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# *CFL1* expression levels as a prognostic and drug resistance marker in non-small-cell lung cancer

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#### Abstract

**BACKGROUND**—Non-small-cell lung cancer (NSCLC) is the major determinant of overall cancer mortality worldwide. Despite progress in molecular research current treatments offer limited benefits. Since NSCLC generates early metastasis and this behavior requires great cell motility, herein we assessed the potential value of *CFL1* gene (main member of the invasion/ metastasis pathway) as a prognostic and predictive NSCLC biomarker.

**METHODS**—Meta-data analysis of tumor tissue microarray was applied to examine expression of *CFL1* in archival lung cancer samples from 111 patients and investigated its clinicopathologic significance. The robustness of our finding was validated using another independent data set. Finally, we assayed *in vitro* the role of *CFL1* levels in tumor invasiveness and drug resistance using six human NSCLC cell lines with different basal degree of *CFL1* gene expression.

**RESULTS**—*CFL1* levels in biopsies discriminate between good and bad prognosis within early tumor stage (IA, IB and IIA/B), where high *CFL1* levels are correlated with lower overall survival rate (*P*<0.0001). Biomarker performance was further analyzed by immunohistochemistry, hazard ratio (*P*<0.001) and receiver-operating characteristic (ROC) curve (area=0.787; *P*<0.001). High *CFL1* mRNA levels and protein content are positive correlated with cellular invasiveness (determined by Matrigel Invasion Chamber System) and resistance (two-fold increase in drug GI50 value) against a list of 22 alkylating agents. Hierarchical clustering analysis of *CFL1* gene network had the same robustness to stratified NSCLC patients.

**CONCLUSIONS**—Our study indicates that *CFL1* gene and its functional gene network can be used as prognostic biomarker for NSCLC and could also guide chemotherapeutic interventions.

#### Keywords

prognosis; biomarker; lung cancer; NSCLC; cofilin; CFL1 expression; drug resistance

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Lung cancer accounts for 1.3 million deaths annually (World Health Organization) of which 85% are of non-small-cell lung cancer patients (NSCLC). These patients present an average survival rate of 10 months and only 15% survive for five years.<sup>1</sup> Currently, prognosis of NSCLC patients is done by considering patient performance status and tumor staging.<sup>2,3</sup> However, accumulating data<sup>4</sup> have shown that these have unsatisfactory power in predicting patient outcome or in guiding physicians on the best course of action for each patient. A novel prognostic method for early-stage NSCLC patients can potentially increase survival rates by indicating those in need of more aggressive treatment.<sup>5</sup>

Lung cancers in particular show poor prognosis because of their ability to generate early metastasis within the lungs and then in distant organs. This behavior requires great cell motility, which is performed by several proteins that act on the actin cytoskeleton by regulating cycles of polymerization and depolymerization of actin filaments, which in turn generates cell motion.

One of the main proteins in charge of cell motility is cofilin (*CFL1*, cofilin-1; non-muscle isoform; Gene ID: 1072),<sup>6</sup> which is regulated by factors such as phosphorylation, pH, binding of phosphoinositides, and subcellular compartmentalization. In a recent study we have found that cofilin mediates apoptosis in response to oxidative stress, which is a novel regulatory role described for this protein.<sup>7</sup> The role of the cofilin pathway in cell mobility has been shown extensively.<sup>8</sup> Its activation occurs locally and in response to EGFR signaling in chemotaxis.<sup>9</sup> High cofilin activity has been correlated with breast cancer invasion and metastasis,<sup>10,11</sup> where it is essential for directional sensing,<sup>12</sup> and with epithelial - mesenchymal transition, a process that is involved in the regulation of cell migration, adhesion and invasion, suggesting the acquisition of a invasive phenotype.<sup>13</sup> Thus, we raised the hypothesis that cofilin amount in NSCLC could provide relevant information about tumor's aggressiveness and therefore be used as a prognostic marker.

Herein, we assessed the potential prognostic value of *CFL1* as a NSCLC biomarker. To assay that, we used three different experimental approaches: the first one based on the correlation of gene expression levels and patient outcome using meta analysis of clinical data from a large, homogeneous, well-defined collection of samples from NSCLC cohorts; a second one based on the analysis of *in vitro* data obtained with six different human NSCLC cell lines; and a third one in which we constructed a network-based model of *CFL1* gene and analyzed the role of each network component on the cellular resistance profile to different chemotherapeutic drugs.

