



Published in final edited form as:

*Trends Neurosci.* 2010 July ; 33(7): 299–306. doi:10.1016/j.tins.2010.03.005.

## The sticky issue of neurosteroids and GABA<sub>A</sub> receptors

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### Abstract

Endogenous neurosteroids and their synthetic analogs (neuroactive steroids) are potent modulators of GABA<sub>A</sub> receptors. Thus, they are of physiological and clinical relevance for their ability to modulate inhibitory function in the central nervous system. Despite their importance, fundamental issues of neurosteroid actions remain unresolved. Recent evidence suggests that glutamatergic principal neurons, rather than glia, are major sources of neurosteroid synthesis. Other recent studies have identified putative neurosteroid binding sites on GABA<sub>A</sub> receptors. In this Opinion, we argue that neurosteroids require a membranous route of access to transmembrane domain binding sites within GABA<sub>A</sub> receptors. This has implications for the design of future neuroactive steroids, since the lipid solubility and related accessibility properties of the ligand may be key determinants of receptor modulation.

### Overview

Endogenous neurosteroids and their synthetic analogues (neuroactive steroids) are among the most potent and effective modulators of  $\gamma$ -aminobutyric acid-A (GABA<sub>A</sub>) receptors known [1]. Although a role for neurosteroids as endogenous modulators has been postulated for nearly thirty years, fundamental issues of neurosteroid actions on circuits, cells, and receptors remain unresolved. For instance, which cells in the CNS synthesize neurosteroids? Once synthesized, how, where, and at what concentrations do these modulators reach their target receptors? Recent evidence suggests that principal neurons, rather than glia, may dominate CNS neurosteroid synthesis. Other studies have identified putative neurosteroid binding sites on GABA<sub>A</sub> receptors. Parallel pharmacological approaches have implied a non-classical interaction between neurosteroid ligands and GABA<sub>A</sub> receptors, involving a membrane route of access and a low-affinity receptor interaction for neurosteroids. Here, we discuss our opinion

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**Disclosure statement.** DFC and CFZ hold patents on several novel neurosteroid analogues.

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that local synthesis and a non-classical transmembrane binding site for neurosteroids suggest very local actions for neurosteroids that differ from the diffuse, hormonal effects usually associated with steroid actions. We also argue that the atypical nature of the neurosteroid interaction with GABA<sub>A</sub> receptors implies that future drug design should consider both specific (drug pharmacophore) and non-specific (membranous access) properties of the ligand.

## Neurosteroid synthesis and CNS effects

Neurosteroids augment GABAergic inhibition by two principal effects. At low nanomolar aqueous concentrations, they potentiate the actions of GABA, increasing receptor sensitivity and GABA's effectiveness, through specific changes in the kinetics of GABA-activated channels [1–3]. At higher concentrations, neurosteroids directly open GABA<sub>A</sub> channels in the absence of GABA. Although both actions enhance inhibition, potentiation probably dominates both endogenous modulation and exogenous drug actions. Although neurosteroid effects do not strictly depend on specific GABA<sub>A</sub> receptor subunits, effects are greater at certain receptor subtypes and depend on GABA concentration, the receptor's affinity for GABA, and the efficacy with which GABA gates its channel. The threshold for potentiation by (3 $\alpha$ ,5 $\alpha$ )-3-hydroxypregnan-20-one (allopregnanolone or 3 $\alpha$ 5 $\alpha$ P) is 1–10 nM, with maximal enhancement of up to 20-fold at low GABA concentration. Interestingly, enhancement by low neurosteroid concentrations develops more slowly during exogenous administration than expected from simple aqueous diffusion to a receptor site [3–5].

At synapses, where GABA achieves brief, high concentrations, neurosteroids enhance postsynaptic charge transfer by prolonging the decay of inhibitory postsynaptic currents (IPSCs) [6]. Neurosteroids also augment tonic inhibition at extrasynaptic GABA<sub>A</sub> receptors [7], particularly those containing delta ( $\delta$ ) subunits. GABA gates  $\delta$ -containing channels with low efficacy, and neurosteroids potentiate partly by increasing GABA efficacy [8,9]. The large effects on  $\delta$ -containing receptors have led to the proposal that they may be preferred sites of action of neurosteroids.

Neurosteroids also target non-GABA<sub>A</sub> receptors and channels [10–14]. For example, sulfated neurosteroids affect N-methyl-D-aspartate (NMDA) receptors at supra-physiological concentrations and  $\sigma$ 1 receptors at low, physiological concentrations. Modulation of these receptors, like modulation of GABA<sub>A</sub> receptors, influences behavior and may be important for the etiology and treatment of neuropsychiatric disorders [13,14]. Neurosteroid actions on NMDA and sigma ( $\sigma$ 1) receptors are complex. Sulfated neurosteroids can either positively or negatively modulate NMDA receptors, depending on neurosteroid structure and receptor subunit combination [12,13]. Likewise, sulfated neurosteroids and dehydroepiandrosterone (DHEA) exhibit complex modulation of  $\sigma$ 1 receptors [14]. Direct neurosteroid binding of both NMDA and  $\sigma$ 1 receptors is likely, although crosstalk between these two systems may also occur [14].

