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Tumor Metabolism and Blood Flow as Assessed by PET Varies by Tumor Subtype in Locally Advanced Breast Cancer

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Abstract

Purpose—Dynamic PET imaging can identify patterns of breast cancer metabolism and perfusion in patients receiving neoadjuvant chemotherapy (NC) that are predictive of response. This analysis examines tumor metabolism and perfusion by tumor subtype.

Experimental Design—Tumor subtype was defined by immunohistochemistry (IHC) in 71 patients with LABC undergoing NC. Subtype was defined as luminal (ER/PR positive), triple-negative (TN; ER/PR negative, HER2 negative) and HER2 (ER/PR negative, HER2 over-expressing). Metabolic rate (MRFDG) and blood flow (BF) were calculated from PET imaging prior to NC. Pathologic complete response (pCR) to NC was classified as pCR versus other.

Results—Twenty-five (35%) of 71 patients had TN tumors, 6 (8%) were HER2 and 40 (56%) were luminal. MRFDG for TN tumors was on average 67% greater than for luminal tumors (95% CI 9% – 156%), and average MRFDG/BF ratio was 53% greater in TN compared to luminal tumors (95% CI 9% – 114%) (p < 0.05 for both). Average blood flow levels did not differ by subtype (p = 0.73). Most luminal tumors showed relatively low MRFDG and BF (and did not achieve pCR); high MRFDG was generally matched with high BF in luminal tumors, and predicted pCR. This was not true in TN tumors.

Conclusions—The relationship between breast tumor metabolism and perfusion differed by subtype. The high MRFDG/BF ratio that predicts poor response to NC was more common in TN tumors. Metabolism and perfusion measures may identify subsets of tumors susceptible and resistant to NC and may help direct targeted therapy.

INTRODUCTION

Genomic profiling has identified different molecular subtypes of breast cancer, including basallike, human epidermal growth factor receptor 2-positive (HER2), luminal A, and luminal B (1–3). These molecular subtypes may be approximated by standard immunohistochemistry

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(IHC), as follows (2): basal-like (triple negative, TN) as estrogen receptor (ER) negative, progesterone receptor (PR) negative, HER2 negative; HER2-like as ER negative, PR negative, HER2 over-expressing; luminal A is ER and/or PR positive, HER2 negative; and luminal B is ER and/or PR positive, HER2 over-expressing. Differential response to neoadjuvant chemotherapy (NC) has been observed among breast cancer subtypes defined by either IHC (2) or by molecular profiling (4). Despite higher rates of pathologic complete response (pCR) to NC, basal and HER2 subtypes are more likely to recur, often rapidly, more often with brain and visceral metastases, and have poorer prognoses than do luminal subtypes (2,3,5–8). A greater understanding of the biologic basis of these clinical observations may elucidate factors contributing to therapeutic responsiveness and resistance, and ultimately lead to more individualized and tumor-specific treatments.

Functional imaging of tumor blood flow and metabolism, using [¹⁵O]-water and [¹⁸F]-FDG PET, respectively, has been studied in breast and other tumor types (9–13). Studies at this institution have shown that blood flow and metabolism in LABC are highly variable and provide a means of predicting response and disease relapse in patients receiving NC for LABC (9–11). Specifically, we found that LABC tumors with a pre-therapy flow-metabolism mismatch (high metabolic rate of FDG [MRFDG] to blood flow) were more resistant to therapy, predicting a low likelihood of pCR and a high likelihood of early relapse (10,14). Additionally, resistant tumors were more likely to have a higher perfusion rate over the course of therapy, failure to diminish tumor perfusion at mid-therapy predicted poor response, early relapse, and death (9,11). In multivariable models, PET measures predicted relapse and survival independently of other established prognostic measures (15–17) including primary tumor pCR and post-therapy pathologic nodal status (14). Similar associations between flow-metabolism mismatch and more aggressive tumor biology have been observed in other tumor types using functional PET imaging (12,13,18).

