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A simulation study for comparing testing statistics in response-adaptive randomization

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Abstract

Background: Response-adaptive randomizations are able to assign more patients in a comparative clinical trial to the tentatively better treatment. However, due to the adaptation in patient allocation, the samples to be compared are no longer independent. At large sample sizes, many asymptotic properties of test statistics derived for independent sample comparison are still applicable in adaptive randomization provided that the patient allocation ratio converges to an appropriate target asymptotically. However, the small sample properties of commonly used test statistics in response-adaptive randomization are not fully studied.

Methods: Simulations are systematically conducted to characterize the statistical properties of eight test statistics in six response-adaptive randomization methods at six allocation targets with sample sizes ranging from 20 to 200. Since adaptive randomization is usually not recommended for sample size less than 30, the present paper focuses on the case with a sample of 30 to give general recommendations with regard to test statistics for contingency tables in response-adaptive randomization at small sample sizes.

Results: Among all asymptotic test statistics, the Cook's correction to chi-square test (T_{MC}) is the best in attaining the nominal size of hypothesis test. The William's correction to log-likelihood ratio test (T_{ML}) gives slightly inflated type I error and higher power as compared with T_{MC} , but it is more robust against the unbalance in patient allocation. T_{MC} and T_{ML} are usually the two test statistics with the highest power in different simulation scenarios. When focusing on T_{MC} and T_{ML} , the generalized drop-the-loser urn (GDL) and sequential estimation-adjusted urn (SEU) have the best ability to attain the correct size of hypothesis test respectively. Among all sequential methods that can target different allocation ratios, GDL has the lowest variation and the highest overall power at all allocation ratios. The performance of different adaptive randomization methods and test statistics also depends on allocation targets. At the limiting allocation ratio of drop-the-loser (DL) and randomized play-the-winner (RPW) urn, DL outperforms all other methods including GDL. When comparing the power of test statistics in the same randomization method but at different allocation targets, the powers of log-likelihood-ratio, log-relative-risk, log-odds-ratio, Wald-type Z, and chi-square test statistics are maximized at their corresponding optimal allocation ratios for power. Except for the optimal allocation target for log-relative-risk, the other four optimal targets could assign more patients to the worse arm in some simulation scenarios. Another optimal allocation target, R_{RSIHR} , proposed by Rosenberger and Sriram (*Journal of Statistical Planning and Inference*, 1997) is aimed at minimizing the number of failures at fixed power using Wald-type Z test statistics. Among allocation ratios that always assign more patients to the better treatment, R_{RSIHR} usually has less variation in patient allocation, and the values of variation are consistent across all simulation scenarios. Additionally, the patient allocation at R_{RSIHR} is not too extreme. Therefore, R_{RSIHR} provides a good balance between assigning more patients to the better treatment and maintaining the overall power.

Conclusion: The Cook's correction to chi-square test and Williams' correction to log-likelihood-ratio test are generally recommended for hypothesis test in response-adaptive randomization, especially when sample sizes are small.

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The generalized drop-the-loser urn design is the recommended method for its good overall properties. Also recommended is the use of the R_{RSIHR} allocation target.

Background

The response-adaptive randomization (RAR) in clinical trials is a class of flexible ways of assigning treatment to new patients sequentially based on available data. The RAR adjusts the allocation probabilities to reflect the interim results of the trial, thereby allowing patients to benefit from the interim knowledge as it accumulates in the trial. In practice, unequal allocation probabilities are generated based on the current assessment of treatment efficacy, which results in more patients being assigned to the treatment that is putatively superior.

Many RAR designs have been proposed over the years [1-13]. The two key issues extensively investigated are the evaluations of parameter estimations and hypothesis testing. Due to the dependency of assigning new patients based on observed data at that time, conventional estimates of treatment effect are often biased; therefore, efforts have been made to quantify and correct estimation bias [14,15]. Recent theoretical works have been focused on solving problems encountered in practice, which includes delayed response, implementation for multi-arm trials, and incorporating covariates, etc. [1,3,11,16-18]. Many recent theoretical developments are summarized in [19]. Additionally, in order to compare treatment efficacies through hypothesis testing, studies have been conducted on power comparisons and sample size calculations under the framework of adaptive randomization [20-24]. However, most of the works are based on large sample sizes, and focus on asymptotic properties [4,12,22,25,26]. But these properties have not been fully studied with small sample sizes. The mathematical challenge imposed by correlated data makes it extremely difficult to derive exact solutions for finite samples. Up to now, only limited results on exact solutions have been available [15,27], and computer simulation has to be relied upon when sample size is small [23,24], which is often the case in early phase II trials.

Each RAR design has its own objective, and there are both advantages and disadvantages associated with that objective. It is not our purpose to give a comprehensive assessment of different designs by comparing their advantages and disadvantages. Instead, the primary objective of the present study is to characterize the small sample properties of RAR based on a frequentist approach. In particular, we focus on comparing the performance of commonly used test statistics in RAR of two-arm comparative trials with a binary outcome. Due to the departure from normality caused by data correlation and the discrete nature of a binary outcome,

hypothesis tests usually can not be controlled at any given levels of nominal significance. Thus, to make our simulation comparison more relevant, our assessment of hypothesis testing methods and RAR procedures is based on the calculation of both statistical power and the comparison to the nominal type I error rate. Several RAR methods studied in our simulations can assign patients according to a given allocation target, which may be optimal in terms of maximizing the power or minimizing the expected treatment failure. Therefore, we also compare the properties of test statistics at different optimal allocation targets.

The remaining parts of this paper are organized into 4 sections. In the Methods Section, we introduce the adaptive randomization procedures, the optimal allocation rates, and the test statistics used in the simulation. In the Results Section, we present the simulation results. We provide a discussion and final recommendations regarding the RAR methods and hypothesis tests in the Discussion and Conclusions Sections.

Methods

In the present section, we briefly describe the randomization methods, asymptotic hypothesis test statistics, and optimal patient allocation targets that are relevant to our simulations. More detailed information can be found in the corresponding references.

Response-based Adaptive Randomization (RAR)

The RAR procedures investigated in the present study are randomized play-the-winner (RPW) [8,10], drop-the-loser (DL) [28], sequential maximum likelihood estimation (SMLE) [12], doubly-adaptive biased coin [2,3], sequential estimation-adjusted urn (SEU) [13], and generalized drop-the-loser (GDL) [11] designs. RPW, DL, SEU and GDL are all urn models in the sense that treatment assignment for each patient can be obtained by sampling balls from an urn. In the usual clinical trial setting, an urn model consists of one urn with different types of balls that represent the different treatments under study. Patients are assigned to treatments by randomly selecting balls from the urn. Initially, the urn contains an equal number of balls for each of the treatment offered in the trial. With the progress of a clinical trial, certain rules are applied to update the contents of the urn in such a way that favors the selection of balls corresponding to the better treatment. For example, under the RPW design, the observation of a successful treatment response leads to the addition of a (>0) balls

of the same type to the urn; a lack of success leads to the addition of b (>0) balls of the other type to the urn ($a = b = 1$ in our simulation). The limiting allocation rate of patients on treatment 1 is $q_2/(q_1 + q_2)$, where $q_1 = 1-p_1$ and $q_2 = 1-p_2$ are failure rates, and p_1 and p_2 are success rates (or response rates) for treatments 1 and 2. In the DL model, patients are assigned to a treatment based on the type of ball that is drawn; however a treatment failure results in the removal of a treatment ball from the urn, and treatment successes are ignored. Due to the finite probabilities of extinction, immigration balls are added to the urn. If an immigration ball is drawn, an additional ball of each type is added. The sampling process is repeated until a treatment ball is drawn. The DL urn design has the same limiting allocation as the RPW urn, but less variability in patient allocation. Both SEU and GDL are urn models allowing fraction number of balls, and can target any allocation rate. For SEU method [13], if the limiting allocation of RPW urn is the target in a two-arm trial, then $\hat{q}_i(i)/[\hat{q}_1(i)+\hat{q}_2(i)]$ balls of type 2 and $\hat{q}_2(i)/[\hat{q}_1(i)+\hat{q}_2(i)]$ balls of type 1 are added to the urn following the allocation of the i th patient. Obviously, the response status of the i th patient is related to the contents of SEU urn only through the calculation of $\hat{q}_1(i)$ and $\hat{q}_2(i)$. For a two-arm GDL urn model [11], when a treatment ball is drawn, a new patient is assigned accordingly, but the ball will not be returned to the urn. Depending on the response of the patient, the conditional average numbers of balls being added back to the urn are b_1 and b_2 for treatments 1 and 2, respectively. Therefore, the conditional average numbers of type 1 and type 2 balls being taken out of the urn can be defined as d_1 and d_2 , where $d_1 = 1-b_1$ and $d_2 = 1-b_2$. Immigration balls are also present in a GDL urn. Whenever an immigration ball is drawn, a_1 and a_2 balls are added for treatments 1 and 2, respectively. Zhang et al [11] have shown that the limiting allocation rate of patients on treatment 1 is

$$\frac{n_1}{n} \rightarrow \frac{\frac{a_1}{d_1}}{\frac{a_1}{d_1} + \frac{a_2}{d_2}} \tag{1}$$

The GDL urn becomes a DL urn when $a_1 = 1$, $a_2 = 1$, $b_1 = p_1$, and $b_2 = p_2$. Although GDL is a general method with different ways of implementation, a convenient approach is taken in our simulation. When a treatment ball is drawn, the ball is not returned, and no ball is added regardless of the response of the patient. When an immigration ball is drawn, $C\rho_1$ and $C\rho_2$ balls of type 1 and 2 are added, where C is a constant, and ρ_1 and ρ_2 are allocation targets on treatments 1 and 2, which are estimated sequentially using the maximum likelihood estimates (MLE) [11].

