

## Haemoglobin-based oxygen carriers: research and reality towards an alternative to blood transfusions

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Blood transfusion is the key life-saving treatment in many traumatic emergencies, chronic or acute pathologies, and during or upon surgical interventions. The primary goal of blood transfusion is the fast recovery of oxygen delivery to organs, especially the brain. Furthermore, circulation volume is restored, thus maintaining the blood pressure at levels that guarantee an efficient blood flux. Whereas this secondary need can be fulfilled to some extent by blood expanders, such as colloid- and crystalloid-based solutions, up to now no approved alternative to red cells is available for oxygen supply. The main transfusion active principle, red blood cells, is derived from the voluntary action of blood donors. Transfusions can be and are considered a very safe and effective oxygen-based therapy, provided that detailed guidelines are followed<sup>1</sup>. This evaluation has been somewhat challenged in recent years<sup>2-5</sup>. Presently, clinical studies are underway to provide a more solid ground to the safety and efficacy of blood transfusions (examples available at: <http://clinicaltrials.gov/ct2/show/NCT01038557> and <http://clinicaltrialsfeeds.org/clinical-trials/show/NCT00991341>). Indeed, transfusions are exposed to a series of limitations, listed in table I, that can lead to

potential health risks. For example, in stored blood the intracellular levels of the haemoglobin allosteric effector 2-3 bisphosphoglycerate (2,3-BPG), that maintains the p50 (the oxygen pressure at 50% saturation) *in vivo* at 26 torr, decreases in one week from 5 mM to negligible concentrations, resulting in a drop of the p50 to about 12 torr<sup>3</sup>. The concentration of potassium ions in red cells increases from 4 mM in fresh blood to about 20 mM after three weeks of aging<sup>3</sup>. In the same time range, lactate concentration increases from about 1 to 15 mM, and solfohaemoglobin (HbSO<sub>2</sub>) from 30% to almost 100%. The intracellular pH of red cells drops from 7.4 to 6.9 in a few hours and to a value of 6.7 in three weeks<sup>3</sup>. Furthermore, free haemoglobin, i.e. haemoglobin released due to red cell haemolysis, increases from 5 μM at 3 hours after blood withdrawal to about 20 μM after three weeks<sup>3</sup>. Free haemoglobin scavenges nitric oxide (NO), the molecule that controls vessel tone, and undergoes oxidation, leading to pro-oxidative radical-based reactions<sup>6</sup>. Studies on blood units aging have also pointed to adverse effects associated to the decreased capability of red cell haemoglobin to supply nitric oxide<sup>4</sup>. However, this is a controversial issue<sup>7,8</sup>.

**Table I** - Existing and emerging issues related to blood transfusions

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- Blood transfusion usually requires a careful cross-matching between donor and acceptor.
  - The number of healthy blood donors is limited, is decreasing in Western countries and in large part of the world is negligible.
  - Blood units need is increasing in Western countries due to population aging.
  - Pandemic events could cause unexpected, sudden drops in healthy donors availability.
  - Blood units have presently a reduced viable time-window of 42 days.
  - Blood units might be contaminated by infective agents, requiring expensive analyses to be detected.
  - Blood units are not always, and not everywhere, promptly available in emergency traumatic events, such as car accidents and battle fields.
  - Transfusion-induced pathologies have been reported in special, critical situations, such as pre-term newborns, that are treated with adult blood causing chronic lung diseases (CLD), possibly due to oxidative injury, and the retinopathy of prematurity (ROP), due to the excessive growth of vessels in the eye.
  - Blood unit aging can significantly affect transfusion efficacy and even lead to adverse effects.
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There are several clinical needs that cannot be satisfied by blood transfusions, some of them listed in table II. For example, in Italy blood donations are between about 30 and 60 units per year per 1000 inhabitants, with an average of 42 units (2008 data from Italian Blood Center, National Health Institute). Consumption varies from more than 60 units per 1000 inhabitants in Sardinia to less than 30 units in Campania, with an average of 41.7 units. This leads to a delicate balance, exposed to potential crises due to pandemic events that exclude from donation significant fractions of blood donors. About 6000 Italian patients, affected by genetic-derived haemoglobinopathies, are blood transfusion-dependent and require about 200,000 units per year. As the population ages, less blood donors are available and more blood units are needed. Moreover, about 5% (140,000 units) of the total collected blood units (2,400,000) are discarded for technical and safety issues, and due to expiration. The latter counts for about 53,000 units (2005 data from Italian Blood Center). The precious haemoglobin contained in the red cells should not be wasted but "recycled".