To investigate patterns of blood flow and glucose metabolism for different breast cancer subtypes, we analyzed PET measures of tumor glucose metabolism and blood flow in a cohort of patients with LABC and approximated intrinsic subtype using standard IHC criteria. At least one other study has looked at FDG uptake by breast cancer subtype (19), however to our knowledge, this is one of the first studies to use functional imaging to investigate the relationship between tumor blood flow and metabolism by breast cancer subtype. Functional imaging using dynamic PET offers a unique opportunity to study tumor physiology in vivo and may provide a portrait of tumor physiology that is complementary to differences in patterns of gene expression measured by genomic profiling. Non-invasive functional imaging by PET may identify patterns of metabolism and blood flow associated with responsive and resistant tumors that may ultimately allow for selection of cytotoxic and biologic therapies based upon in vivo tumor biology.

METHODS

Patient selection

Patients presenting to the University of Washington Breast Cancer Specialty Clinic with histologically confirmed breast carcinoma scheduled to undergo NC were eligible for the study. Patients were clinically staged according the AJCC TNM classification of malignant tumors (20,21). The majority of patients presented with clinical staging consistent with LABC, but a strict definition of inoperable LABC was not required for inclusion in this analysis. Seven patients in this cohort presented with inflammatory breast cancer (IBC) as determined by clinical staging on presentation. The enrollment period was from November 1995 through December 2005. Patients were excluded if they were pregnant, unwilling, or unable to undergo PET examinations. Patients were also excluded if they were not surgical candidates. A total of 71 patients were identified with baseline [¹⁵O]-water and [¹⁸F]-FDG PET imaging,

demographic and tumor information, and pathologic response for inclusion in this study. Analyses performed on a subset of this cohort have been previous published (9–11), but did not examine molecular subtype as currently defined. Written informed consent for PET studies and follow-up was obtained according to the University of Washington Human Subjects Committee guidelines.

Definition of Immunohistochemical Subtypes

According to institutional standards, tumor tissue was sampled under ultrasound or stereotactic guidance for diagnosis prior to initiation of NC. Tumor subtype by IHC was assessed on diagnostic biopsy material. ER expression was assessed using the 1D5 antibody (DAKO, Carpinteria, CA) and was expressed as negative (<5%), 1+ (>5%–20% of tumor cells ER positive), 2+ (20%–80% positive), or 3+ (>80% positive). PR expression was assessed using the PR88 antibody (BioGenex, San Ramon, CA) and level of expression was scored in a fashion identical to that for ER. HER2 was defined as positive if IHC showed 3+. It was considered negative in those tumors scoring 1+. For those tumors with a 2+ score on IHC, gene amplification using FISH was used to determine HER2 status. HER2 was considered positive if the ratio of HER2 gene copies to chromosome 17 signals was >2. Cellular proliferation was assessed by measurement of Ki-67 antigen by monoclonal antibody (DAKO, Carpinteria, CA) with scoring by institutional standards as low (<10%), intermediate (10–20%), and high (>20%). Tumor grade was based on expert breast pathology review.

TN, or basal, subtype was defined as ER and PR negative without HER2 over-expression by IHC or amplification by FISH. Luminal tumors were defined as those expressing either ER or PR, without regard to HER2 status. For the purposes of this analysis, luminal A and B were not subdivided. HER2-like tumors were ER and PR negative with HER2 over-expression or gene amplification.

Positron Emission Tomography

PET radiotracer production, imaging methods and data analysis have been previously described (9-11,22-24). Briefly, images were acquired on an Advance PET scanner (General Electric Medical Systems, Waukesha, WI) and reconstructed by filtered back projection with an approximate resolution of 10-12 mm (22,23). For [¹⁵O]-water studies, patients received 724 to 1,902 MBq in a 1- to 4-mL volume via bolus intravenous injection. Dynamic images were acquired for 7.75 minutes after injection. For [¹⁸F]-FDG studies, 218 to 396 MBq was infused over 2 minutes in a 7- to 10-mL volume, and dynamic images were acquired for 60 minutes after the start of infusion. Regions of interest (ROIs) measuring mean uptake were 1.5-cm diameter circles drawn on 3 adjacent slices over the left ventricle and the portion of the tumor with maximal uptake on summed [¹⁸F]-FDG images. ROIs from the summed [¹⁸F]-FDG images were copied onto the corresponding dynamic [18F]-FDG and [15O]-water images to determine blood flow and tumor time-activity curves. Blood flow (BF) estimates from [¹⁵O]water and $[^{18}F]$ -FDG kinetic parameter estimates (MRFDG and K₁) were obtained using model optimization software (Berkley Madonna, Berkley, CA) as previously reported (24). The measurement error of these parameters has been previously estimated as 13% for blood flow, 11% for FDG K₁, and 3% for K_i, where MRFDG equals K_i multiplied by the glucose concentration (24).

