# **Improvements in heart rate variability with exercise therapy**

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Heart rate variability (HRV) is a noninvasive, practical and reproducible measure of autonomic nervous system function. A heart rate that is variable and responsive to demands is believed to bestow a survival advantage, whereas reduced HRV may be associated with poorer cardiovascular health and outcomes. In recent years, many researchers have investigated the prognostic implications of HRV in a variety of clinical populations. Evidence suggests that reduced HRV has prognostic significance for individuals with myocardial infarction, chronic heart failure, unstable angina and diabetes mellitus. Interventions to increase HRV, such as exercise therapy, have also been examined. The findings of the present review suggest that exercise therapy may improve HRV in myocardial infarction, chronic heart failure and revascularization patients by increasing vagal tone and decreasing sympathetic activity. One hypothesis is that a shift toward greater vagal modulation may positively affect the prognosis of these individuals. While the underlying mechanisms by which exercise training improves vagal modulation are speculative at present, angiotensin II and nitric oxide may be potential mediators.

**Key Words:** *Autonomic nervous system; Exercise therapy; Heart rate variability; Prognostic*

In 1965, Hon and Lee (1) were the first to describe the significance<br>Lof heart rate variability (HRV) in the clinical setting. They noted n 1965, Hon and Lee (1) were the first to describe the significance that reduced beat-to-beat variation of the fetal heart was associated with fetal distress before a detectable change in heart rate. Over the years, reduced HRV has been found to be associated with the development of many cardiovascular conditions, including coronary artery disease (2), hypertension (3), chronic heart failure (CHF) (4) and myocardial infarction (MI) (5), as well as poorer cardiovascular outcomes in those who already have disease (6-9). In contrast, a heart rate that is variable and responsive to demands is believed to bestow a survival advantage (10). Since the 1990s, there has been plenty of research on the prognostic implications of HRV in clinical populations. Interventions to increase HRV, such as exercise therapy, have also been examined given the theoretical plausibility that improvements in HRV may lead to improved outcomes. The purpose of the present review is to describe the principles underlying HRV, describe the parameters used to assess HRV, discuss the prognostic value of HRV in clinical populations, discuss the use of exercise therapy as a method of HRV modification in clinical populations, and discuss the potential mechanisms by which exercise therapy may modify the HRV of individuals with a variety of clinical conditions.

# **PrinciPles underlying HrV**

HRV is a noninvasive, practical and reproducible measure of autonomic nervous system function (11). Although heart rate may be reasonably stable, the time between two heart beats can be considerably different. HRV is the beat-to-beat variation in time of consecutive heartbeats expressed in normal sinus rhythm on electrocardiogram recordings, ranging from a few minutes to 24 h (10-12).

# **les améliorations de la variabilité de la fréquence cardiaque grâce à la rééducation par l'exercice**

La variabilité de la fréquence cardiaque (VFR) est une mesure non effractive, pratique et reproductible de la fonction du système nerveux autonome. On pense qu'une fréquence cardiaque variable et réactive aux exigences confère un avantage pour la survie, tandis qu'une VFC réduite pourrait s'associer à une moins bonne santé cardiovasculaire et à des issues plus négatives. Ces dernières années, de nombreux chercheurs ont exploré les conséquences pronostiques de la VFC dans diverses populations cliniques. Selon les données probantes, une VFC réduite a une signification pronostique pour les personnes ayant un infarctus du myocarde, une insuffisance cardiaque chronique, une angine instable et un diabète. Les interventions visant à accroître la VFC, telles que la rééducation par l'exercice, ont également fait l'objet d'un examen. D'après les observations de la présente analyse, la rééducation par l'exercice peut améliorer la VFC en cas d'infarctus du myocarde, d'insuffisance cardiaque chronique et de revascularisation, car elle accroît le tonus vagal et réduit l'activité sympathique. On postule qu'une transition vers une modulation vagale accrue pourrait avoir un effet positif sur le pronostic de ces personnes. Les mécanismes sous-jacents par lesquels l'entraînement à l'exercice améliore la modulation vagale demeurent encore spéculatifs, mais l'angiotensine II et le monoxyde d'azote pourraient constituer des médiateurs potentiels.