The development of safe alternatives to blood transfusions is a great challenge that so far has not been met by researchers. Over the years, four distinct approaches have been proposed to generate alternatives to transfusions:

- i) cell-free haemoglobin-based oxygen carriers obtained via either chemical or genetic modifications of haemoglobin<sup>9-13</sup>;
- ii) artificial red cells based on either liposomes entrapping native or modified haemoglobin or nanocapsules adsorbing haemoglobin<sup>9-13</sup>;
- iii) fluorocarbon-based solutions to dissolve oxygen<sup>9-13</sup>;

**Table II** - Clinical needs unmet by blood transfusions

- Treatment of severe haemorrhages in places far from hospitals.
- Supply of oxygen to ischemic tissues where red cells cannot penetrate.
- Treatment of patients that are immunoreactive to all blood types.
- Treatment of patients that refuse blood transfusions on a religious basis, such as Jehovah's witnesses.
- Treatment of pre-term newborns.
- Lack of blood donors and blood banks in countries of the Third World and even Eastern Europe.
- Prolonged shelf-life.
- Storage at room temperature and easy reconstitution for immediate use without cross-matching.

- iv) enzyme-modified, polyethylene glycol-conjugated and antibody-reacted human red cells to remove or to mask cell type determinants, creating a universal red cell<sup>14-17</sup> and red cells derived from multipotent stem cells<sup>18,19</sup>.

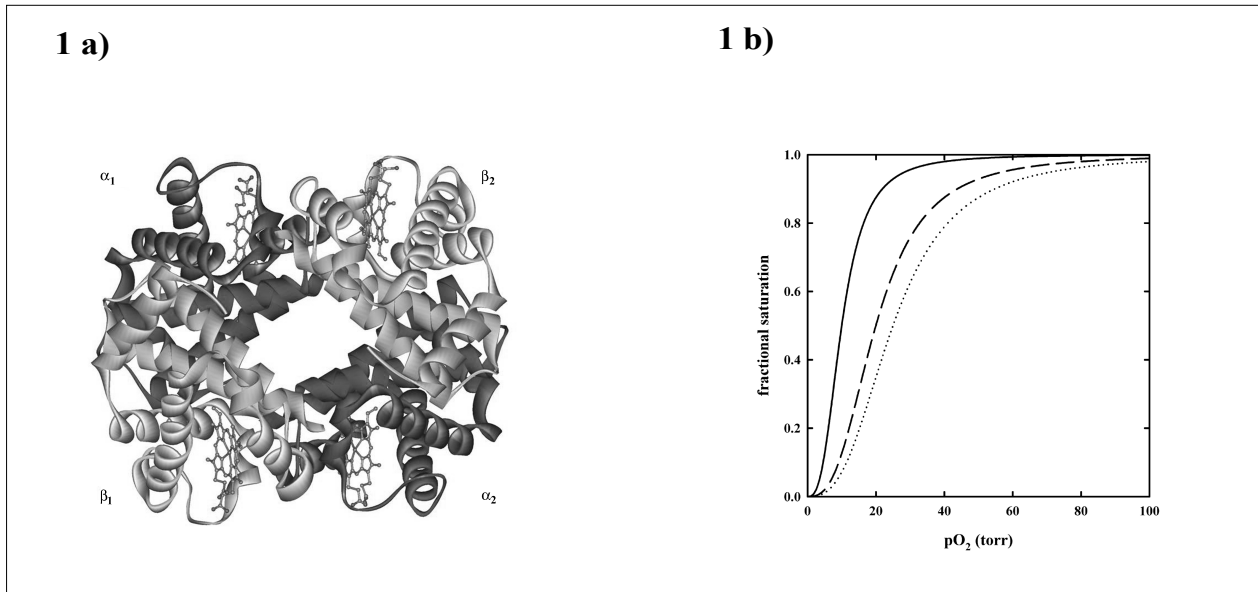
In the present review, only the state of the art of haemoglobin-based oxygen carriers (HBOCs) will be discussed.