Statistical Analysis

Descriptive displays and linear regression analysis were used to explore how functional parameters differed by subtype. Imaging parameters were log-transformed to satisfy normality assumptions for regression analysis. Overall statistical significance of subtype (3 levels) as a predictor was assessed using likelihood ratio tests. Pairwise comparisons between subtypes used the Tukey-Kramer test to control for multiple comparisons. Multivariable models were

fit to examine the relationship between subtype and imaging parameters, holding tumor grade constant. Relationships among subtype, tumor grade, and proliferation (Ki-67) were assessed using Fisher's exact test. Logistic regression models were fit to describe associations between functional parameters and response to chemotherapy, for subgroups defined by subtype. Statistical analyses were conducted using SAS/STAT software, version 9.1 (SAS Institute, Inc., Cary, NC) and R version 2.8.1 (R Foundation for Statistical Computing, Vienna, Austria).

RESULTS

Table 1 shows patient characteristics of the entire cohort of 71 patients with LABC. The average age at diagnosis was 47 years (range 30–76). The majority of patients were diagnosed with infiltrating ductal carcinoma. Seven patients in this cohort presented with IBC; 5 tumors were TN and 2 were HER2-like. The average tumor size was 4.9 cm (range, 1.1 to 14 cm). Most tumors did not over express the Her2/*neu* oncogene. By IHC, 25 of 71 (35%) patients had TN tumors, 6 (8%) had HER2 positive, and 40 (56%) were of the luminal subtype.

The majority of patients (n = 64, 90%) received institutional standard weekly metronomic doxorubicin with daily oral cyclophosphamide or other doxorubicin-based regimens. Doxorubicin and cyclophosphamide was followed by paclitaxel in 24 of 64 (38%) patients. Other chemotherapy regimens included: cyclophosphamide, methotrexate, and 5-FU (CMF) in four (6%); paclitaxel and trastuzumab in one; paclitaxel and vinorelbine in one, and docetaxel and vinorelbine in one. The mean chemotherapy duration was 18 weeks (range 8 to 38 weeks). Fifty-seven (80%) patients underwent mastectomy, 14 (20%) patients underwent lumpectomy of the primary breast tumor. Surgical management of the axillary nodes was per institutional standard with 68 (96%) of patients having an axillary node dissection.

Table 2 summarizes tumor characteristics by subtype. TN tumors were more likely to be grade 3 (odds ratio 8.7, p < 0.001) and more likely to have a high Ki-67 index (odds ratio 11.4, p = 0.009), compared to luminal tumors. Rates of pCR (28% for TN, 13% for luminal) were not significantly different (odds ratio = 2.7, p = 0.19), but were similar to pCR rates reported by others (2,33).

Functional imaging parameters by subtype are described in Table 2. These data are displayed in full in Figure 1–Figure 3, with boxplots overlaid to show the median and quartile values. Figure 1 shows MRFDG values prior to NC. MRFDG differed by subtype (p = 0.009), although the global effect of subtype was no longer statistically significant at the 0.05 level when controlling for tumor grade (p = 0.07). In both models (univariate and controlling for tumor grade), MRFDG for TN disease was on average 67% greater than for lesions with a luminal subtype (95% CI 9% – 156%). Mean FDG uptake by standardized uptake value (SUV) normalized by body weight showed similar effect with SUV of TN tumors 54% greater on average than for the luminal subtype (95% CI 10% – 116%) (data not shown). Figure 2 shows the distribution of breast tumor blood flow. The subtypes did not differ in average blood flow levels (p = 0.73). Figure 3 shows that like MRFDG, the MRFDG/BF ratio differed between subtypes (p = 0.004). The difference persisted when controlling for tumor grade (p = 0.03). Pairwise comparisons found that the average MRFDG/BF ratio was 53% greater in TN compared to luminal cases (95% CI 9% – 114%), and 88% greater in TN compared to HER2 (95% CI 3% – 242%).