Endogenous neurosteroids are synthesized from cholesterol [15] (Figure 1a). Steroidogenic acute regulatory protein (StAR) is an inducible chaperone that delivers cytoplasmic cholesterol to the outer mitochondrial membrane, where translocator protein 18 kDa (TSPO) triggers movement to the inner mitochondrial membrane [16], the rate limiting step in neurosteroid biosynthesis. TSPO is part of a multi-protein complex, together with the voltage-dependent anion channel and adenine nucleotide transporter. TSPO was previously known as the mitochondrial (peripheral) benzodiazepine receptor based on its ability to bind anxiolytic drugs [17]. At the inner mitochondrial membrane, CYP11A1, a cytochrome P450-side chain cleavage enzyme, converts cholesterol to pregnenolone. After exiting mitochondria, pregnenolone undergoes reactions catalyzed by 3 $\beta$ -hydroxysteroid dehydrogenase/isomerase, 5 $\alpha$ -reductase

and  $3\alpha$ -hydroxysteroid dehydrogenase to generate  $3\alpha5\alpha$ P, the major GABA-modulating product of this pathway [7].

Other neurosteroids, including sulfates that inhibit GABA<sub>A</sub> receptors and interact with NMDA and  $\sigma 1$  receptors, are also synthesized from cholesterol and pregnenolone via offshoots of this scheme. Cytosolic sulfotransferases catalyze the addition of sulfate groups onto pregnenolone and DHEA [12]. DHEA is synthesized from pregnenolone by P450-17 $\alpha$ -hydroxylase, but this enzyme has not been demonstrated in brain [18]. Neurosteroid synthesis can be inhibited at multiple points along the pathway, and drugs like finasteride that target 5 $\alpha$ -reductase are particularly effective at diminishing  $3\alpha5\alpha$ P formation.

A number of types of brain cells synthesize neurosteroids. Early studies described astroglial synthesis [19,20]. Recent studies, however, suggest the importance of neurons and demonstrate that synthetic machinery for neurosteroids, including StAR [21,22], CYP11A1 [21] and 5 $\alpha$ -reductase [23] is highly, if not exclusively, expressed in principal (excitatory) neurons in many brain regions, including hippocampal and neocortical pyramidal neurons. Additionally, 5 $\alpha$ -reduced neurosteroid immunoreactivity was demonstrated in excitatory neurons, but not in interneurons or glia [24]. Thus, GABA-enhancing neurosteroids have potentially important paracrine and perhaps autocrine effects on excitatory output neurons.

How are neuronal neurosteroid synthesis and release regulated? Behavioral stressors acutely increase  $3\alpha5\alpha$ P production in specific brain regions [25,26]. How these stressors increase neurosteroid levels is not well understood. Intriguingly, activation of NMDA receptors and calcium influx into pyramidal neurons may contribute [21]. While speculative, this implies that increased excitatory activity or activation of extrasynaptic glutamate receptors may trigger neurosteroid synthesis to regulate overexcitation and excitotoxicity.

Certain abused and clinical drugs also enhance neurosteroid production. These include ethanol, etifoxine, gamma-hydroxybutyrate, and clinically-used psychotropic medications, including the antidepressant fluoxetine and the antipsychotics clozapine and olanzapine [27–29]. It remains unclear whether neurosteroids contribute to actions of the latter agents, although sedating and anxiolytic effects could result from this mechanism. Some behavioral [30] and electrophysiological [31] effects of ethanol involve neurosteroids, and ethanol's inhibition of hippocampal long-term potentiation (LTP) may partly involve local production of a 5 $\alpha$ -reduced neurosteroid and enhanced GABAergic inhibition of pyramidal neuron spiking [32] (Figure 1b). These effects could contribute to acute ethanol-induced memory impairment. However, effects on GABAergic inhibition are complex, and neurosteroids cannot account for all of ethanol's actions. In particular, ethanol interacts directly with GABA<sub>A</sub> receptors;  $\delta$ -containing receptors may be particularly sensitive to ethanol [33,34], although other studies contradict this [35].

This discussion highlights the powerful effects of neurosteroids and their potential roles as local modulators of principal neurons. A second key piece of the puzzle of neurosteroid modulation involves the pharmacology of neurosteroid actions. Once neurosteroids are synthesized, how do they access and interact with their targets (e.g. the GABA<sub>A</sub> receptor)?

## Possible models for neurosteroid actions

There are three general possibilities for the actions of neurosteroids at GABA<sub>A</sub> (and other) receptor targets. First, the direct target of the neurosteroid could be different than the receptor itself. Second, the neurosteroid could target the receptor through a conventional “lock-and-key” site on an aqueous domain of the receptor (similar to benzodiazepines and GABA), or through aqueous access to a transmembrane domain. Third, neurosteroids might target the receptor through a site that is accessible through lateral membrane diffusion rather than aqueous

access. The identification of neurosteroid binding sites within the transmembrane domain of GABA<sub>A</sub> receptors, together with our own findings, have shifted our perspective toward the latter view. In the following sections, we will briefly discuss the first two options and then focus our discussion on the evidence supporting the latter view.

### Neurosteroids may indirectly target receptor function

Neurosteroids might modulate channel activity through an indirect target. Because steroids alter transcription, gene regulation is one possible indirect mechanism of altering receptor function. In the case of GABA<sub>A</sub> and NMDA receptors, this possibility is excluded most directly by the persistence of neurosteroid effects on channel function in excised membrane patches. It should be noted, however, that neurosteroids do regulate transcription of GABA<sub>A</sub> receptor subunits and can thus alter inhibition over more prolonged time courses than we are considering here [36].