#### **MATERIALS & METHODS**

#### Cohort studies and data analysis

**Patients, tumor samples and microarray datasets**—For NSCLC cohort analysis we accessed a large well-defined collection of lung cancer samples with expression data and relevant clinical and pathologic information on 111 patients (testing cohort), from core biopsies of patients' tumor. The data was obtained from GEO database (http://www.ncbi.nlm.nih.gov/projects/geo/; Series GSE3141) and the Duke Institute for Genome Sciences & Policy website (http://data.cgt.duke.edu/oncogene.php). Gene array data is available on Affymetrix U133 Plus 2.0 GeneChip.<sup>14</sup> To test the reproducibility of the data we assessed a second, independent microarray data set (validation cohort), which is available on different microarray platform (Affymetrix HG\_U95Av2 GeneChip).<sup>15</sup> The validation cohort comprises microarray data from 86 tumor biopsies obtained from sequential patients seen at the University of Michigan Hospital for stage I or stage III lung adenocarcinomas. All gene array data of the validation cohort are available at http://dot.ped.med.umich.edu:2000/ourimage/pub/Lung/index.html.

**Survival data analysis**—Standard Kaplan–Meier mortality curves and their significance levels were generated for clusters of patients using SPSS software (SPSS for Windows, release 14.0.0. SPSS Inc., Chicago, IL). The survival curves are compared using the log-rank test and patients are clustered according to either biomarker expression level or NCSLC stage grouping.<sup>4,16</sup>

**Cox multivariable regression analysis**—Multivariate Cox proportional hazards regression models were used to test the independent contribution of each variable on mortality. Graphical assessment was used to assess the Cox model's proportional hazard assumption. Results of multivariate analysis were summarized by calculating hazard ratios (HR) and corresponding 95% confidence intervals (CI).

**Biomarker accuracy**—The area under the receiver-operating characteristic (ROC) curve was used to evaluate the biomarker's ability in discriminating patients who survived and those who died. An optimal cut-off value was obtained considering the combination of highest sensitivity and specificity.

#### In vitro assays

**Immunohistochemical staining**—Paraffin-embedded sections of lung samples from 20 patients with NSCLC (classified according to World Health Organization criteria) were obtained as archival specimens from the Department of Pathology at the São João Batista Hospital in Criciúma, SC, Brazil. Hematoxylin–eosin (H&E)–stained slides of lung tissue were examined by a national board–certified pathologist. Selected areas of lung cancer and corresponding benign samples were sectioned into 3  $\mu$ m slices, and immunohistochemical staining was performed according to the standard avidin-biotin immunoperoxidase complex technique. Rabbit polyclonal anti-human cofilin-1 antibody (Abcam<sup>®</sup>) (1  $\mu$ g/mL) was used as the primary antibody. The brownish-color was considered to be evidence of a positive expression of cofilin-1 in the tumor cells. Unstained red blood cells and labeled macrophages were considered, respectively, as negative and positive internal controls. The Helsinki Declaration of Human Rights was strictly observed when performing these experiments.

**Cell culture and western blot immunoassay**—The human NSCLC cell lines were obtained from NCI-Frederick Cancer DCTD tumor/cell line repository, and grown in RPMI 1640 medium containing 10% heat-inactivated fetal bovine serum, 2 mM L-Glutamine at 37°C in 5% CO<sub>2</sub> in air. Exponentially growing cells were washed twice with PBS and resuspended in lysis buffer containing 20 mM Tris, pH 7.5, 150 mM NaCl, 1 mM EGTA, 1% Triton, 1 mM Na<sub>3</sub>VO<sub>4</sub> and protease inhibitors. After sonication, 30 µg of protein was electrophoresed on 4–12% Bis-Tris NuPage gels (Invitrogen), transferred to PVDF membranes (Immobilon P, Millipore) and blocked with 5% milk. The following antibodies were used for Western blot immunoassay: rabbit polyclonal anti-cofilin (1:1,000), rabbit polyclonal anti-actin (1:2,000) (Cytoskeleton, Denver, CO. USA). Horseradish peroxidase-linked secondary antibody (1:10,000) was from DakoCytomation<sup>®</sup>. Bands were visualized by chemiluminescence using the ECL Detection kit from Amersham Biosciences and exposure of X-ray film. Quantification of band was done with ImageJ 1.36b software (NIH, USA).

