

Tracheostomy timing in traumatic spinal cord injury

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Abstract The study conducted is the retrospective study and the main objective is to evaluate the benefits and safety of early versus late tracheostomy in traumatic spinal cord injury (SCI) patients requiring mechanical ventilation. Tracheostomy offers many advantages in critical patients who require prolonged mechanical ventilation. Despite the large amount of patients treated, there is still an open debate about advantages of early versus late tracheostomy. Early tracheostomy following the short orotracheal intubation is probably beneficial in appropriately selected patients. It is a retrospective clinical study and we evaluated clinical records of 152 consecutive trauma patients who required mechanical ventilation and who received tracheostomy. The results show that the early placement (before day 7 of mechanical ventilation) offers clear advantages for shortening of mechanical ventilation, reducing ICU stay and lowering rates of severe orotracheal intubation complication, such as tracheal granulomas and concentric tracheal stenosis. On the other hand, we could not demonstrate that early tracheostomy avoids neither risk of ventilator-associated pneumonia nor the mortality rate. In SCI patients, the early tracheostomy was associated with shorter duration of mechanical ventilation, shorter length of ICU stay and decreased laryngotracheal complications. We conclude by suggesting early tracheostomy in traumatic

SCI patients who are likely to require prolonged mechanical ventilation.

Keywords Tracheostomy · Spinal cord injury · Mechanical ventilation

Introduction

Tracheostomy is one of the most commonly performed procedures in the intensive care unit (ICU) [1]. The goal of performing this technique is to improve the artificial ventilator support through a drop dead space, facilitate bronchial clearance, avoid complications of prolonged orotracheal intubation, facilitate weaning from mechanical ventilation, support phonation and swallowing, and reduce the length of sedation to prevent related complications [2]. As an invasive procedure, it might cause severe airway stenosis and other complications.

A significant proportion of patients with cervical as well as thoracic SCI require placement of a tracheostomy due to prolonged mechanical ventilation and to facilitate bronchial cleaning. This technique is required more frequently in cases of higher spinal cord injury level and ASIA severity scale. Tracheostomy plays an integral role in the airway management of such patients, but its timing remains to be the subject of considerable variation [3]. Subsequent long-term dependence on mechanical ventilation is common in patients with high-cervical SCI [4].

There is still an open debate regarding the appropriate timing of tracheostomy in injured patients who require prolonged mechanical ventilation. Although general guidelines suggest that tracheostomy should be considered after an initial period of stabilization on the ventilator (generally the first 7 days), there is no evidence on its

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optimal timing, and a practical decision has to be made for each individual patient with the consideration of expected benefits and risks if the translaryngeal intubation is anticipated to be needed longer [5, 6]. A recent meta-analysis of the efficacy of early tracheostomy reported that patients treated with early definitive airway placement could benefit from the reduction of mechanical ventilation and ICU stay, while there were no changes in the rate of pneumonia or mortality [7]. Therefore, we decided to perform a retrospective study to evaluate its efficacy and safety of early versus late tracheostomy in patients with traumatic spinal cord injury requiring prolonged mechanical ventilation.

Patients and methods

Settings and patients

The present study was performed in a seven-bed ICU in the Hospital Nacional de Paraplejicos, Toledo, Spain. It is a specialized hospital for patients with medical and traumatic spinal cord injury (SCI). Medical records of all new patients with traumatic SCI in acute or subacute stage admitted to the ICU between 01 May 2004 and 30 April 2007 have been retrospectively reviewed. We evaluated a total of 164 patients. The data from 12 patients were excluded from analysis: ten patients with cervical spine injury above C3 who required permanent ventilation with no possibility of weaning, one patient with severe maxillofacial traumatic injury comprising the airway, and one patient with the missing data. Therefore, we analyzed data from a total of 152 patients whose clinical and demographic data are summarized in Table 1.

Clinical definitions and parameters of study

Early tracheotomy was defined as performed in days 1–7 after orotracheal intubation and late if it was performed any time after the early tracheostomy [8]. The decision to perform a tracheostomy was made for each individual patient based on the judgment of the attending physician who is expert in ICU, in discussion with the patient's family members, without definite protocolized criteria to decide when is the best time to perform the procedure. The diagnosis of pneumonia required radiographic image of a new and persistent infiltrate and at least two of the following criteria: temperature above 38°C. or below 35.5°C, leukocytes above 12,000 cells/mm³ or below 4,000 cells/mm³, new onset of purulent bronchial secretions or change in its character.

