

Brain diversity evolves via differences in patterning

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Edited by Gene E. Robinson, University of Illinois, Urbana, IL, and approved April 11, 2010 (received for review January 11, 2010)

Differences in brain region size among species are thought to arise late in development via adaptive control over neurogenesis, as cells of previously patterned compartments proliferate, die, and/or differentiate into neurons. Here we investigate comparative brain development in ecologically distinct cichlid fishes from Lake Malawi and demonstrate that brains vary among recently evolved lineages because of early patterning. Divergence among rock-dwellers and sand-dwellers in the relative size of the telencephalon versus the thalamus is correlated with gene expression variation in a regulatory circuit (composed of *six3*, *fezf2*, *shh*, *irx1b*, and *wnt1*) known from model organisms to specify anterior-posterior (AP) brain polarity and position the *shh*-positive signaling boundary *zona limitans intrathalamica* (ZLI) in the forebrain. To confirm that changes in this co-expression network are sufficient to produce the differences we observe, we manipulated WNT signaling in vivo by treating rock-dwelling cichlid embryos with temporally precise doses of LiCl. Chemically treated rock-dwellers develop gene expression patterns, ZLIs, and forebrains distinct from controls and untreated conspecifics, but strongly resembling those of sand-dwellers. Notably, endemic Malawi rock- and sand-dwelling lineages are alternately fixed for an SNP in *irx1b*, a mediator of WNT signaling required for proper thalamus and ZLI. Together, these natural experiments in neuroanatomy, development, and genomics suggest that evolutionary changes in AP patterning establish ecologically relevant differences in the elaboration of cichlid forebrain compartments. In general, variation in developmental patterning might lay the foundations on which neurogenesis erects diverse brain architectures.

cichlid | development | evolution | forebrain | WNT signaling

Arguably the most-studied vertebrate organ, the brain has played an important role in the evolution of our own species. Modifications of brain structure are responsible for novel behaviors that galvanized evolutionary radiation of the major vertebrate groups (1). Following decades of research in model organisms, we now know a great deal about how the process of development makes a brain (2). We know much less about evolutionary mechanisms of brain diversification.

The brain develops under the iterative influence of antagonistic anterior and posterior signaling molecules, inductive and repressive transcription factors that receive those signals, and lineage restriction boundaries that define compartments (2, 3). Just after gastrulation, the initial anterior-posterior (AP) polarity of the brain is established by a tug-of-war between posteriorizing signals (e.g., *wnt1*) secreted from the midbrain–hindbrain boundary (MHB) and WNT antagonists (e.g., *six3*, *tlc*) expressed from the anterior neural ridge (ANR). The MHB develops to demarcate the hindbrain from the fore- plus midbrain (Fig. S1). With the subsequent formation of the diencephalon–midbrain boundary and the *zona limitans intrathalamica* (ZLI), the forebrain and midbrain begin to follow separate paths of development.

These initial boundaries and signaling centers (i.e., ANR, MHB, ZLI) continue to direct additional patterning and morphogenesis within the three major brain regions. For example, the forebrain differentiates into rostral (e.g., telencephalon, hypothalamus) and caudal (e.g., thalamus) domains, mediated in part by the ZLI (4). As brain compartments are patterned, proliferating cells

within each compartment undergo neurogenesis, maturing and differentiating into functional neurons. Because brain patterning demarcates one region from another, specific compartments may initiate, prolong, and/or terminate neurogenesis independently (1, 5, 6). Given the continuum of patterning and neurogenesis in brain development, vertebrate lineages might evolve brain diversity by (i) varying the strength or timing of signals from the ANR and/or MHB; (ii) shifting the position of early patterning boundaries; (iii) altering the timing, rate, or extent of neurogenesis; or (iv) some combination thereof. Expectations from the field of evolutionary developmental biology suggest a focus on early patterning events, because such differences prefigure the diversity of animal body plans (7), jaws (8, 9), and dentitions (10). In contrast, our understanding of the brain departs from this notion, as an extensive literature highlights the role of neurogenesis in brain diversification. Most prominently, the “late equals large” model explains how the neocortex (i.e., telencephalon) has evolved to dominate the mammalian brain, and how individual lineages (e.g., primates) have further elaborated this region by tipping the balance among neural cell proliferation, differentiation, and apoptosis (5, 6, 11, 12). Addressing the genetic and developmental mechanisms of brain diversification in nature has been difficult, however, because few systems offer the necessary combination of a wide range of brain phenotypes and tractable experimentation with embryos, against a background of genomic and developmental similarity.