**Drug citotoxicity**—Drugs  $GI_{50}$  was determined as described elsewhere. Briefly, exponential growing NSCLC cell lines were treated with different concentrations of drugs (cisplatin, carboplatin, 5-fluorouracil, hydroxyurea and taxol) (Sigma<sup>®</sup>). After 72 h, the medium was removed and cells were fixed with cold 10% TCA for 1 h at 4°C. Plates were washed five times with distilled water and left to dry at room temperature. Cells were

stained with 0.4% of sulforhodamine B (SRB) (Sigma<sup>®</sup>) (w/v) in 1% acetic acid (v/v) at room temperature for 20 min. SRB was removed and the plates washed five times with 1% acetic acid before air-drying. Bound dye was solubilized with 10 mM unbuffered Tris-base solution and plates were left on a plate shaker for at least 10 min. Absorbance was measured in a 96-well plate reader (VERSA*max*, Molecular Devices) at 492 nm. The growth inhibition (GI<sub>50</sub>) was calculated according to the concentration-response curve. The mean of three independent experiments for each condition run in triplicates is plotted.

**Cell Migration and Invasion Assays**—*In vitro* migration and invasion assays were performed using the BioCoat<sup>TM</sup> Matrigel<sup>TM</sup> Invasion Chamber System (BD Bioscience<sup>®</sup>). Briefly, Matrigel inserts were rehydrate in RPMI medium and cells  $(2.5 \times 10^4 \text{ cells})$  were seeded at each 24 well chamber. The chemoattractant (medium RPMI with 10% of SFB) were added to the lower wells and the movement of cells through the 8.0 µm pore size Transwell cell culture inserts (Falcon), either un-coated (migration) or Matrigel coated (invasion), were determined after 22 h of incubation at 37°C in a humidified incubator with 5% CO<sub>2</sub> atmosphere. At the end of the assay, cells were removed from the top side of the insert using a cotton swab. Cells that penetrated to the underside surfaces of the inserts were fixed and stained with HEMA 3 staining kit (Fisher Scientific) and counted under the microscope. Data is expressed as the percent invasion through the Matrigel relative to the migration though the un-coated membrane, and expressed as invasion index. The mean of three high power fields for each condition run in triplicates is plotted.

#### **Bioinformatics analysis**

**Microarray data from NCI-60 cancer cell panel**—Transcript expression profiles of the six human NSCLC cell lines were obtained from the NCI-60 human tumor cell line anticancer drug screen (http://discover.nci.nih.gov/datasetsNature2000.jsp). To test the reproducibility of the data we assessed a second, independent microarray data set available at http://discover.nci.nih.gov/cellminer/home.do (RMA normalized Affymetrix HG-U133A/ B data set). This second microarray platform comprises the human transcriptome and consistently identifies gene probes (*e.g.* it follows approved gene IDs from the HGNC nomenclature committee – http://www.genenames.org/), allowing the proper identification of *CFL1* partners in the biological network analysis.

**The drug database**—For drug panel activity analysis we considered those compounds listed in the "mechanism of action" drug activity database, NCI Developmental Therapeutics Program (http://discover.nci.nih.gov/datasetsNature2000.jsp) This panel consists of 118 compounds whose mechanisms of action are putatively classified: *i*) alkylating agents; *ii*) topoisomerase I inhibitor; *iii*) topoisomerase II inhibitor; *iv*) DNA/RNA antimetabolites (DNA binder, DNA incorporation, antifols, ribonucleotide reductase inhibitor, DNA synthesis inhibitor; HSP90 binder or unknown). Drug activity against the NCSLC cell lines is expressed by 50% growth inhibition doses (GI<sub>50</sub>; also known as IC<sub>50</sub>) and the entire GI<sub>50</sub> dataset is available at http://dtp.nci.nih.gov/dtpstandard/cancerscreeningdata/index.jsp.

**CFL1 chemotherapeutic drug resistance/sensitivity data analysis**—The relation between the activity of the drug dataset (*i.e.* 118 standard chemotherapy agents) and *CFL1* expression levels was estimated by Spearman correlation analysis in SPSS software (SPSS for Windows, release 14.0.0. SPSS Inc., Chicago, IL). Positive correlations occurred when relatively high levels of gene expression were found in relatively sensitive cell lines. Negative correlations occurred when relatively high levels of gene expression were found in resistant cell lines. Therefore, *P* values < 0.05 indicate a significant negative correlation (resistance) and *P* > 0.95 indicate a significant positive correlation (sensitivity). Due to

multiple comparisons, only drug categories showing reproducible results were considered for further analysis (*i.e.* consistent results among the drugs of a given class).

