THE EFFECT OF CHANGES IN VENTILATION AND PULMONARY BLOOD FLOW ON THE DIFFUSING CAPACITY OF THE LUNG*t

BY G. M. TURINO, † M. BRANDFONBRENER§ AND A. P. FISHMAN

(From the Department of Medicine, Columbia University, College of Physicians and Surgeons, and the $\hat{\mathcal{C}}$ ardiorespiratory Laboratory of the Presbyterian Hospital, New York, N.Y.)

(Submitted for publication December 29, 1958; accepted February 19, 1959)

The "pulmonary diffusing capacity" for carbon monoxide (DLco) is of interest as a measure of the extent and permeability of the alveolarcapillary interface. However, its use for this purpose is subject to three major types of error: 1) undetected influences of other physiologic factors, such as changes in pulmonary blood flow, the volume of air in the lungs and the distribution of inspired air; 2) disregarded characteristics of the test substance, such as altered rates of chemical combination of carbon monoxide with hemoglobin at different oxygen tensions; and 3) artifacts due to the test procedure itself, such as those introduced by deliberate respiratory maneuvers.

These factors assume different proportions in different methods of measurement.

For the single breath technique, some of these factors, such as the volume of air in the lungs (1-3) and the rate of combination of hemoglobin with carbon monoxide, have been extensively studied (4, 5). For the steady state methods, many remain to be assessed.

Before applying a steady state method to a variety of physiological problems, it seemed pertinent to us to delineate some factors which could affect the Dico.

The present study considers specifically the effect of minute ventilation and pulmonary blood flow on the diffusing capacity for carbon monoxide determined by a steady state method.

GENERAL PRINCIPLES The diffusing capacity of the lung for carbon monoxide, the pulmonary blood flow and the minute ventilation all increase during exercise. In order to determine if the change in minute ventilation or the change in pulmonary blood flow or both, influence D_{Lco} , three different approaches were used: 1) Minute ventilation was increased more than pulmonary blood flow by exercising subjects with only a limited capacity to augment cardiac output; 2) minute ventilation was again increased in excess of a change in pulmonary blood flow by having subjects undergo voluntary hyperpnea; and 3) blood flow through each lung was varied simultaneously in such a way that blood flow increased in one and decreased in the other while minute ventilation remained virtually unchanged.

SUBJECTS AND METHODS

Rest and exercise. Thirteen subjects with mitral stenosis on the basis of rheumatic heart disease were studied both at rest and during exercise. For the sake of comparison, DLco was also measured in 21 normal subjects at rest (Table I) and an additional 15 normal subjects were studied both at rest and during exercise (Table II).

These studies involved the simultaneous measurement of minute ventilation, cardiac output and DLco. Cardiac output was measured by the Fick principle, using oxygen as the test gas and entailed: $1)$ open circuits for the administration of inspired gas as well as for the collection and sampling of expired gas; 2) cardiac catheterization for sampling of pulmonary arterial blood; and 3) cannulation of a brachial artery for the sampling of mixed arterial blood. For the estimation of DLco, 0.1 per cent carbon monoxide in air was substituted for ambient air for four to six minutes of the test periods at rest and during exercise.

Exercise was performed in the supine position using a special pedal-pulley device attached to the fluoroscopic table. In all subjects but four, a single level of exercise was used; in these four, exercise was continued for a second period at a greater intensity. Midway through the gas collection period, samples of systemic and pulmonary

^{*} This investigation was supported in part by a research grant [Public Health Service Grant H-2299 (C)] from the National Heart Institute of the National Institutes of Health, with additional support from the American Heart Association and the New York Heart Association.

t Presented in part at the 76th Meeting of the American Physiological Society, Atlantic City, N. J., April, 1956.

Senior Research Fellow, New York Heart Association. § Work done during tenure of an American Heart Postdoctoral Fellowship. Present address: Veterans Administration Research Hospital, Chicago, Ill.

contents, as well as carbon dioxide and carbon monoxide gas were measured in a micro-Scholander apparatus (11). tensions. To relate pulmonary arterial pressures to DLco, blood

by Filley, MacIntosh and Wright (6) was modified in two coupled respects: *1*) The carbon monoxide tension of expired gas ratus.² respects: $1)$ The carbon monoxide tension of expired gas was measured by an infrared physical analyzer¹ and 2) the Voluntary hyperpnea. In 10 of the normal subjects and carbon monoxide content of arterial blood was measured four of the patients with rheumatic heart disease, th by the method of Allen and Root (7); this value was used observations at rest and during exercise were supplemented
to calculate the tension of carbon monoxide in arterial by similar measurements during a period of volunta blood by means of the Haldane relationship (8). For this hyperpnea. The level of ventilation during hyperpnea calculation, it was assumed that in normal subjects, the was set to correspond to that which was reached sponmean difference in oxygen tension between alveolar gas taneously during exercise. In order to avoid depletion of and arterial blood is approximately 10 mm. Hg. Since the carbon dioxide and to preserve a steady state, 3 or removal of CO from physical solution may continue after cent CO_2 was added to the inspired mixture in accord with blood has left the pulmonary capillary, it is clear that the level of minute ventilation. The actual sequ blood has left the pulmonary capillary, it is clear that arterial pCO can only underestimate the mean back pressure as follows: After measurements at rest and during exercise, of carbon monoxide in the pulmonary capillary (4). In the subjects underwent a final period of hyperpnea which this study, therefore, arterial pCO is used as a minimal esti- consisted of breathing 3 to 5 per cent $CO₂$ in air for 14

charts of Van Slyke and Sendroy (9). For this measurement, the blood CO₂ content and oxyhemoglobin satura- collected in the usual way for the measurement of pultion were determined by the method of Van Slyke and monary blood flow and DL_{CO}.
Neill (10) and pH by the MacInnes-Belcher glass elec-
Unilateral occlusion of one pulmonary artery. In six Neill (10) and pH by the MacInnes-Belcher glass elec-

¹ Liston-Becker Division of Beckman Instrument Co., Stamford, Conn. 2 Electronics for Medicine, White Plains, N.Y.