Results and Discussion

We used cichlid fishes from Lake Malawi to ask when and how brains develop diversity in recently evolved lineages. Cichlid adult brain variation is appreciable (Fig. 1A) and is correlated with ecology and behavior (13–15). For example, algal scrapers exhibit small optic lobes and large telencephala (and olfactory bulbs; Fig. 1B), whereas planktivores have enlarged optic lobes (Fig. 1D). “Sonar” hunters—species that feed by sensing vibrations—have large telencephala and cerebella. This diversity, similar to that observed across seven orders of mammals, including primates, insectivores, marsupials, cetaceans, and bats (16), has evolved rapidly. Hundreds of Lake Malawi cichlid species have diverged from a common ancestor in the last 500,000 years; their genomes are highly similar and retain ancestral polymorphism (17). Malawi cichlid brains are thus as different as those of long-diverged mammals [~150 million years (18)], but their genomes are comparable to those of any two humans.

Author contributions: J.B.S. and J.T.S. designed research; J.B.S. and C.A.R. performed research; J.B.S., Y.-H.E.L., M.J.v.S., and G.J.F. contributed new reagents/analytic tools; J.B.S., C.A.R., and Y.-H.E.L. analyzed data; and J.B.S. and J.T.S. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

Freely available online through the PNAS open access option.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1000395107/-DCSupplemental.