HRV is believed to correspond to the balance between the sympathetic and parasympathetic influences on the sinoatrial node's intrinsic rhythm (12). The ability of the autonomic nervous system and sinoatrial node to respond dynamically to environmental changes results in increased HRV and generally indicates a healthy heart. A reduction in HRV is believed to indicate an inability or attenuation in the autonomic nervous system's or sinoatrial node's responsiveness to change (11).

## **HrV assessment**

Time domain measures are the original and simplest method for deriving HRV (12). Time domain measures plot HRV as the change in normal R wave to R wave (N-N) intervals over time (13). Power spectral analysis or frequency domain analysis is another commonly used method for assessing HRV. This method involves plotting the frequency at which the length of the N-N interval changes (13). Cyclic fluctuations of the N-N intervals are computed by the fast Fourier transformation or autoregression (complex demodulation) (14). Novel spectral and nonlinear HRV measures are more recent approaches for measurement of HRV. Novel spectral indexes of HRV include V<sub>index</sub> and prevalent lowfrequency oscillation of heart rate. Nonlinear HRV indexes include alpha-1  $(\alpha_1)$ , beta  $(\beta)$  and the ratio of intermediate-term variability to short-term variability (SD12). They represent the structure of heart rate time series as opposed to the amount of HRV at a particular period of time, as is measured with the time and frequency domain parameters (8,15). Each of these HRV assessment techniques is described in Table 1. For a more in-depth methods description of HRV measures, the reader is referred to the Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology (5); Lahiri et al (16); Seely and Macklem (17); and Stein and Reddy (18).

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# **Table 1 Selected heart rate variability (HRV) measures**



*α1 Alpha-1; β Beta; N/A Not applicable*

# **Prognostic Value oF HrV in clinical PoPulations**

#### **mi**

Researchers have extensively used time domain parameters to assess the prognostic significance of HRV in individuals with an MI (Table 2). In a retrospective analysis of 1284 MI patients, La Rovere et al (19) found that a 24 h SD of all N-N intervals (SDNN) of less than 70 ms significantly and independently predicted cardiac mortality (RR 3.2; 95% CI 1.6 to 6.3). Reduced SDNN and reduced square root of the mean of the sum of squares of successive N-N interval differences have also been reported as independent predictors of mortality in several additional studies (9,20-22). Short-term (5 min) HRV studies have also been performed in this population, with similar findings (23). It is worth noting that 24 h SDNN was not found to be predictive of mortality in high-risk patients with a recent MI, regardless of whether the individual received an implantable cardioverter defibrillator (24). Discrepant findings in this study may be attributable to the inclusion of such high-risk subjects.

Decreased ultra low-frequency power (ULF), very low-frequency power, low-frequency power (LF) and/or variance of all N-N intervals have been found to be independently predictive of mortality in patients who experienced an MI (8,9,15,25-27). Tapanainen et al (15) reported that ULF (less than  $8.45 \ln ms^2$ ), very low-frequency power (less than 5.30  $\ln$  ms<sup>2</sup>) and LF (less than 3.85  $\ln$  ms<sup>2</sup>) were independent predictors of mortality, with RRs of 2.1 (95% CI 1.1 to 4.0), 2.5 (95% CI 1.2 to 5.1) and 2.2 (95% CI 1.1 to 4.5), respectively, in a sample of 697 MI patients followed for a mean (± SD) period of 18.4±6.5 months. Twenty-four hour nonlinear measures also appear to hold prognostic significance for MI patients (8,15,22,28). Specifically, Kiviniemi et al (26) found that after controlling for ejection fraction, age and diabetes in 590 MI subjects followed for an average of 39 months, reduced  $V_{index}$ (less than 4.45 ms<sup>2</sup>) was the most powerful independent predictor of sudden cardiac death (RR 4.2; 95% CI 1.2 to 15.2), while an increased prevalence of low-frequency oscillation (0.11 Hz or greater) and diminished  $\alpha_1$  (lower than 0.75) predicted nonsudden cardiac death with RRs of 4.3 (95% CI 1.6 to 11.4) and 5.2 (95% CI 2.2 to 12.3), respectively.