arterial blood were drawn for the measurements of oxygen trode. The oxygen and carbon dioxide contents of expired

The steady state method for measuring D_{CO} proposed pressures were recorded by means of Statham transducers V Filley. MacIntosh and Wright (6) was modified in two coupled with a multichannel oscilloscope recording app

four of the patients with rheumatic heart disease, the by similar measurements during a period of voluntary carbon dioxide and to preserve a steady state, 3 or 5 per cent $CO₂$ was added to the inspired mixture in accord with mate of mean capillary pressure for carbon monoxide. minutes followed by an additional four minutes of the
The pCO₂ of arterial blood was determined from the line same inspired mixture, plus 0.1 per cent CO. During the same inspired mixture, plus 0.1 per cent CO. During the last two minutes, blood and expired gas samples were

subjects with either a normal cardiorespiratory system or

Patient	Age	Sex	BSA	f	V_T	\dot{v}_{o_2}	R_E	Pa _{CO}	V _{co}	PACO	Paco	V_D/V_T X100	CO _F X100	D_{LCO}
			M. ²	per min.	ml.	ml./min.			$mm.$ Hg $ml.$ / $min.$	$mm.$ Hg	$mm.$ Hg	%	$\%$	ml./min. $mm.$ Hg
R. L.	17	M	1.75	18.5	390	257	0.83	41	3.05	0.153	0.003	21	60	20.3
J. P.	17	M	1.95	22.5	427	296	0.76	41	3.16	0.181	0.013	35	45	18.8
	36	M	1.96	18.5	516	310	0.82	41	3.54	0.155	0.003	32	51	23.3
J. R. S. B.	59	M	2.10	17.5	594	296	0.83	36	3.32	0.230		33	40	14.4
O. B.	31	М	1.78	9.5	561	222	0.71	41	2.05	0.210	0.018	27	48	10.7
J. P.	40	M	2.09	17.5	508	295	0.77	38	3.03	0.261	0.004	27	44	11.8
Č. B.	41	M	1.69	14.5	487	236	0.78	39	2.50	0.213		28	45	11.7
H.S.	35	M	1.91	16.0	548	257	0.97	39	3.00	0.271	0.012	26	46	11.5
E. R.	49	M	1.74	15.5	472	226	0.85	40	2.79	0.208		30	48	13.4
M. O.	28	M	1.98	15.0	530	298	0.72	39	3.22	0.213	0.001	28	50	15.2
T. M.	17	M	1.96	14.5	543	291	0.82	38	3.71	0.159	0.003	21	54	23.7
C. R.	24	M	1.80	14.0	377	189	0.85	41	2.22	0.186	0.024	20	55	13.7
A. A.	48	М	2.01	14.5	484	222	0.78	42	2.55	0.186	0.002	38	47	13.8
M. H.	36	M	1.85	15.0	514	246	0.89	41	2.88	0.236	0.020	29	46	13.3
	39	M	1.96	18.5	440	238	0.74	36	2.41	0.246	0.047	35	38	12.0
J. L. M. I.	30	M	1.68	17.5	392	225	0.79	38	2.63	0.206	0.004	26	50	13.0
E. G.	61	M	1.93	18.0	532	311	0.78	40	3.10	0.221	0.001	39	40	14.0
P. G.	56	M	1.34	22.0	389	202	0.75	42	2.05	0.201	0.009	40	36	10.7
D. O'D.	44	F	1.62	19.0	412	214	0.86	40	2.57	0.280	0.039	35	41	10.7
M. M.	62	F	1.52	20.0	393	266	0.67	43	1.74	0.203	0.019	34	43	9.0
L. D.	55	F	1.60	15.0	366	218	0.74	42	1.49	0.157	0.021	38	44	11.0

TABLE ^I The pulmonary diffusing capacity in 21 normal subjects at rest $*$

* Symbols: BSA = body surface area, square meters; $f =$ respiratory frequency, breaths per minute; $V_T =$ tidal volume, ml. BTPS; \dot{V}_{O_2} = oxygen consumption, ml. per minute STPD; R_E = respiratory exchange ratio, expired air; Pa_{CO_2} = tension of carbon dioxide in arterial blood, mm. Hg; V_{CO} = uptake of carbon monoxide, ml. per minute STPD; PAco = mean alveolar carbon monoxide tension, mm. Hg; Paco = tension of carbon monoxide in arterial blood, mm. Hg; $V_D/V_T \times 100$ = ratio of personal dead space (total dead space minus the instrument dead space) to tidal volume, per cent; $CO_F \times 100$ = ratio of the uptake of carbon monoxide to the volume of carbon monoxide inspired, per cent; and D_{Lco} = diffusing capacity of the lung for carbon monoxide, ml. per minute per mm. Hg.

TABLE II The respiration, circulation and pulmonary diffusing capacity in normal subjects at rest, during exercise and voluntary hyperpnea*

* Symbols are the same as in Table I, plus: \dot{V}_E = total ventilation (expired air), L. per minute, STPD and \dot{Q} =

pulmonary blood flow, L. per minute.

† During voluntary hyperpnea, Subjects A. S., M. M. and C. C. breathed 3 per cent CO₂ in air; Subjects J. J.,
E. M., B. K., J. I. and L. S. breathed 5 per cent CO₂ in air; and Subj

Patient. Age, Sex. BSA/M .	State	f	V_T	$\mathbf{\dot{V}_E}$	\mathbf{v}_{02}	R_E	Pa _{co}	Ýсо	\overline{P}_{ACO}	Paco	V_D/V_T X100	CO _F X100	D_{LQ0}	Ó
		per min.	ml.	L/min.	ml./min.		$mm.$ Hg	ml./min.	$mm.$ Hg	$mm.$ Hg	%	%	ml./min./ L./min. $mm.$ Hg	
B.K.	Rest	14	554	6.37	272	0.82	44	2.41	0.190	0.017	32	45	13.9	6.80
41. M	Exer.	28	937	21.58	1.104	1.06	47	7.28	0.306	0.026	25	38	26.1	16.20
1.79	Rest	14	560	6.44	292	0.78	46	2.51	0.180	0.036	32	46	17.4	6.80
	Hyper.	24	1.234	24.00	291	0.80	51	8.57	0.280	0.063	27	40	39.6	7.67
J. I.	Rest	21	370	6.38	259	0.72	43	2.42	0.270	0.015	27	43	9.5	6.8
26. M	Exer.	31	683	17.35	692	0.92	44	5.16	0.331	0.029	35	32	17.1	10.2
1.77	Hyper.	32	720	18.90	370	0.67	42	5.20	0.293	0.056	46	29	21.9	7.88

TABLE II-Continued

a unilateral pulmonary lesion (Table III), DLco and pulmonary blood flow were measured for each lung separately during normal flow and during partial obstruction to flow through one pulmonary artery.