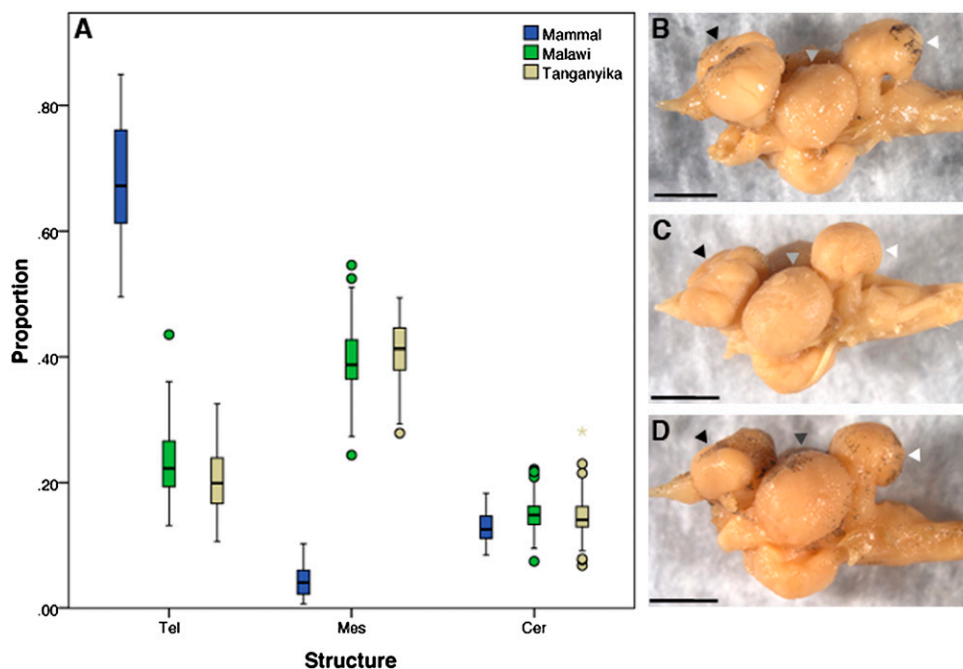


Fig. 1. Cichlid brains are diverse. (A) Cerebrotypes box plots of mammals (blue), Lake Malawi cichlids (green), and Lake Tanganyika cichlids (tan), grouped by brain proportions of the telencephalon (forebrain), mesencephalon (midbrain), and cerebellum (hindbrain). The heavy line in the middle of the box is the median value, the box itself is the 25–75% interval around the median, the bars are the 10–90% interval, and dots represent data points outside the 95% interval. Mammals have invested heavily in the neocortex (tel); cichlid brains are more proportional in their regional allocations. Despite an order of magnitude difference in divergence time, the range of variation in brain proportions is comparable from mammals to cichlids. Brains of rock-dwelling (mbuna) cichlids. (B) *L. fuelleborni* (LF, algivore). (C) *M. zebra* (MZ, generalist). (D) *C. afra* (CA, planktivore) in lateral view, anterior is to the left. Black arrowheads, telencephala; gray arrowheads, optic tecta; white arrowheads, cerebella. (Scale bar: 2 mm.) Note that the major midbrain structure of fishes (optic tectum) is of uncertain homology to mesencephalic derivatives in mammals.

We focused on species representing the range of ecotypes in Lake Malawi. We studied brain development of three rock-dwelling (mbuna) cichlids—*Labeotropheus fuelleborni* (LF; obligate algal scraper), *Maylandia zebra* (MZ; generalist), and *Cynotilapia afra* (CA; planktivore)—as well as three sand-dwelling nonmbuna—*Copadichromis borleyi* (CB; planktivore), *Mchenga conophorus* (MC, generalist), and *Aulonocara jacobfreibergi* (AJ; sonar hunter). Mbuna and nonmbuna represent distinct evolutionary groups, each containing hundreds of species, with generally contrasting lifestyles, body forms, visual systems, pigment patterns, and trophic adaptations (19–21).

Cichlid Forebrains Differ Early in Development. By stage 16 (Fig. S1D), Lake Malawi cichlid forebrains have been partitioned into several compartments, visualized in the parasagittal section with the greatest dorsoventral extent (designated “parasagittal section” hereinafter). This is the first stage at which these regions can be reliably measured (Methods and Fig. S2). We quantified the area of forebrain compartments in replicate embryos of the mbuna LF, MZ, and CA and of the nonmbuna CB, MC, and AJ. Embryonic brains show clear divergence between rock-dwelling and sand-dwelling groups (Table 1 and Fig. S3). Rock-dwellers exhibit forebrains with relatively larger telencephala and smaller thalami, and sand-dwellers display the converse pattern. Adult rock-dwelling cichlids have larger telencephala than other habitat specialists on average, perhaps because they spend their lives navigating complex 3D habitats and/or engaging in complex social interactions (13–15). Thalami have been less well studied in fishes, but the vertebrate thalamus is a well-known “relay station” integrating sensory (particularly visual) stimuli (22). Our data demonstrate that differences in cichlid forebrains are apparent early in development, and that these differences might represent a trade-off between rostral and caudal compartments

corresponding to adult structures evolved for contrasting ecological demands.

Variation in Forebrain Patterning Prefigures Morphological Differences.

We sought to identify the developmental signals that initiate differences in Malawi cichlid forebrains. Studies in developmental models—zebrafish, frog, and mouse—set the context for our experiments (Fig. 2; network). We focused on a gene circuit known to (i) establish anterior (e.g., telencephalon) versus posterior (e.g., thalamus) fate and (ii) position the signaling boundary ZLI within the forebrain. The transcription factor *six3* is a WNT antagonist expressed from the ANR, required for the formation of the telencephalon and ZLI (23). *wnt1* is a posteriorizing signal expressed from the MHB; knockout of *Wnt1* in mouse results in

