastatic cells can use either lymphatic or blood vessels for subsequent dissemination. Transport through the lymphatic system ends in the entering the thoracic duct whose contents are subsequently mixed with the venous blood, giving rise to metastatic lesions in the lungs and other visceral organs. Two models are not mutually excluding and in some tumors, hematogenous and lymphogenous metastasis may occur simultaneously. Ran et al.



#### Figure 2. Mechanisms of lymphatic metastasis

**Panel 1:** Inflammation, hypoxia and reactive oxygen species (ROS) up-regulate expression of pro-lymphangiogenic factors VEGF-C, VEGF-D and VEGF-A produced by both tumor neoplastic and tumor-associated stromal cells (e.g. macrophages and fibroblasts). **Panel 2:** New lymphatic vessels are formed mainly in the peritumoral region but in some cases also intratumorally. The formation of new lymphatic vessels involves activation of VEGFR-3 by VEGF-C/-D and VEGFR-2 by VEGF-A as well as mature forms of VEGF-C/-D. Following, LEC proliferate, migrate and assemble into new vessels. The process may include incorporation of tumor-recruited circulating LEC progenitor cells. **Panel 3:** Tumor cells might actively invade intratumoral or peritumoral lymphatic vessels, or might be passively

encapsulated by lymphatic vessels. Both types of interactions promote LVI leading to lymphatic metastasis to the sentinel lymph node. The active metastasis includes chemotactic recruitment of tumor cells expressing CCR7 or CXCR4 receptors by corresponding chemokines (CCL21 and SDF-1) expressed by the local lymphatic system. **Panel 4:** Unknown tumor-secreted factors that may include, but not limited to VEGF-A and VEGF-C, elicit profound morphological changes in the sentinel LN culminating in lymph node lymphangiogenesis (LNL) and lymphatic hypertrophy. Dramatically increased lymph flow in and out of the sLN promotes both lymphatic metastasis and further dissemination to distant organs. **Panel 5:** The pathway to distant organs may occur through both blood and lymphatic vasculature. Following dissemination through lymphatic vessels to the sentinel LN, tumor cells may enter the blood circulation through nodal blood vessels, or proceed with the lymphatic flow and enter the venous circulation via the thoracic duct, both pathways leading to distant organ metastasis.

#### Table 1

Association of lymphatic vessel invasion (LVI) with lymphatic metastasis in breast cancer

# of Patients	Method of Analysis	Comments	P value <sup>a</sup>	Reference
4,351	Н&Е <sup><i>b</i></sup>	Sentinel LN <sup>b</sup> metastasis was strongly associated with peritumoral vascular invasion (pVI)	P<0.0001	[37]
2,606	H&E	LN metastasis significantly correlated with perivascular invasion encompassing both $LVI^b$ or $BVI^b$	P<0.0001	[110]
850	H&E	LVI detected in 51% of patients was the most significant predictor for axillary LN metastases	P<0.001	[51]
400	$IHC^b$	Patients with LVI were more likely to have sLN <sup>b</sup> metastases (51.3% of LVI-positive patients had positive sLN)	P<0.001	[46]
374	IHC	LVI was significantly associated with a higher risk for developing lymph node metastasis	P=0.004	[50]
254	H&E and IHC	In a multivariate analysis, LVI was the strongest predictor for ALNM <sup><math>b</math></sup> with an odds ratio of 3.489	P=0.0003	[41]
206	H&E	LVI was significantly associated with non-sentinel LN involvement (41% of LVI-positive patients had also positive LN versus 26% of LVI-negative patients)	P=0.021	[230]
194	H&E and IHC	Strong association was found between pLVI and non-sentinel LN metastasis (65% versus 37%)	P=0.001	[231]
177	IHC	LVI was strongly associated with LN metastasis, distant metastasis, decreased disease-free interval and overall survival	P<0.001	[36]
165	H&E	LVI in the primary tumor was the only factor significantly associated with metastases in the non-sentinel LN	P<0.01	[45]
123	IHC	LVI but not BVI significantly correlated with lymphatic metastasis	P=0.002	[42]
118	H&E	LVI was a strong predictor of axillary LN metastasis regardless of tumor size	P<0.0001	[232]
113	IHC	LVI correlated with LN metastasis, $LVD^b$ and VEGF-C <sup>b</sup>	P< 0.0001	[70]
98	IHC	LVI correlated with VEGF-C, which was expressed in ~40% of breast cancers but not in adjacent normal mammary glands	P=0.0004	[67]
95	H&E and IHC	Only peritumoral LVI, but not BVI, was associated with LN metastases. LVI exceeded BVI in both the number of invaded vessels and the size of the emboli. (P=0.004)	P=0.002	[44]

 $^{a}$ P value indicates association of intratumoral or peritumoral lymphatic vessel invasion with LN metastasis.