The measurement of blood flow through each lung separately was done as previously described (12). In brief, the techniques included: 1) bronchospirometry for the administration of different inspired mixtures to each lung, as well as the collection of expired gas from each lung separately; 2) cardiac catheterization with a triple lumen catheter to allow sampling and injection proximal and distal to the occlusive balloon; and 3) arterial cannulation.

The rate of blood flow through each lung was changed by inflating the balloon in one pulmonary artery. Blood flow was thus diminished to one lung and correspondingly increased in the opposite one.

The lung with the occluded pulmonary artery breathed 25 per cent 02, while the contralateral lung breathed 21 per cent O_2 . Following a 15 minute period of equilibration, each lung continued to breathe its own inspired mixture plus 0.1 per cent CO for an additional four minutes. Blood and gas samples for the calculation of $O₂$ uptake, CO uptake, cardiac output and arterial blood $pCO₂$ were collected during the last two minutes of the four minute period.

By this protocol, total blood flow may be calculated by dividing O_2 uptake of both lungs by the corresponding arteriovenous difference for O_2 . The blood flow through the lung receiving 25 per cent O_2 is calculated from its O_2 uptake and the arteriovenous $O₂$ difference across that lung, on the assumption that the pulmonary venous blood from that lung is 98 per cent saturated. The flow through the contralateral lung is measured as the difference between the total blood flow and the flow through the lung receiving the 25 per cent O_2 . For these measurements, it is assumed on the basis of previous studies in this laboratory that during occlusion of one pulmonary artery, there is no significant bronchial collateral circulation (13) to the compromised side.

For the calculation of the DLco for each lung separately, during both the control and test periods, separate estimates of pulmonary dead space were required: During the control period, dead space was calculated for each lung by the Bohr formula on the assumption that the arterial tension of carbon dioxide is equal to the alveolar tension of carbon dioxide. During the period of partial occlusion,

the calculation was more indirect: a total dead space for both lungs was calculated by the Bohr relationship using the tidal volume, the fraction of $CO₂$ in expired gas from both lungs and the arterial $pCO₂$. The dead space of the lung through which flow was increased was similarly calculated from the arterial $pCO₂$ and the expired fraction of $CO₂$ from that lung. The dead space of the remaining lung was calculated as the difference between these two. Where occlusion of a pulmonary artery was complete, as in J. M., no estimate of physiologic dead space in that lung was possible; in this case, the control dead space was used in the calculations of DLco.

CALCULATIONS

In addition to the measurement of the diffusing capacity of the lung for carbon monoxide (DLco), the uptake of CO by the lung per minute (\dot{V}_{CO}), and the ratio of the uptake of CO to the volume of CO inspired (CO_F) have been used as indices of the diffusing capacity.

The pulmonary diffusing capacity is calculated according to the following expression of Fick's law of diffusion:

$$
\text{D}_{\text{Lco}} = \frac{\dot{V}_{\text{co}}}{\overline{P}_{\text{Aco}} - \overline{P}_{\text{Co}}},
$$

where

 $D_{\text{Lco}} =$ diffusing capacity of the lung for carbon monoxide in ml. per minute per mm. Hg,

 \dot{V}_{CO} = uptake of carbon monoxide, ml. per minute,

- \overline{P}_{ACO} = mean alveolar carbon monoxide tension, mm. Hg and
- $\overline{P}_{\text{CCO}}$ = mean tension of carbon monoxide in pulmonary capillary blood, mm. Hg.

The uptake of CO is calculated from an analysis of inspired and expired fractions of CO using ^a nitrogen correction for metabolic gas exchange:

$$
\dot{V}_{\text{CO}} = \dot{V}_{\text{E}} \left(\frac{F_{\text{EN}_2}}{F_{\text{I}_{\text{N}_2}}} F_{\text{ICO}} - F_{\text{ECO}} \right),
$$

where

 \dot{V}_E = total minute ventilation, L. per minute, STPD,

 FE_{N_2} = fraction of nitrogen in expired gas,

 Fi_{N_2} = fraction of nitrogen in inspired gas,

 F_{ICO} = fraction of carbon monoxide in inspired gas and

 FE_{CO} = fraction of carbon monoxide in expired gas.

1190

*

TABLE III

G. M. TURINO, M. BRANDFONBRENER AND A. P. FISHMAN

⁷ ; -a o ⁰ ther
r wit $\begin{array}{r} \text{ocude} \\ \text{long: L} \\ \text{long: max} \\ \hline \text{initial} \\ \text{in } \mathfrak{so} \\ \text{norm: max} \end{array}$

while t
https://www.
nirome ក
ខេត្ត
ខេត្ត h. 4)

bo E

The mean alveolar tension of CO is calculated from the Bohr dead space assuming that $V_{\text{DCO}_2} = V_{\text{DCO}}$, so that:

$$
\overline{P}_{ACO} = \frac{V_T(P_{ECO}) - V_{DCO_2}(P_{ICO})}{V_T - V_{DCO_2}},
$$

where

 $V_{DCO₂}$ = dead space for carbon dioxide based on arterial blood $pCO₂$,

 V_{DCO} = dead space for carbon monoxide and

 V_T = tidal volume, BTPS.