Table 1. Composition of the cichlid embryonic forebrain at stage 16

	% Tel	% Thal	% Prethal	% Hypothal
MZ (<i>n</i> = 5)	35.5 ± 0.8	18.1 ± 0.7	15.3 ± 1.4	31.1 ± 1.5
CA (<i>n</i> = 4)	33.9 ± 2.2	19.4 ± 0.4	16.2 ± 0.5	30.5 ± 2.5
LF (<i>n</i> = 5)	32.8 ± 0.5	20.9 ± 0.5	15.3 ± 2.1	30.9 ± 2
LF _{DMSO} (<i>n</i> = 3)	31.7 ± 0.6	20.6 ± 0.6	16.1 ± 1.2	31.6 ± 0.6
LF _{LiCl} (<i>n</i> = 5)	27.7 ± 1.3	24.7 ± 0.9	15.7 ± 0.3	31.9 ± 0.8
MC (<i>n</i> = 5)	28.2 ± 0.7	24.9 ± 0.9	15.6 ± 1.3	30.8 ± 1.2
CB (<i>n</i> = 5)	28 ± 0.8	27.7 ± 0.4	15.4 ± 1.5	28.8 ± 1.3
AJ (<i>n</i> = 4)	28.4 ± 0.4	26.0 ± 0.7	15.5 ± 0.8	30.1 ± 0.8

Mbuna (LF, MZ, and CA) have larger telencephala and smaller thalami than nonmbuna (CB, MC, and AJ). Control (DMSO) and treated (LiCl) LF embryos exhibit similar proportions to mbuna and nonmbuna cichlids, respectively. The area of each compartment is expressed as a percentage (± 1 SD) of the total forebrain area.

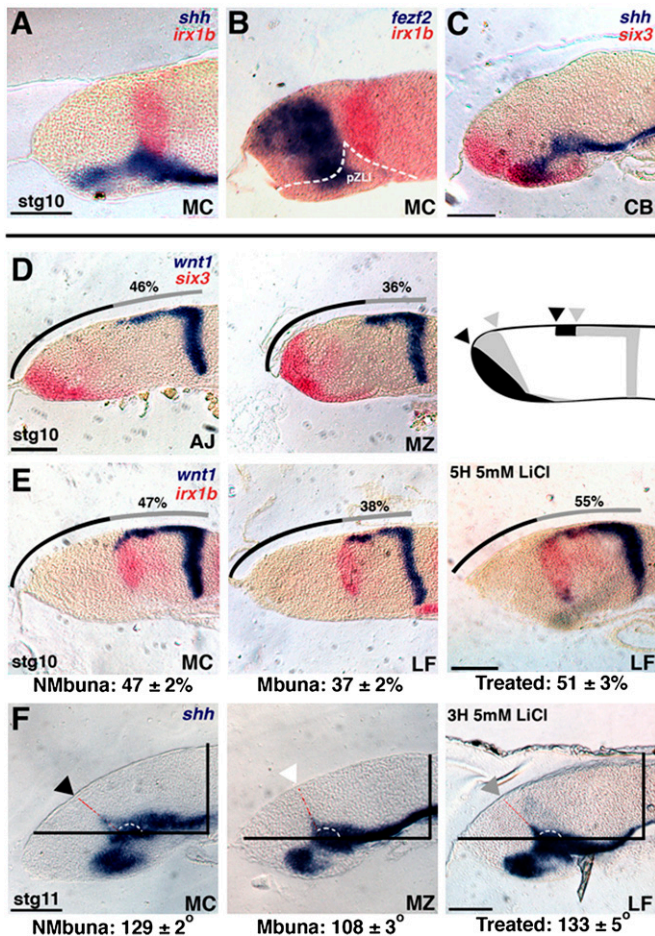
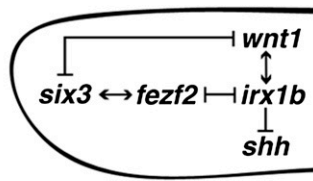