**Construction of the network-based model of** *CFL1* **interaction partners**— Experimental evidences of protein-protein interactions were obtained from STRING database (http://string.embl.de/).<sup>17</sup> STRING integrates different curated, public databases containing information on direct and indirect functional protein-protein associations. We retrieved all proteins described in that database inferred by experimental evidences and that directly interact with *CFL1* (cofilin-1; non-muscle isoform; Ensembl Peptide ID: ENSP00000309629). The final network was drawn using spring model algorithm and then handled in Medusa software.<sup>18</sup>

**CFL1 gene partner analysis**—Microarray data of NSCLC cell lines were crossed against GI<sub>50</sub> values of 118 standard chemotherapy agents in order to estimate drug sensitivity/resistance profile according to the expression levels of *CFL1* gene partners (*i.e.* all genes identified in the network-based model of *CFL1* interaction partners). The statistical analysis follows the original method described in the National Cancer Institute's drug discovery program.<sup>19</sup>

**Clustering analysis and expression profile of CFL1 gene network**—The strategy to assess the functional status of tumor samples based on gene expression network profiles have been previously described.<sup>20,21</sup> Two-way hierarchical clustering analysis was performed with CLUSTER 3.0 software package using the complete linkage clustering option.<sup>22</sup> For visualization purposes, the gene expression values were median-centered and normalized. The results were processed and visualized in TREEVIEW software<sup>23</sup>. The color intensity was set to the log2 ratio of the microarray signal. Probes of all genes listed in the *CFL1* gene network could be retrieved from the microarray platform (*i.e.* the cohort study – its corresponding gene expression database – is provided on Human Genome U133-Plus 2.0 Array).

#### RESULTS

Kaplan-Meier estimates of patient cumulative survival by time (months) according to the expression level of *CFL1* showed that when patients are grouped by *CFL1* gene expression (upper fifth *vs.* lower fifth of transcript abundance levels), the expression levels can be used to discriminate patients in early disease stages (IA, IB, IIA, and IIB) between good or bad outcome (Fig. 1*A*; based on meta-data analysis). Data on microarray gene expression and patient information such as age, sex, cancer histological type, and NSCLC staging were considered (cohort description can be found in Table 1). Cox multivariate regression revealed that lower *CFL1* expression was significantly associated with a high overall survival (hazard ratio for high risk *vs.* low risk, 2.7; 95% C.I., 1.5 to 4.7, P = 0.001) (Fig. 1*B*).

Analysis of 85 patients with disease stages I or II (the testing cohort), revealed that patients with high *CFL1* expression (n=42) had an overall survival rate shorter than those with low *CFL1* expression (n=43) (Fig. 2A). To test the robustness of this finding, we analyzed a second, independent data set of 67 patients in early stages (the validation cohort) (Fig. 2B). Our meta-analysis showed that high *CFL1* levels are associated with shorter overall survival in both cohorts. ROC curve analysis showed that *CFL1* sensitivity/specificity is high enough to indicate patient's outcome of those with early disease stages (area under ROC curve = 0.787) (Fig. 2C). Immunohistochemical stains revealed an increased cofilin immunocontent within the neoplasic tissue (Fig. 2E). The data presented in Figure 2 suggests that *CFL1* levels can be used to indicate patient outcome.

We also asked whether *CFL1* levels could provide additional insights into the pathophysiology of NSCLC, predicting tumor aggressiveness and/or chemotherapy response. To do that, we used NSCLC data from the US National Cancer Institute *in vitro* anticancer drug screen (NCI60 cancer panel).<sup>19</sup> Six human cell lines of the three major histological types of NSCLC, namely adenocarcinomas cells (H-23, A549, EKVX), squamous cells carcinomas (H-226), and large cells carcinomas (H-460, HOP-92) were analyzed. Relative levels of *CFL1* gene expression obtained by microarray are presented in Figure 3A (symbols) and match the amount of cofilin protein evaluated here (Fig. 3A; bars). Then, using the BD BioCoat<sup>TM</sup> Matrigel<sup>TM</sup> Invasion System (to assess the tumor's metastatic potential), we found that different histological types expressing higher *CFL1* levels presented higher invasion indexes, which indicates a more aggressive invasiveness behavior (Fig. 3B) (\**P* < 0.02, Mann Whitney test; \*\**P* < 0.0001, One-way ANOVA).