### **cHF**

Abnormalities in 24 h HRV indexes were found to be predictive of poorer outcomes in the CHF population (Table 2). Galinier et al (29) reported that CHF patients with an SDNN of less than 67 ms were at a 2.5-fold increased risk for all-cause mortality and progressive heart failure death (RR 2.5; 95% CI 1.5 to 4.2). Additionally, a lower daytime LF power (less than 3.3 ln ms<sup>2</sup>) was found to predict sudden death by 2.8-fold (RR 2.8; 95% CI 1.2 to 8.6) in this population. Several additional research groups have reported that reduced SDNN, SD of 5 min average N-N intervals, variance of all N-N intervals, ULF, LF and  $\alpha_1$  HRV parameters were independent predictors of mortality among individuals with CHF (30-34). Short-term (5 min) controlled breathing LF power (13 ms<sup>2</sup> or less) (RR 3.0; 95% CI 1.2 to 7.6) (35) and LF to high-frequency power (HF) ratio (hazard ratio 0.8; 95% CI 0.7 to 0.96) (36) were also found to have independent prognostic value for CHF patients at follow-up.

Although it would appear that the short-term and long-term LF spectral components have similar predictive power for CHF patients, there is a greater breadth of evidence in support of the predictive value of 24 h HRV assessment. Specifically, the SDNN parameter appears to hold a great deal of predictive significance among those with CHF. When compared with CHF patients with an SDNN of greater than 100 ms, those with an SDNN of less than 50 ms had an RR of 9.4 (95% CI 4.1 to 20.6) and had a 51.4% annual mortality rate. Those with an SDNN between 50 ms and 100 ms had an RR of 2.4 (95% CI 1.2 to 4.5) and an annual mortality rate of 12.7% (33). Similarly, Bilchick et al (31) found that individuals in the lowest SDNN quartile (65.3 ms or less) were at the greatest risk of all-cause mortality compared with individuals in the higher quartiles (P<0.0001). Interestingly, they also discovered a 20% decrease in mortality risk with each increase of 10 ms in the SDNN parameter (P=0.0001) based on a Cox proportional hazards model.

#### **unstable angina**

HRV may be an independent prognostic determinant for individuals with unstable angina (Table 2). In 2006, Lanza et al (37) reported that an LF of less than 15.6 ms was an independent predictor of in-hospital mortality (OR 4.5; 95% CI 1.0 to 19.7), six-month total mortality (RR 2.2; 95% CI 1.1 to 4.0) and cardiac mortality (RR 2.9; 95% CI 1.3 to 6.5). In another study (38), a trend for a higher LF/HF ratio was found to independently predict an in-hospital event (cardiac death, MI or coronary revascularization) in patients with unstable angina (OR 2.8; 95% CI 0.6 to 15.3).

#### **coronary artery bypass grafting**

Although reduced HRV has been found to be associated with a worse prognosis in several cardiac populations, so far, prospective studies have found HRV assessment to provide no prognostic significance among individuals who have undergone coronary artery bypass grafting (CABG) surgery (39) (Table 2). Stein et al (40) found a similar result in their retrospective analysis of the Cardiac Arrhythmia Suppression Trial (CAST). This suggests that HRV may not be a uniform prognostic indicator in all clinical populations (39). It has been proposed that decreased HRV may be the result of increased sympathetic activity during CABG surgery. However, it is possible that a completely different mechanism is responsible for autonomic denervation in this group (39).

#### **diabetes mellitus**

Impaired autonomic nervous function among patients with diabetes as assessed by HRV has been observed in otherwise healthy adults (41,42), as well as in children (43) and adolescents (41,44). Both short-term (45-47) and 24 h (48,49) assessments have revealed the prognostic significance of HRV among individuals with type 1 and/or type 2 diabetes (Table 2). HRV indexes have been associated with carotid intima-media thickness (49) and progressive renal deterioration (48). Decreased HRV among individuals with diabetes has also been found to be predictive of cardiovascular morbidity and mortality (45-47). Astrup et al (45) found that type 1 diabetic patients with an HRV of 10 beats/min or less had an HR of 4.9 (95% CI 2.1 to 11.5) for fatal and nonfatal cardiovascular events compared with those with a normal HRV of 15 beats/min or more.

In summary, time domain, frequency domain, spectral and nonlinear measures of HRV have been evaluated as a means of predicting shortand long-term outcomes. Overall, evidence suggests that HRV assessment has prognostic significance for individuals with MI, CHF, unstable angina and diabetes such that decreased HRV predicts adverse events and poor outcomes. However, there appears to be no prognostic power associated with HRV assessment in the CABG population.