Fig. 2. The forebrain-patterning network differs between rock-dwellers and sand-dwellers. (A) Double in situ hybridization (ISH) of genes *shh* (blue) and *irx1b* (red). (B) Double ISH of *fezf2* (blue) and *irx1b* (red); the presumptive ZLI (pZLI) is shown by the dotted white line. (C) Double ISH of genes *shh* (blue) and *six3* (red). A and B are embryos of nonmbuna *M. conocephalus* (MC); C is nonmbuna *C. borleyi* (CB); A–C are stage 10 embryos. (Scale bar: 100 μ m.) (D) From left to right, double ISH of *wnt1* (blue) and *six3* (red) in *A. jacobfreibergi* (AJ; nonmbuna), *M. zebra* (MZ, mbuna), and a schematic summarizing expression differences between nonmbuna and mbuna. Arrowheads mark the relative positions of *wnt1* and *six3* expression in mbuna (gray) and nonmbuna (black), respectively. (E) From left to right, double ISH of *wnt1* (blue) and *irx1b* (red) in MC (nonmbuna), *L. fuelleborni* (LF, mbuna), and LF treated for 5 h with 5 mM LiCl. For all panels in D and E, the line above the embryo represents the total length of the dorsal brain anterior to the MHB, and the gray portion of the line represents the rostral extent of *wnt1* expression (the *wnt1* percentage). The measured *wnt1* percentage for each embryo, in each panel, is given. Below row E, from left to right, are the average *wnt1* percentages for nonmbuna, mbuna, and LiCl-treated LF, respectively. All embryos in D and E are at stage 10; the scale bars represent 100 μ m. (F) ISH for the gene *shh* demonstrating the angle of the ZLI at stage 11. The ZLI is marked by the black arrowhead in nonmbuna (MC), the white arrowhead in mbuna (MZ), and the gray arrowhead in LiCl-treated LF. The dotted red and white lines show the ZLI angle (Methods). The values below row F show the average ZLI angles for nonmbuna, mbuna, and LiCl-treated LF, respectively. (Scale bar: 100 μ m.) All images from all panels are parasagittal sections, with anterior to the left.

a smaller thalamus, a posterior shift in the angle of the ZLI, and a larger telencephalon (23). *six3* and *wnt1* direct the activity of mutually repressive transcription factors *fezf2* and *irx1*, which in turn set the AP position of the *shh*-positive ZLI (23–25). Knockdown of *irx1* in zebrafish produces a posterior expansion of the *wnt1* forebrain domain (25, 26). WNT signaling might induce or be mediated by *Irx1* to specify posterior fate (26, 27). We hypothesized that differences between rock-embryonic and sand-embryonic forebrains are produced by temporal and/or spatial shifts in AP forebrain patterning.

Using two-color in situ hybridization (Methods), we observed expected gene expression patterns of *six3*, *wnt1*, *fezf2*, *irx1b*, and *shh* in the cichlid embryonic brain. The ZLI begins to form as an initial wedge of *shh* at stage 10; *fezf2* is expressed rostral to the wedge, and *irx1b* is positioned caudal to the wedge, in the presumptive thalamus (Fig. 2 A–C). The antagonists *six3* and *wnt1* are initially localized to the ANR and MHB, respectively, at the neurula stage. *wnt1* extends rostrorodorsally from the MHB as development proceeds, encompassing the presumptive midbrain and the caudal forebrain, where it is coexpressed with *irx1b*. At stage 10, *six3* and *wnt1* show contrasting distributions between mbuna and nonmbuna cichlids (Fig. 2D). Mbuna are characterized by a shortened *wnt1* rostrorodorsal domain and more caudodorsal expression of *six3*; nonmbuna exhibit the opposite pattern. Despite species-specific brain shapes, the *wnt1* rostral domain marks a greater proportion of the dorsal brain anterior to the MHB in stage 10 nonmbuna CB, MC, and AJ ($47 \pm 2\%$) than in mbuna LF, MZ, and CA ($37 \pm 2\%$; Student's *t* test, two-tailed; $t = 11.78$; $P < 0.0001$; between three and seven individuals of each species, $n = 32$ embryos) (Fig. 2D and E).