Haemoglobin is the protein contained in red blood cells that loads oxygen in the lungs and delivers it to tissues<sup>20</sup>. It is a tetramer constituted of two  $\alpha\beta$  dimers and four haems (Figure 1a). Oxygen binds to the ferrous haem with a physiological p50 of  $26 \pm 1$  torr. Oxygen binds to haemoglobin cooperatively, i.e. the oxygen affinity increases as a function of saturation, following a sigmoidal curve (Figure 1b). The oxygen affinity is modulated by allosteric effectors such as protons, 2,3-BPG, chloride ions and carbon dioxide, that lower oxygen affinity (Figure 1b). The cooperativity and the variation of oxygen affinity caused by allosteric effectors result from a change in the equilibrium between a high affinity quaternary conformation of haemoglobin, predominantly present in the lung for optimal oxygen loading, called R, and a low affinity quaternary conformation, predominantly present in the tissues for optimal oxygen delivery, called T<sup>21</sup>. The concerted quaternary transition, explaining the cooperative oxygen binding of haemoglobin, is the basis of the Monod, Wyman and Changeux model for allosteric proteins (MWC)<sup>22</sup>. Other models that take into account the fine-tuning of oxygen affinity brought about by allosteric effectors have been recently proposed and are based on the central role of tertiary conformational changes<sup>23-25</sup>.

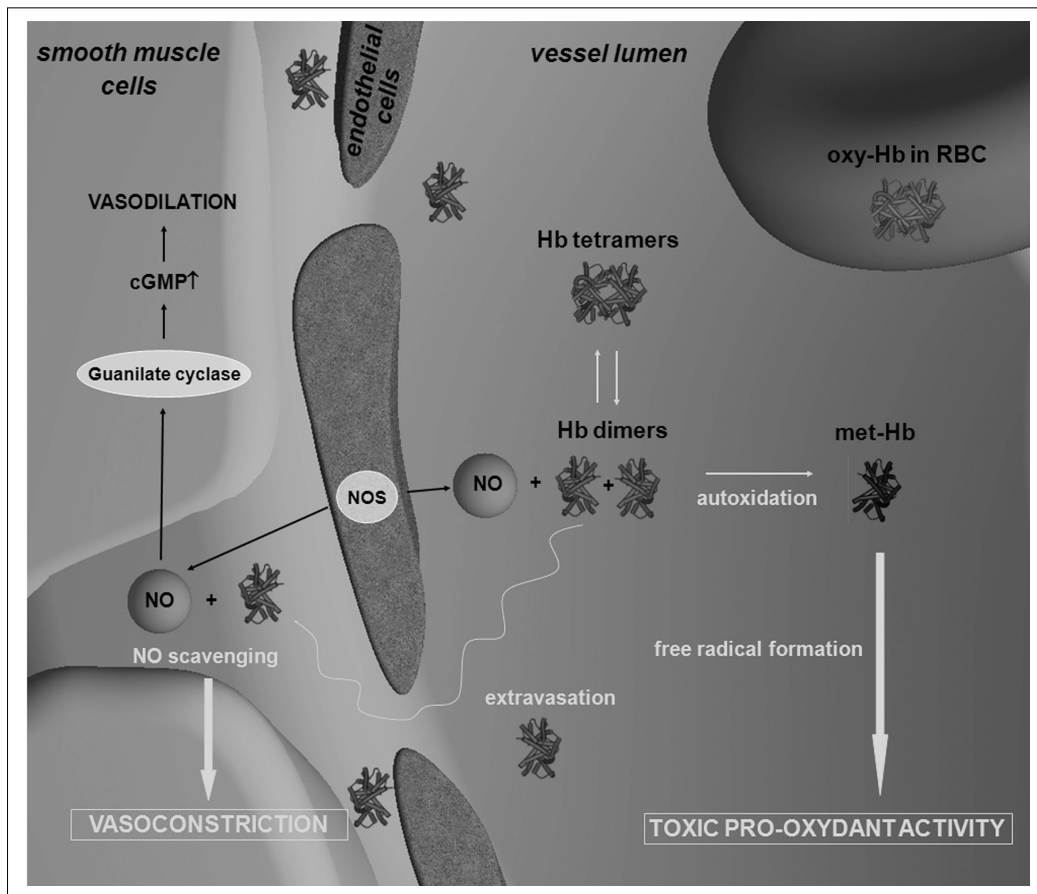
The haemoglobin tetramer dissociates into dimers depending on the oxygen fractional saturation and protein concentration. Oxyhaemoglobin free in solution at  $\mu\text{M}$  concentrations is significantly dissociated in dimers, whereas, inside the erythrocytes, with a haemoglobin concentration of about 5 mM, the dimers concentration is negligible. Moreover, in the absence of oxygen, the tetramer is more stable.