In addition to this higher invasiveness potential, analysis of microarray data of the six cell lines and respective  $GI_{50}$  values of 118 standard chemotherapy agents (from the NCI60 drug discovery pipeline) revealed that high levels of *CFL1* mRNA is also correlated with resistance against different anticancer drugs – mainly alkylating agents (Fig. 4A; meta-analysis) (for a list of all correlated alkylating drugs see Table 2). Exposure of the cell lines to different concentrations of selected chemotherapy drugs (namely cisplatin, carboplatin, 5-fluorouracil, hydroxyurea, and taxol) revealed significant correlations between cofilin immunocontent and resistance to cisplatin and carboplatin, the two alkylating agents tested (Fig. 4*B*; *in vitro* analysis).

Using the same approach on drug resistance, we evaluated the resistance profile against alkylating agents of each gene product that interacts directly with *CFL1*. Four cofilin's partners (*CAP1*, *ACTB*, *SSH3*, *YWHAZ* genes) show a resistance profile similar to cofilin, suggesting that a functional network is correlated with this tumor phenotype. These results are presented as network-based model of the cofilin biological pathway (Fig. 5A, red nodes), where nodes represent gene products and connecting lines indicate physical and/or functional associations according to experimental data (http://string.embl.de/).

To further explore the role of this gene network in NCSLC patient outcome, a cluster analysis was carried out using the data-bank from testing cohort. As the microarray dataset from this cohort study was produced on Affymetrix U133 Plus 2.0 platform, all genes listed in our network could be retrieved. Complete linkage clustering of tumor samples is shown in TREEVIEW format (Fig.5*B*). From the Heat Map, we identified three large tumor clusters, which were then used to re-stratify the NSCLC patients according to the gene expression profile. Kaplan-Meier estimates based on this new stratification showed that the *CFL1* gene network can also be used to discriminate patient's outcomes (Fig.5*C*).

#### DISCUSSION

Although much progress has been made in reducing overall mortality rates, cancer is a major public health problem worldwide, accounting for more deaths than heart disease. Most recent epidemiological data show a notable trend in stabilization of incidence rates for all cancer and a continued decrease in the cancer death rate.<sup>1</sup> While the decrease in death rates for colorectal, breast, and prostate cancer largely reflects improvements in early detection and treatment, the decrease in lung cancer death rates reflects manly the reduction in tobacco use.<sup>24,25</sup>

In this scenario, non-small-cell lung cancer (NSCLC) is the leading cause of deaths annually. Currently, prognosis of NSCLC patients is still based almost exclusively on the anatomical extent of disease and may have reached their limit of usefulness for predicting

outcomes.<sup>4</sup> Advances in molecular pathology underwent to the development of many candidate biomarkers with potential clinical value. However, according to the TNM tumor staging system, only few tumors are formally staged with the addition of molecular biomarker information (*e.g.* TNM+S; where S= serum levels of selected biomarker), which does not include lung cancers.<sup>3</sup>

Herein we are proposing the use of *CFL1* gene expression levels as a prognostic and predictive NSCLC biomarker based on the following findings: *i*) *CFL1* mRNA levels are highly sensitive and specific to discriminate between good and bad patient outcome in two independent cohort – specially in early-stage disease – where tumor with low expression of *CFL1* gene are associated with high overall survival; *ii*) an association exists between cofilin immunocontent and tumor invasion; *iii*) cells with high cofilin mRNA and protein levels are resistant to alkylating drug treatment, and *iv*) four other genes that interact in the *CFL1* pathway (named *SSH3*, *YWHZ*, *CAP1* and *ACTB*) also demonstrate the same resistance profile.