The SMLE and doubly-adaptive biased coin design (DBCD) methods can also target any allocation ratios, and SMLE can be implemented as a special case of DBCD method. In DBCD method, the probability of the $(i+1)$ th patient being assigned to treatment 1 is calculated by

$$P_{i+1} = g\left(\frac{n_1(i)}{i}, \rho_i(i)\right) \tag{2}$$

where $r_1 = n_1(i)/i$ and $\rho(i)$ are the current allocation rate and estimated allocation rate on treatment 1 [2,3]. The properties of the DBCD depend largely on the selection of g , which can be considered as a measuring function for the deviation from the allocation target. In the present study, we use the following function suggested by Hu and Zhang [3]:

$$g(r, \rho) = \frac{\rho(\rho/r)^\alpha}{\rho(\rho/r)^\alpha + (1-\rho)[(1-\rho)/(1-r)]^\alpha} \tag{3}$$

$$g(0, \rho) = 1$$

$$g(1, \rho) = 0$$

where α is a tuning parameter. When α approaches infinity, the DBCD becomes deterministic and the patients are assigned to the putatively better treatment with probability 1. When α equals to 0, the MLE of ρ becomes the allocation target, and the DBCD method is essentially the same as the SMLE design proposed by Melfi et al [12].

Hypothesis Tests for Two-Arm Comparative Trials

In two-arm comparative trials, the results of a binary outcome variable can be summarized in a 2×2 contingency table (Table 1). The following hypothesis test is often conducted to compare treatment efficacy:

$$H_0 : p_1 = p_2$$

$$H_1 : p_1 \neq p_2 \tag{4}$$

Nine test statistics for the hypothesis test in (4) are given in Table 2. When relative risk (q_1/q_2) and odds ratio

Table 1 Summary of data from a two-arm comparative clinical trial

	Response	Failure	Margins
Treatment 1	r_1	f_1	n_1
Treatment 2	r_2	f_2	$n-n_1 = n_2$
Margins	$r_1 + r_2 = r$	$n-r = f_1 + f_2 = f$	n

n : total number of patients; n_1, n_2 : patients on treatment 1 and 2; r : total number of treatment successes; r_1, r_2 : number of successes on treatment 1 and 2.

Table 2 Test statistics

Log-relative-risk	$T_{Risk} = (\log(f_2 n_1 / f_1 n_2))^2 / (r_1 / n_1 f_1 + r_2 / n_2 f_2)$
Log-odds-ratio	$T_{Odds} = (\log(f_2 r_1 / f_1 r_2))^2 / (1/f_1 + 1/f_2 + 1/r_1 + 1/r_2)$
Wald-type Z	$T_{Wald} = (r_1 / n_1 - r_2 / n_2)^2 / (f_2 r_1 / n_1^3 + f_1 r_2 / n_2^3)$
Chi-square	$T_{Chisq} = (n - 1) (r_1 f_2 - r_2 f_1)^2 / r f n_1 n_2$
Log-likelihood-ratio	$T_{LLR} = 2 \cdot (r_1 \log r_1 + r_2 \log r_2 + f_1 \log f_1 + f_2 \log f_2 - r \log r - f \log f - n_1 \log n_1 - n_2 \log n_2 + n \log n)$
Gart's correction to T_{Odds} [29]	$T_{MO} = (\log(f_2 n'_1 / f_1 n'_2))^2 / (r'_1 / n'_1 f_1 + r'_2 / n'_2 f_2)$
Agresti's correction to T_{Wald}	$T_{MW} = (r''_1 / n''_1 - r''_2 / n''_2)^2 / (f''_2 r''_1 / n''_1{}^3 + f''_1 r''_2 / n''_2{}^3)$
Cook's correction to T_{Chisq}	$T_{MC} = (n - 1) (r_1 f_2 - r_2 f_1 - 0.5)^2 / r f n_1 n_2$
William's correction to T_{LLR} [31]	$T_{ML} = [1 + (n_2 - r f) (n_2 - n_1 n_2) / 6 r f n_1 n_2 n]^{-1} \cdot T_{LLR}$

$r'_1 = r_1 + 0.5, r'_2 = r_2 + 0.5, f'_1 = f_1 + 0.5, f'_2 = f_2 + 0.5, r'' = r + 1, f'' = f + 1, n'_1 = n_1 + 1, n'_2 = n_2 + 1, n'' = n + 2, r''_1 = r_1 + 1, r''_2 = r_2 + 1, f''_1 = f_1 + 1, f''_2 = f_2 + 1, r'' = r + 2, f'' = f + 2, n''_1 = n_1 + 2, n''_2 = n_2 + 2, n'' = n + 4$

$(p_1 q_2 / q_1 p_2)$ are used to quantify the differences between 2 treatment arms, the test statistics are log-relative-risk and log-odds-ratio, T_{Risk} and T_{Odds} , which are asymptotically distributed as chi-square distribution with one degree of freedom (χ^2_1). When simple difference is used to measure the treatment effect, the applicable test statistics are the Wald-type test statistic T_{Wald} and the score-type test statistics T_{Chisq} , where the variance of simple difference in response rates is evaluated at H_1 or H_0 respectively. Additionally, the test statistics based on the logarithm of likelihood ratio (T_{LLR}) can also be constructed. Besides the 5 commonly used test statistics mentioned above, four modified test statistics are also included in Table 2. T_{MO} is a modified log-odds-ratio test proposed by Gart using the approximation of discrete distributions by their continuous analogues [29]. As shown in Table 2, T_{MO} is essentially a modification to T_{Odds} by adding 0.5 to each cell of a 2×2 table. Similarly, Agresti and Caffo proposed a modification to T_{Wald} by adding 1 to each cell of a contingency table [30], which results in the test statistic T_{MW} in Table 2. T_{MC} is the Cook's continuity correction to chi-square test statistics T_{Chisq} . Williams provided a modification to log-likelihood-ratio test T_{LLR} [31]. The original test statistic T_{LLR} is improved by multiplying a scale factor such that the null distribution of the new test statistic T_{ML} has the same moments as the chi-square distribution.

Since all test statistics in Table 2 are based on χ^2_1 , they are asymptotically equivalent and any one of them can be used for large sample sizes. Meanwhile at small sample sizes, an exact test can be conducted if a model is specified for the data given in Table 1. For example, depending on the number of fixed margins predetermined for the design, one of the following three models can be applied [32]:

$$\Pr(r_1 | n, n_1, r) = h(r_1 | n, n_1, r), \tag{5}$$

$$\Pr(r_1, r | n, n_1, p) = h(r_1 | n, n_1, r) b(r | n, p), \tag{6}$$

and

$$\Pr(r_1, r, n_1 | n, p, \rho) = h(r_1 | n, n_1, r) b(r | n, p) b(n_1 | n, \rho), \tag{7}$$

where $h(r_1 | n, n_1, r)$ represents the hypergeometric distribution of r_1 , $b(r | n, p)$ gives the binomial distribution of r under the null hypothesis of equal response rates ($H_0: p_1 = p_2 = p$), and $b(n_1 | n, \rho)$ denotes the binomial distributions of patients on arm 1 with an allocation ratio of ρ ($\rho_1 = 0.5$ for equal randomization). The p value of exact test can be calculated by maximizing the probability in (5), (6), or (7) over the two nuisance parameters, p and ρ . However, due to data dependency, none of the above three models are directly applicable in adaptive randomization. For example, the allocation ratio ρ in adaptive randomization is a random variable with unknown distribution, and the binomial distribution of n_1 assumed in model (7) is not valid even when the null hypothesis is true. Therefore, in adaptive randomization, unconditional exact tests are not available and asymptotic test statistics such as the ones in Table 2 are required for testing the hypothesis in (4).

Optimal Allocation Ratios

The SMLE, DBCD, SEU, and GDL methods can be utilized to allocate patients based on different allocation targets. The allocation targets simulated in the present study are summarized in Table 3, where R_{Risk} , R_{Odds} , R_{Wald} , R_{Chisq} , and R_{LLR} are optimal allocation ratios maximizing the power of T_{Risk} , T_{Odds} , T_{Wald} , T_{Chisq} , and T_{LLR} respectively, at fixed sample size. The derivation of T_{Risk} , T_{Odds} , T_{Wald} , T_{Chisq} , and T_{LLR} can be found in [33,34], which is equivalent to minimizing the variance of corresponding test statistic at a fixed total sample size, and consequently the power of that test statistic is maximized. R_{RSIHR} is a recently proposed allocation target that minimizes the expected total number of failures among all trials with the same power [15,33]. The

Table 3 Allocation targets

Optimal allocation ratio (n_1/n_2) for maximizing powers	
R_{Risk}	$\sqrt{p_1 q_2 / p_2 q_1}$
R_{Odds} / R_{Chisq}	$\sqrt{p_2 q_2 / p_1 q_1}$
R_{Wald} / R_{Neyman}	$\sqrt{p_1 q_1 / p_2 q_2}$
R_{LLR}	$\{q_2 - p_2 \exp[l_1 - l_2 / (p_2 - p_1)] / \{-q_1 + p_1 \exp[l_1 - l_2 / (p_2 - p_1)]\}$
Other allocation targets	
R_{RPW} / R_{DL}	q_2 / q_1
R_{RSIHR}	$\sqrt{p_1 / p_2}$ (Minimize the number of failure at fixed power of T_{Wald})

$l_1 = p_1 \log(p_1) + q_1 \log(q_1)$, $l_2 = p_2 \log(p_2) + q_2 \log(q_2)$

general theoretical framework and the practical implementation of optimal allocation in k -arm trials with binary outcomes are discussed and demonstrated by Tymofyeyev et al [35], where the optimization can be conducted over different goals. In practice, the performance of the methodology depends on the chosen RAR procedure. The present simulation study only focuses on two-arm trials, with a goal of maximizing the power or minimizing the total number of failures.