## **exercise tHeraPy and HrV modiFication in clinical PoPulations**

Exercise therapy has been shown to increase HRV in healthy individuals (50-52). Therefore, exercise training may improve cardiac autonomic regulation in a variety of clinical populations including individuals with MI, HF, CABG, percutaneous transluminal coronary angioplasty (PTCA) and diabetes mellitus. One hypothesis is that physical exercise modulates cardiac autonomic control by lessening sympathetic influence and enhancing vagal tone (53). This shift toward greater vagal modulation may positively affect the prognosis of individuals with a variety of morbidities (53).

#### **mi**

Physical exercise is recommended for patients with cardiac disease including those who have experienced an MI. Several researchers have investigated the effects of exercise training on cardiac autonomic functioning in the post-MI population (54) (Table 3). La Rovere et al (55) used head-up tilt testing to evaluate HRV in 22 trained and untrained MI patients. They found that in the trained group, there were significantly greater LF increases (84±3 normalized units [nu] versus 69±5 nu) and HF decreases (7±1 nu versus 19±4 nu) during the head-up tilt test. The normal response to orthostatic stress, such as in the tilt test, is a reduction in vagal tone and an increase in sympathetic vasoconstrictor outflow due to initiation of the baroreceptors (56). Therefore, the findings of this study suggest that trained MI patients' autonomic pathways may be functionally better than MI patients who did not participate in a training program.

Several studies have documented improvements in HRV via participation in exercise training programs among MI patients. Sandercock et al (57) found that following an eight-week cardiac rehabilitation program, 21 male and 17 female participants had significant increases in HRV parameters compared with those not participating in the training program. In another study (58), researchers reported a 25% increase in SDNN, a 69% increase in the square root of the mean of the sum of squares of successive N-N interval differences, a 120% increase in the number of successive N-N intervals differing by more than 50 ms divided by the total number of successive N-N intervals and a 30% reduction in LF/HF ratio after 22 MI patients completed an eight-week endurance rehabilitation program. These improvements continued to be observed at one year following participation in the eight-week training program. In this study (58), participants were also encouraged to continue exercising at home two to three times per week following completion of the formal training program. Comparable improvements in HRV have been reported in studies of MI patients participating in unsupervised lowintensity walking programs as well as more intensive supervised exercise programs (59). It is important to note that the HRV benefits of cardiac rehabilitation have also been observed among MI patients who were hypertensive and normotensive (60). These initial findings suggest that improvements in HRV may be achieved from supervised or unsupervised exercise training programs of variable intensities and durations among MI patients with or without high blood pressure.

Researchers have also reported that a two-week exercise program consisting of two 30 min daily sessions of cycle ergometry at the anaerobic threshold facilitated parasympathetic nervous system activity recovery after an MI more quickly than for those participating in a walking cardiac rehabilitation program (54). Specifically, the delta value of HF was significantly greater for MI patients randomly assigned to the cycle ergometry exercise program compared with individuals participating in the walking program (30±6 ms<sup>2</sup> versus  $10±8$  ms<sup>2</sup>). The cycle ergometry exercise program participants continued to have greater increases in HF ( $55\pm8$  ms<sup>2</sup> to  $106\pm31$  ms<sup>2</sup>; P<0.05) than walking program participants (48±6 ms<sup>2</sup> to 66±14 ms<sup>2</sup>; P value nonsignificant) when re-evaluated at three months. These findings suggest that anaerobic threshold exercise training may also improve autonomic nervous function among individuals who have experienced an MI. At the same time, interpretations of these results are cautionary given that the walking protocol is described as "a conventional rehabilitation protocol" without inclusion of the exercise program's frequency or duration. In another study (53), the combination of beta-blocker medication along with cardiac rehabilitation in MI patients was found to increase HRV to a greater extent than in individuals only receiving beta-blockers or cardiac rehabilitation.