The fraction of CO taken up from inspired gas was calculated according to Filley, MacIntosh and Wright (6) as follows:

$$
CO_F = \frac{\dot{V}_{CO}}{V_E \left(\frac{F_{EN_2}}{F_{IN_2}} F_{ICO}\right)} - V_D \left(\text{instrument}\right) \left(f\right) + \left(F_{ICO} - F_{ACO}\right),
$$

where

 CO_F = ratio of the uptake of carbon monoxide to the volume of carbon monoxide inspired, per cent,

 F_{ACO} = fraction of carbon monoxide in alveolar gas and f = respiratory frequency, breaths per minute.

The arterial tension of CO was calculated from the Haldane relationship (8):

$$
\text{Pa}_{\text{CO}} = \frac{\text{Pa}_{\text{O}_2} \cdot (\text{COHb})}{210 \cdot (\text{O}_2 \text{Hb})},
$$

where

 P_{aco} = tension of carbon monoxide in arterial blood, mm. Hg,

 $Pa₀₂$ = tension of oxygen in arterial blood, mm. Hg,

- $(COHb) = \text{content of carboxyhemoglobin in a
rterial blood,$ volumes per cent,
- $(O₂Hb)$ = content of oxyhemoglobin in arterial blood, volumes per cent and
	- 210 = relative affinity constant for carbon monoxide and oxygen in blood.

RESULTS

Rest and exercise

The DLco was measured at rest in 36 normal subjects. These individual measurements and the data from which they were derived appear in Tables ^I and II. The average resting DLco for this entire group was 14.1 ml. per minute per mm. Hg.

The corresponding values for patients with rheumatic heart disease appear in Table IV. The average resting D_{Lco} for this group of subjects is 14.6 ml. per minute per mm. Hg, which is not significantly different ($p > 0.05$) from the normal subjects.

An analysis of the resting DLco according to

sex, for both normal subjects and patients with rheumatic heart disease, is included in Table V. It may be seen that the mean resting value for the normal males (14.8) is higher than the mean resting value for normal females (11.5). This difference is only of suggestive statistical significance ($p < 0.05$).

It is of interest, that the mean DL_{CO} of the three male subjects in the rheumatic group is higher than the value in the normal subjects. However, the number of subjects is obviously too few for statistical comparison.

The diffusing capacity during exercise and the data from which they were derived are shown in Table II for normal subjects and in Table IV for subjects with rheumatic heart disease. It may be seen that each subject experienced an increase in diffusing capacity during exercise.

As is shown in Table V, the mean D_{L_CO} during exercise in normal subjects is slightly greater (22.1 ml. per minute per mm. Hg) than the mean exercise DLco in subjects with rheumatic heart disease (20 ml. per minute per mm. Hg). However, Table V also indicates that the normal subjects achieved a higher level of exercise (mean $O₂$ uptake of 430 ml. per minute per M.²) than did subjects with rheumatic heart disease (mean O_2 uptake of 320 ml. per minute per M.²). When this difference in level of exercise is taken into account by expressing the increase in DLco as per 100 ml. increase in oxygen uptake, both groups demonstrate an average increase in DLco of 1.9 ml. per minute per mm. Hg per 100 ml. increase in $O₂$ uptake.

In Figure 1, the DL_{co} is related to minute ventilation and to pulmonary blood flow for both groups of subjects at rest and during exercise. It may be seen, in Figure 1A, that there is a good statistical correlation $(p < 0.001)$ between D_{Lco} and the minute ventilation, at rest and during exercise; on the other hand, as seen in Figure 1B, the correlation between D_{Lco} and pulmonary blood flow is poorer $(p = 0.01)$. Figure ¹ emphasizes that during exercise, DLco increases in subjects with mitral stenosis in the face of abnormally low increments in pulmonary blood flow.

Figure 2 substitutes CO uptake for DL_{co} and shows a similar type of relationship with minute ventilation and pulmonary blood flow.

្ត \cdot De \sim . \exists .0E~

 \mathbf{I} *.u

go

 \mathbf{r} 0 Y0) \mathbf{g}

 $\mathcal{L}(\mathbf{y})$ Ξ^-

<u>።</u>

i. 4
1

Ł.

.
په پر

 $* + +$

1192

TABLE IV

G. M. TURINO, M. BRANDFONBRENER AND A. P. FISHMAN

Subjects								
Sex	No.	Age	State	$\mathbf{\dot{v}_{o_2}}$	D_{LQ0}	$CO_F \times 100$ $\%$		
		yrs.		$ml./min./M.^2$	$ml./min./mm.$ Hg			
				Normal subjects				
Males Females	28 8	$(14 - 61)$ $(20 - 62)$	Rest	$141(113-172)$ 143 (126–175)	$(9.5-23.7) \pm 3.48$ 14.8 $(9.0-15.8) \pm 2.28$ 11.5	47 $(36-60) \pm 4.9$ 45 $(34-60) \pm 8.2$		
Males Females	10 5	$(14 - 44)$ $(20 - 44)$	Exercise	450 (360-617) 391 (296–531)	23.3 $(17.4-27.1) \pm 4.10$ 20.1 $(16.0-31.5) \pm 5.80$	36 $(27-45) \pm 4.8$ 34 $(28-41) \pm 4.0$		
				Rheumatic heart disease				
Males Females	3 10	$(34 - 40)$ $(31 - 51)$	Rest	$151(140-165)$ $139(112-176)$	19.3 $(14.0-27.1) \pm 5.6$ 13.2 $(9.1-22.5) \pm 3.3$	54 $(50-59) \pm 3.3$ 48 $(37-63) \pm 7.4$		
Males Females	3 10	$(34 - 40)$ $(31 - 57)$	Exercise	357 $(262 - 552)$ $305(193-420)$	27.9 $(16.8-41.6) \pm 10.3$ $17.5(13.0-27.4) \pm 4.4$	40 $(35-45)$ ± 4.7 34 $(24-42) \pm 6.5$		

TABLE V The diffusing capacity and fractional uptake of carbon monoxide at rest and during moderate exercise *

* Symbols as in Table I. Mean values are followed by range in parentheses; figures after range indicate standard deviation.