Phenotypic differences in the relationship between tumor metabolism and blood flow are suggested by Figure 4, which plots MRFDG against blood flow and denotes patients who achieved pCR by darker plotting characters. Breast cancers of the luminal subtype with relatively high metabolism were observed to have correspondingly higher blood flow. The correlation of log-transformed MRFDG and blood flow was 0.66 (p < 0.001) in luminal tumors.

Higher MRFDG was also associated with higher blood flow in TN tumors (r = 0.64, p < 0.001). However, while the strength of the relationship was similar ($r \approx 0.65$ for both luminal and TN), there was evidence for a difference in magnitude, or slope (p = 0.07). When MRFDG was doubled, the predicted blood flow was 42% greater in luminal tumors, but only 30% greater in TN tumors (in a model constrained to have similar predicted blood flow for the lowest observed MRFDG for TN tumors, 2.73 µmol/min/100 g).

Figure 4 also suggests that the relationships between metabolism, blood flow, and pathologic response differ by subtype. The majority of luminal tumors had relatively low MRFDG and blood flow, and did not achieve pCR following NC. When MRFDG was higher, typically in the more proliferative luminal tumors, there was a matched elevation in blood flow, and pCR occurred frequently. In the luminal subtype, there were greater odds of pCR for patients with high blood flow compared to patients whose tumors had lower blood flow (p = 0.009), and a trend for higher MRFDG to be associated with pCR (p = 0.055). For the TN subtype, pCR was not found to be associated with MRFDG (p = 0.38), BF (p = 0.75), or the MRFDG/BF ratio (p = 0.19), although 5 of 7 pCRs occurred in TN tumors with lower MRFDG to blood flow ratios.

DISCUSSION

Using functional imaging of tumor metabolism and blood flow measured by [¹⁸F]-FDG and [¹⁵O]-water PET, this study demonstrates that tumor blood flow and metabolism differ across breast cancer tumor subtypes. This is congruent with the molecular heterogeneity across tumor types identified by gene profiling (1,3). MRFDG differed significantly between TN tumors and luminal tumors, with MRFDG being higher in the TN tumors. Similar results were found by Basu et al., who reported enhanced FDG uptake measured by functional PET imaging in TN tumors (19). Our results add to this prior report by examining blood flow, and the relationship between glucose metabolism and blood flow, among different breast cancer subtypes.

The TN subtype tumors show greater variability in MRFDG than that of the luminal and HER2 subtype suggesting heterogeneity within the TN subtype. There was no significant difference in blood flow across subtypes, but the relationship between metabolism and blood flow, expressed as the MRFDG/BF ratio, was significantly different in luminal versus TN tumors. For example, over the entire group of tumors, both MRFDG and blood flow varied considerably: MRFDG ranged from 0 to 40 μ mol/min/100 g and blood flow ranged from 0 to 1 mL/min/g. Although over one-third of the TN tumors had MRFDG greater than the midpoint of the range (20 μ mol/min/100 g), only two of these nine tumors had blood flow over the range midpoint, 0.5 mL/min/g. For luminal tumors on the other hand, only 3 tumors (8%) had MRFDG > 20 μ mol/min/100 g, and all three had blood flow > 0.5 mL/min/g.

A number of studies have shown that a blood flow-metabolism mismatch, defined as high tumor glucose metabolism relative to blood flow, when compared to normal tissue, is associated with a more aggressive clinical behavior and therapeutic resistance (12,18). Our data suggest that blood flow metabolism mismatch is more prevalent in the TN subtype when compared to luminal tumors. In the TN subtype, we observed greater heterogeneity in both metabolism and blood flow, more frequent flow metabolism mismatch, and no association between pre-therapy imaging parameters and pCR. Prior work has shown that although the TN subtype is more likely to respond to systemic chemotherapy, patients with TN tumors that fail to respond to NC are more likely to relapse and die of their disease (2). Our findings in the TN subtype may shed light on some of the factors underlying this observation.