# **Table 2**

**Prognostic value of heart rate variability (HRV) among individuals with clinical conditions**



# **Table 2 – continued Prognostic value of heart rate variability (HRV) among individuals with clinical conditions**



*Age presented as mean (± SD) years. Follow-up data presented as mean ± SD unless otherwise indicated. \*Multivariate analysis unless otherwise stated. Refer to*  Table 1 for descriptions of α<sub>1</sub>, β, HF, LF, PLF, pNN50, rMSSD, SD12, SDANN, SDNN, TP, ULF, V<sub>index</sub> and VLF. AMI Acute myocardial infarction; CABG Coronary<br>artery bypass grafting surgery; CHF Chronic heart failure; CV r-*Electrocardiogram; EF Ejection fraction; ICD Implantable cardioverter defibrillator; LV Left ventricle; LVEF LV ejection fraction; LVESD LV end-systolic diameter; MI Myocardial infarction; mths Months; N-N Normal R wave to R wave (R-R) interval; NYHA New York Heart Association; pts Patients; VF Ventricular fibrillation; VPC Ventricular premature complexes; VT Ventricular tachycardia; wks Weeks; yr Year*

# **Table 3**

**Heart rate variability (HRV) modification via exercise therapy among individuals with clinical conditions**



active standing

# **Table 3 - continued**





*Age presented as mean (± SD) years. \*Patients with myocardial infarction (MI) undergoing conventional therapy, angioplasty or revascularization. Refer to Table 1 for descriptions of HF, LF, pNN50, rMSSD, SDANN, SDNN and TP. BB Beta-blocker; CABG Coronary artery bypass grafting; CHF Chronic heart failure; CR Cardiac rehabilitation; d day; DM Diabetes mellitus; ECG Electrocardiogram; HTN Hypertension; IHD Ischemic heart disease; LVEF Left ventricular ejection fraction; MSSD The mean of the sum of squared differences between R-R intervals; mth Month; NYHA New York Heart Association; pts Patients; PTCA Percutaneous transluminal coronary angioplasty; RRSD The standard deviation of mean R-R interval; wk Week; yr Year*

This finding suggests a potentially favourable interaction between physical training and antiadrenergic pharmacotherapy (53).

Although most studies have reported positive findings, one study found that improved HRV among MI patients was independent of exercise training (61). However, the researchers noted that a relatively high baseline HRV combined with a low-intensity training program and lack of activity monitoring in the control group may have impacted the

results (61). Thus, findings to date generally lend support to the notion that exercise therapy positively modifies HRV in MI patients.

### **cHF**

CHF is characterized by inadequate cardiac function, and is associated with reduced exercise tolerance (62) and HRV (63). Exercise training has been found to have beneficial effects on HRV in this clinical population (64-66) (Table 3). Malfatto et al (65) reported greater restoration of autonomic nervous system responsiveness to vagal and sympathetic stimulation in CHF patients who completed three months of low-intensity exercise therapy. After three months of low-intensity physical training (in which HR reached 40% to 50% of peak oxygen consumption), the LF/HF ratio at rest remained unchanged, but there was an 18% reduction in LF/HF ratio during controlled breathing (20 breaths/min; vagal stimulus) and a 79% increase in LF/HF after standing (10 min duration; sympathetic stimulus). These changes were more evident after six months of additional home-based training. Interestingly, it has been suggested that CHF patients may require more time to achieve modulation of autonomic tone and responsiveness than MI patients. This may be due, in part, to the chronic nature of heart failure, which may contribute to greater autonomic impairment (65).

Improvements in HRV among CHF patients have also been observed in supervised aerobic exercise programs (64), supervised resistance training programs (67) and home-based training programs (66). These findings suggest that a variety of exercise therapy programs may be used to progress toward greater HRV in the CHF population.

#### **angioplasty, caBg and unstable angina**

Among patients with coronary artery disease who have undergone PTCA or CABG, exercise therapy has been found to significantly increase various indexes of HRV (68-71) (Table 3). These findings suggest that analysis of HRV can be used to assess the effect of exercise training on cardiac autonomic dysfunction in this population. However, as previously mentioned, the predictive value of HRV in the CABG population remains to be demonstrated. In addition, we are unaware of exercise therapy studies of HRV among individuals with unstable angina.