FIG. 1. THE EFFECT OF MINUTE VENTILATION (\dot{V}_E) and PULMONARY BLOOD FLOW (\dot{Q}) on the Diffusing CAPACITY OF THE LUNG FOR CARBON MONOXIDE (DLco) IN NoRMAL SUBJECTS AND IN PATIENTS WITH MITRAL STENOSIS, AT REST AND DURING EXERCISE

Although pulmonary blood flow increased only slightly during exercise in the patients with mitral stenosis, the DLco increased normally (Table V).

Finally, as may be seen from Table V, the amount of CO removed from inspired air averaged 45 per cent in normal subjects at rest and decreased to 35 per cent during exercise. These mean values for patients with mitral stenosis are not significantly different ($p > 0.05$).

Voluntary hyperpnea

The data concerning minute ventilation, pulmonary blood flow and diffusing capacity during voluntary hyperpnea are listed in Tables II and IV. In all but three instances (M. M., L. S. and R. G.), the minute ventilation during voluntary hyperpnea was the same, or greater, than that during exercise. It may be seen that this deliberate augmentation of ventilation up to levels of 32 L. per minute was associated with increases in cardiac output of only 20 per cent above the resting levels.

Figure 3 illustrates the relationship between DLco and minute ventilation (Figure 3A) and D_{Lco} and pulmonary blood flow (Figure 3B) in four normal subjects and one subject with mitral stenosis at rest, during exercise and during voluntary hyperpnea. It may be seen from Figure 3A that as ventilation is increased, either by voluntary hyperpnea or exercise, the diffusing capacity also increases. However, as may be seen in Figure 3B, the increases in D_{CO} during voluntary hyperpnea are independent of increases in pulmonary blood flow since: 1) In the rheumatic subject, the increases in DL_{CO} were unaccompanied by changes in blood flow either during voluntary hyperpnea or exercise, and 2) in the normal subjects, the largest increments in D_{Lco} occur during voluntary hyperpnea when blood flow was consistently less than during exercise.

In Figure 4A, minute ventilation is plotted against the uptake of carbon monoxide for the subjects of Figure 3. For the sake of reference, the line from Figure ¹ relating these values

FIG. 2. THE EFFECT OF MINUTE VENTILATION (\dot{V}_E) and Pulmonary Blood Flow (Q) ON THE UPTAKE OF CARBON MONOXIDE (\dot{V}_{CO}) in Normal Subjects and in Patients with MITRAL STENOSIS AT REST AND EXERCISE

during rest and exercise is also included. It may be seen that the relationship between the CO uptake and ventilation during voluntary hyperpnea is the same as that during exercise. As in the case of DLco, Figure 4B illustrates that these increases in V_{CO} during voluntary hyperpnea are also independent of changes in pulmonary blood flow.

The values for the fraction of CO removed from inspired air during voluntary hyperpnea are also included in Tables II and IV. It may be seen that the fraction of CO removed from inspired gas (CO_F) is, in most instances, reciprocally related to the level of ventilation. Thus, when the level of ventilation during voluntary hyperpnea exceeded that during exercise, the CO_F was less than that during exercise. Conversely, when the level of ventilation during hyperpnea was less than that during exercise, the CO_F was higher. However, in three subjects (J. J., E. M. and B. K.), even though minute ventilation during voluntary hyperpnea exceeded that during exercise, the CO_F was higher.

In order to assess the effect of a change in ventilatory pattern on D_{Lco} , the respiratory frequency and tidal volume were varied in two subjects, C. C. and J. R., during two successive periods of voluntary hyperpnea. In both subjects, D_{Lco} , \dot{V}_{CO} and CO_F were higher during breathing patterns of slow frequency and large tidal volume.

Unilateral occlusion of one pulmonary artery

In Table III are listed the data for the calculation of D_{Lco} , \dot{V}_{co} and pulmonary blood flow (Q) for each lung separately. These data are also the basis for Figure 5.

The values for oxygen uptake and pulmonary blood flow in Table III indicate that different degrees of occlusion of a pulmonary artery were accomplished in different subjects. Despite these changes in blood flow, minute ventilation in each lung remained relatively unaffected. As may be seen in Figure 5, the increases in blood flow had no appreciable affect on either D_{Lco} or V_{co} , even when blood flow increased by 230 per cent.

FIG. 3. THE EFFECT OF MINUTE VENTILATION (\dot{V}_{E}) and Pulmonary Blood Flow (Q) on the DIFFUSING CAPACITY OF THE LUNG FOR CARBON MONOXIDE (DLCO) DURING REST, EXERCISE AND VOLUN-TARY HYPERPNEA IN FOUR NORMAL SUBJECTS AND ONE PATIENT WITH MITRAL STENOSIS

Only when blood flow was severely curtailed, i.e., to less than 50 per cent of the control value, did a decrease in $D_{\text{Lc}o}$ and \dot{V}_{CO} become apparent.

Although the fraction of CO removed from inspired air tended to parallel the change in DLco, individual results are difficult to assess because of unavoidable changes in ventilation between the control and the test periods.

Relation between pulmonary artery pressure and D_{LCO}

In the normal subjects, pulmonary artery pressures averaged 18/7 mm. Hg, with a mean of ¹² at rest and increased to 25/11, with a mean of 16 during exercise. In the patients with mitral stenosis (Table IV) pulmonary artery pressure was abnormally high at rest, averaging 46/21 mm. Hg, with a mean of 31; during exercise, the average pulmonary artery pressure rose to 76/39 mm. Hg, with a mean of 50. Both groups of subjects failed to show any change in pulmonary artery pressure during voluntary hyperpnea.

No correlation was demonstrable between the level of pulmonary artery pressure and the DLco in either group of subjects, either at rest or during exercise.