The presence of dimers free in the plasma (Figure 2) leads to several primary effects:

- i) dimers exhibit a molecular weight of 32 KDa and therefore can, unlike tetramers, undergo glomerular filtration, thus causing nephrotoxicity.
- ii) Dimers show a higher p50, about 5 torr, thus



**Figure 1** - a) Three dimensional structure of human haemoglobin tetramer (2DN2). b) Oxygen binding curves of haemoglobin in the absence (solid line) ( $p50 = 10$  torr) and presence of 2,3-BPG (dashed line) ( $p50 = 20$  torr) and 2,3-BPG and carbon dioxide (dotted line) ( $p50 = 25$  torr), pH 7.2, 37 °C.



**Figure 2** - Biochemical and physiological effects of the presence of haemoglobin tetramers and dimers free in the plasma.

keeping oxygen tightly bound and releasing it only at very low oxygen tensions.

- iii) The oxygen affinity of dimers is not modulated by allosteric effectors present in the plasma, such as chloride ions and carbon dioxide, thus the oxygen release is not cooperative.
- iv) The dimer haem iron is oxidized more easily than in the tetramer, leading to molecules unable to bind oxygen (Figure 2). Moreover, the formation of ferric ions triggers a cascade of reactions that generate reactive oxygen species and reactive nitrogen species, the molecular basis of oxidative damage<sup>6</sup>. The compartmentalization of haemoglobin in erythrocytes provides a protective environment that limits the oxidation to methaemoglobin and reduces the formation of potentially toxic oxidative products due to the presence of a reductase system that keeps physiological methaemoglobin levels below 1%. Red cell catalase and superoxide dismutase remove oxygen reactive species produced by haem autoxidation<sup>26</sup>. Plasma has an antioxidant activity associated to the presence of reducing agents, such as urate and ascorbate<sup>27</sup>. However, plasma antioxidant capacity is inadequate to counterbalance the oxidative cascade triggered by high levels of cell-free haemoglobin in blood.
- v) Dimers extravasate and scavenge NO, the key controller of vessel tension produced by the endothelium cells, thus causing hypertensive effects (Figure 2). The vasodilating effect of NO is critical in the regulation of the vascular tone. NO, formed by the NO-synthase expressed in vascular endothelial cells, diffuses to smooth muscle cells where it binds to the cytoplasmic guanylate cyclase, causing vasorelaxation<sup>28</sup>. NO can react either with deoxyhaemoglobin on the haem group, forming nitrosyl-haemoglobin, or with oxyhaemoglobin, forming Cysb93 S-nitroso oxyhaemoglobin or methaemoglobin<sup>7,29</sup>. Two haemoglobin-dependent mechanisms that preserve NO vasoactivity have been proposed and are still subject of debates, the formation of S-nitrosohaemoglobin (SNO-Hb) and the reaction of deoxyhaemoglobin with nitrite to form NO<sup>7,24-30,33</sup>.