<sup>b</sup> Abbreviations: H&E, hematoxylin & eosin; LN, lymph node; LVI, lymphatic vascular invasion; BVI, blood vascular invasion; IHC, immunohistochemistry; sLN, sentinel lymph node; pLVI, peritumoral lymphatic vascular invasion; DFS, disease-free survival; OS, overall survival; ALNM, axillary lymph node metastasis; LEC, lymphatic endothelial cells; LVD, lymphatic vessel density; VEGF-C, vascular endothelial growth factor C.

# Table 2

Incidence of LVI and BVI in breast cancer and the impact on patient survival

# of	# of	% Inv	% Invasion <sup>d</sup>	Commonte	-	Deference
Study	Patients	<b>IVI</b>	$BVI^{b}$		r value	
1	1,408	34.2	4.2	LVI and BVI were both indicators of poor survival	P=0.001	[2]
Т	1,408	34.2	4.2	Multivariate analysis showed that LVI is a strong prognostic indicator of significantly increased risk of death in both $LN^b$ positive and negative patients whereas BVI has no prognostic value	P=0.001	[2]
7	1,258	27.6	$ND^{q}$	LVI was a highly significant independent predictor of disease outcome	P<0.0001	[49]
б	374	28.0	Ŋ	LVI presented as an independent prognostic parameter for DFS <sup>b</sup> as well as $OS^b$	P=0.001	[50]
4	303	27.0	ND	Multivariate analysis revealed that LVI was the only significant predictor of distal recurrence. LVI was significantly associated with shorter overall survival	P=0.009	{3497}
5	177	96.4	3.5	LVI was strongly associated with LN metastasis, distant metastasis, decreased DFS and OS	P<0.001	[36]
9	123	28.5	15.4	LVI but not BVI positively correlated with tumor cell Ki67 score and other markers associated with poor prognosis	Not assessed <sup>e</sup>	[42]
٢	95	69.5	37.9	When LVI and BVI were both present, 80% of the invaded vessels were of lymphatic origin	Not assessed	[44]

% Invasion is presented as a fraction of cases in which tumor cells were immunohistochemically in the lumen of lymphatic or blood vessels.

<sup>b</sup> Abbreviations: LN, lymph node; LVI, lymphatic vascular invasion; BVI, blood vascular invasion; DFS, disease-free survival; OS, overall survival.

<sup>c</sup>P values for association of LVI disease-free survival (DFS) and overall survival (OS).

<sup>d</sup>ND, not determined.

 $e^{}$  Association of LVI or BVI with patient survival was not assessed in this study.

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# Table 3

Association of lymphatic metastasis with distant metastasis and survival

			<b>Correlation with survival</b>	ith survival	
# of Patients	Time of observation	Comments	Disease free (DFS) <sup>a</sup>	Overall (OS) <sup><i>a</i></sup>	Reference
$N/A^{b}$	N/A	Distant metastasis did not occur in the absence of lymph node metastasis in an experimental model	N/A	N/A	[121]
62,557	10 years	Ten-year DFS and OS were significantly lower in LN positive patients compared to those with pN0 (82.3% versus 91.9% and OS 68.1% versus 75.7%)	P<0.001	P<0.001	[65]
6,959	>10 years	Multivariate analysis adjusted for patient-, histopathologic-, and loco-regional therapeutic variables showed that LN positive patients had a significantly higher risk of death relative to negative cases	Not assessed	P<0.01	[53]
1,258	12 years	Both lymph node status and the presence of LVI were highly significant independent predictors of outcome	Not assessed	P<0.0001	[49]
1,126	9 years	Axillary metastasis developed in 18% of patients and significantly correlated with survival	P = 0.02	P = 0.01	[118]
939	>5 years	Nodal involvement and % positive nodes were the major factors for both OS and DFS with % positive nodes the most significant prognostic factor for survival	P<0.001	P<0.001	[233]
813	5 years	Node-negative patients survived 42 months after relapse compared with 20 months for patients with 1–3 nodes and 13 months for those having > 4 positive nodes	P<0.0001	P<0.0001	[66]
813	>75 years	62% of 813 patients had positive sLN. There was a significant difference in DFS and OS between patients who had 0–4 non-sentinel LN+ and those who had >5 non-sentinel LN+ suggesting that once the tumor passes sLN, it is much likely to spread systemically	P = 0.001	P = 0.003	[58]
453	6–65 months	A 10 year survival rate for patients with less than 14 nodes removed was 79% compared with 89% for patients with more than 14 nodes removed	P<0.0001	P=0.005	[117]
157 <sup>c</sup> *	9 years	Omission of axillary dissection occurred in 157 cases and correlated with reductions in OS, DFS, and breast cancer-specific survival in all patients	P<0.001	P<0.001	[118]
152	75 months	73% of node-negative patients were free of distant metastasis 5 years after diagnosis versus 48% of node- positive patients	P=0.01	P=0.03	[234]
130	5 years	Patients with intramammary metastases had poorer 5- year rates of DFS, disease-specific (66% vs. 90%; $P = 0.001$ ), and OS	P = 0.001	P = 0.004	[63]
122	>5 years	The size of the largest LN was associated with worse outcome in both univariate and multivariate analysis	P < .0001	P < .0001	[64]