Effect of correction of tension of CO in arterial blood (Paco) on DLco

As expected, the tension of CO in arterial blood increased with the time of exposure to 0.1 per cent CO (14). The effect of this increase in Paco on calculated DLco is illustrated in Figure 6. It may be seen that when Pa_{co} is taken into account in the calculation of DLco, after six minutes of exposure at rest, the DLco increases by ⁵ to 10 per cent. The exercise DLco after 12 minutes of exposure increased by 10 to 15 per cent, and after 18 minutes of exposure DLco increased by 20 to 30 per cent.

Sources of error in methods

As may be seen from the equations above, and from the data in Tables ^I through V, the calcu-

FIG. 4. THE EFFECT OF MINUTE VENTILATION (\dot{V}_E) and PULMONARY BLOOD FLOW (\dot{Q}) on the UPTAKE OF CARBON MONOXIDE (Vco) IN THE SUBJECTS OF FIGURE 3 DURING REST, EXERCISE AND VOLUNTARY HYPERPNEA The diagonal line in Figure 4A is derived from the data of Figure 1A by the method of least squares.

lation of DLco by the steady state method affords ample opportunity for compounding errors. As indicated by Filley, MacIntosh and Wright (6), the major sources of error are 1) the measurement of arterial pCO, by virtue of its effect on the calculation of the dead space and 2) the measurement of the expired fraction of carbon monoxide. By the nature of these errors, the estimation of DLco by the steady state method is more accurate for high, rather than low, tidal volumes. Thus, at rest, where tidal volumes are small, the effect of the dead space volume on the calculation of DLco is relatively large. An error of ² mm. in the measurement of arterial pCO, may lead to an error of ± 10 per cent in the DL_{co} by its effect on the dead space measurement. A concordant error in determination of expired CO of ² per cent of full scale will increase the error in DL_{co} to ± 25 per cent.

When tidal volumes are large, as during exercise or during voluntary hyperpnea, the effect of changes in dead space volume on the calculation of alveolar pCO are less, so that errors from this source diminish. Also, at high tidal volumes, gross errors in $pCO₂$ are readily apparent due to the unlikely dead space volumes which result. Assuming tidal volumes in the range of 2,000 ml., the combined effect of a 2 mm. error in Pa_{co} , and ^a concordant ² per cent error in expired CO lead to a 12 per cent error in DL_{co}. Similarly, a change in V_D/V_T ratio from 12 to 39 per cent during exercise or voluntary hyperpnea varies the DLco by approximately 9 per cent.

The use of the Fick principle for the measurement of pulmonary blood flow depends on the maintenance of steady state conditions. Although the performance of voluntary hyperpnea while breathing 3 or 5 per cent $CO₂$ made the achievement of a steady state difficult, nonetheless, as seen in Tables II and IV, in all but four of the subjects $(J, J, N, N, L, S, and I, R)$ strict criteria for a steady state were fulfilled. In these four subjects, the respiratory quotient (R.Q.) was low. This low R.Q. presumably reflects a continuation of the unsteady state which obtains at the start of $CO₂$ breathing when $CO₂$ output is reduced because of storage of metabolic $CO₂$ in body tissues (15). Under such circumstances, the O_2 uptake by the lungs calculated from the fractions of O_2 and CO_2 in expired gas is artificially high. The high $O₂$ uptake leads to an elevation of cardiac output calculated by the Fick principle. Such considerations suggest that in these subjects with a low R.Q. during voluntary hyperpnea, the *actual* increase in cardiac output was even less than indicated in Table V.

DISCUSSION

These different types of experiments are in accord in demonstrating a marked effect of minute ventilation on D_{Lco}. By way of contrast, they indicate that D_{Lco} is little affected by a change in pulmonary blood flow until flow is reduced well below 50 per cent of normal. They also offer some basis for speculation concerning the effect of the pulmonary blood volume on the pulmonary diffusing capacity.

FIG. 5. THE EFFECT OF A CHANGE IN THE BLOOD FLOW THROUGH EACH LUNG $(\% \Delta Q)$ on the Corresponding DIFFUSING CAPACITY FOR CARBON MONOXIDE $(\%$ Δ DL_{co}) (UPPER FIGURE) AND ON THE UPTAKE OF CO $(\% \Delta V_{CO})$ (LOWER FIGURE)

Ventilation

It is generally believed that during quiet breathing only a fraction of the alveolar surface is used for diffusion. During exercise, as minute ventilation increases, it is likely that the alveolarcapillary membrane is stretched and the area used for diffusion increases.

Previous indirect estimates of DLco, such as the fractional uptake of CO (16), as well as direct measurements by the single breath technique (3, 17), suggest that the volume of gas in the lung influences the area available for alveolarcapillary gas exchange. Some support for this point of view is brought forth in the present study, where an enlarged mean alveolar volume, presumably accomplished by slow deep breathing was associated with values of DLco greater than with usual breathing patterns.

It is pertinent to note that the relationship between the volume of alveolar gas and the D_{Lco} may involve several less evident physiological adaptations: 1) a redistribution of blood within the lung so as to preserve CO gradients for diffusion, and 2) absolute increases in both blood flow (18, 19) and volume (20) incident to respiratory maneuvers which involve deep or prolonged inspirations.

Although these hidden mechanisms cannot be assessed, it is nonetheless clear that methods for determining DLco which involve increases in the mean alveolar gas volume such as the single breath technique of Forster, Fowler, Bates and

ml/min/mm Hg

FIG. 6. THE EFFECT OF AN ESTIMATED "BACK PRESSURE" OF CARBON MONOXIDE IN PULMONARY CAPILLARY BLOOD ON THE CALCULATED VALUE FOR DIFFUSING CAPACITY OF THE LUNG

The values on the ordinate are calculated on the assumption that the mean tension of CO in arterial blood equals the mean tension of CO in the pulmonary capillary. The values for the abscissa are calculated on the assumption that the mean tension of CO in the pulmonary capillary is zero.

Van Lingen (21) and the rebreathing technique of Kruhøffer (22) should yield larger values for DLco at rest than do methods utilizing normal breathing patterns. These differences have been observed (23).