As previously shown, to be able to generate early metastasis, tumor cells require the activity of cofilin to modulate actin cytoskeleton, generating cell mobility.<sup>9,10</sup> Therefore, as cofilin is associated with epithelial-mesenchymal transition and tumor invasion, it stands to reason that NSCLC patients with high tumor *CFL1* expression levels present low overall survival rates, even in early-stage disease. Our data obtained by *in vitro* experiments suggest that cofilin levels also could be used to predict tumor resistance to alkylating agents. The correlation between high levels of cofilin and alkylating drug resistance probably is the most important finding of this study, since this class of drugs is among the most effective cytotoxic agents for advanced cancer treatments and has long been the cornerstone of NSCLC management.<sup>26,27</sup> Even though this treatment improves patient survival, the benefit is stage-dependent. Unfortunately, intrinsic or acquired resistance to alkylating agents is frequently encountered and severely limits its therapeutic potential.<sup>28</sup> Our findings may have great impact on survival rates, as currently there is no way to predict and identify potential responders.

Although we focused our analysis on the role of *CFL1* gene in alkylating drugs resistance, we also expanded the potential biological relevance of our findings by testing the role of other cofilin's partners on tumor resistance. Doing so, we obtained a signature based on five biological related genes (members of the cofilin pathway). These genes can be used in combination to characterize the tumor resistance phenotype. This approach is consistent with other studies that have been proposing the use of gene combination to enhance biomarker robustness, which may potentially deal better with intrinsic intra and inter-sample heterogeneity<sup>29–31</sup>. For instance, Chen & cols<sup>32</sup> have described a biomarker cluster comprising the combination of *DUSP6*, *MMD*, *STAT1*, *ERBB3* and *LCK* gene expression to predict clinical outcome of NSCLC patient. This signature was obtained based on the statistical (not biological) combination of high-throughput screening of cDNA microarray probes. Likewise, other authors used the same strategy to identify low/high NSCLC risk phenotypes.<sup>14,15</sup>

In this sense, our five-gene signature emerges from a functional gene network comprising all described cofilin partners. To further explore this finding using the NSCLC cohort data, we assigned subsets of tumors (clusters) based on related expression patterns, represented in the tree structure, or dendrograms. Using all *CFL1* gene network components, the hierarchical clustering analysis put together the similar network datasets, stratifying NSCLC patients in three large subgroups, whose outcomes differ in the same extent as observed for *CFL1* gene alone. The effect of this strategy of assessing tumors is the distribution of biomarker task among related genes, not focused on one or several non-related ones, which can potentially

the molecular properties of this network should be helpful to validate these genes as prognostic and predictive markers in NSCLC, or even in other cancer types, given that CFL1 gene is widely expressed<sup>34</sup> and more specifically in some subtypes (*e.g.* colorectal adenocarcinomas<sup>34,35</sup>).

Our findings have clear implications for NSCLC management and therapy, as CFL1 expression levels can be used to indicate which patients should receive a more aggressive therapy in an attempt to reverse the poor prognosis. Because CFL1 expression levels also correlate with drug resistance, our findings can also be used to decide the best course of action for each patient, representing a contribution into translational medicine for treating NSCLC. In the adjuvant setting, for example, cisplatin-based chemotherapy constitutes the standard first-line treatment for patients with early stage and good performance status.<sup>33</sup> Since *CFL1* expression appears to be a marker of resistance to platinum agents, patients whose tumors harbor high levels of CFL1 would benefit from a different treatment modality. In these cases, possible trials to test alternative adjuvant regimens would be based on the combination of other drugs commonly used in NSCLC (e.g. gencitabine, docetaxel and vinorelbine) or EGFR-targeted monoclonal antibodies. The combination of EGFR inhibitors with first-line chemotherapy is currently under evaluation and efforts have been made to identify subgroups of NSCLC patients that respond to these agents.<sup>36–38</sup> The refinement of patient stratification with the use of CFL1 gene signature provides the opportunity to design a prospective, large-scale, randomized clinical trial that would evaluate these ideas.

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Α			В		
	CFL1 expression leve	l staging	Hazard Ratio for patient's survival*		
	- low - high			overall su	rvival
	1.21 P = 0.0308 I	D = 0.0250	Variables	HR (95% CI)	P-value
cumulative survival			Age (Years) Gender	1.02 (0.99-1.05)	0.085
	0.4		Female Male	1.00 1.06 (0.61-1.83)	0.845
	0.0 IA	L IB	Low High	1.00 2.70 (1.54-4.75)	0.001
	1.2 P = 0.0082	<i>P</i> = 0.5982	Type of cancer Squamous-cell Adenocarcinoma	1.00	0.316
	0.8		Tumour stage	1.00	01010
	0.4		IB IIA/B	2.35 (1.09-5.07) 2.76 (1.20-6.37)	0.030 0.017
	0.0 <u>    A/B</u>	IIIA/B and IV	IIIA/B-IV	4.01 (1.90-8.46)	<0.001
	0 40 80 0	0 40 80	*Cohort descriptio	on in Table 1 ( $n = 2$	111)
	time (mo	onths)			