Steroids could also interact with protein kinases or phosphatases, whose post-translational regulation of GABA<sub>A</sub> receptors alters receptor function [1]. Although excised patch experiments exclude most cytoplasmic regulators, patch excision would not necessarily alter membrane bound modulators. Furthermore, in some systems there is a direct and important role for receptor phosphorylation in neurosteroid sensitivity of GABA<sub>A</sub> receptors (reviewed in [37]). In these cases, phosphorylation appears to modulate sensitivity of the receptor for neurosteroids, so a direct neurosteroid target on the receptor is implied.

### Neurosteroids may directly interact with receptor targets

A subset of neurosteroids (including 3 $\alpha$ 5 $\alpha$ P) are known to exhibit general anesthetic actions when given exogenously at high concentrations. Important components of neurosteroid structure necessary for such anesthetic actions include a 3 $\alpha$ -hydrogen-bond donor (A-ring substituent) and a 17 $\beta$ -hydrogen-bond acceptor (D-ring substituent) [38], whereas 3 $\beta$  or 17 $\alpha$  diastereomers do not potentiate GABA<sub>A</sub> receptors or induce general anesthesia. Neurosteroid effects on GABA<sub>A</sub> receptor function are strongly enantioselective [5,39–43].

Enantioselectivity is widely interpreted to imply a mechanism of action that involves a proteinaceous, chiral binding site. Because proteins consist entirely of L-amino acids, the absolute configuration of a ligand influences its interactions with protein binding sites [44, 45]. Importantly, enantioselectivity argues against the hypothesis that nonspecific drug/lipid interactions alone are responsible for receptor modulation [46]. Enantiomeric steroid analogues behave indistinguishably in model membranes [47], and fluorescently-labeled enantiomeric steroids accumulate in neurons with identical time courses and indistinguishable cellular partitioning [5], suggesting that their effects on lipid bilayers are non-specific and do not correlate with their mechanism of action.

Neurosteroids likely interact directly with a site on GABA<sub>A</sub> receptors. GABA<sub>A</sub> receptors belong to the cys-loop family of ligand-gated ion channels and are heteropentameric combinations of 2  $\alpha$ , 2  $\beta$  and usually a  $\gamma$  or  $\delta$  subunit [48] (Figure 2a). Residues on  $\alpha$  and  $\beta$  subunits are critical for neurosteroid actions [49,50] (Figure 2b and 2c). Especially important residues lie within M1 and M4 transmembrane domains of  $\alpha$  subunits. These residues may form hydrophobic binding pockets for neurosteroids that lead to potentiated receptor activity in the presence of agonist. A realistic model is important for interpreting the effect of mutations because it is often difficult to exclude the hypothesis that mutated residues are unimportant for binding but rather are important for transducing binding into a conformational change associated with “potentiation.” The neurosteroid 3 $\alpha$ -OH group was hypothesized to pair with the Q241 residue, while the C17 hydrogen bond acceptor interacts with the M4 residues 407/410 with the GABA<sub>A</sub> receptor  $\alpha$  subunit [49,50] In homology models, the M4 transmembrane domain of cys-loop receptors has the most contact with the surrounding lipid

environment (Figure 2b, c)[51]. As discussed below, this may have significance for the access of lipophilic neurosteroids to these putative binding sites.

A separate site involving the M1 domain of the  $\alpha 1$  subunit and the M3 domain of the  $\beta$  subunit of GABA<sub>A</sub> receptors may mediate neurosteroid gating of the channel [49,50] (Figure 2b and 2c). Although each native receptor contains two neurosteroid binding sites arising from two  $\alpha$  subunits, studies with mutated and wildtype concatemeric receptors (receptors constructed from two or more subunits tethered to each other by linking amino acids) show that a single functional neurosteroid site can yield nearly full potentiation [52,53]. Taken together with the enantiomeric specificity properties of neurosteroids, these studies demonstrate that neurosteroids likely augment GABA<sub>A</sub> receptor function by selective interactions with specific sites within the receptor.

### Neurosteroids may access an aqueous accessible domain on receptor targets

One possibility for explaining how neurosteroids interact with GABA<sub>A</sub> receptors is that they could target an aqueous accessible domain of the receptor (Figure 3a). Although this is a conventional model for a ligand-receptor interaction, we know of no direct evidence that it pertains to neurosteroid interactions with GABA<sub>A</sub> receptors. If neurosteroids indeed bind within transmembrane domains [49], it is difficult to envision how aqueous ligands access these sites. Nevertheless, some receptors, such as adrenergic receptors (ARs), have ligand binding sites within water-filled transmembrane domains [54].

### Neurosteroids may target a membrane-accessible domain of receptors

We believe that at least six direct and indirect lines of evidence support our view that neurosteroids access their binding sites through membrane access (Figure 3b) [3–5, 55]. First, fluorescently-labelled neurosteroid analogues that retain activity at GABA<sub>A</sub> receptors accumulate and departition into cells and membranes, and this non-specific lipid accumulation and departure temporally coincide with biological effects [3–5]. Second, cell-attached patch recordings show that channels in a patch of membrane isolated from direct aqueous exposure to neurosteroid are modulated by bath-applied neurosteroid [3]. Third, potentiation in excised membrane patches persists following removal of aqueous neurosteroid [3]. Fourth, a membrane-impermeant neuroactive steroid potentiates only when applied to the intracellular side of a membrane patch [3]. Fifth, neurosteroid directly applied intracellularly through a whole-cell patch pipette can modulate GABA<sub>A</sub> receptors [3]. Finally, and most directly, offset kinetics of neurosteroid potentiation, after removal of free aqueous ligand, are dramatically speeded by an extracellular scavenger of free neurosteroid [3, 5, 56]. This suggests that neurosteroids do not bind tightly to the receptor, where the neurosteroid would be inaccessible to the scavenger. Rather, this observation is more consistent with the idea that ligand rapidly associates with and dissociates from the receptor into the lipid phase, where it is accessible to the scavenger. Taken together, these results suggest that the partitioning of neurosteroids into the plasma membrane is an important step for effective neurosteroid modulation of GABA<sub>A</sub> receptors, although additional approaches towards testing this hypothesis must be undertaken.