Results

Simulations are conducted at different total numbers of patients ranging from 20 to 200. To simplify the presentation, the results for trials with 30 patients are shown here. When patients are less than 30, adaptive randomization is generally not recommended. For sample size of 100 or larger, all methods yield similar properties in general. For all of the urn models, one ball for each treatment is consistently used as the initial contents of the urn. The number of immigration balls is 1 for both the DL and GDL urns. The tuning parameter of DBCD, α , is fixed at 0 or 2. When α is 0, it results in the SMLE method. The value of the constant C in GDL is 2, which is equivalent to adding 2 treatment balls on average when an immigration ball is drawn. All simulation results are calculated based on 10,000 replicates.

For the purpose of comparison, the true allocation rates are shown in Table 4, and the simulated results for allocation rates on arm 1 are shown in Table 5. Among all RAR methods, DBCD has the best ability to attain

the true allocation target. The comparison between SMLE and DBCD shows that, the allocation becomes more unbalanced and the variation of DBCD decreases with increasing value of tuning exponent α . On the other hand, the patient allocation of SEU results in more balanced mean allocation between two arms with a much larger variation as compared with other RAR methods. The GDL has the lowest variation among the four sequential RAR methods. When R_{RPW} (the same as R_{DL}) is the allocation target, DL urn method has the lowest variation in patient allocation, which is consistent with the fact that the lower bound of the estimate of $\text{Var}(R_{RPW})$ is attained by DL urn [4]. The comparison among allocation targets shows that R_{LLR} has the lowest variation in patient allocation, and the highest variation is usually found at R_{RPW} or R_{Risk} . However, R_{RPW} and R_{Risk} are usually the top two allocation targets that assign more patients to the better treatment. R_{Wald} , R_{Odds} , and R_{LLR} assigns more patients to the worse arm in some simulation cases. Among the three allocation targets that assign more patients to the better treatment (R_{RSIHR} , R_{Risk} and R_{RPW}), R_{RSIHR} has a stable and often the lowest variation in patient allocation.

The simulation results are obtained for five null cases and ten alternative cases, and Table 6 gives the summary by averaging the results over the five null cases and the ten alternative cases for a given RAR method and at a given allocation target. Detailed simulation results for each test statistic are shown in Tables 7, 8, 9, 10, 11, 12 with one table for each of the six allocation targets. To simplify the presentation, the results are shown only for the four modified test statistics T_{MW} , T_{MO} , T_{MC} , T_{ML} , and the log-relative-risk test statistic T_{Risk} because they tend to have better performance than the four corresponding unmodified tests. The qualitative comparisons among test statistics, RAR methods, and allocation targets can be made based on the results in Table 6.

As shown in Table 6 (also see Tables 7, 8, 9, 10, 11, 12), the worst performance can be found in the results of T_{MO} and T_{Risk} , which are often conservative with less than nominal type I error rate. T_{MW} is always slightly conservative across all simulation cases. Overall, T_{MC} is

Table 4 Asymptotic allocation rates on arm 1 calculated from true p_1 and p_2

p_1	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.500	0.700
p_2	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
R_{Wald} / R_{Neyman}	0.396	0.375	0.396	0.500	0.478	0.500	0.604	0.522	0.625	0.604
R_{Risk}	0.337	0.250	0.179	0.100	0.396	0.300	0.179	0.396	0.250	0.337
R_{Odds} / R_{Chisq}	0.604	0.625	0.604	0.500	0.522	0.500	0.396	0.478	0.375	0.396
R_{LLR}	0.534	0.538	0.528	0.500	0.507	0.500	0.472	0.493	0.462	0.466
R_{RSIHR}	0.366	0.309	0.274	0.250	0.436	0.396	0.366	0.458	0.427	0.469
R_{RPW} / R_{DL}	0.438	0.357	0.250	0.100	0.417	0.300	0.125	0.375	0.167	0.250

Table 5 Mean and standard deviation (in parenthesis) of allocation rate on arm 1 for $n = 30$

Null	p_1	0.2	0.3	0.5	0.7	0.8
	p_2	0.2	0.3	0.5	0.7	0.8
Urn	R_{PW}	0.500(0.081)	0.500(0.095)	0.500(0.129)	0.500(0.179)	0.500(0.209)
	DL	0.500(0.048)	0.500(0.058)	0.500(0.078)	0.500(0.092)	0.500(0.097)
SMLE	R_{Wald}	0.500(0.106)	0.500(0.103)	0.500(0.098)	0.500(0.103)	0.500(0.106)
	R_{Risk}	0.500(0.130)	0.500(0.134)	0.500(0.140)	0.500(0.151)	0.500(0.158)
	R_{Odds}	0.500(0.109)	0.500(0.098)	0.500(0.091)	0.500(0.099)	0.500(0.109)
	R_{LLR}	0.500(0.093)	0.500(0.092)	0.500(0.091)	0.500(0.093)	0.500(0.094)
	R_{RSIHR}	0.500(0.117)	0.500(0.116)	0.500(0.109)	0.500(0.106)	0.500(0.102)
	R_{RPW}	0.500(0.100)	0.500(0.109)	0.500(0.131)	0.500(0.166)	0.500(0.192)
DBCD	R_{Wald}	0.500(0.090)	0.500(0.075)	0.500(0.055)	0.500(0.075)	0.500(0.090)
	R_{Risk}	0.500(0.126)	0.500(0.124)	0.500(0.123)	0.500(0.127)	0.500(0.140)
	R_{Odds}	0.500(0.082)	0.500(0.061)	0.500(0.047)	0.500(0.061)	0.500(0.082)
	R_{LLR}	0.500(0.049)	0.500(0.046)	0.500(0.044)	0.500(0.047)	0.500(0.049)
	R_{RSIHR}	0.500(0.107)	0.500(0.099)	0.500(0.078)	0.500(0.060)	0.500(0.054)
	R_{RPW}	0.500(0.064)	0.500(0.074)	0.500(0.104)	0.500(0.148)	0.500(0.185)
SEU	R_{Wald}	0.500(0.113)	0.500(0.106)	0.500(0.098)	0.500(0.106)	0.500(0.114)
	R_{Risk}	0.500(0.155)	0.500(0.168)	0.500(0.195)	0.500(0.223)	0.500(0.237)
	R_{Odds}	0.500(0.101)	0.500(0.104)	0.500(0.130)	0.500(0.176)	0.500(0.196)
	R_{LLR}	0.500(0.093)	0.500(0.091)	0.500(0.091)	0.500(0.093)	0.500(0.092)
	R_{RSIHR}	0.500(0.149)	0.500(0.146)	0.500(0.131)	0.500(0.116)	0.500(0.106)
	R_{RPW}	0.500(0.135)	0.500(0.155)	0.500(0.192)	0.500(0.222)	0.500(0.233)
GDL	R_{Wald}	0.500(0.056)	0.500(0.046)	0.500(0.033)	0.500(0.047)	0.500(0.056)
	R_{Risk}	0.500(0.106)	0.500(0.114)	0.500(0.128)	0.500(0.144)	0.500(0.154)
	R_{Odds}	0.500(0.040)	0.500(0.035)	0.500(0.055)	0.500(0.090)	0.500(0.112)
	R_{LLR}	0.500(0.029)	0.500(0.026)	0.500(0.024)	0.500(0.026)	0.500(0.029)
	R_{RSIHR}	0.500(0.073)	0.500(0.070)	0.500(0.058)	0.500(0.045)	0.500(0.039)
	R_{RPW}	0.500(0.053)	0.500(0.065)	0.500(0.088)	0.500(0.116)	0.500(0.133)
Alternative	p_1	0.1	0.1	0.1	0.1	0.3
	p_2	0.3	0.5	0.7	0.9	0.5
Urn	R_{PW}	0.444(0.080)	0.375(0.092)	0.287(0.096)	0.181(0.088)	0.430(0.109)
	DL	0.447(0.046)	0.383(0.055)	0.316(0.056)	0.249(0.053)	0.437(0.067)
SMLE	R_{Wald}	0.440(0.100)	0.424(0.098)	0.441(0.100)	0.501(0.102)	0.483(0.101)
	R_{Risk}	0.397(0.117)	0.325(0.107)	0.259(0.095)	0.186(0.079)	0.415(0.133)
	R_{Odds}	0.562(0.110)	0.577(0.107)	0.561(0.110)	0.499(0.126)	0.517(0.095)
	R_{LLR}	0.519(0.094)	0.522(0.094)	0.515(0.094)	0.499(0.095)	0.506(0.092)
	R_{RSIHR}	0.417(0.108)	0.369(0.100)	0.335(0.093)	0.312(0.087)	0.447(0.112)
	R_{RPW}	0.447(0.099)	0.384(0.105)	0.297(0.106)	0.179(0.091)	0.434(0.117)
DBCD	R_{Wald}	0.417(0.081)	0.393(0.073)	0.416(0.081)	0.499(0.095)	0.475(0.065)
	R_{Risk}	0.371(0.106)	0.285(0.086)	0.216(0.071)	0.138(0.054)	0.394(0.116)
	R_{Odds}	0.585(0.085)	0.607(0.078)	0.586(0.086)	0.499(0.110)	0.520(0.053)
	R_{LLR}	0.474(0.048)	0.468(0.046)	0.477(0.047)	0.500(0.047)	0.493(0.045)
	R_{RSIHR}	0.392(0.093)	0.332(0.077)	0.297(0.069)	0.273(0.063)	0.431(0.088)
	R_{RPW}	0.440(0.063)	0.366(0.072)	0.266(0.078)	0.129(0.064)	0.422(0.087)
SEU	R_{Wald}	0.476(0.113)	0.464(0.110)	0.473(0.113)	0.505(0.117)	0.493(0.104)
	R_{Risk}	0.433(0.143)	0.361(0.130)	0.296(0.115)	0.234(0.091)	0.440(0.166)
	R_{Odds}	0.514(0.108)	0.497(0.124)	0.462(0.143)	0.388(0.137)	0.489(0.119)
	R_{LLR}	0.510(0.093)	0.512(0.094)	0.508(0.093)	0.501(0.094)	0.503(0.092)
	R_{RSIHR}	0.461(0.143)	0.425(0.130)	0.402(0.122)	0.383(0.113)	0.475(0.136)
	R_{RPW}	0.469(0.129)	0.424(0.136)	0.367(0.135)	0.294(0.113)	0.462(0.164)