# of atients	# of Time of atients observation (	Comments	Disease free Overall $(DFS)^{d}$ $(OS)^{d}$ Reference	Overall (OS) <sup>a</sup>	Reference
118	118 10 years	91% of node-negative patients were free of distant metastasis versus 77% of node-positive patients	P = 0.001	Not assessed	[232]

 $^{\alpha}\mathrm{P}$  values for disease-free survival (DFS) and overall survival (OS).

 $b_{
m N/A}$ , not applicable.

<sup>c</sup>157 patients from this study [118] who did not undergo axillary dissection were analyzed separately for survival. The effect of axillary dissection omission on survival is reported.

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#### Table 4

#### Correlation of VEGF-C and VEGF-D with lymphatic metastasis

# of	Method of	Comments	P value <sup>a</sup>	Reference
Patients	Analysis	Comments	1 value	
177	IHC <sup>b</sup>	VEGF-C <sup>b</sup> was highly expressed in 37% of specimens. VEGF-C expression was associated with a higher LVD <sup>b</sup> (P=0.014), lymph node and distant metastasis (P<0.001 and P=0.008, respectively) and a shorter OS <sup>b</sup> (P= 0.028)	P<0.001	[68]
123	IHC	VEGF-C was detected in 87.3% of specimens. High VEGF-C was associated with poor DFS <sup><math>b</math></sup> and OS (P=0.0165 & P=0.0175)	P=0.0131	[71]
121	IHC	VEGF-D correlated with the presence of intralymphatic tumor cells		[80]
113	qRT-PCR <sup>b</sup>	VEGF-C mRNA level also correlated with LVD (P=0.0409)	P=0.0074	[70]
105	IHC	VEGF-D <sup><math>b</math></sup> expression was identified in 86 cases (81.9% of all cases) and highly correlated with LN metastasis	0.0238	[74]
98	IHC	No correlations were found between VEGF-C or VEGF-D expression and tumor size, grade, estrogen receptor status, axillary lymph node metastases and other parameters	NS	[235]
80	IHC	VEGF-C was also significantly associated with higher peritumoral LVD, lymphatic invasion and number of positive nodes in patients with micropapillary breast carcinoma	P=0.003	[236]
70	IHC	Both VEGF-C and COX-2 correlated with LN metastasis, LVD, $LVI^b$ as well as with worse DFS and OS	P=0.01	[237]
51	IHC	There was no significant correlation between VEGF-C and LN status, LVI, tumor size, grade or other parameters	NS	[73]
33	RT-PCR	VEGF-C mRNA expression and LVI or lymph node metastasis	NS	[72]
29	qRT-PCR & IHC	Intratumoral VEGF-C and VEGF-D expression by quantitative RT-PCR correlated with D2-40 <sup>c</sup> but not with CD31 <sup>c</sup> microvessel density	P=0.0414	[238]

 $^{a}\mathrm{P}$  value indicates association of VEGF-C or -D expression with LN metastasis.

<sup>b</sup>Abbreviations: IHC, immunohistochemistry; VEGF-C and VEGF-D, vascular endothelial growth factor C or D; OS, overall survival; DFS, disease-free survival; LVD, lymphatic vessel density; qRT-PCR, quantitative reverse-transcriptase polymerase chain reaction; LVI, lymphatic vascular invasion.