These concepts of neurosteroid access have precedent with other ligands and receptors. Although there is evidence for an extracellular binding site for pregnenolone sulfate on NMDA receptors [57], other work suggests pregnenolone sulfate and also ketamine access receptors through a membrane route [58,59]. Ethanol may interact with protein targets through transmembrane access [60]. Lipophilic cannabinoids partition into plasma membranes before accessing their receptor sites. Cannabinoid hydroxyl groups face the membrane surface and interact with polar head groups of phospholipids, while the tricyclic ring structure or the unsaturated carbon tail of anandamide is accommodated by the hydrophobic tails of phospholipids [61]. Other documented examples of modulators that use membranes to access

a transmembrane target protein include toxins and neuroactive peptides that act on potassium channels and mechanosensitive channels, respectively [62,63]. Thus, membrane access is likely to be more relevant than is currently widely realized.

## Conceptualizing lipid versus receptor binding contributions to neurosteroid actions

One implication of membrane access might be that for more lipophilic neurosteroid analogues, lower aqueous concentrations are needed to achieve the same steady-state membrane concentration compared to less lipophilic ligands. Therefore, while the  $EC_{50}$  for two analogues may differ dramatically, their affinity may be similar (Box 2 and Figure 3) [5]. To conceptualize how both steady-state and kinetic parameters are affected by lipophilicity and to demonstrate how high sensitivity (low  $EC_{50}$ ) can arise from a low-affinity transmembrane ligand interaction site, we produced a simple model of a ligand-receptor interaction (Figure 3c). In this model, rather than a typical aqueous diffusion-limited reaction, neurosteroid-receptor binding occurs from an intermediate compartment, meant to represent the lipid bilayer. Rate constants out of this membrane compartment were adjusted to simulate neurosteroids of different  $\log P$  ( $=\log \{[\text{neurosteroid}]_{\text{octanol}}/[\text{neurosteroid}]_{\text{water}}\}$ ) values (ie. the higher a neurosteroid's lipophilicity, the higher the  $\log P$  value). Parameters for the receptor were chosen to simulate a low-affinity neurosteroid-receptor interaction. As expected, simulating a dose-response curve using rate constants that allow for rapid equilibration of neurosteroid between aqueous and membrane compartments without accumulation in the membrane ( $\log P = 0$ ) confirms a low affinity interaction (Figure 3d, black curve). Changing only the rate constants for neurosteroid accumulation in the membrane compartment such that  $\log P = 2$  results in a simulated dose-response curve that is shifted to the left (more potent) compared to the baseline dose-response curve (Figure 3d, red curve). Changing only the rate constants for neurosteroid accumulation such that  $\log P = 4$  results in a further left-shift of the dose-response curve (Figure 3d, gray curve). Note that the rates of neurosteroid-receptor binding and unbinding (the  $K_d$ ) were not changed in any of these simulations. Thus, increasing neurosteroid lipophilicity in the simulations results in higher neurosteroid apparent affinity ( $EC_{50}$ , Figure 3d). Although these data are only correlative, kinetics and steady-state  $EC_{50}$  values in this simple model match experimental data obtained from neurosteroids of different lipophilicity [5].

## Implications of neurosteroid membrane access

We speculate that these considerations supporting membranous receptor access may help reconcile some of the anomalies that are starting to emerge with regard to amino acid residues implicated in the binding of neurosteroids to receptor targets (see Box 1). The high sensitivity of  $GABA_A$  receptors for neurosteroids (low  $EC_{50}$ ) is observed only with respect to aqueous concentrations. The plasma membrane concentration at steady-state is likely  $>10,000$  times that of the aqueous compartment (this calculation is based on a  $\log P$  value of 4.2, which is the estimated  $\log P$  of  $3\alpha 5\alpha P$ ). Thus, although aqueous  $EC_{50}$  concentrations for neurosteroid potentiation of  $GABA_A$  receptors may be 3–100 nM [4,55], the membrane concentration (i.e., at the binding site) is likely 50  $\mu M$  - 1.7 mM. This range may better reflect the site's affinity (although even these estimates do not directly reflect affinity because of transduction steps between binding and receptor modulation [64]). Thus, neurosteroid binding to transmembrane  $GABA_A$  receptors likely differs from classical steroid binding to non-membrane receptors, where direct binding studies on soluble receptors confirm a genuine high-affinity ligand-receptor interaction in the sub-nanomolar range (e.g., [65]). Thus, for membranous ligands like neurosteroids,  $EC_{50}$  values in the nanomolar range may be misleading.