Table 5: Mean and standard deviation (in parenthesis) of allocation rate on arm 1 for $n = 30$ (Continued)

GDL	R_{Wald}	0.450(0.051)	0.437(0.046)	0.452(0.051)	0.500(0.058)	0.486(0.040)
	R_{Risk}	0.397(0.093)	0.320(0.085)	0.251(0.071)	0.181(0.055)	0.407(0.114)
	R_{Odds}	0.527(0.043)	0.508(0.053)	0.454(0.072)	0.341(0.080)	0.484(0.045)
	R_{LLR}	0.517(0.027)	0.521(0.026)	0.515(0.027)	0.500(0.028)	0.505(0.024)
	R_{RSIHR}	0.431(0.065)	0.389(0.057)	0.362(0.051)	0.342(0.047)	0.454(0.062)
	R_{RPW}	0.454(0.052)	0.399(0.063)	0.329(0.067)	0.236(0.059)	0.444(0.075)
Alternative	p_1	0.3	0.3	0.5	0.5	0.7
	p_2	0.7	0.9	0.7	0.9	0.9
Urn	RPW	0.341(0.120)	0.227(0.123)	0.411(0.147)	0.288(0.160)	0.375(0.202)
	DL	0.363(0.071)	0.290(0.066)	0.424(0.082)	0.343(0.082)	0.416(0.092)
SMLE	R_{Wald}	0.500(0.104)	0.559(0.100)	0.517(0.100)	0.576(0.099)	0.558(0.101)
	R_{Risk}	0.334(0.124)	0.238(0.109)	0.411(0.139)	0.298(0.131)	0.375(0.149)
	R_{Odds}	0.500(0.098)	0.438(0.109)	0.485(0.095)	0.423(0.107)	0.438(0.109)
	R_{LLR}	0.499(0.091)	0.483(0.093)	0.495(0.092)	0.477(0.094)	0.481(0.094)
	R_{RSIHR}	0.408(0.107)	0.378(0.103)	0.459(0.106)	0.429(0.105)	0.468(0.101)
	R_{RPW}	0.343(0.122)	0.209(0.110)	0.405(0.141)	0.255(0.136)	0.332(0.174)
DBCD	R_{Wald}	0.500(0.075)	0.585(0.081)	0.525(0.065)	0.607(0.073)	0.584(0.081)
	R_{Risk}	0.300(0.104)	0.187(0.083)	0.391(0.118)	0.250(0.108)	0.337(0.130)
	R_{Odds}	0.501(0.061)	0.413(0.086)	0.480(0.054)	0.394(0.079)	0.414(0.084)
	R_{LLR}	0.500(0.046)	0.524(0.047)	0.508(0.045)	0.532(0.046)	0.527(0.048)
	R_{RSIHR}	0.387(0.080)	0.353(0.075)	0.453(0.069)	0.417(0.066)	0.464(0.055)
	R_{RPW}	0.317(0.095)	0.157(0.082)	0.386(0.118)	0.201(0.112)	0.284(0.158)
SEU	R_{Wald}	0.502(0.106)	0.535(0.108)	0.509(0.102)	0.540(0.102)	0.532(0.108)
	R_{Risk}	0.365(0.154)	0.280(0.126)	0.437(0.197)	0.337(0.171)	0.411(0.212)
	R_{Odds}	0.453(0.134)	0.384(0.131)	0.469(0.150)	0.399(0.146)	0.438(0.177)
	R_{LLR}	0.500(0.091)	0.493(0.094)	0.498(0.093)	0.490(0.094)	0.490(0.092)
	R_{RSIHR}	0.449(0.126)	0.429(0.121)	0.479(0.124)	0.460(0.117)	0.481(0.109)
	R_{RPW}	0.408(0.162)	0.326(0.141)	0.456(0.197)	0.366(0.173)	0.423(0.208)
GDL	R_{Wald}	0.499(0.047)	0.548(0.052)	0.514(0.041)	0.562(0.046)	0.548(0.051)
	R_{Risk}	0.319(0.104)	0.220(0.078)	0.397(0.128)	0.274(0.104)	0.356(0.138)
	R_{Odds}	0.431(0.064)	0.327(0.072)	0.447(0.071)	0.342(0.080)	0.390(0.102)
	R_{LLR}	0.500(0.026)	0.485(0.027)	0.495(0.025)	0.479(0.026)	0.483(0.028)
	R_{RSIHR}	0.423(0.056)	0.398(0.052)	0.466(0.052)	0.440(0.046)	0.472(0.038)
	R_{RPW}	0.367(0.082)	0.263(0.073)	0.420(0.098)	0.303(0.092)	0.370(0.121)

the best in attaining the correct type I error rate. T_{ML} is slightly inflated as compared with chi-square test T_{MC} . However, the simulation results not shown here indicate that T_{ML} is very robust against the unbalance in patient allocation even when sample size is 20. The comparison between different RAR methods shows that the mean type I error of GDL and SEU can usually match the correct size of tests better than other methods when T_{MC} and T_{ML} are used respectively. The type I error of DBCD is usually the largest one, except at R_{Odds} . The overall type I error of SEU is comparable with GDL.

The power comparison of different test statistics indicates that T_{Risk} is the statistic with the highest power at R_{Risk} but with a much inflated type I error. Except at R_{Risk} , T_{MC} or T_{ML} is the one with the highest power. Usually, GDL has the highest power and SEU has the

lowest power among all RAR methods. DBCD and SMLE have similar power, but DBCD is more powerful in most cases. At target R_{RPW} , DL urn has the best statistical properties. On the average, the target with the lowest power achieved by test statistics is R_{Risk} . The highest overall power can usually be achieved by test statistics at R_{RSIHR} and R_{LLR} , but R_{LLR} has the disadvantage of assigning more patients to the worse treatment in some cases.

Discussion

In response-adaptive randomization, the assignment of a new patient depends on the treatment outcomes of patients previously enrolled in the trial. Delayed responses are often encountered in practice. Recently, the problem of delayed response in multi-arm

Table 6 The mean and standard deviation (in parenthesis) of type I error and power