#### FIGURE 1. Prognostic value of CFL1 mRNA levels in NSCLC patients

(A) Meta-analysis of cohort data grouped according to the International Staging System for Lung Cancer and *CFL1* gene expression level (*i.e.* upper-fifth *vs.* lower-fifth), and plotted as survival probabilities using Kaplan-Meier method. Black lines represent patients with low *CFL1* expression; red lines with high *CFL1* expression. Differences in survival rates were assessed with the log-rank test. Gray lines represent all patients according to tumor staging. *P* values lower than 0.05 were considered significant. (**B**) Cox multivariable regression analysis to estimate hazard ratios for cohort clinical covariates and *CFL1* expression. Hazard ratios indicate that patients with high *CFL1* expression level presented poor outcome.

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#### FIGURE 2. Biomarker performance in early stage NSCLC patients

(A) Kaplan Meier plot are shown for patients in stages I and II (n=85) in the original cohort (testing cohort) stratified by *CFL1* expression level and (**B**) in an independent cohort (validation cohort) obtained from a different set of published NSCLC microarray data (n=67). (**C**) Biomarker performance estimated by Receiver Operating Characteristic (ROC) analysis. (**D**) Representative immunohistochemical (IHC) analysis of cofilin immunocontent in tumor biopsies. Healthy human alveolar tissue obtained from tumor margins is mostly negative to cofilin IHC staining (upper left). High staining for cofilin is found within the neoplasic lung cells (asterisks). Original magnification ×200; scale bar = 100  $\mu$ M.



## FIGURE 3. Cofilin immunocontent correlates with tumor invasiveness and resistance against alkylating drugs

Six human NSCLC cell lines composed of adenocarcinomas (H-23, A549, EKVX), large cells (H-460, HOP-92) and squamous-cells carcinomas (H-226) from the NCI-60 panel were selected based on different levels of *CFL1* gene expression

(http://discover.nci.nih.gov/datasetsNature2000.jsp) to establish the role of *CFL1* in tumor aggressiveness, evaluated by assays of cell invasion and drug resistance. (A) Western blot analysis shows that the pattern of *CFL1* mRNA (symbols) matches with the level of cofilin immunocontent (bars). (B) Invasion index was obtained by determining the movement of cells through an 8.0  $\mu$ m pore size, either uncoated (migration) or matrigel-coated (invasion), attracted by a chemotactic gradient of serum. The mean of four fields for each condition in quadruplicates is plotted. \**P* < 0.02 (Mann Whitney test); \*\**P* < 0.0001 (One-way ANOVA).

### Α

#### CFL1 gene expression in NSCLC cell lines vs. drug GI<sub>50</sub>



#### FIGURE 4. CFL1 mRNA and protein levels vs. drug sensitivity/resistance profile

(A) Microarray meta-data of the cell lines are crossed against GI<sub>50</sub> values of 118 standard chemotherapy agents (from NCI-60 drug discovery pipeline). *P* values have been color coded according to the scale shown; P < 0.05 indicates a significant negative correlation (resistance) while P > 0.95 indicates a significant positive correlation (sensitivity). The major mechanism of drug action is shown (the term "alkylating agents" is used broadly to include platinating agents; Uk: unknown; P90: hsp90 binder; Pi: protein synthesis inhibitor). Each column within the matrix represents the Spearman correlation between gene expression and toxicity of an individual drug. (**B**) *In vitro* validation of the citotoxicity for

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selected drugs assayed by the sulforhodamine B (SRB) method (upper plots). The obtained drug GI<sub>50</sub> values were correlated with cofilin immunocontent (lower plots).