We propose that there are at least three implications of the idea that neurosteroids follow a membranous route of access to a low-affinity receptor target. First, some drug lipophilicity

must be maintained when designing new steroid molecules, in order to achieve a reasonable drug  $ED_{50}$ . If the compound is too hydrophilic, it will not reach the receptor target at reasonable aqueous concentrations. Second, different lipid compositions of cell membranes may result in kinetic and/or steady-state sensitivity differences of neurosteroid actions [66]. Finally, for endogenous neurosteroids synthesized within a cell, retention in cell membranes will likely dramatically alter the time course and concentration that reaches the target (Figure 3e). Induced synthesis would need to proceed for minutes rather than seconds to produce relevant membrane concentrations (Figure 3e2 vs. Figure 3e3). Whether the binding site for neurosteroids is aqueous or membranous, retention of lipophilic neurosteroids by intracellular and plasma membranes will hinder the departure of newly synthesized neurosteroids to free circulation. It thus seems likely that neurosteroid actions, like those of lipophilic endocannabinoids, are limited to local spheres of autocrine and paracrine influence near sites of synthesis. Neurosteroid log P values are lower than those of cannabinoids, and cannabinoids are well documented to diffuse short intercellular distances (e.g., across synaptic clefts). Thus, some neurosteroid likely escapes beyond the cell of origin. Because  $GABA_A$  receptors are confined mostly to postsynaptic compartments, neurosteroids synthesized by glutamatergic neurons may mainly influence somatodendritic regions of other nearby principal neurons. Finally, because most measurements of endogenous neurosteroid concentrations do not distinguish intracellular, membrane, and aqueous concentrations, it is difficult to predict the strength of receptor modulation by endogenous neurosteroids.

## Concluding remarks and future directions

Neurosteroids remain of interest because of their strong potentiating effects on  $GABA_A$  receptor function and their potential clinical relevance. Recent work demonstrates that excitatory principal neurons produce neurosteroids. Non-specific cellular retention and membrane accumulation may be important to the time course and steady-state effects of neurosteroids in the context of transmembrane domain interaction sites. Neurosteroids may be an example of a growing list of ligands that access their receptor by a membranous, rather than aqueous, route. A low-affinity transmembrane site would promote local influences among the principal cells that synthesize neurosteroids, possibly effectively fostering dampened local circuit excitation.

Future work, using complementary and novel experimental approaches to those described here, will be valuable in testing and refining these ideas. For example, to test the idea of local synthesis, additional immunostaining and in situ hybridization studies for steroidogenic enzymes will confirm cell types where synthesis occurs and hopefully clarify conditions under which synthesis is stimulated in various cell types. Ideally, future techniques should be developed for distinguishing endogenous steroid concentration in membrane compartments versus soluble fractions in an effort to elucidate the actual concentration localized near receptors. To test how far neurosteroids diffuse from their source of synthesis, fluorescently-tagged neuroactive steroids may be helpful [3]. In addition, artificial bilayer experiments, manipulations of the plasma membrane composition of cells, and molecular dynamics simulations may all be helpful to further test the idea that neurosteroid-plasma membrane interactions are important in the kinetic and steady-state properties of neurosteroid-receptor interactions. Improved understanding of neurosteroid access to, and interactions with, receptors may also improve future drug design and increase the prospects for a clinically useful neuroactive steroid.

**Box 1****Caveats of the classical receptor binding site view**

Anomalies inconsistent with a simple lock-and-key binding site for neurosteroids are emerging. For instance, recent evidence supports a homology model of GABA<sub>A</sub> receptors in which putative ends of the binding cavity for neurosteroids are not sufficiently close to each other to accommodate neurosteroid binding [67]. In addition, the neurosteroid direct gating site is predicted to overlap with the binding of the general anesthetic etomidate, a modulator whose site has been established through photoaffinity labeling [68]. Neurosteroids, however, do not occlude etomidate binding [69], questioning the validity of homology models on which the putative neurosteroid binding pockets are based. Other results, including steroid analogues whose actions at GABA<sub>A</sub> receptors are unaffected by mutation of the specific residues outlined above [42] and non-steroid modulators whose actions are affected by these mutations [70], are difficult to reconcile with a simple “lock-and-key” site contributed by these residues. Perhaps surrounding residues can also contribute to the binding site in the case of non-steroidal ligands, or perhaps the interaction site is not as structurally-selective as a traditional “lock-and-key” site suggests.

**Box 2****EC<sub>50</sub> vs. affinity**

EC<sub>50</sub> is an empirical term that relates free (aqueous) concentration of a ligand to a measured effect. The EC<sub>50</sub> is the free ligand concentration required to achieve a half maximum response (maximum binding, maximum electrical current, maximum heart rate, etc.).

By contrast to EC<sub>50</sub>, affinity is a parameter associated with a receptor’s microscopic association and dissociation rate constants for a ligand. Typically, affinity is measured as K<sub>d</sub>, the ratio of the dissociation rate constant to the ligand’s association rate constant (k<sub>off</sub>/k<sub>on</sub> in the parlance used in Figure 3). As for EC<sub>50</sub>, units are in molarity.

Although the temptation is to equate EC<sub>50</sub> with affinity (K<sub>d</sub>), there are several well documented examples of ligand-receptor interactions in which EC<sub>50</sub> is distinct from affinity (K<sub>d</sub>) [64,71,72]. For instance, mutations can affect a ligand-gated receptor’s EC<sub>50</sub> for agonist by affecting the rates of entry into or out of the channel open state, which define the agonist’s efficacy, but do not affect the microscopic binding constants (K<sub>d</sub>) [64]. In these examples, EC<sub>50</sub> changes but affinity does not.