Type I error of test statistics							
Target	Method	T _{MW}	T _{RISK}	T _{MO}	T _{MC}	T _{ML}	Row Mean
<i>R</i> _{Wald}	SMLE	4.4(1.1)	4.6(4.1)	2.0(1.4)	5.0(0.6)	6.8(0.9)	4.6(2.4)
	DBCD	4.3(1.4)	5.1(5.1)	1.7(1.7)	4.8(1.2)	7.2(0.8)	4.6(2.9)
	SEU	4.0(0.9)	3.4(2.4)	2.3(1.2)	4.8(0.2)	5.6(0.6)	4.0(1.7)
	GDL	4.4(0.8)	3.7(3.1)	2.1(1.6)	5.2(0.4)	6.6(1.0)	4.4(2.2)
	Mean	4.3(1.0)	4.2(3.6)	2.0(1.4)	5.0(0.7)	6.5(1.0)	4.4(2.3)
<i>R</i> _{Risk}	SMLE	4.4(1.4)	8.6(3.5)	2.4(1.8)	5.5(1.4)	6.0(1.0)	5.4(2.8)
	DBCD	4.6(2.0)	10.2(4.4)	2.6(2.3)	5.7(2.2)	6.5(1.4)	5.9(3.5)
	SEU	3.7(0.8)	7.6(2.3)	2.1(0.8)	5.4(1.3)	5.1(0.4)	4.8(2.2)
	GDL	4.2(1.3)	7.9(2.4)	2.4(1.9)	5.4(1.6)	5.8(1.4)	5.1(2.5)
	Mean	4.2(1.3)	8.6(3.1)	2.4(1.7)	5.5(1.5)	5.9(1.2)	5.3(2.8)
<i>R</i> _{Odds}	SMLE	3.7(0.6)	2.4(0.5)	2.9(0.5)	4.8(0.4)	4.5(0.4)	3.7(1.0)
	DBCD	3.6(0.7)	2.1(0.8)	3.1(0.7)	4.7(0.3)	4.1(0.2)	3.5(1.1)
	SEU	3.6(0.5)	3.6(0.8)	2.3(0.7)	4.7(0.3)	4.9(0.7)	3.8(1.1)
	GDL	3.7(0.8)	3.4(0.8)	3.0(1.1)	5.1(0.4)	4.5(0.4)	3.9(1.0)
	Mean	3.7(0.6)	2.9(0.9)	2.8(0.8)	4.9(0.4)	4.5(0.5)	3.7(1.1)
<i>R</i> _{LLR}	SMLE	4.0(0.6)	2.7(1.2)	2.7(1.0)	5.0(0.2)	5.2(0.6)	3.9(1.3)
	DBCD	4.2(0.8)	3.3(2.6)	2.4(1.5)	5.0(0.4)	6.1(0.8)	4.2(1.9)
	SEU	4.0(0.6)	2.8(1.6)	2.4(1.0)	4.9(0.2)	5.4(0.8)	3.9(1.5)
	GDL	3.7(0.5)	2.5(1.3)	2.7(1.2)	4.9(0.4)	5.4(0.9)	3.8(1.5)
	Mean	3.9(0.6)	2.8(1.6)	2.5(1.1)	5.0(0.3)	5.6(0.8)	4.0(1.5)
<i>R</i> _{RSIHR}	SMLE	4.2(1.1)	6.2(4.0)	2.3(1.5)	5.2(0.8)	6.1(0.7)	4.8(2.4)
	DBCD	4.3(1.5)	6.9(5.2)	2.0(1.6)	5.2(1.3)	6.5(1.1)	5.0(3.0)
	SEU	3.9(0.8)	4.8(3.4)	2.3(1.0)	4.8(0.4)	5.5(0.5)	4.3(1.9)
	GDL	4.3(0.9)	4.7(3.0)	2.2(1.6)	5.1(0.6)	6.1(0.9)	4.5(2.0)
	Mean	4.2(1.0)	5.7(3.8)	2.2(1.3)	5.1(0.8)	6.1(0.8)	4.6(2.3)
<i>R</i> _{RPW}	RPW	4.2(0.8)	6.2(0.5)	2.5(1.6)	5.5(1.4)	5.4(0.8)	4.8(1.7)
	DL	4.3(0.8)	4.8(1.0)	2.6(1.7)	5.3(0.9)	5.3(0.4)	4.5(1.4)
	SMLE	4.2(0.9)	6.5(0.6)	2.8(1.8)	5.4(1.6)	5.1(0.8)	4.8(1.7)
	DBCD	4.3(0.9)	6.7(1.0)	2.9(2.1)	5.7(1.8)	4.8(1.0)	4.9(1.9)
	SEU	3.8(0.6)	5.7(1.3)	2.2(0.6)	5.4(0.8)	5.1(0.6)	4.5(1.5)
	GDL	4.0(0.8)	5.1(0.6)	2.7(1.6)	5.2(0.7)	5.0(0.8)	4.4(1.3)
Mean	4.1(0.8)	5.8(1.1)	2.6(1.5)	5.4(1.2)	5.1(0.7)	4.6(1.6)	
Equal Allocation		4.0(0.5)	2.9(1.7)	2.4(1.0)	5.0(0.2)	5.6(0.8)	4.0(1.5)
Power of test statistics							
Target	Method	T _{MW}	T _{RISK}	T _{MO}	T _{MC}	T _{ML}	Row Mean
<i>R</i> _{Wald}	SMLE	56.6(34.1)	48.6(35.2)	48.5(36.8)	57.6(33.4)	59.4(31.9)	54.2(33.2)
	DBCD	56.9(34.4)	49.5(35.9)	48.0(37.6)	57.7(33.9)	60.2(31.8)	54.5(33.7)
	SEU	56.0(34.0)	47.7(34.8)	49.6(36.1)	57.5(33.0)	58.4(32.3)	53.8(32.9)
	GDL	57.3(34.0)	50.0(36.2)	50.6(36.9)	58.4(33.2)	60.0(32.0)	55.3(33.3)
	Mean	56.7(32.8)	49.0(34.2)	49.2(35.4)	57.8(32.1)	59.5(30.7)	54.4(33.0)
<i>R</i> _{Risk}	SMLE	53.4(33.2)	57.9(31.5)	45.4(35.2)	56.2(32.7)	55.1(31.1)	53.6(31.7)
	DBCD	53.3(33.4)	60.0(30.5)	43.7(36.0)	56.5(32.9)	55.0(31.1)	53.7(31.9)
	SEU	52.5(32.8)	55.3(32.2)	45.9(34.1)	55.2(32.1)	54.2(31.2)	52.6(31.3)
	GDL	53.2(33.3)	58.1(31.6)	45.8(35.8)	56.5(32.6)	55.2(31.7)	53.8(31.9)
	Mean	53.1(31.9)	57.8(30.3)	45.2(33.9)	56.1(31.3)	54.9(30.1)	53.4(31.5)
<i>R</i> _{Odds}	SMLE	54.6(33.9)	47.1(34.3)	52.1(34.9)	57.6(32.6)	56.4(32.9)	53.6(32.5)
	DBCD	54.8(34.2)	47.3(35.2)	53.4(34.5)	57.8(32.7)	56.5(33.4)	53.9(32.8)
	SEU	54.8(33.5)	50.8(33.8)	50.4(34.8)	57.5(32.5)	56.6(32.2)	54.0(32.1)
	GDL	54.6(34.2)	53.0(34.6)	52.5(35.0)	58.1(32.7)	56.8(33.0)	55.0(32.5)
	Mean	54.7(32.6)	49.5(33.2)	52.1(33.4)	57.8(31.4)	56.6(31.6)	54.1(32.3)

Table 6: The mean and standard deviation (in parenthesis) of type I error and power (Continued)

R_{LLR}	SMLE	55.9(33.9)	48.4(35.0)	51.6(35.6)	58.0(32.8)	58.0(32.6)	54.4(32.8)
	DBCD	57.2(34.0)	49.9(35.9)	51.4(36.6)	58.6(33.1)	60.0(32.2)	55.4(33.2)
	SEU	56.1(33.9)	48.5(34.8)	51.2(35.7)	58.1(32.8)	58.2(32.5)	54.4(32.8)
	GDL	56.4(34.1)	50.4(35.8)	53.1(35.9)	58.9(33.1)	59.5(32.5)	55.7(33.1)
	Mean	56.4(32.6)	49.3(34.0)	51.8(34.6)	58.4(31.7)	58.9(31.2)	55.0(32.7)
R_{RSIHR}	SMLE	56.0(33.9)	54.8(33.7)	48.7(36.4)	57.5(33.2)	58.4(32.0)	55.1(32.6)
	DBCD	56.8(34.0)	56.3(33.4)	48.2(37.0)	58.2(33.2)	59.4(31.8)	55.7(32.8)
	SEU	54.5(33.8)	50.5(34.5)	48.6(35.8)	56.4(33.0)	56.6(32.4)	53.3(32.7)
	GDL	57.4(33.7)	54.4(34.5)	50.6(36.6)	58.7(33.0)	59.7(32.1)	56.2(32.8)
	Mean	56.2(32.6)	54.0(32.8)	49.0(35.0)	57.7(31.8)	58.5(30.8)	55.1(32.5)
R_{RPW}	RPW	52.4(32.3)	55.9(32.1)	46.3(34.1)	55.8(32.1)	52.9(30.1)	52.7(31.0)
	DL	56.0(33.5)	55.9(33.4)	50.0(36.1)	58.2(32.6)	57.4(32.5)	55.5(32.4)
	SMLE	51.7(32.3)	56.2(31.8)	46.7(33.7)	55.7(31.9)	51.7(30.2)	52.4(30.9)
	DBCD	51.2(31.8)	57.3(31.2)	47.0(34.1)	56.0(31.5)	48.3(29.2)	52.0(30.6)
	SEU	54.0(33.1)	54.0(32.7)	48.3(34.4)	56.7(32.1)	55.9(31.7)	53.8(31.6)
	GDL	54.6(33.5)	56.0(33.0)	50.2(35.3)	57.8(32.4)	56.4(32.3)	55.0(32.0)
	Mean	53.3(31.4)	55.9(31.0)	48.1(33.2)	56.7(30.7)	53.8(29.8)	53.5(31.2)
Equal Allocation	56.2(33.9)	48.5(35.0)	50.9(35.9)	58.1(32.9)	58.4(32.4)	54.4(32.9)	

Mean values are calculated by averaging simulation results over the five null cases and the ten alternative cases of simulation scenarios listed in Tables 7-12. All results have been multiplied by 100% ($\alpha = 0.05, n = 30$).

generalized drop-the-loser urn and generalized Friedman’s urn design is studied for both continuous and discontinuous outcomes [11,16,17,36]. It is shown that, under reasonable assumption about the delay, the asymptotic properties of adaptive design are not affected by the delay. In the present study, the primary focus is the comparison between commonly used test statistics for 2×2 tables. Based on results not shown here, a less extreme allocation with higher variation would be expected when a random delay is assumed. It is assumed that the response status of each of the patients already in the trial is available before the allocation of a new patient in our simulations evaluation.