In order to develop a haemoglobin-based oxygen carrier, it is fundamental to consider not only its

oxygen transport properties, but also the influence that this product may have on NO homeostasis. Haemoglobin inside the erythrocytes has a limited interaction with NO because the erythrocyte membrane forms a diffusional barrier between NO and haemoglobin<sup>30</sup>. Moreover, the intravascular flow creates an erythrocyte-free zone of streaming plasma along the endothelium<sup>31</sup>. As result, the rate of NO scavenging by haemoglobin within the erythrocytes is decreased by more than 6000 fold<sup>32</sup>. Scavenging of NO by haemoglobin causes vasoconstriction in case haemoglobin is free in the plasma, as, for example, during haemolysis or exogenous administration of stripped haemoglobin or HBOCs. Cell-free haemoglobin is much more effective in scavenging NO with respect to haemoglobin inside red blood cells for two reasons. First, the reaction with NO is not limited by diffusion through the erythrocyte membrane or the cell-free layer adjacent to vessel walls. Second, as mentioned above (Figure 2), dimeric and, to a lesser extent, tetrameric haemoglobin extravasate through the inter-endothelial junctions and scavenge NO directly in its site of action<sup>33</sup>. Therefore, hypertension is an important side effect of most HBOCs. The increase of mean arterial blood pressure is immediate after HBOCs infusion and is often associated with reduced cardiac output and increased total peripheral resistance<sup>34</sup>. Vasoconstriction can limit tissue perfusion and oxygenation and is therefore a severe adverse effect for patients that are suffering from blood loss and haemodilution.

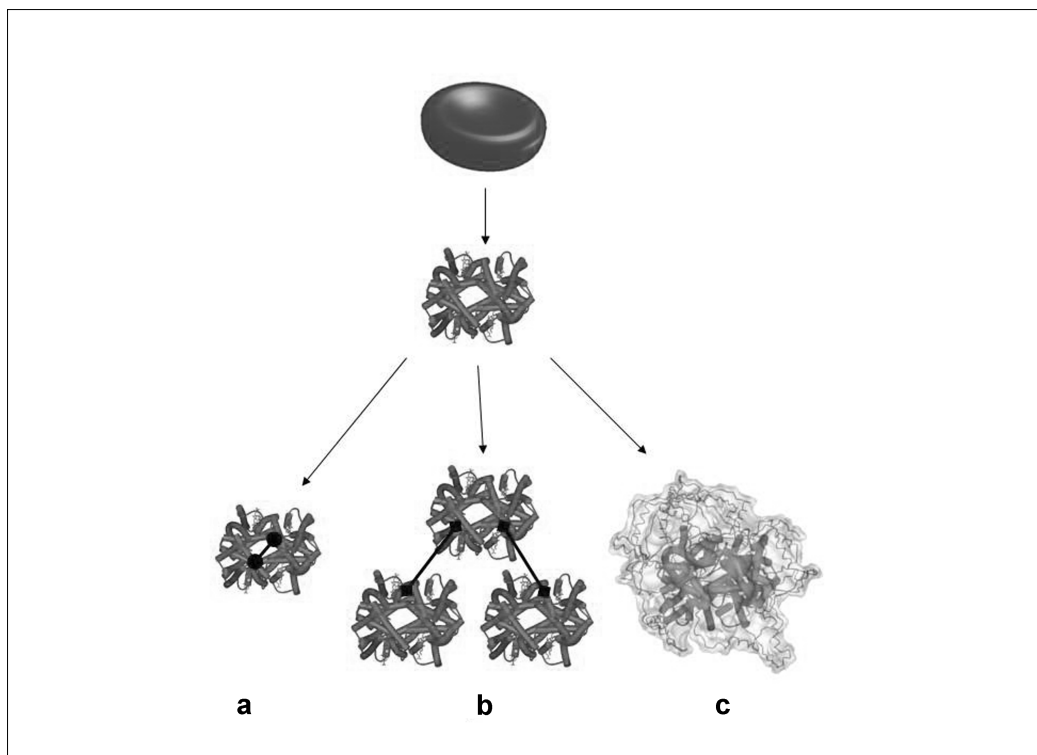
Although NO scavenging by HBOCs is considered the primary mechanism leading to vasoconstriction, other factors should be considered, such as oxygen pressure in the pre-capillary arterioles and solution viscosity. Winslow, Intaglietta and co-workers proposed the "autoregulation theory" suggesting that oxygen itself triggers arteriolar vasoconstriction<sup>35-37</sup>. When cell-free haemoglobin or a HBOC with low oxygen affinity are in the terminal arterioles, they may deliver excess oxygen due to an oxygen facilitated-diffusion mechanism associated to Hb molecules closer to the vessel wall than red cells. This process is further increased by the low oxygen affinity. In turn, the excess oxygen, detected by blood vessel oxygen sensors, triggers vasoconstriction and, paradoxically, decreases oxygen delivery to tissues. According to this theory, a HBOC should possess a low p50 in order