We raise another example of potentially discrepant EC<sub>50</sub> and affinity. In this case the incongruity arises from using the wrong independent variable to define EC<sub>50</sub>. The free aqueous concentration of a steroid is usually used to define receptor sensitivity and EC<sub>50</sub>; typical values for neurosteroid EC<sub>50</sub> are ~100 nM. This is usually interpreted as a relatively high affinity interaction. However, for a lipophilic ligand, which may interact with a receptor from a lipid route of access rather than an aqueous route of access, the free membrane concentration would be more relevant for steady-state binding than the free aqueous concentration. From calculated log P values, the free steroid membrane concentration may be 4 orders of magnitude higher than the free aqueous concentration. Therefore, the true EC<sub>50</sub> is probably closer to 1 mM, suggesting a weak interaction between steroid and receptor.

## Acknowledgments

We thank lab members for support and Drs. Joe Henry Steinbach, Alex Evers, Gustav Akk, and Dave Reichert for discussion and their contributions to the work described here. We would like to dedicate this article to the memories of Drs. Erminio Costa and Alastair Hosie, both of whom made pivotal contributions to the field. This work was supported by NIGMS, NIMH, NIAAA, NINDS and the Bantly Foundation.

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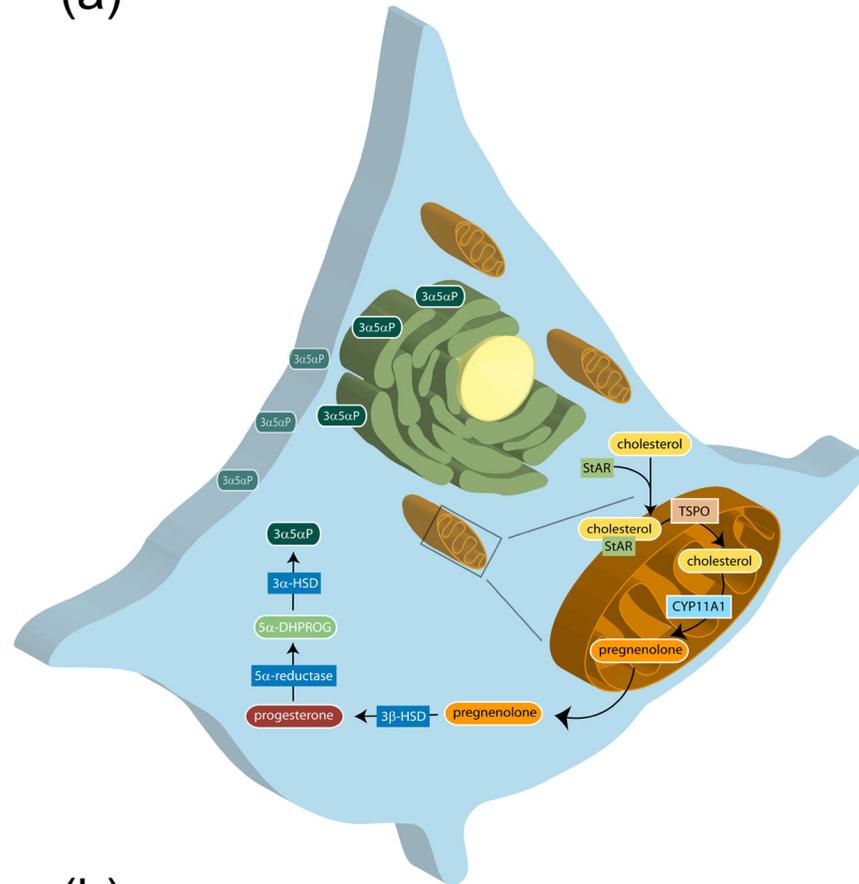
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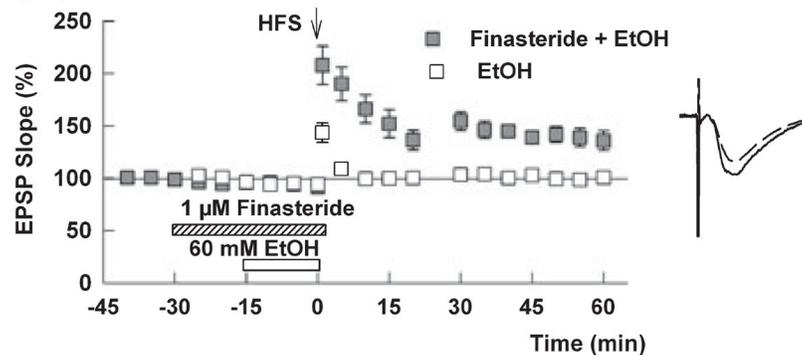
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(a)