Rate of pulmonary blood flow

On the basis of calculations applied to data on CO uptake determined by Forbes, Sargent and Roughton (24), Hatch (25) concluded that at equilibrium the partition coefficient of CO between blood and air is of such high magnitude, that the rate of pulmonary blood flow should have a negligible effect on the transfer of gas from alveolar air to blood. These theoretical predictions of Hatch are supported by the results of the present study. A similar lack of relationship between uptake and blood flow would be expected for other gases whose partition coefficients are in the same order of magnitude (26). Consequently, these observations emphasize that as long as permeability of the pulmonary capillary membrane remains high, the factors limiting the uptake of such gases are the size of the diffusing surface and the volume of gas brought to it, rather than the rate of pulmonary blood flow.

With respect to the DL_{co} as a measure of the size of the capillary bed, it is of interest that a doubling of pulmonary blood flow did not appreciably alter DLco. Several possibilities may account for a lack of increase in capillary area: 1) that the capillaries were already distended by the supine position to a point where an increase in blood flow could be accommodated with no further increase in luminal size; 2) that the increased pulmonary blood flow was accommodated by opening of new capillaries which are in contact with poorly ventilated alveoli; or 3) that the change in DLco was too small to be detected by these methods. The data do not allow distinction among these possibilities.

It is easier to rationalize a reduction in V_{CO} and DLco when blood flow is severely curtailed to the point of decreasing perfusion pressures and capillary blood volume. This situation exists distal to an occlusive balloon in a pulmonary artery (27) and such reduction in \dot{V}_{CO} and D_{LCO} have been observed. The possibility arises that stagnation of pulmonary blood distal to the balloon may contribute to the reduction in \dot{V}_{CO} and DL_{co}. That stagnation is of little significance in this regard is suggested by: I) the reduction in DLco during partial occlusion of a pulmonary artery when flow continues at a considerable, though reduced rate and 2) the insufficient saturation of pulmonary capillary blood with CO during brief complete occlusion of a pulmonary artery. Thus, it can be shown that in a lung with an assumed capillary blood volume of 30 ml. and ^a measured CO uptake of 0.4 ml. per minute, the critical saturation of approximately 30 per cent would not be reached during the four minutes of CO breathing.

Relationship between D_{LCO} and pulmonary artery pressure

Pulmonary hypertension from mitral stenosis is associated with an elevation of pressure in the pulmonary capillaries (28). As a consequence, the capillaries may be distended and the area available for diffusion increased. It was observed in this study, that subjects with mitral stenosis had a normal diffusing capacity for CO. This normal value may therefore represent a balance between anatomic alteration of the smaller pulmonary vessels and an increase in the pulmonary capillary blood volume.

In contrast to the pulmonary capillary hypertension of mitral stenosis, the experiments involving unilateral occlusion of a pulmonary artery resulted in a lowering of pulmonary vascular pressure and possibly capillary blood volume distal to the occluding balloon. In these experiments, as would be anticipated, the DLco of the affected lung was decreased.

Pulmonary blood volume

In the absence of any direct measurement of pulmonary capillary blood volume, changes in this variable can only be inferred. There is indirect evidence (29) that the central blood volume increases in the supine position; the pulmonary capillaries may well share in this increase (30). Such a mechanism was invoked by Bates and Pearce (31) to explain the higher resting diffusing capacity in the supine position for both single breath and steady state methods. The supine position has also been shown to effect a more uniform distribution of blood and inspired gas in the lungs, particularly with regard to the upper lobes (32).

These considerations suggest that the increase in DLco during voluntary hyperpnea when blood flow remains virtually unchanged may reflect, in part, an increase in alveolar capillary blood volume. They also indicate that even though pulmonary blood flow has little effect on DLco, the effect of the volume and distribution of blood in the lung may be appreciable.

The increase in D_{LCO} during exercise

Even though an attempt has been made in this study to isolate some of the individual factors which determine the diffusing capacity of the lung, it is apparent that under most physiological circumstances their interplay is so complicated as to make this type of distinction extremely difficult. This is particularly true of studies done during exercise where ventilation, pulmonary blood flow and possibly pulmonary blood volume all are increased. However, the data from this study do indicate that the increase in diffusing capacity for carbon monoxide, observed during mild to moderate exercise, is related to the increase in ventilation rather than to the increase in pulmonary blood flow. This conclusion is supported by the recent observations of others (33).

SUMMARY AND CONCLUSIONS

1. The effect of minute ventilation and pulmonary blood flow on the diffusing capacity of the lung for carbon monoxide was investigated at rest and during exercise by steady state methods.

2. For this purpose, normal subjects were contrasted with patients in whom pulmonary blood flow had been restricted by mitral stenosis.

3. In order to vary ventilation and blood flow independently, special methods, such as voluntary hyperpnea and unilateral occlusion of a pulmonary artery, were also employed.

4. The results indicate that diffusing capacity for carbon monoxide is little affected by changes in pulmonary blood flow until flow is markedly reduced. By way of contrast, increases in ventilation are associated with increases in diffusing capacity, and seem to account for the rise in diffusing capacity observed during moderate exercise.

ACKNOWLEDGMENT

We are indebted to the following members of the Cardiorespiratory Laboratory for their help in the performance of these studies: Drs. E. H. Bergofsky, R. W. Briehl, R. M. Goldring, A. G. Jameson and G. A. Laurenzi. We are also grateful to Dr. D. W. Richards for encouragement and advice.