The RAR methods simulated in the present study are aimed at assigning patients to the better treatment with probabilities higher than what otherwise would be allowed by equal randomization. The price being paid is that the sample sizes on the two comparing arms are no longer fixed, and the adaptation in patient allocation can complicate the statistical inference at the end of the trial. The properties of test statistics will change when the patient allocation ratio changes in adaptive randomization. The power of test statistics shown in the present simulation study is obtained by averaging over trials with an unknown distribution of allocation ratios. As shown in our simulation results, a large deviation from the nominal significance level of the hypothesis test can be found even under the null hypothesis. Therefore, the practice of comparing asymptotic hypothesis testing methods based solely on statistical power under the alternative hypothesis is not recommended. It is

important to compare adaptive randomization methods based on both the type I error rate and the statistical power, especially when the sample size is small.

General recommendations given in the result section are based on the aggregated results across different settings. Because the performance of different test statistics, RAR methods, and allocation target are closely related to each other, recommendations under a specific scenario can be found based on the detailed simulation results in Tables 7, 8, 9, 10, 11, 12.

Based on simulation results, the Cook’s correction to chi-square test statistic T_{MC} and Williams’ correction to log-likelihood-ratio test T_{ML} are recommended to be used for hypothesis testing at the end of adaptive randomization. T_{MC} has good ability to attain the correct significance levels, and is relatively robust against the change of RAR method or allocation target. T_{ML} has more robust performance than T_{MC} and has higher power, but its type I error is slightly inflated as compared with T_{MC} . However, T_{ML} attains more accurate type I error than T_{MC} when the sample size is small. The original Wald-type Z test statistic T_{Wald} , which is very sensitive to patient allocation and has inflated type I error, should be avoided at small sample sizes. On the other hand, T_{MW} , the Argresti’s correction to T_{Wald} and T_{MO} the modified log-odds-ratio test are too conservative and under powered at small sample sizes.

The primary objective of current study is to compare test statistics. Since the recommended test statistics are T_{MC} and T_{ML} , the comparison between RAR methods and allocation targets are mainly based on these two

Table 7 Power and type I error at R_{Wald} ($\alpha = 0.05, n = 30$)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.500	0.700
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
SMLE	T_{MW}	0.031	0.048	0.056	0.050	0.033	0.196	0.674	0.953	0.999	0.201	0.600	0.950	0.203	0.680	0.202
	T_{Risk}	0.102	0.072	0.039	0.014	0.003	0.326	0.693	0.940	0.996	0.181	0.501	0.798	0.113	0.288	0.024
	T_{MO}	0.007	0.022	0.041	0.024	0.007	0.063	0.492	0.928	0.999	0.162	0.563	0.923	0.161	0.495	0.069
	T_{MC}	0.044	0.052	0.056	0.055	0.044	0.231	0.689	0.954	0.999	0.203	0.601	0.952	0.205	0.693	0.235
	T_{ML}	0.074	0.066	0.055	0.067	0.079	0.308	0.709	0.954	0.999	0.203	0.595	0.951	0.205	0.711	0.309
DBCD	T_{MW}	0.029	0.050	0.057	0.052	0.026	0.186	0.685	0.957	0.999	0.212	0.607	0.958	0.206	0.696	0.191
	T_{Risk}	0.120	0.085	0.041	0.008	0.001	0.361	0.721	0.954	0.998	0.204	0.524	0.811	0.109	0.257	0.010
	T_{MO}	0.004	0.017	0.045	0.017	0.003	0.041	0.462	0.933	0.999	0.169	0.587	0.934	0.164	0.475	0.042
	T_{MC}	0.037	0.056	0.058	0.056	0.034	0.211	0.696	0.958	0.999	0.215	0.607	0.959	0.208	0.706	0.215
	T_{ML}	0.077	0.074	0.059	0.073	0.077	0.311	0.718	0.958	0.999	0.217	0.607	0.959	0.210	0.727	0.315
SEU	T_{MW}	0.031	0.045	0.048	0.044	0.030	0.200	0.655	0.946	0.999	0.190	0.583	0.948	0.191	0.675	0.213
	T_{Risk}	0.067	0.048	0.033	0.016	0.006	0.259	0.646	0.922	0.991	0.154	0.486	0.812	0.114	0.342	0.046
	T_{MO}	0.013	0.026	0.039	0.027	0.011	0.094	0.522	0.921	0.999	0.158	0.553	0.926	0.157	0.533	0.095
	T_{MC}	0.046	0.051	0.049	0.050	0.046	0.248	0.675	0.949	0.999	0.195	0.585	0.950	0.195	0.698	0.258
	T_{ML}	0.062	0.055	0.047	0.055	0.062	0.285	0.683	0.947	0.999	0.190	0.577	0.949	0.193	0.710	0.305
GDL	T_{MW}	0.036	0.051	0.051	0.049	0.034	0.223	0.696	0.954	1.000	0.195	0.601	0.958	0.200	0.692	0.214
	T_{Risk}	0.075	0.060	0.040	0.010	0.001	0.309	0.703	0.949	0.999	0.184	0.543	0.868	0.124	0.304	0.015
	T_{MO}	0.007	0.022	0.046	0.023	0.006	0.077	0.549	0.937	0.999	0.167	0.588	0.945	0.169	0.547	0.077
	T_{MC}	0.048	0.057	0.051	0.055	0.047	0.260	0.708	0.955	1.000	0.198	0.602	0.960	0.204	0.705	0.253
	T_{ML}	0.074	0.064	0.052	0.063	0.076	0.319	0.721	0.956	1.000	0.200	0.602	0.960	0.205	0.720	0.314

For each RAR methods, the results of the following 5 test statistics are shown: Agresti's correction to Wald-type Z test T_{MW} , log-relative-risk test T_{Risk} , Gart's correction to log-odds-ratio test T_{MO} , Cook's correction to chi-square test T_{MC} , and Williams' correction log-likelihood-ratio test T_{ML} .

Table 8 Power and type I error at R_{Risk} ($\alpha = 0.05, n = 30$)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.500	0.700
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
SMLE	T_{MW}	0.024	0.045	0.061	0.051	0.041	0.156	0.615	0.923	0.990	0.185	0.560	0.898	0.189	0.611	0.214
	T_{Risk}	0.136	0.105	0.078	0.061	0.050	0.363	0.716	0.945	0.997	0.230	0.588	0.923	0.206	0.612	0.210
	T_{MO}	0.002	0.008	0.032	0.039	0.040	0.022	0.278	0.792	0.988	0.096	0.466	0.903	0.157	0.615	0.220
	T_{MC}	0.033	0.047	0.060	0.064	0.068	0.177	0.615	0.923	0.996	0.183	0.570	0.939	0.202	0.701	0.316
	T_{ML}	0.069	0.071	0.061	0.049	0.051	0.278	0.659	0.921	0.975	0.195	0.543	0.883	0.179	0.621	0.253
DBCD	T_{MW}	0.018	0.046	0.072	0.054	0.042	0.134	0.617	0.931	0.993	0.198	0.565	0.896	0.199	0.586	0.207
	T_{Risk}	0.166	0.123	0.091	0.066	0.062	0.402	0.744	0.951	0.998	0.253	0.606	0.926	0.225	0.649	0.243
	T_{MO}	0.001	0.003	0.030	0.046	0.049	0.004	0.164	0.746	0.994	0.074	0.457	0.904	0.158	0.623	0.248
	T_{MC}	0.023	0.047	0.070	0.068	0.077	0.148	0.612	0.928	0.998	0.193	0.575	0.940	0.218	0.707	0.327
	T_{ML}	0.071	0.083	0.071	0.050	0.050	0.278	0.665	0.928	0.979	0.207	0.549	0.880	0.184	0.596	0.240
SEU	T_{MW}	0.026	0.039	0.045	0.043	0.032	0.172	0.598	0.903	0.988	0.178	0.537	0.888	0.183	0.606	0.198
	T_{Risk}	0.105	0.092	0.075	0.059	0.049	0.307	0.686	0.935	0.996	0.201	0.546	0.903	0.186	0.581	0.193
	T_{MO}	0.009	0.018	0.029	0.027	0.023	0.062	0.372	0.794	0.986	0.121	0.468	0.887	0.146	0.582	0.176
	T_{MC}	0.041	0.044	0.050	0.064	0.070	0.209	0.605	0.903	0.994	0.178	0.542	0.922	0.194	0.681	0.289
	T_{ML}	0.057	0.052	0.047	0.049	0.048	0.266	0.640	0.900	0.981	0.183	0.526	0.879	0.178	0.624	0.245
GDL	T_{MW}	0.023	0.043	0.059	0.047	0.038	0.168	0.617	0.929	0.993	0.182	0.558	0.902	0.196	0.580	0.195
	T_{Risk}	0.113	0.092	0.076	0.062	0.053	0.347	0.720	0.950	0.998	0.227	0.593	0.928	0.220	0.617	0.213
	T_{MO}	0.001	0.006	0.031	0.040	0.042	0.016	0.283	0.831	0.994	0.094	0.473	0.908	0.161	0.604	0.220
	T_{MC}	0.030	0.047	0.058	0.064	0.070	0.194	0.618	0.928	0.998	0.180	0.567	0.943	0.214	0.696	0.311
	T_{ML}	0.077	0.068	0.058	0.044	0.045	0.292	0.653	0.927	0.990	0.189	0.540	0.901	0.182	0.606	0.236