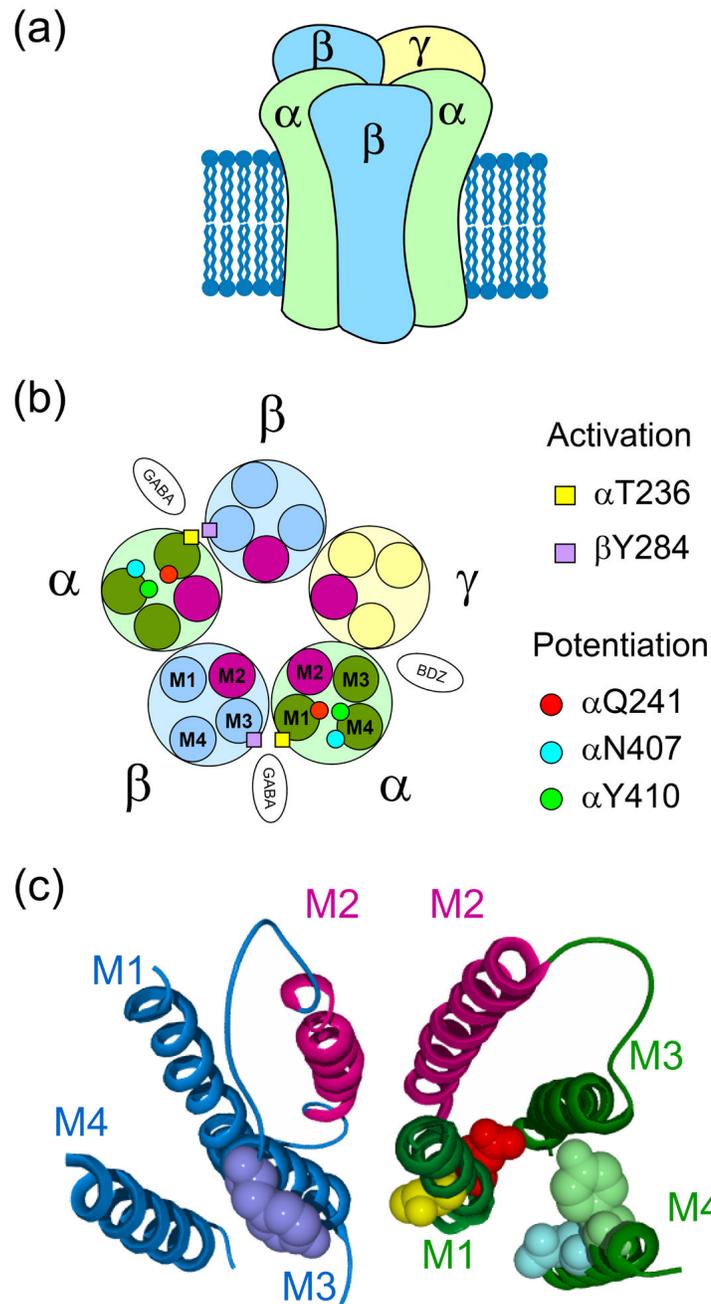


(b)