The following parameters were recorded, such as mortality rate, duration of mechanical ventilation (total time and time post tracheostomy), length of stay in the ICU

Table 1 Patient characteristics and clinical data for study cohort

Sex	
Male	<i>n</i> = 122, 80.2%
Female	<i>n</i> = 30, 19.8%
Age	
Mean and range	41 years; 13–77 years
Median	38.5 years
Spine injury cause	
Traffic trauma	<i>n</i> = 96, 64%
Fall injuries	<i>n</i> = 42, 28%
External trauma	<i>n</i> = 8, 5.3%
Gunshot wound	<i>n</i> = 2, 1.3%
Others	<i>n</i> = 2, 1.3%
Spine injury level	
C3–C5	79, 51.97%
C6–C8	42, 27.63%
D1–D5	22, 14.47%
D6–D10	9, 5.92%
Spine injury grade	
ASIA A	<i>n</i> = 119, 78.28%
ASIA B	<i>n</i> = 21, 13.82%
ASIA C	<i>n</i> = 11, 7.24%
ASIA D	<i>n</i> = 1, 0.66%
APACHE II	
Mean and range	7.48, 6.73–8.24
Median	7
ISS	
Mean and range	29.28, 28.13–30.44
Median	25

ASIA grade: severity score according to the American Spinal Injury Association [25] APACHE II acute physiology and chronic health evaluation II, ISS injury severity score

(total time and time post tracheostomy), and incidence of pneumonia. We also evaluated the complications of tracheostomy in both the groups.

Data presentation and statistical analysis

Our patients were divided into two groups: those that underwent early tracheostomy, performed in days 0–7 after intubation, and late tracheostomy, performed after the seventh day. The comparison of both groups was carried out using the statistical package SPSS vs. 13.0. Continuous variables were expressed as mean \pm standard deviation (SD) and were compared using Student's *t* test. Outliers and extreme values were expressed as median. Categorical variables were expressed as absolute and relative frequency and compared using the χ^2 test.

Multivariate analyses were performed to evaluate the impact of timing of tracheostomy on duration of

mechanical ventilation (both total time and time post tracheostomy), length of stay in the ICU (total time and time post tracheostomy), and incidence of tracheostomy complications, to determine whether these factors are independent in predicting prolonged mechanical ventilation, ICU stay, and a higher rate of tracheostomy complications. These results were expressed as odds ratio (OR) with 95% confidence interval. $P \leq 0.05$ was considered statistically significant.

Results

We have reviewed 152 consecutive medical records of the patients who had undergone tracheostomy. The average age in these patients was 41 years, with range 13–77 years. The main cause of SCI was traffic accidents. Time range between injury and admission to the ICU was 2–103 days with mean time equal to 27.4 days and median time of 24 days. Others demographic and clinical characteristics are displayed in Table 1.

Tracheostomy was placed early (days 1–7 from intubation) in 71 patients and late (after day 7) in 81 cases. We will refer to the two groups as early tracheotomy group (ETG) and late tracheostomy group (LTG). Tracheostomy was performed according to the standard surgical technique in 83 patients and percutaneously in 69 cases.

The comparison of demographic variables was not statistically significant for the groups of early and late placement, except for the age that was significantly younger for ETG. There were no statistically significant differences in severity of illness, lesion level and lesion grade of both groups (Table 2). Similarly, no significant difference was observed between the two groups with respect to mortality, although we observed a trend towards reduction in mortality rate in the ETG (1 vs. 5 patients in the early vs. LTG) that was not statistically significant (Table 3).

The ETG patients had statistically significant shorter mechanical ventilation (both as total time and when we considered only the post tracheostomy time) (see Table 3). Moreover, the patients of ETG had a statistically significantly reduced length of ICU stay with respect to LTG, both as total time and when we considered only the post tracheostomy time (see Table 3). Regarding the incidence of pneumonia, we observed that the number of patients who suffered pneumonia, both pre and post tracheostomy, is not different in both the groups (87.3 vs. 92.7%), but if considering only the difference during the intubation period and after tracheostomy period, the prevalence of pneumonia is lower in ETG versus LTG.