By stage 11, the ZLI is a narrowing finger of *shh* expression within the diencephalon, forming a characteristically obtuse angle with the alar domain (Fig. 2F). We observed that the ZLI angle is greater in nonmbuna CB, MC, and AJ than in mbuna LF, MZ, and CA ($129^\circ \pm 2^\circ$ vs. $108^\circ \pm 3^\circ$, Student's *t* test, two-tailed; $t = 18.24$; $P < 0.0001$; between two and four individuals of each species; $n = 20$ embryos). The larger ZLI angle in nonmbuna, as measured at stage 11, matches the more rostrorodorsal expression of *wnt1-irx1b* and reduced *six3* domain at stage 10. We tracked the angle of the *shh*-positive ZLI from stage 11 to stage 17 (encompassing 3 days of development and the time point of forebrain compartment measurements; Table 1) in replicate embryos of the three mbuna and nonmbuna species (between two and five embryos per species per stage; $n = 120$ embryos). In both mbuna and nonmbuna, the angle of the ZLI increases as thalamic and tectal structures grow and proliferate, yet the nonmbuna maintain a greater ZLI angle throughout (Fig. 3 and Fig. S4).

Manipulation of WNT Signaling Mimics Natural Variation Among Cichlid Forebrains.

The brain and gene expression phenotypes that we observed to differentiate mbuna cichlids (reduced rostrorodorsal extent of *wnt1-irx1b*, more acute ZLI angle, smaller thalamus, larger telencephalon) from nonmbuna cichlids (greater rostrorodorsal extent of *wnt1-irx1b*, more obtuse ZLI angle, larger thalamus, smaller telencephalon) (Table 1 and Fig. 2) partially phenocopy zebrafish *irx1* knockdown versus control embryos (23) and *Wnt1* null versus control mice (26). Thus, we manipulated WNT signaling in vivo by treating cichlid embryos with nonlethal doses of the chemical agonist LiCl (Methods). This approach does not allow the genetic specificity of other methods, such as morpholinos, but does provide the temporal precision critical to our experiments. We bathed stage 9 embryos (during which *wnt1* expression “moves” rostrorodorsally from the MHB; Fig. S1) of the mbuna LF in a 5 mM solution of LiCl or a vehicle control (DMSO), for 3 or 5 h. We then washed the embryos, returned them to fish water, and cultured them until sacrifice (*i*) at stage 10 to measure the rostrorodorsal extent of the *wnt1-irx1b* expression domain, (*ii*) at stage 11 to measure the