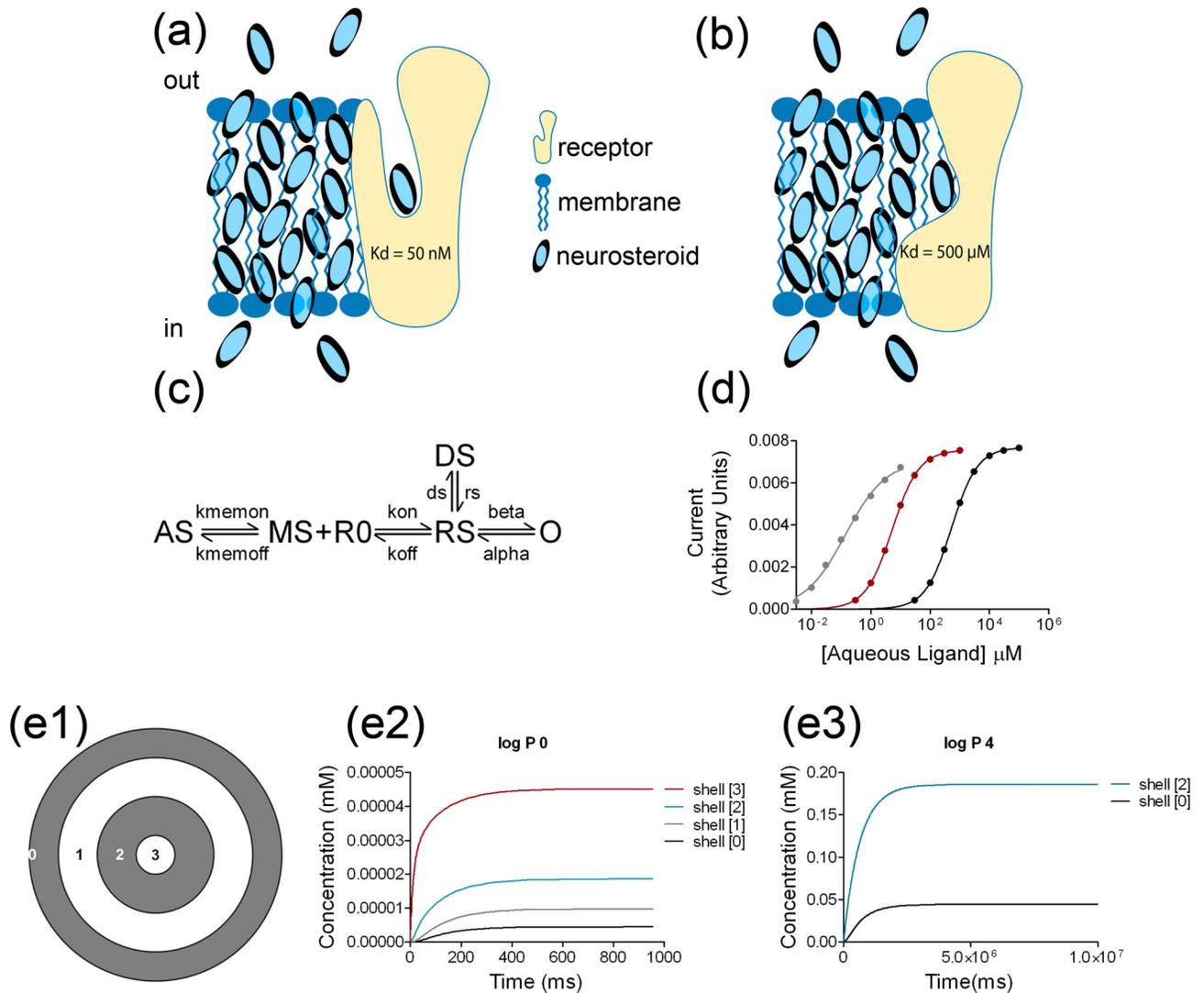
**Figure 1.**

Biosynthesis of neurosteroids and endogenous steroid effects. (a) Protein and enzymes involved in steroid synthesis are: StAR, which shuttles cholesterol from the cytoplasm to the outer mitochondrial membrane; TSPO, which moves cholesterol from the outer to the inner mitochondrial membrane; CYP11A1, which converts cholesterol to pregnenolone. After exiting mitochondria, pregnenolone is catalyzed by 3 $\beta$ -hydroxysteroid dehydrogenase/isomerase, 5 $\alpha$ -reductase and 3 $\alpha$ -hydroxysteroid dehydrogenase to generate 3 $\alpha$ 5 $\alpha$ P, the major product of this pathway, which likely accumulates in the endoplasmic reticulum and plasma membrane. (b) Finasteride (1  $\mu$ m, hatched bar), an inhibitor of 5 $\alpha$ -reduced steroid synthesis,

blocks the ability of 60 mM ethanol (EtOH, white bar) to inhibit long-term potentiation (LTP). Panel b is reprinted by permission from reference [32].



**Figure 2.** Transmembrane neurosteroid sites on GABA<sub>A</sub> receptors. (a) Heteropentameric GABA<sub>A</sub> receptor representation and (b) schematic view showing residues involved in potentiation (Q241, N407 and Y410 residues within  $\alpha$  subunits) and activation (residues T236 and Y284 within the  $\alpha$  and  $\beta$  subunits, respectively) by neurosteroids. GABA and benzodiazepine (BDZ) binding sites are also indicated. (c) Neurosteroid binding residues in ribbon structures of  $\alpha 1$  and  $\beta 2$  subunits. Residues are color coded as in (b). Panels b and c are reprinted with permission of Macmillian Publishers Ltd from reference [49].

**Figure 3.**

Implications of membranous, low affinity binding site(s) for neurosteroids on GABA<sub>A</sub> receptors. (a) A simplified cartoon showing how ligand might access a transmembrane domain via aqueous access. In this case aqueous concentration is directly relevant to receptor  $K_d$ . Although neurosteroid may accumulate in the membrane at much higher concentrations than in the aqueous phase, the membrane concentration is irrelevant to binding. (b) An alternative view is that the transmembrane binding site is accessed by lateral membrane diffusion. The high membrane concentration is directly relevant to ligand binding at transmembrane interaction sites. (c) Kinetic scheme for simulations of conventional aqueous ligand access versus membrane access to a receptor. AS: aqueous steroid ligand; MS: membrane steroid; R0: unbound receptor; RS: ligand bound receptor; O: open channel; DS: a liganded desensitized state. Only the open state is conducting. (d) Concentration-response curves for peak responses from data generated from the model. Simulated agonist log P values of 0 (black), 2 (red), and 4 (gray) are shown.  $EC_{50}$  values: 519.4  $\mu$ M, 5.26  $\mu$ M and 0.14  $\mu$ M, respectively; Hill coefficient of 0.99, 0.97 and 0.64, respectively. The lower Hill coefficient for the gray trace may result from the slower delivery of steroid to the receptor transmembrane compartment, combined with receptor desensitization. All parameters except  $K_{\text{memon}}$  and  $K_{\text{memoff}}$  were held

constant in all simulations, including  $K_{on}$  and  $K_{off}$ . For aqueous ligand,  $K_{memon}$  and  $K_{memoff}$  were set to equal values, more rapid than the other rate constants in the scheme. For ligand of  $\log P$  of 2 and 4,  $K_{memoff}$  was set to be 100-fold and 10000-fold slower respectively than  $K_{memon}$ . See reference [5] for additional details. Panels c and d are reprinted by permission from [5] (e) Simulations of intracellular steroid accumulation. (e1) Cross section of a model cell, 30  $\mu\text{m}$  in diameter and length. For simulations in e2, all the concentric shells represent aqueous compartments. For simulations in e3, the gray shells represent membranous compartments, whereas the white shells represent aqueous compartments. The inner shell has a radius of 2.5  $\mu\text{m}$  and the distance from the outer edge of the shell to the inner edge of the next shell is 5  $\mu\text{m}$ . The numbers in the shells represent the locations of calculated concentrations. (e2) A uniform aqueous environment (no membrane accumulation). Synthesis occurs in Shell[3] at an arbitrary rate of 50 nmoles  $\mu\text{m}^{-1} \text{ms}^{-1}$ . Concentration decreases monotonically from this site in the more distal shells. (e3) Same simulation, except that Shell [0] represents the plasma membrane and Shell[2] represents intracellular membrane. A  $\log P$  of 4 is assumed. The approximately 10,000-fold difference in time scales between e2 and e3 is a direct result of the change in rates used to simulate a ligand of  $\log P = 4$ . In panel e3, concentration in the aqueous compartments is not discernible on this scale. Modeling was performed in the NEURON simulation environment (<http://www.neuron.yale.edu/neuron/>).