Multivariate analysis with multiple logistic regression revealed that only duration of mechanical ventilation is

Table 2 Demographics and clinical data of patients according to tracheostomy placement time

	Early tracheostomy	Late tracheostomy	<i>P</i>
Patients	<i>n</i> = 71	<i>n</i> = 81	
Sex			
Male	<i>n</i> = 57	<i>n</i> = 65	0.85
Female	<i>n</i> = 14	<i>n</i> = 16	
Mean age	38.06 ± 1.87	43.66 ± 1.85	<0.05
Level of spine lesion			
C3C5	42	37	0.10
C6C8	19	23	
D1D5	9	13	
D6D12	1	8	
ASIA grade			
A	55	64	0.14
B	4	10	
C	11	7	
D	1	0	
APACHE II	6.86 ± 0.4	8.04 ± 0.63	0.11
ISS	28.47 ± 0.87	29.99 ± 0.80	0.20
Fixation cervical spinal surgery			
Yes	55	51	0.08
No	16	30	
Tracheostomy type			
Percutaneous	32	37	0.93
Surgical	39	44	

ASIA grade: severity score according to the American Spinal Injury Association [25]; APACHE II acute physiology and chronic health evaluation II; ISS injury severity score

Table 3 Clinical data of patients according to tracheostomy placement time

	Early tracheostomy <i>n</i> = 71	Late tracheostomy <i>n</i> = 81	<i>P</i>
Pneumonia during intubation	<i>n</i> = 32 (45.1%)	<i>n</i> = 66 (81.5%)	<0.001
Pneumonia post tracheostomy	<i>n</i> = 53 (74.6%)	<i>n</i> = 59 (72.8%)	0.8
Total pneumonia	<i>n</i> = 62 (87.3%)	<i>n</i> = 76 (92.7%)	0.27
Mortality	<i>n</i> = 1	<i>n</i> = 5	0.12
Total time of MV (days)	26.07 ± 1.69	48.75 ± 3.45	<0.001
Post tracheostomy MV (days)	22.14 ± 1.18	33.96 ± 3.30	<0.005
Total ICU stay (days)	36.52 ± 1.59	54.58 ± 2.92	<0.001
Post tracheostomy ICU stay (days)	30.60 ± 1.64	39.27 ± 2.95	<0.05

MV mechanical ventilation, ICU intensive care unit

Table 4 Tracheostomy complications

	Early tracheostomy	Late tracheostomy	<i>P</i>
Total complications	22 (30.99%)	42 (51.85%)	<0.05
Bleeding	4	6	0.75
Stoma infection	14	10	0.08
Suture dehiscences	1	3	0.28
Granuloma	2 (2.81%)	10 (12.34%)	<0.05
Concentric tracheal stenosis	1 (1.41%)	13 (16.03%)	<0.01

identifiable as independent variable associated with early tracheostomy, whether we considered the total time of mechanical ventilation ($P < 0.005$ and OR 6.73 [2.42–16.61]) or only the time of post tracheostomy ($P < 0.005$ and 5.88 [2.33–14.28]).

The complications of the procedure in our patients are summarized in Table 4. The total number of complications was higher in patients who received a delayed versus early tracheostomy (51.85 vs. 30.99%). The higher complication rate in LTG is due to the greater presence of concentric tracheal stenosis and tracheal granuloma in this group. Multivariate analysis showed that only tracheal stenosis was associated as independent variable to late tracheostomy placement with $P < 0.001$ and OR 14.28 (2.27–83).

Discussion

Tracheostomy plays an important role in the airway management of SCI patients, both cervical and thoracic. The beneficial effects of this technique are improved respiration, facilitated weaning by reducing the airway resistance, reduced mortality rate, and prevention of complications of prolonged orotracheal intubation, such as oropharyngeal, laryngeal, and tracheal stenosis or fistulae [9, 10]. Although it is an invasive procedure with intrinsic risks, additional benefits include the facilitation of nursing care, possibility of suctioning of respiratory secretions, and improving patients' comfort, swallowing and early phonation [11, 12].

Patients with SCI frequently need prolonged mechanical ventilation due to paralysis of respiratory muscles (in cervical lesions) and worsening pulmonary vital capacity (in lower as well as higher levels of injury), severe impairment of tracheobronchial secretions clearance, and high incidence of respiratory complications such as pneumonia or atelectasis, that arise in 40–70% of the patients with cervical spine lesions [13]. For these reasons, tracheostomies are frequently performed in these patients with the aim of avoiding possible airway lesions from prolonged intubation, easing nursing care, improving comfort, facilitating tracheobronchial clearance, supporting weaning from

mechanical ventilation, and allowing speech and oral nutrition [3, 14, 15]. The consensus conference on artificial airway in mechanically ventilated patients sponsored by ACCP on 1989 issued the statement that tracheostomy is preferred over intubation only in cases of expected prolonged mechanical ventilation (longer than 21 days) [5], even though it is possible to advance the performance of this technique when it becomes apparent that the patient will require prolonged ventilation assistance [6].