Metabolism and blood flow are both fundamentally important for normal cell survival and tissue viability. In normal tissue, auto-regulatory mechanisms are responsible for the tight

control of energy metabolism and blood flow (25), which tend to be highly correlated. This is not necessarily so with tumor cells. Both the vascular supply and energy metabolism are disorganized within tumor cells (26,27), resulting in inefficient delivery and use of energy substrates and blood flow-metabolism mismatch. Our data suggest that glucose metabolism and blood flow are relatively closely matched in the well-differentiated, luminal subtypes. As part of normal mammary function, differentiated breast epithelial cells proliferate and regress in response to the endocrine signaling that is part of female reproductive physiology (28,29). One could hypothesize that the ability to match tissue perfusion to metabolic demand seen in most normal tissues (24,25,28) is preserved in more differentiated forms of breast cancer.

The TN subtype is thought to be molecularly similar to the basal, myoepithelial component of the normal breast that does not respond to endocrine signaling and has a more mesenchymal and less epithelial subtype (30). Our data suggest that tumors of this cell type often have glucose metabolism that is out of proportion to tumor perfusion which, compared to luminal tumors, is the result of higher rates of glucose metabolism without comparable increases in tumor perfusion. This could be the result of molecular stimuli supporting enhanced glycolysis (27), an inadequate blood supply (26), or a combination of the two. It is interesting to note that the same pattern of flow-metabolism mismatch that is prevalent in locally advanced TN breast cancers is also seen in ischemically-stressed myocardium (28) and could reflect the more mesenchymal origins of many TN breast cancers. This might be the result of differential gene expression for TN for luminal tumors including factors known to affect glucose metabolism mismatch in TN tumors also suggests the potential for biologic therapies targeting tumor vasculature and metabolism, and highlights the potential of functional imaging to elucidate mechanisms of response and resistance to an expanding arsenal of targeted therapies in development.

FDG uptake increases with inflammation (29,30) raising concern that the high MRFDG and BF observed in some tumors may be due to an inflammatory response induced by diagnostic breast biopsy rather than tumor glycolytic acitivity. FDG and [¹⁵O]-water PET scans were performed between 1 and 72 days after breast biopsy (median 16 days). We found no evidence for an inflammatory response; the correlations between days since biopsy were 0.10 (p > 0.3) for both MRFDG and BF values, and the average MRFDG and BF for scans done within 7 days of biopsy were not different from the average for scans conducted > 7 days after biopsy (t-test p-values > 0.8 for both). We conclude that inflammation induced by the diagnostic breast biopsy has minimal influence on tumor glucose metabolism or blood flow as assessed by PET.

There are several limitations to our study. Ours is a retrospective analysis of prospectively acquired data with a relatively small number of patients. Subgroups of tumor subtype were based on IHC, which may not necessarily coincide with intrinsic subtype determined gene expression profiling (30). Future studies comparing tumor flow and metabolism measured by imaging to intrinsic subtype assessed by gene expression arrays may shed more light on this observed phenomenon. Our sample sizes for the HER2 (n = 6) and luminal B (n = 10) subtypes were too small to attempt more than descriptive analysis. Over the course of this study, NC for LABC advanced with more frequent use of taxanes. Incorporation of HER2-targeted therapy, i.e., trastuzumab, was not routine before 2005, and the potential benefits of such biologic therapy on pCR was not reflected in this analysis. Imaging parameters are macroscopic measures taken from the most metabolically active portion of the tumor and do not account for the heterogeneity that likely exists within these large tumors. Analysis using parametric imaging methods that can examine the heterogeneity of glucose metabolism and blood flow across the extent of the tumor may provide more insight.

In summary, we observed a difference in the rate of glucose metabolism and the relationship between glucose metabolism and tumor perfusion in luminal tumors versus TN tumors. Further

examination of the relationship between tumor metabolism, perfusion, and gene expression may help explain these differences and may shed light on in vivo tumor biology and response to systemic therapy.

STATEMENT OF TRANSLATIONAL RELEVANCE

Locally advanced breast cancers differ in response to neoadjuvant chemotherapy (NC). Dynamic PET imaging, used to measure glucose metabolism and blood flow, has been shown to provide additional prognostic information beyond clinical features such as tumor size and nodal involvement, predicting pathologic complete response, overall and disease free survival. Using PET imaging conducted prior to NC, our study demonstrates that the rate of glucose metabolism and the ratio of glucose metabolism to perfusion differ across breast cancer subtypes. In addition, we observed heterogeneity of tumor blood flow and metabolism within the triple negative (TN) subtype. This heterogeneity underscores the importance of further examination of the biological differences within and across tumor subtypes, as a potential means to individualize therapy for those tumors showing susceptibility or resistance to NC.