REFERENCES

- 1. Krogh, M. The diffusion of gases through the lungs of man. J. Physiol. 1915, 49, 271.
- 2. Ogilvie, C. M., Forster, R. E., Blakemore, W. S., and Morton, J. W. A standardized breath holding technique for the clinical measurement of the diffusing capacity of the lung for carbon monoxide. J. clin. Invest. 1957, 36, 1.
- 3. Shephard, R. J. Breath-holding measurement of carbon monoxide diffusing capacity; comparison of a field test with steady-state and other methods of measurement. J. Physiol. 1958, 141, 408.
- 4. Roughton, F. J. W., and Forster, R. E. Relative importance of diffusion and chemical reaction rates in determining rate of exchange of gases in the human lung, with special reference to true diffusing capacity of pulmonary membrane and volume of blood in the lung capillaries. J. appl. Physiol. 1957, 11, 290.
- 5. Gibson, Q. H., Kreuzer, F., Meda, E., and Roughton, F. J. W. The kinetics of human haemoglobin in solution and in the red cell at 37° C. J. Physiol. 1955, 129, 65.
- 6. Filley, G. F., MacIntosh, D. J., and Wright, G. W. Carbon monoxide uptake and pulmonary diffusing capacity in normal subjects at rest and during exercise. J. clin. Invest. 1954, 33, 530.
- 7. Allen, T. H., and Root, W. S. An improved palladium chloride method for the determination of carbon monoxide in blood. J. biol. Chem. 1955, 216, 319.
- 8. Douglas, C. G., Haldane, J. S., and Haldane, J. B. S. The laws of combination of haemoglobin with carbon monoxide and oxygen. J. Physiol. 1912, 44, 275.
- 9. Van Slyke, D. D., and Sendroy, J., Jr. Studies of gas and electrolyte equilibria in blood. XV. Line charts for graphic calculations by the Henderson-Hasselbalch equation, and for calculating plasma carbon dioxide content from whole blood content. J. biol. Chem. 1928, 79, 781.
- 10. Van Slyke, D. D., and Neill, J. M. The determination of gases in blood and other solutions by vacuum extraction and manometric measurement. I. J. biol. Chem. 1924, 61, 523.
- 11. Scholander, P. F. Analyzer for accurate estimation of respiratory gases in one-half cubic centimeter samples. J. biol. Chem. 1947, 167, 235.
- 12. Fishman, A. P., Himmelstein, A., Fritts, H. W., Jr., and Cournand, A. Blood flow through each lung in man during unilateral hypoxia. J. clin. Invest. 1955, 34, 637.

1200

- 13. Fishman, A. P., Turino, G. M., Brandfonbrener, M., and Himmelstein, A. The "effective" pulmonary collateral blood flow in man. J. clin. Invest. 1958, 37, 1071.
- 14. Linderholm, H. On the significance of CO tension in pulmonary capillary blood for determination of pulmonary diffusing capacity with the steady state CO method. Acta med. scand. 1957, 156, 413.
- 15. Rahn, H., and Fenn, W. 0. A graphical analysis of the respiratory gas exchange. Amer. Physiol. Soc. Washington, D. C., 1955.
- 16. Bates, D. V. The uptake of carbon monoxide in health and in emphysema. Clin. Sci. 1952, 11, 21.
- 17. Marks, A., Cugell, D. W., Cadigan, J. B., and Gaensler, E. A. Clinical determination of the diffusion capacity of the lungs. Amer. J. Med. 1957, 22, 51.
- 18. Baxter, I. G., and Pearce, J. W. Simultaneous measurement of pulmonary arterial flow and pressure using condenser manometers. J. Physiol. 1951, 115, 410.
- 19. Dubois, A. B., and Marshall, R. Measurements of pulmonary capillary blood flow and gas exchange throughout the respiratory cycle in man. J. clin. Invest. 1957, 36, 1566.
- 20. Fowler, R. C., Guillet, M., and Rahn, H. Lung volume changes with positive and negative pulmonary pressures in Studies in Respiratory Physiology, W. 0. Fenn, A. B. Otis, and H. Rahn, Eds. Air Force Tech. Report #6528, Aug. 1951.
- 21. Forster, R. E., Fowler, W. S., Bates, D. V., and Van Lingen, B. The absorption of carbon monoxide by the lungs during breathholding. J. clin. Invest. 1954, 33, 1135.
- 22. Kruhøffer, P. Studies on lung diffusion coefficient for CO in normal human subjects by means of $C¹⁴O$. Acta physiol. scand. 1954, 32, 106.
- 23. Forster, R. E. Exchange of gases between alveolar

air and pulmonary capillary blood: Pulmonary diffusing capacity. Physiol. Rev. 1957, 37, 391.

- 24. Forbes, W. H., Sargent, F., and Roughton, F. J. W. The rate of carbon monoxide uptake by normal men. Amer. J. Physiol. 1945, 143, 594.
- 25. Hatch, T. F. Carbon monoxide uptake in relation to pulmonary performance. A. M. A. Arch. industr. Hyg. 1952, 6, 1.
- 26. Kety, S. S. Theory and application of exchange of inert gas at lungs and tissues. Pharmacol. Rev. 1951, 3, 1.
- 27. Brandfonbrener, M., Turino, G. M., Himmelstein, A., and Fishman, A. P. Effects of occlusion of one pulmonary artery on pulmonary circulation in man. Fed. Proc. 1958, 17, 19.
- 28. Eliasch, H. The pulmonary circulation at rest and on effort in mitral stenosis. Scand. J. clin. Lab. Invest. 1952, 4, suppl. 4.
- 29. Lagerlöf, H., Eliasch, H., Werkö, L., and Berglund, E. Orthostatic changes of the pulmonary and peripheral circulation in man. Scand. J. clin. Lab. Invest. 1951, 3, 85.
- 30. Hamilton, W. F., and Morgan, A. B. Mechanism of the postural reduction in vital capacity in relation to orthopnea and storage of blood in the lungs. Amer. J. Physiol. 1932, 99, 526.
- 31. Bates, D. V., and Pearce, J. F. The pulmonary diffusing capacity; a comparison of methods of measurement and a study of the effect of body position. J. Physiol. 1956, 132, 232.
- 32. Martin, C. J., Cline, F., Jr., and Marshall, H. Lobar alveolar gas concentrations: Effect of body position. J. clin. Invest. 1953, 32, 617.
- 33. Ross, J. C., Frayser, R., and Hickam, J. B. A study of the means by which exercise increases the pulmonary diffusing capacity for carbon monoxide (abstract). J. clin. Invest. 1958, 37, 926.