Optimal timing of tracheostomy in SCI, as well as in other critical illnesses, remains a subject of debate due to the lack of strong evidence in support of when it is most advisable to perform it [2]. There are some general guidelines, but in the clinical practice the decision should be adopted for each patient with the careful consideration of possible benefits and risks. In the last few years, early tracheostomy has become the most recommended strategy for mechanically ventilated patients, chiefly due to the growing evidence of its low morbidity and mortality, and because of the identification of prolonged intubations as the main risk for multiple complications. Increased popularity of the percutaneous technique, safe and efficacious, has also contributed to promote early tracheostomy placement, while indications for tracheostomy have not changed in the clinical practice [16–18].

Many authors have reported that early tracheostomy placement is associated with a marked reduction in ICU stay and/or in the duration of mechanical ventilation in patients admitted to an ICU with medical illnesses, poly-trauma, and in surgical and neurosurgical patients. In spite of that there are also studies leading to controversial conclusions: neither in head injury trauma patients, nor in critical patients with thermal injuries, has early tracheostomy shown any clear advantage in shortening mechanical ventilation [6, 19, 20].

We are aware that a retrospective study could have some limitations, but the best of our knowledge; this is the first report on tracheostomy timing in SCI population. The main characteristics of both groups are similar and the small difference of age could be considered negligible. Because of this, we selected a limited number of end points in order to minimize false associations within both the groups of the tracheostomized patients.

To our knowledge, no data are available in SCI population about the timing of tracheostomy. It is an intervention of great importance in SCI patients, since respiratory complications raise ICU length of stay (27 days on average) [15]. In our series, we first report an apparent shortening in ICU stay and a marked reduction in the duration of mechanical ventilation for those patients who had undergone early versus late tracheostomy. Some authors evidenced how early tracheostomy also lowers ICU mortality rate [14] and the overall hospital mortality [21]. Others

reported a mortality rate reduction on a long-term basis, but not on a short term [18]. These observations were not confirmed by other authors who could not demonstrate any significant association between early tracheostomy and reduction of mortality rate in surgical, polytrauma, and severe head injury patients [7, 20, 22, 23]. In SCI population, we found a tendency towards the reduction of mortality rate that was 6.2% in LTG and 1.4% in the ETG. The lack of statistical significance could probably be explained by the relatively low-mortality rate in subacute phase of SCI and relatively low number of patients included in the study.

It has been suggested that early tracheostomy might lower the rate of ventilator-associated pneumonia (VAP) due to reduced colonization of the tracheobronchial tract. This point is still controversial, as some authors reported a reduced rate of VAP with early tracheostomy [8, 9, 22], while other studies could not confirm these observations [7, 20, 23]. In our SCI patients, we observed a high incidence of pneumonia, and the tracheostomy timing did not influence this rate. This is not surprising, as mechanical ventilation is only one of the risk factor for pneumonia in SCI, along with the severe impairment of tracheobronchial secretions clearance and high incidence of atelectasis. Moreover, the prevalence of pre-tracheostomy pneumonia is lower in ETG.

Our study also demonstrated that in LTG, the total number of complications is higher (observed in 52% of cases) than in the ETG (31% of cases) ($P < 0.05$, Table 4). Reported complications of tracheostomy are represented by hemorrhage, stoma infection, and mediastinitis in early phase, and later by granuloma, fistula and concentric tracheal stenosis [11, 14]. Those adverse effects have to be weighted against the risks of long-term intubation (edema, oral-labial ulceration, and laryngeal or tracheal stenosis). From our study, we found that SCI patients who underwent late tracheostomy had higher complications rate compared with patients with early tracheostomy, mainly due to incidence [24] of stenosis and granuloma, since the frequency of the other complications had been similar in both groups.

We conclude that the early tracheostomy is beneficial in SCI patients in terms of improving clinical management aspects, such as shortening the time of mechanical ventilation, reducing ICU stay, and lowering rates in complications of severe orotracheal intubation (e.g., tracheal granulomas and concentric tracheal stenosis). On the other hand, we could not demonstrate that early tracheostomy avoids the risk of VAP. We observed a trend towards reduced mortality that approached significance, and suggest that more studies employing a greater number of participants are needed on this important topic. On the basis of benefits demonstrated in this retrospective study, we then suggest to place tracheostomy as soon as possible

in SCI patients who are likely to require prolonged mechanical ventilation.

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