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NSCLC tumors (microarray sample IDs)

FIGURE 5. Prognostic and drug resistance marker of *CFL1* functional gene network

(A) Graph model of *CFL1* functional gene network *vs.* alkylating drug sensitivity/resistance profile. Nodes represent gene products; connecting lines indicate physical and/or functional associations according to experimental data (http://string.embl.de/). Gene expression data (http://discover.nci.nih.gov/cellminer/home.do) were crossed against GI<sub>50</sub> values of all alkylating agents identified in the resistance panel at Figure 4A. Four *CFL1* network partners follow the same resistance profile (red nodes; n = number of drugs for which gene expression showed correlation). Network drawn was built using a spring model algorithm. Further detains in Methods. (**B**) Two-way hierarchical clustering analysis of NCSLC tumors. This panel presents the NSCLC cohort data (referred to as Testing Cohort in Table 1)

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arranged according to the gene expression profile of all *CFL1* network components. Complete linkage clustering of tumor samples is shown in TREEVIEW format. The color intensity is relative to the log2 ratio of the microarray signal (red: positive values; green: negative values). For visualization purposes, the gene expression values were median centered and normalized using CLUSTER 3.0 software. (c) Kaplan Meier plot of the entire NSCLC cohort data (*n*=111), where patients are stratified according to the hierarchical clustering analysis of *CFL1* functional gene network.

#### Table 1

Clinical Characteristics of the Original and Validation Cohorts.

Characteristic	CFL-1 Exp	CFL-1 Expression	
	High	Low	
Testing Cohort (n = 111)	55 (49%)	56 (51%)	
Age (years)	$64.6\pm9.6$	$64.9\pm9.7$	0.842
Gender			
Male	30 (54%)	33 (59%)	0.784
Female	25 (46%)	23 (41%)	
Tumor type			
Adenocarcinoma	28 (51%)	30 (54%)	0.928
Squamous-cell	27 (49%)	26 (46%)	
Tumor TNM Stage			
Ia	20 (36%)	20 (36%)	0.999
Ib	13 (24%)	14 (25%)	
П	9 (16%)	9 (16%)	
III–IV	13 (24%)	13 (23%)	
Validation Cohort (n = 86)	43 (50%)	43 (50%)	
Age (years)	$62.3\pm8.8$	$65.1\pm10.7$	0.187
Gender			
Male	21 (49%)	14 (33%)	0.198
Female	22 (51%)	29 (67%)	
Tumor type/differentiation			
Adenocarcinoma/well	12 (28%)	12 (28%)	0.964
Adenocarcinoma/ moderate	21 (49%)	20 (47%)	
Adenocarcinoma/ poor	10 (23%)	11 (26%)	
Tumor TNM Stage			
Ι	34 (79%)	33 (77%)	0.999
III	9 (21%)	10 (23%)	

#### Table 2

List of alkylating agents for which CFL1 mRNA levels are biomarker<sup>1</sup> for drug resistance.

Class <sup>2</sup>	Drugs	R <sub>s</sub>	P-value
A2	Porfiromycin	0.771	0.036
A6	Carmustine (BCNU)	1.000	0.000
A6	Chlorozotocin	0.943	0.002
A6	Clomesone	0.943	0.002
A6	Lomustine (CCNU)	0.771	0.036
A6	Mitozolamide	0.943	0.002
A6	PCNU	0.943	0.002
A6	Semustine (MeCCNU)	0.886	0.009
A7	Asaley	0.771	0.036
A7	Carboplatin	0.829	0.021
A7	Chlorambucil	0.829	0.021
A7	Cisplatin	0.829	0.021
A7	Cyclodisone	0.943	0.002
A7	Hepsulfam	0.771	0.036
A7	Iproplatin	1.000	0.000
A7	Mechlorethamine	0.943	0.002
A7	Melphalan	0.771	0.036
A7	Piperazine mustard	0.943	0.002
A7	Piperazinedione	0.771	0.036
A7	Spiromustine	0.886	0.009
A7	Uracil mustard	0.829	0.021
A7	Yoshi-864	0.771	0.036

 $^{I}$  Meta-analysis data of chemotherapeutic drugs from a panel of 33 alkylating agents (from Fig.4*A*) tested for positive correlation (resistance) between drug GI50 ( $\mu$ M) and the pattern of *CFL1* gene expression in six human NSCLC cell lines (A549, EKVX, HOP-92, NCI-H226, NCI-H23, NCI-H460) obtained from the NCI-60 cell panel.

<sup>2</sup>Mechanism of action codes: A2= alkylating at N-2 position of guanine; A6= alkylating at O-6 position of guanine; A7= alkylating at N-7 position of guanine.