to reduce pre-capillary oxygen release and target oxygen delivery to the anoxic areas, bypassing the oxygenated tissues<sup>36</sup>. Another issue associated to the hypertensive response triggered by HBOCs is the viscosity of the infused solution<sup>38</sup>. Blood exerts a shear stress on capillary walls, directly proportional to its viscosity<sup>39</sup>. If shear forces are weaker, NO production is lower, causing vasoconstriction. In animal experiments, under extreme haemodilution, elevated plasma viscosity increases perivascular NO concentration and microvascular perfusion<sup>40</sup> whereas conventional low-viscosity plasma expanders cause microvascular impairment<sup>41</sup>. Therefore, multiple factors should be considered in order to limit the hypertensive effect of HBOCs.

Given the negative effects associated with the presence of dimers free in the plasma, the research on HBOCs has been primarily focused on the development of haemoglobin tetramers that either do not dissociate into dimers or, if they do, do not undergo ultrafiltration and extravasation because their size is artificially increased. This goal is achieved via either genetic or chemical modifications of haemoglobin.

Different strategies of haemoglobin genetic manipulation have been applied, ranging from the generation of constructs that link human  $\alpha$  chains via a glycine bridge<sup>42</sup> to fusion proteins of two  $\alpha$ - $\alpha$  or two  $\beta$ - $\beta$  chains<sup>43</sup>. The engineered haemoglobin molecules, expressed in bacteria with yields that are of the order of a few milligrams per liter of culture, exhibit functional properties that can be different from the parent haemoglobin<sup>44</sup>. However, recombinant technology allows to modulate functional properties, for example NO scavenging, by amino acid substitutions<sup>45</sup>. An alternative genetic approach is based on mutations that generate a haemoglobin containing a single cysteine on the bovine beta chains<sup>46,47</sup>. Under oxidizing conditions a sulfide bridge is formed, linking a human  $\alpha$  - bovine  $\beta$  dimer to another dimer, generating a polymer containing three-four tetramers. The resulting polymer is called haemoglobin Polytaur<sup>48</sup>.

The different approaches that make use of haemoglobin chemical modifications are reported in figure 3. They are based on reagents, such as glutaraldehyde, that cross-link tetramers intra- or inter-



**Figure 3** - Chemical approaches for the development of HBOCs: intra- (a) and inter- (b) molecular cross-linking and PEGylation (c).

molecularly, generating stable tetramers or polymers<sup>49,50</sup>, or on compounds that decorate the surface of haemoglobin with organic molecules, such as polyethylene glycol (PEG), thus increasing protein molecular weight and actual size<sup>51-53</sup>. Unavoidably, the chemical modifications lead to heterogeneous mixtures of products characterized by variable structural and functional properties, as shown for PEGylated haemoglobins<sup>54</sup>. As a result of the FP6 funded project "Eurobloodsubstitutes" (available at: <http://www.eurobloodsubstitutes.com>), a HBOC consisting of haemoglobin conjugated with PEG, under anaerobic conditions to preserve relevant functional residues from chemical modification, was obtained and called Euro-PEG-Hb<sup>55,56</sup>. This procedure and the resulting product is different from Hemospan<sup>®</sup> that is PEGylated under aerobic conditions<sup>57</sup>. Careful structural and functional investigations were carried out to compare these PEG-haemoglobins<sup>54</sup>. Results highlighted two major shortcomings: heterogeneity in the degree of PEGylation and enhanced tetramer dissociation upon PEGylation that may cause, as discussed above, vasoconstriction due to enhanced HBOC extravasation.