#### **diabetes mellitus**

Several studies have examined the effect of exercise on HRV in patients with diabetes. Following participation in an exercise program, postexercise HF increased by 14% in 28 obese women with and without type 2 diabetes (72). Autonomic function did not differ between the two groups following exercise training, suggesting that there may be no additional benefit of exercise on HRV among obese women with type 2 diabetes. Zoppini et al (73) discovered that standing HF increased, and LF and LF/HF ratio decreased significantly in type 2 diabetic patients following a six-month exercise program of 70 min of moderate aerobic exercise twice weekly. It should be noted that this study did not include a control group. Loimaala et al (74) reported no differences in the time domain or frequency domain HRV measures in 24 men with type 2 diabetes who participated in an exercise training program. Additionally, there were no significant differences reported between the exercise and control groups (Table 3). Therefore, the findings to date are inconclusive with respect to the idea that exercise may improve parasympathetic regulation of heart rate among individuals with diabetes.

In summary, regular physical activity is known to reduce a person's risk of morbidity and mortality from a variety of diseases (75). The findings of the present review suggest that exercise therapy may improve HRV in MI, CHF, PTCA and CABG patients by increasing vagal tone and decreasing sympathetic activity. One hypothesis is that a shift toward greater vagal modulation may positively affect prognosis of individuals with a variety of morbidities (53). Furthermore, speculation could be made that there is a potential reduction in mortality and morbidity related to HRV change in patients who exercise.

# **Potential mecHanisms in tHe modiFication oF HrV By exercise tHeraPy**

Enhanced cardiac vagal tone may offer a survival advantage. Greater vagal influence decreases the amount of work and oxygen consumed by the heart via a reduction in resting heart rate and myocardial contractility (76). It appears that stimulation of the vagus nerve directly acts on the sinus node and the myocardium, and hinders sympathetic influences (76-78). Cardiac vagal tone may also reduce the risk of frequently lethal ventricular dysrhythmias including ventricular fibrillation (76).

Exercise training may enhance vagal tone and thereby decrease susceptibility to lethal arrhythmias (76). While the underlying mechanisms by which exercise training improves vagal modulation are speculative at present, angiotensin II and nitric oxide (NO) are potential mediators.

A potential mechanism underlying the exercise training-cardiac vagal tone association is angiotensin II. Angiotensin II is known to inhibit cardiac vagal activity (79). One theory is that exercise training suppresses angiotensin II expression (76). Researchers have also discovered that plasma renin activity levels are lower in athletes (long-distance runners) than in untrained individuals or nonathletes (80) and sedentary individuals (81). This finding is important given that athletes with lower plasma renin activity would presumably have lower angiotensin II and higher associated levels of cardiac vagal activity. Therefore, it is possible that the suppression of angiotensin II via exercise may, to some extent, mediate enhancement of cardiac vagal tone (76).

NO may also play a role in increasing cardiac vagal control and, in doing so, may indirectly inhibit sympathetic influences (82). Exercise training has been found to improve endothelial function (83) and NO bioavailability (84) among individuals with coronary risk or coronary atherosclerosis. Therefore, it is possible that the relationship between exercise and cardiac vagal activity is mediated, at least in part, by NO. However, more research is needed to clarify the possible role of NO in autonomic control (82) as well as its potential influence on the exercise- cardiac vagal tone relationship (76).

Limited research to date suggests that exercise training (endurance training in particular) increases cardiac vagal tone and reduces sympathetic cardiac influences (85). The exact mechanisms underlying the modification of HRV by exercise therapy are not known. Angiotensin II and NO have been proposed as potential mediators in this relationship. However, more research is required to substantiate these claims, particularly with respect to NO.

#### **conclusion**

Research findings suggest that decreased HRV is a prognostic indicator in individuals with a variety of clinical conditions. Research findings also suggest that depressed vagal cardiac modulation may contribute to adverse outcomes (68). A variety of supervised and unsupervised exercise therapy programs of variable intensities have increased HRV in individuals with cardiovascular diseases and diabetes. However, the mechanisms mediating the beneficial modification of HRV by exercise therapy are not known. Some evidence suggests that angiotensin II and NO may play mediating roles. Overall, the findings to date imply that exercise therapy exerts its influence on HRV via increasing vagal modulation and decreasing sympathetic tone. Further research is needed to identify the exercise regimen (ie, duration and intensity) that produces optimal improvements in HRV. Research is also needed to evaluate whether exercise interventions are effective long-term therapies for stimulating favourable autonomic nervous system alterations and producing meaningful improvements in outcomes in clinical populations.

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