<sup>c</sup>D2-40 and CD31 are markers of lymphatic and blood vascular endothelial cells, respectively.

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#### Table 5

Correlation of lymphatic endothelial cell proliferation and lymphatic metastasis

# of Patients	Method of Analysis	Comments	P value <sup>a</sup>	Reference
177	Double IHC, D2-40/Ki-67	Proliferating lymphatics were detected in 29% of specimens and were significantly associated with inflammatory infiltrate	Not assessed	[23]
123	IHC	Higher incidence of LVI was associated with increased LEC proliferation and correlated to the presence of micrometastases	P=0.002	[42]
121	Double IHC, podoplanin & Ki-67	No correlation between intratumoral lymphatic vessel density inside the lymph node metastases and patient survival	NS	[80]
110	Double IHC, D2-40/Ki-67	Median intra- and perinodal lymphatic endothelial cell proliferation fractions were higher in metastatic LN	P<0.001	[86]
75	Double IHC, LYVE-1/Ki-67	None of the breast carcinomas displayed dividing lymphatic endothelial cells, but a fraction of the peritumoral lymphatics contained tumor emboli	NS	[77]
65	Double IHC, D2-40/Ki-67	$\mathrm{LECP}\%^b$ correlated with a positive non-sentinel LN status	P = 0.01	[85]
56	Double IHC, D2-40/Ki-67	The degree of lymphatic endothelial cell proliferation was predictive of LN metastasis. Inflammatory breast cancer specimens displayed significantly higher LECP% than non-inflammatory breast tumors (5.74% vs. 1.83%, P=0.005)	P = 0.01	[24]
32	Double IHC, LYVE-1/Ki-67	Inflammatory breast cancers contained significantly higher LECP% than non-inflammatory specimens ( $P = 0.033$ )	Not assessed	[84]

 $^{a}$ P value indicates significant association of a fraction of lymphatic endothelial cells undergoing division with lymphatic metastasis.

<sup>b</sup>LECP%, lymphatic endothelial cell proliferation fraction or percent of total LEC identified by specific lymphatic endothelial cell markers.

#### Table 6

Association of lymphatic vessel density (LVD) with lymphatic metastasis in breast cancer

# of Patients	Method of Analysis	Comments	P value <sup>b</sup>	Reference
180	IHC <sup>a</sup>	Higher than median LVD <sup><i>a</i></sup> was significantly associated with unfavorable prognosis	P=0.033	[88]
177	IHC	Tumors with higher total LVD were significantly associated with LN metastasis and shorter OS $^a$	P<0.001	[23]
121	IHC	Intratumoral lymphatic vessels were present in ~10% of all patients and did not correlate with LN metastasis. Peritumoral lymphatic vessel density was not assessed	NS	[80]
113	IHC	LVD also strongly correlated with poor DFS $^{a}$ and OS (P=0.0033 & P=0.0391)	P<0.0001	[70]
87	IHC	D2-40-stained intratumoral lymph vessels were present in 80% of non-inflammatory and 82.8% of inflammatory breast cancers specimens	P=0.001	[24]
80	IHC	Higher LVD correlated with the number of positive LN in patients with invasive micropapillary breast carcinoma	P=0.045	[236]
75	IHC	Lymphatic vessel density was unrelated to LN status	NS	[77]
61	IHC	LVD was higher in breast carcinoma than in benign mammary lesions and significantly correlated with higher expression of VEGF-C and VEGF-D, P<0.01	P<0.01	[69]
61	IHC	Intratumoral lymphatic vessels were reduced or absent in tumors compared with normal breast tissue. Peritumoral LVD was not assessed	N/A	[79]
55	IHC	There was an increase in peritumoral LVD as compared with normal breast) but a decrease in intratumoral LVD (both $P = 0.0001$ )	P = 0.0001	[78]
29	qRT-PCR	LVD also correlated with VEGF-C and VEGF-D expression measured by qRT-PCR (P=0.0291)	P=0.0558	[238]

<sup>a</sup>Abbreviations: IHC, immunohistochemistry; LVD, lymphatic vessel density; OS, overall survival; DFS, disease-free survival; other abbreviations are given under Table 1.

<sup>b</sup>P value indicates association of intratumoral or peritumoral LVD with LN metastasis.