The clinical studies carried out on the most promising HBOCs are reported in table III<sup>12</sup>. So far,

no product has been approved by U.S. FDA and EMEA. A few of them, notably Hemopure<sup>®</sup>, Polyheme<sup>®</sup>, Hemospan<sup>®</sup> and Hemolink<sup>®</sup>, have received approval for compassionate use for the treatment of Jehovah's Witnesses, sickle cell patients or red cell immune- and autoimmune-reactive patients. Hemopure<sup>®</sup> was licensed for use in South Africa but, recently, the Medicines Control Council of South Africa decided to discontinue its registration. Northfield Laboratories and Biopure, that produced the former two HBOCs, closed their activities in 2009, and the latter has been acquired by OPK Biotech. Sangart has completed a Phase III clinical trial in Europe aimed at demonstrating the validity and safety of Hemospan<sup>®</sup> as blood expander. Results should be reported to EMEA soon. Moreover, Sangart will initiate a Phase IIa study in severe trauma patients with haemorrhagic shock.

Particularly in relation to hypertensive effects, no difference in systemic and pulmonary arterial pressure and vascular resistance was observed for Polyheme<sup>®</sup><sup>58</sup>. Patients treated with Hemopure<sup>®</sup>, undergoing preoperative haemodilution for elective abdominal aortic surgery, at a dose of 3 mL/Kg exhibited an increased mean arterial pressure and systemic vascular resistance, and a decrease in cardiac index, impairing

**Table III** - Clinical studies on HBOCs

Name	Company	Chemical/genetic modification	Proposed Application	Status
HemAssist	Baxter	$\alpha$ - $\alpha$ intra-tetramer cross-linked human Hb <sup>a</sup>	Trauma, stroke	Suspended - USA 2008
Hemopure	Biopure (now OPK Biotech)	Intra- and inter-tetramer cross-linked bovine Hb <sup>a</sup>	Surgery, sickle cell crisis, trauma	Phase III - US FDA denied further clinical trials, 2008
PolyHeme	Northfield Laboratories (closed activities)	Inter-tetramer cross-linked human Hb <sup>a</sup>	Trauma	Phase III - completed with FDA approval denied, 2008
Hemospan	Sangart	PEGylated human Hb <sup>a</sup>	Elective orthopedic surgery as blood expander	Phase III, completed in Europe. Results under evaluation
Hemolink	Hemosol	Inter-tetramer cross-linked human Hb <sup>a</sup>	Elective surgery	Phase II - suspended, 2004
PEG-Hb	Enzon	PEGylated bovine Hb <sup>a</sup>	Tumor therapy	Phase Ib - suspended in USA, 1997
PHP/Hemoximer	Apex Bioscience/CuracYTE	PEGylated Hb <sup>a</sup>	Septic shock (NO scavenging)	Phase III – undergoing in Europe

<sup>a</sup>Hemoglobin, Hb

oxygen delivery<sup>59</sup>. A human haemoglobin, pyridoxalated and PEGylated through the activated ester of PEG-bis reacted with S-nitrosoglutathione (SNO-PEGylated haemoglobin) was demonstrated to increase coronary blood flow and to attenuate myocardial injury of ischemic heart disease in dogs<sup>60</sup>. Hemospan® studies on swine model showed that systemic and pulmonary resistance is minimal and no short term tissue pathology<sup>61</sup>. The product did not show vasoconstriction effects and was more effective in resuscitation from acute, massive uncontrolled abdominal haemorrhage than conventional therapies<sup>62,63</sup>. Studies on rhesus monkeys after an exchange transfusion of 30% of blood volume showed no haemodynamic effects and only transient elevation of aspartate aminotransferase, alanine aminotransferase, and lactate dehydrogenase was observed<sup>64</sup>. A recent investigation has correlated the molecular mass of polymerized haemoglobin with blood pressure and vasoconstriction<sup>65</sup>.