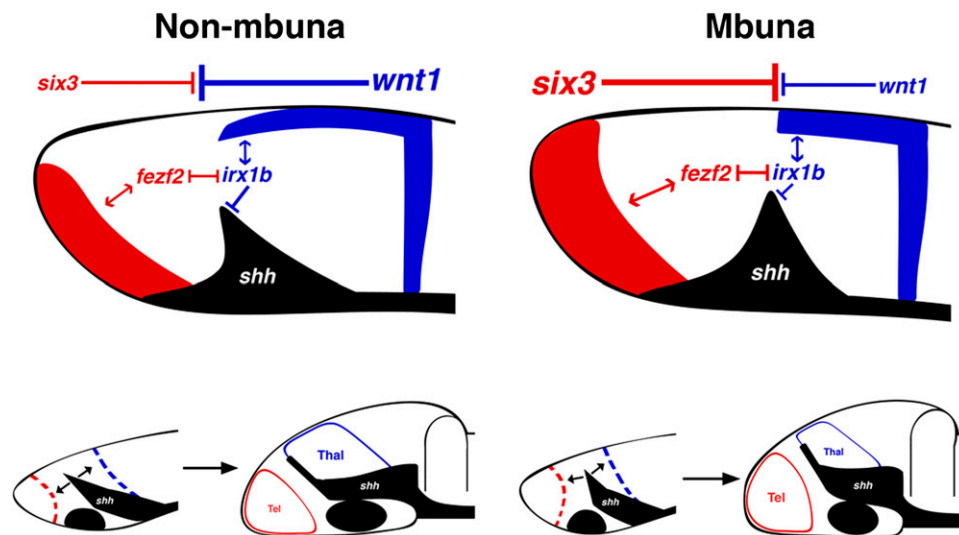


Fig. 4. Brain diversity develops at the boundaries. A model summarizes the evolutionary developmental differences between nonmbuna and mbuna forebrains. At stage 10 (Upper), the allocation of forebrain structures is determined by the competing influence of posteriorizing factors from the MHB (e.g., *wnt1*, shown in blue) versus WNT antagonists expressed from the ANR (e.g., *six3*, shown in red). This in turn sets the position and angle of the presumptive ZLI (black). In nonmbuna, posterior factors dominate the forebrain, establishing a greater (i.e., more obtuse) ZLI angle relative to mbuna. This results in the differential allocation of cells to anterior versus posterior forebrain compartments. During subsequent stages 11–16 (Lower), the initial difference in ZLI angle set during early patterning persists, with the consequence of a smaller telencephalon (tel; red) but larger thalamus (thal; blue) in nonmbuna versus mbuna.

Embryonic Forebrain Measurements. We measured the areas of forebrain compartments in mbuna [*L. fuelleborni* (LF), *M. zebra* (MZ), and *C. afra* (CA)] and in nonmbuna [*C. borleyi* (CB), *M. conophorus* (MC), and *A. jacobfreibergeri* (AJ)]. We chose these species (LF, algal scraper; MZ, generalist; CA, planktivore; CB, planktivore; MC, generalist; AJ, sonar hunter) to represent the range of the ecological diversity within these evolutionary lineages. Measurements were made at the first developmental stage (stage 16) in which compartments are demarcated by cellular restriction boundaries and/or cellular behavior (Fig. S2). All measurements were made on scaled digital images of parasagittal sections using ImageJ software. In addition, we used anatomical landmarks and gene expression patterns to ensure that measurements were taken from comparable serial sections (e.g., Figs. S2–S4). We defined the total forebrain area as the region anterior to the posterior commissure, including the hypothalamus (36, 37). The pretectum was not included in our measurements, because it could not be consistently visualized in parasagittal section. The prethalamus included the preoptic region, and the hypothalamus included the presumptive posterior tuberculum (36). To eliminate the confounding effect of oblique sections, we typically sectioned 10–20 embryos per species (often from different broods) and selected 4 or 5 for measurement. The area of each compartment was expressed as a percentage of total forebrain area.

In Situ Hybridization. ISH experiments were based on published protocols (10), with modification for double ISH. Gene sequences were derived from partial genome assemblies of Lake Malawi cichlids (17). Probes were constructed from cDNA sequences identical in the species examined. In general, Lake Malawi cichlids exhibit genetic variation comparable to that observed across laboratory strains of zebrafish (17). Embryos were hybridized with both fluorescein-labeled (Roche) and digoxigenin-labeled RNA probes. The fluorescein was visualized first, by treating the embryos with anti-fluorescein-AP sheep antibody (Roche), followed by FastRed tablets (1 tablet every 2 mL of 0.1M Tris-HCl; Roche). Once the color reaction was complete, the antibody was inactivated with 0.1 M glycine-HCl (Polysciences). Embryos were then fixed briefly in 4% PFA, and the digoxigenin-labeled probes were visualized as described previously (10). All ISH experiments were performed with multiple specimens (multiple individuals fixed at regular intervals, within single broods, then repeated at least twice with alternative broods) to fully characterize expression patterns within and across species. Embryos were embedded in gelatin and chick albumin with 2.5% glutaraldehyde. The gelatin-albumin blocks were postfixed in 4% PFA before sectioning. Thin sections were cut at 15 μ m using a Leica Microsystems VT1000 vibratome and imaged using a Leica Microsystems DM2500 compound microscope.