Table 9 Power and type I error at R_{Odds} ($\alpha = 0.05, n = 30$)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.500	0.700
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
SMLE	T_{MW}	0.030	0.040	0.042	0.040	0.031	0.202	0.630	0.935	0.998	0.178	0.562	0.939	0.174	0.637	0.205
	T_{Risk}	0.022	0.023	0.030	0.026	0.017	0.143	0.502	0.857	0.984	0.128	0.475	0.884	0.129	0.497	0.112
	T_{MO}	0.024	0.031	0.036	0.031	0.023	0.163	0.587	0.926	0.999	0.154	0.536	0.929	0.151	0.598	0.167
	T_{MC}	0.053	0.048	0.043	0.047	0.052	0.283	0.682	0.946	0.999	0.184	0.566	0.947	0.180	0.690	0.285
	T_{ML}	0.048	0.045	0.040	0.044	0.049	0.266	0.662	0.938	0.998	0.174	0.551	0.941	0.171	0.672	0.270
DBCD	T_{MW}	0.029	0.040	0.044	0.040	0.028	0.191	0.632	0.940	0.999	0.180	0.572	0.941	0.178	0.644	0.198
	T_{Risk}	0.011	0.018	0.032	0.026	0.018	0.085	0.448	0.864	0.994	0.120	0.490	0.906	0.141	0.547	0.134
	T_{MO}	0.026	0.033	0.042	0.031	0.024	0.178	0.609	0.934	0.999	0.165	0.555	0.933	0.161	0.619	0.185
	T_{MC}	0.052	0.046	0.045	0.046	0.048	0.280	0.688	0.948	0.999	0.185	0.573	0.949	0.181	0.696	0.284
	T_{ML}	0.040	0.043	0.043	0.043	0.038	0.244	0.667	0.945	0.999	0.178	0.565	0.944	0.174	0.680	0.252
SEU	T_{MW}	0.032	0.041	0.043	0.037	0.030	0.207	0.647	0.935	0.996	0.183	0.562	0.924	0.186	0.636	0.204
	T_{Risk}	0.047	0.040	0.035	0.032	0.028	0.214	0.605	0.903	0.993	0.152	0.503	0.894	0.140	0.528	0.146
	T_{MO}	0.014	0.026	0.032	0.023	0.020	0.127	0.540	0.900	0.995	0.148	0.520	0.914	0.150	0.587	0.159
	T_{MC}	0.049	0.047	0.043	0.047	0.052	0.268	0.676	0.938	0.998	0.187	0.564	0.945	0.191	0.695	0.284
	T_{ML}	0.059	0.049	0.042	0.044	0.049	0.285	0.677	0.935	0.995	0.182	0.551	0.922	0.183	0.665	0.268
GDL	T_{MW}	0.029	0.037	0.049	0.041	0.030	0.203	0.657	0.943	0.999	0.167	0.573	0.929	0.178	0.617	0.192
	T_{Risk}	0.024	0.032	0.046	0.035	0.031	0.183	0.625	0.936	0.999	0.158	0.560	0.922	0.165	0.583	0.166
	T_{MO}	0.013	0.026	0.043	0.034	0.033	0.124	0.587	0.930	0.999	0.150	0.552	0.928	0.161	0.619	0.204
	T_{MC}	0.051	0.047	0.050	0.050	0.058	0.281	0.700	0.948	0.999	0.177	0.579	0.949	0.187	0.695	0.298
	T_{ML}	0.050	0.047	0.046	0.039	0.043	0.282	0.700	0.947	0.999	0.176	0.563	0.933	0.169	0.652	0.258

Table 10 Power and type I error at R_{LLR} ($\alpha = 0.05, n = 30$)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.500	0.700
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
SMLE	T_{MW}	0.034	0.043	0.046	0.044	0.031	0.212	0.659	0.946	0.999	0.187	0.575	0.948	0.182	0.667	0.218
	T_{Risk}	0.039	0.034	0.033	0.022	0.008	0.203	0.597	0.911	0.995	0.146	0.490	0.869	0.124	0.432	0.072
	T_{MO}	0.018	0.029	0.040	0.031	0.017	0.129	0.577	0.931	0.999	0.162	0.549	0.934	0.156	0.587	0.133
	T_{MC}	0.052	0.050	0.046	0.052	0.051	0.274	0.692	0.951	0.999	0.192	0.578	0.953	0.185	0.700	0.278
	T_{ML}	0.060	0.050	0.044	0.051	0.057	0.289	0.691	0.948	0.999	0.186	0.567	0.950	0.181	0.698	0.289
DBCD	T_{MW}	0.036	0.047	0.050	0.045	0.031	0.223	0.688	0.957	0.999	0.192	0.591	0.956	0.192	0.697	0.225
	T_{Risk}	0.063	0.049	0.037	0.012	0.001	0.278	0.686	0.947	0.998	0.171	0.528	0.872	0.129	0.356	0.026
	T_{MO}	0.010	0.028	0.046	0.026	0.009	0.094	0.569	0.946	0.999	0.169	0.579	0.942	0.171	0.580	0.094
	T_{MC}	0.050	0.055	0.051	0.052	0.044	0.265	0.710	0.959	0.999	0.197	0.592	0.959	0.197	0.715	0.267
	T_{ML}	0.071	0.062	0.051	0.057	0.066	0.315	0.727	0.960	0.999	0.198	0.591	0.959	0.199	0.733	0.316
SEU	T_{MW}	0.034	0.043	0.046	0.043	0.033	0.215	0.665	0.947	0.999	0.187	0.581	0.947	0.186	0.671	0.214
	T_{Risk}	0.047	0.038	0.031	0.018	0.007	0.226	0.617	0.915	0.995	0.148	0.492	0.854	0.125	0.414	0.063
	T_{MO}	0.016	0.027	0.038	0.028	0.013	0.124	0.573	0.931	0.999	0.161	0.553	0.929	0.157	0.574	0.123
	T_{MC}	0.052	0.049	0.047	0.050	0.050	0.276	0.696	0.952	0.999	0.191	0.583	0.951	0.191	0.701	0.270
	T_{ML}	0.063	0.051	0.044	0.052	0.061	0.294	0.696	0.949	0.999	0.186	0.573	0.948	0.186	0.701	0.292
GDL	T_{MW}	0.033	0.037	0.043	0.038	0.032	0.230	0.670	0.950	1.000	0.178	0.585	0.956	0.177	0.675	0.215
	T_{Risk}	0.035	0.032	0.036	0.018	0.005	0.230	0.645	0.937	0.999	0.151	0.537	0.905	0.139	0.449	0.049
	T_{MO}	0.016	0.030	0.043	0.031	0.014	0.139	0.614	0.945	1.000	0.172	0.582	0.951	0.172	0.612	0.127
	T_{MC}	0.052	0.050	0.044	0.048	0.053	0.293	0.719	0.955	1.000	0.189	0.588	0.960	0.186	0.722	0.275
	T_{ML}	0.063	0.051	0.044	0.049	0.064	0.322	0.722	0.955	1.000	0.189	0.587	0.960	0.187	0.728	0.302

Table 11 Power and type I error at R_{RSIHR} (alpha = 0.05, n = 30)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.700	
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
SMLE	T_{MW}	0.028	0.045	0.056	0.048	0.035	0.174	0.648	0.944	0.999	0.192	0.588	0.946	0.202	0.678	0.228
	T_{Risk}	0.118	0.085	0.058	0.034	0.018	0.343	0.712	0.950	0.999	0.207	0.568	0.910	0.172	0.515	0.102
	T_{MO}	0.004	0.012	0.040	0.034	0.023	0.037	0.397	0.890	0.998	0.130	0.538	0.936	0.170	0.616	0.156
	T_{MC}	0.038	0.049	0.056	0.057	0.057	0.200	0.657	0.945	0.999	0.192	0.591	0.953	0.208	0.718	0.290
	T_{ML}	0.070	0.065	0.056	0.054	0.062	0.291	0.685	0.945	0.998	0.196	0.579	0.946	0.197	0.705	0.301
DBCD	T_{MW}	0.020	0.050	0.057	0.050	0.038	0.157	0.654	0.948	0.999	0.201	0.605	0.956	0.217	0.700	0.242
	T_{Risk}	0.138	0.103	0.062	0.030	0.013	0.383	0.732	0.953	0.999	0.227	0.594	0.922	0.186	0.534	0.097
	T_{MO}	0.001	0.007	0.038	0.034	0.020	0.017	0.323	0.887	0.999	0.123	0.554	0.942	0.185	0.628	0.159
	T_{MC}	0.028	0.056	0.057	0.057	0.060	0.183	0.662	0.948	0.999	0.202	0.607	0.959	0.221	0.733	0.304
	T_{ML}	0.074	0.079	0.057	0.052	0.064	0.293	0.693	0.948	0.999	0.208	0.593	0.954	0.207	0.726	0.317
SEU	T_{MW}	0.029	0.039	0.050	0.044	0.033	0.181	0.626	0.930	0.998	0.178	0.559	0.932	0.182	0.653	0.214
	T_{Risk}	0.095	0.070	0.044	0.024	0.010	0.275	0.650	0.926	0.996	0.163	0.512	0.875	0.137	0.449	0.071
	T_{MO}	0.014	0.021	0.037	0.028	0.016	0.075	0.466	0.892	0.997	0.137	0.521	0.921	0.152	0.574	0.128
	T_{MC}	0.044	0.045	0.050	0.053	0.049	0.225	0.642	0.932	0.998	0.181	0.562	0.945	0.189	0.696	0.271
	T_{ML}	0.058	0.053	0.050	0.052	0.062	0.268	0.657	0.929	0.997	0.178	0.548	0.934	0.179	0.684	0.289
GDL	T_{MW}	0.031	0.048	0.052	0.050	0.036	0.206	0.682	0.951	1.000	0.197	0.610	0.961	0.212	0.690	0.235
	T_{Risk}	0.084	0.065	0.050	0.026	0.009	0.321	0.715	0.952	1.000	0.201	0.591	0.919	0.173	0.495	0.076
	T_{MO}	0.002	0.016	0.042	0.034	0.017	0.047	0.476	0.923	1.000	0.147	0.577	0.947	0.186	0.613	0.142
	T_{MC}	0.040	0.052	0.052	0.056	0.053	0.228	0.689	0.952	1.000	0.198	0.611	0.964	0.216	0.721	0.289
	T_{ML}	0.074	0.062	0.051	0.055	0.063	0.301	0.707	0.952	1.000	0.199	0.602	0.962	0.207	0.722	0.316