Critical evaluations of the effects of HBOCs on human health have been reported<sup>6,66</sup>. These adverse effects, highlighted in table IV, may limit the applicability of currently available HBOCs, given the low therapeutic index, the ratio of benefit to risk, and cast doubts on some of the applied strategies. A meta-analysis of the clinical studies carried out with HBOCs indicated that their use is associated with a significantly increased risk of myocardial infarction and death<sup>67</sup>. This report triggered strong reactions, especially from researchers associated to companies<sup>68</sup> (available at: [http://www.sangart.com/newsroom/press\\_061108.htm](http://www.sangart.com/newsroom/press_061108.htm)). Equilibrated views are reported in recent reviews of the presentations and discussions that took place at a workshop organized in the USA by the National Heart, Lung and Blood Institute in 2006<sup>69</sup>, at another workshop on "Safety of Haemoglobin-based Oxygen Carriers: Current Status and Future Directions", organized by the FDA and

**Table IV** - Potential adverse effects caused by HBOCs<sup>48</sup>

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- Haemoglobin-induced vasoconstriction and haemoglobin-increased pro-coagulant activity, likely associated to NO scavenging.
  - Oxidative damages due to an increased quantity of free radicals, associated to haeme iron oxidation.
  - Neurotoxicity.
  - Cytokine release, vasculitis and thrombosis due to macrophage activation.
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the NIH in 2008<sup>13</sup>, in a *Biochimica et Biophysica Acta* special issue on HBOCs<sup>70</sup> and in a chapter of *Burger's Medicinal Chemistry* book on "Oxygen delivery by allosteric effectors of haemoglobin and blood substitutes"<sup>12</sup>. At the XII International Symposium on Blood Substitutes that was held in Parma, Italy, in 2009, the common view was that more deep and extensive investigations are needed on the relationship between structure and function of HBOCs and adverse effects in animal models before continuing or designing new clinical trials. It has been reported that, in general, HBOCs exhibit a nitrite reductase activity at low oxygen tension, thus increasing the production of NO<sup>53-56</sup>. Our laboratory is carrying out a pre-clinical study on guinea pigs to test and compare two products, Hemospan® and Euro-PEG-Hb. Biochemical and haematological parameters diagnostic of liver, pancreas and heart damages are recorded. Moreover, a proteomic analysis of the same organs is carried out using bidimensional electrophoresis and MALDI-TOF spectrometry. These analyses will allow identifying damage biomarkers, thus increasing the understanding of the relationship between a specific product and the outcome of adverse effects. These data and body imaging analysis of transfused guinea pigs with single photon emission computed tomography, carried out in collaboration with Dr. Andras Eke, Semmelweis University, Budapest, Hungary, will lead to the implementation of a total body safety assessment, an essential requisite to direct the development of a safe HBOC.

## Conclusions

The need for an alternative to blood transfusions has triggered thorough investigations. However, after almost fifty years, a safe product has not been developed yet. This is not surprising, as whole blood, with its many components, of which haemoglobin contained in red cells is just one, is involved in many distinct, essential functions and regulative mechanisms, such as oxygen and NO homeostasis, coagulation, and vessel tension. Therefore, a HBOC designed to be an alternative to just one component, coping predominantly with a single function, oxygen transport, might interfere with other functions or lead to an unbalanced system. Moreover, in order to fulfil this single function, a HBOC must be injected in a quantity of the order of 100 grams. Therefore, less

than 0.1% impurity would result in a significant amount, possibly triggering unwanted reactions. This implies that HBOC homogeneity is a critical issue for this very special drug. The same or similar issues are common to other approaches aimed at replacing transfusions. For the years to come, transfusion medicine has to count on blood donors, with, however, a more clear awareness that also red cells transfusion exhibits limitations and drawbacks, and that blood alternatives are urgent.

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**Keywords:** haemoglobin, oxygen therapy, transfusion, red blood cells.

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