Measuring the Rostrordorsal Extent of *wnt1* Expression. At stage 10, *wnt1* is expressed in the MHB, as well as rostrally along the dorsal surface of the cichlid embryonic prosencephalon (midbrain plus forebrain). *wnt1* is a posteriorizing signal that functions across the dorsal embryonic brain (3, 23). As such, we wanted to calculate and compare the percentage of the dorsal prosencephalon under the influence of the *wnt1* signal in mbuna and nonmbuna. From photographs of embryos in parasagittal sections, we used Image J to measure the length of a curved line from the MHB to the rostral-most tip of the embryo, generally identified by a noticeable ‘lip’ demarcating dorsal from ventral (Fig. 2). This represents the total length of the dorsal prosencephalon. We next measured the rostral extent of *wnt1* expression and calculated the percentage of the dorsal prosencephalon covered by *wnt1* expression. We performed these measurements in replicate embryos of mbuna versus nonmbuna species, as well as in LiCl treatment versus control LF embryos.

Measuring the Angle of the ZLI. At stage 11, *shh* expression in the ZLI forms a characteristic angle with *shh* expression in the alar domain; this angle persists during subsequent stages. The ZLI angle was measured from stage 11–17 using Image J, from photographs of parasagittal sections. To standardize measurement across developmental time points, two guidelines were added to each image (e.g., Fig. 2, Fig. S4). The first marks the position of the vertical midbrain-hindbrain boundary (MHB) and the second is perpendicular to the first, and typically parallel to *shh* expression in the alar domain. This second line served as a consistent reference point across all stages to account for any irregularities, in section, of the *shh* alar domain. The ZLI angle was measured using the second line as the ‘base’ of the angle, and the position of the ZLI as the ‘arm’ of the angle. Multiple (2–5) embryos per species of the mbuna LF, MZ, and CA, as well as nonmbuna CB, MC, and AJ, were measured across the seven developmental stages ($n = 120$ embryos).

Chemical Treatments. A 4 M lithium chloride (LiCl) stock solution was made by dissolving 640 mg of high purity LiCl (Alexis Biochemicals) into 15 mL of Dimethyl Sulfoxide (DMSO, MP Biomedicals). The LiCl stock was diluted to a final experimental concentration of 5mM (8.75 μ l 4M LiCl in 7 mL of fish water). Embryos were taken from the mbuna, *L. fuelleborni* (LF), at stage 9, around 36–40 h post fertilization. Approximately 10–12 individuals were placed in separate 5mM LiCl cultures for either 3 or 5 h, at 28 °C. Additionally, 5–7 embryos were placed in 0.125% DMSO (8.75 μ l DMSO in 7 mL fish water) for 3 or 5 h, at 28 °C. After treatment, embryos were washed twice with fish water, and placed in fresh fish water, in culture flasks at 28 °C. Embryos were removed from culture and killed (i) at stage 10 to measure the rostro-dorsal extent of the *wnt1-irx1b* expression domain, (ii) at stage 11 to measure the angle of the *shh*-positive ZLI, or (iii) at stage 16 to measure the relative area of forebrain compartments. The experiment was

repeated twice, with two different LF broods. Treatment and control embryos were postprocessed (ISH, sectioning, measurements) identically to descriptions above for untreated embryos.

ACKNOWLEDGMENTS. We thank Corinne Houart, Tom Kocher, Karen Carleton, Hans Hofmann, and Craig Albertson for their comments on pre-

vious drafts of this manuscript. Our research is supported by awards from the National Science Foundation (IOS 0546423 and IOS 0922964), National Institutes of Health (DE017182), and the Alfred P. Sloan Foundation (BR4499) to J.T.S. J.B.S. is a Georgia Institute of Technology Presidential Fellow. C.A.R. was a Georgia Institute of Technology Institute for Bioengineering and Biosciences Undergraduate Research Scholar.

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