selected test statistics. Among SMLE, DBCD, SEU, and GDL methods, GDL seems to be the best one due to its ability to attain the correct size of hypothesis test and comparatively higher overall power at most allocation targets. Therefore, GDL is the recommended RAR method. The sequential estimation-adjusted urn (SEU) method is comparable with GDL in controlling the type I error. However, SEU is often under powered, and the high variation in patient allocation makes it less useful in practice. The DBCD method with tuning exponent α equal to 2 is the best in targeting the true allocation ratio. When T_{MC} is the test statistic, DBCD has slightly inflated type I error and slightly lower power as compared with GDL. Therefore, among values of α , the balances among controlling the type I error, obtaining higher power, and targeting a given allocation ratio can be reached when α is equal to 2. The simulation comparison of statistical power for different RAR methods also indicates that DL urn has the best statistical properties at R_{RPW} , mainly due to its low variation in patient allocation.

The statistical characteristics of hypothesis tests and RAR methods also depend on allocation targets. At R_{Wald} , R_{Odds} , and R_{LLR} targets, more patients could be assigned to the inferior treatment in certain parameter spaces. In contrast, R_{Risk} , R_{RPW} , and R_{RSIHR} always assign more patients to the better treatment. However, due to the more extreme allocation of R_{Risk} and R_{RPW} , both

power and type I error of R_{Risk} and R_{RPW} will suffer as compared with R_{RSIHR} . On the other hand, the variation of patient allocation at R_{RSIHR} is relatively small with a stable value across all simulation scenarios. Additional, among all designs with similar power using Wald-type test statistic, R_{RSIHR} allocation ration can achieve fewer failures in the whole trial. Therefore, R_{RSIHR} is recommended among all the allocation targets in the present study.

In addition to the frequentist development on the response adaptive randomization, Bayesian decision theoretic methods has also been proposed in the context of bandit problem. The concept of “patient horizon” was brought up to include future patients to whom the current study results might be applied. The goal is to maximize the total number of success in patients enrolled in the study with or without including the patient horizon. More detailed exposition of Bayesian methods for response adaptive randomization is beyond the scope of this paper and interested readers should consult the original work on this topic [37-40].

Conclusion

The Cook’s correction to chi-square test and Williams’ correction to log-likelihood-ratio test are recommended for hypothesis test of RAR at small sample sizes. Among all the RAR methods compared, GDL method has better statistical properties in controlling type one error and

Table 12 Power and type I error at R_{RPW} ($\alpha = 0.05, n = 30$)

p_1		0.200	0.300	0.500	0.700	0.800	0.100	0.100	0.100	0.100	0.300	0.300	0.300	0.500	0.700	
p_2		0.200	0.300	0.500	0.700	0.800	0.300	0.500	0.700	0.900	0.500	0.700	0.900	0.700	0.900	0.900
RPW	T_{MW}	0.031	0.039	0.050	0.050	0.042	0.191	0.631	0.918	0.966	0.166	0.538	0.859	0.183	0.585	0.204
	T_{Risk}	0.071	0.058	0.059	0.061	0.060	0.287	0.683	0.939	0.993	0.193	0.565	0.905	0.197	0.607	0.216
	T_{MO}	0.004	0.012	0.032	0.038	0.039	0.047	0.410	0.840	0.967	0.105	0.467	0.867	0.151	0.584	0.196
	T_{MC}	0.045	0.042	0.050	0.063	0.075	0.227	0.640	0.921	0.988	0.167	0.546	0.914	0.196	0.680	0.301
	T_{ML}	0.067	0.050	0.049	0.049	0.053	0.288	0.661	0.916	0.931	0.172	0.523	0.820	0.173	0.573	0.235
DL	T_{MW}	0.032	0.043	0.052	0.050	0.040	0.208	0.658	0.944	0.998	0.183	0.586	0.939	0.204	0.658	0.219
	T_{Risk}	0.057	0.051	0.055	0.048	0.032	0.273	0.679	0.947	0.998	0.192	0.588	0.935	0.199	0.612	0.164
	T_{MO}	0.003	0.013	0.038	0.041	0.033	0.047	0.464	0.906	0.998	0.123	0.527	0.934	0.172	0.641	0.193
	T_{MC}	0.043	0.045	0.052	0.062	0.064	0.237	0.662	0.944	0.999	0.184	0.592	0.956	0.216	0.723	0.307
	T_{ML}	0.058	0.050	0.050	0.049	0.056	0.275	0.672	0.943	0.998	0.183	0.567	0.940	0.188	0.688	0.283
SMLE	T_{MW}	0.027	0.040	0.048	0.049	0.044	0.188	0.626	0.921	0.968	0.167	0.537	0.848	0.175	0.550	0.195
	T_{Risk}	0.073	0.062	0.058	0.063	0.072	0.283	0.678	0.936	0.993	0.193	0.563	0.910	0.196	0.617	0.247
	T_{MO}	0.006	0.012	0.031	0.040	0.049	0.054	0.409	0.840	0.969	0.108	0.463	0.864	0.148	0.584	0.229
	T_{MC}	0.039	0.044	0.049	0.061	0.079	0.226	0.636	0.922	0.989	0.168	0.547	0.911	0.190	0.671	0.315
	T_{ML}	0.064	0.054	0.046	0.046	0.047	0.287	0.659	0.917	0.925	0.171	0.519	0.794	0.165	0.528	0.200
DBCD	T_{MW}	0.031	0.037	0.053	0.049	0.044	0.202	0.635	0.929	0.969	0.181	0.529	0.813	0.173	0.503	0.192
	T_{Risk}	0.063	0.054	0.065	0.072	0.081	0.290	0.685	0.942	0.994	0.202	0.572	0.911	0.209	0.640	0.285
	T_{MO}	0.003	0.010	0.033	0.043	0.054	0.041	0.407	0.866	0.981	0.110	0.460	0.856	0.146	0.573	0.257
	T_{MC}	0.041	0.040	0.054	0.067	0.083	0.236	0.640	0.930	0.990	0.181	0.543	0.905	0.195	0.660	0.325
	T_{ML}	0.061	0.048	0.052	0.042	0.036	0.289	0.661	0.925	0.857	0.183	0.511	0.696	0.160	0.407	0.144
SEU	T_{MW}	0.033	0.040	0.047	0.041	0.032	0.204	0.633	0.924	0.994	0.183	0.553	0.908	0.185	0.618	0.199
	T_{Risk}	0.076	0.059	0.058	0.048	0.043	0.278	0.664	0.929	0.996	0.183	0.529	0.899	0.170	0.564	0.182
	T_{MO}	0.012	0.021	0.028	0.027	0.024	0.100	0.467	0.855	0.993	0.130	0.493	0.900	0.143	0.578	0.169
	T_{MC}	0.051	0.047	0.050	0.059	0.065	0.251	0.652	0.925	0.997	0.186	0.556	0.933	0.197	0.686	0.286
	T_{ML}	0.062	0.051	0.048	0.047	0.049	0.293	0.671	0.923	0.992	0.185	0.541	0.904	0.183	0.642	0.251
GDL	T_{MW}	0.032	0.045	0.049	0.045	0.032	0.216	0.658	0.937	0.998	0.171	0.576	0.916	0.192	0.602	0.196
	T_{Risk}	0.056	0.053	0.053	0.050	0.042	0.281	0.681	0.942	0.998	0.180	0.586	0.927	0.196	0.615	0.197
	T_{MO}	0.004	0.017	0.036	0.040	0.037	0.066	0.480	0.900	0.998	0.122	0.525	0.918	0.165	0.622	0.219
	T_{MC}	0.044	0.049	0.050	0.058	0.061	0.250	0.666	0.939	0.999	0.173	0.584	0.948	0.206	0.700	0.314
	T_{ML}	0.061	0.054	0.047	0.044	0.043	0.294	0.681	0.937	0.998	0.175	0.560	0.920	0.179	0.639	0.256

maintaining high statistical power. The RSIHR allocation target provides a good balance between assigning more patients to the better treatment and maintaining a high overall power.

Abbreviations

RAR: Response-adaptive randomization; RPW: Randomized play-the-winner; DL: Drop-the-loser; DBCD: Doubly-adaptive biased coin design; SMLE: Sequential maximum likelihood estimation design; SEU: Sequential estimation-adjusted urn; GDL: Generalized drop-the-loser urn; RSIHR: Optimal allocation target minimizing total numbers of failure for Wald-type test statistics at fixed power; MLE: Maximum likelihood estimate.

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Authors' contributions

XMG conducted the simulation part of the study. Both XMG and JLL participated in designing the study and writing the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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