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Figure 1.

Metabolic rate of fluorodeoxyglucose (MRFDG, μ mol/min/100 g) by subtype using box and whisker plots. \Box indicate data points.

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Figure 2. Blood flow (BF, mL/min/g) by subtype using box and whisker plots. □ indicate data points.

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Figure 3. MRFDG/BF ratio by subtype using box and whisker plots. □ indicate data points.



Figure 4.

MRFDG (µmol/min/100 g) and BF (mL/min/g) for each subtype. Light shaded symbols represent non-responders, dark shaded symbols represent patients who achieved pathologic complete response (pCR). ● represents pCR in TN tumors, ■ represents pCR in HER2 tumors, ▲ represents pCR in luminal tumors.

Table 1

Selected Characteristics Among Patients with Locally Advanced Breast Cancer

Characteristics	No. of patients (n = 71)	%
Age		
<40	19	27
40–49	25	35
50-59	19	27
≥60	8	11
Race		
Non-Hispanic White	56	79
African-American	9	13
Asian/Pacific Islander	6	8
Histology		
Ductal	65	92
Lobular	6	8
Clinical Tumor Classification		
T1	3	4
T2	14	20
Т3	41	58
T4	13	18
Clinical Lymph Node Classification		
NO	15	21
N1	43	61
N2	11	15
N3	2	3
Tumor Size, cm		
0–1.9	5	7
2.0–5	35	49
>5	31	44
Ki-67 Proliferative Index [*]		
Low or intermediate ($\leq 20\%$)	16	26
High (>20%)	45	74
Menopausal Status		
Pre	47	66
Post	24	34
Tumor Grade		
1	5	7
2	22	31

Characteristics	No. of patients (n = 71)	%
3	44	62
Breast Cancer Subtype (by IHC)		
TN	25	35
HER2	6	8
Luminal	40	56

*Unknown, n = 10

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Table 2

Tumor Characteristics and PET Parameters by Subtype

Characteristics	TN n=25	HER2 n=6	Luminal n=40	p-value Global difference by subtype [§]	Odds ratio, p-value TN vs Luminal ^f
Tumor Grade					
3 (versus 1 or 2) [*]	22 (88%)	4 (67%)	18 (45%)		8.7
95% CI †	(70%, 96%)	(30%, 90%)	(31%, 60%)	0.001	<0.001
Ki-67 proliferative index \ddagger					
High (versus low or intermediate)	20 (95%)	3 (60%)	22 (63%)	0.01	$11.4 \\ 0.009$
95% CI∱	(77%, 100%)	(23%, 88%)	(46%, 77%)		
pCR					
pCR (versus no or partial response)	7 (28%)	4 (67%)	5 (13%)	0.014	2.7 0.188
95% CI †	(14%, 48%)	(30%, 90%)	(5%, 26%)		
MRFDG, µmol/min/100 g					
Mean	15.9	7.58	9.72	0.00	0.019
SD	10.4	5.31	7.8		
BF, mL/min/g					
Mean	0.354	0.315	0.352	0.731	0.773
SD	0.148	0.129	0.214		
MRFDG: BF ratio					
Mean	44.3	24.1	28.7	0.004	0.011
SD	23	14.6	14.6		
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cyglucose; BF, blood flow; CI, confidence interval -2 5, n n , pa Ľ, ска в 5 .

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 $\stackrel{f}{\tau}$ Confidence intervals computed using Wilson (score) method

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\ddagger Missing values, n=4 (TN), n=1 (Her2), n=5 (Luminal)

 $\overset{\mbox{\scriptsize S}}{}_{\mbox{\scriptsize Fisher}}$'s exact test for grade, Ki-67, pCR; likelihood ratio test for PET

n// n odds ratio and Fisher's exact test p-value for grade, Ki-67, pCR; Tukey-Kramer test for PET (difference not given for log-transformed variables)