# Investigations into the Role of the *Plasmodium falciparum* SERCA (PfATP6) L263E Mutation in Artemisinin Action and Resistance<sup>∇</sup>†

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Artemisinin-based combination therapies (ACTs) are highly effective for the treatment of Plasmodium *falciparum* malaria, yet their sustained efficacy is threatened by the potential spread of parasite resistance. Recent studies have provided evidence that artemisinins can inhibit the function of PfATP6, the P. falciparum ortholog of the ER calcium pump SERCA, when expressed in Xenopus laevis oocytes. Inhibition was significantly reduced in an L263E variant, which introduced the mammalian residue into a putative drug-binding pocket. To test the hypothesis that this single mutation could decrease P. falciparum susceptibility to artemisinins, we implemented an allelic-exchange strategy to replace the wild-type *pfatp6* allele by a variant allele encoding L263E. Transfected P. falciparum clones were screened by PCR analysis for disruption of the endogenous locus and introduction of the mutant L263E allele under the transcriptional control of a calmodulin promoter. Expression of the mutant allele was demonstrated by reverse transcriptase (RT) PCR and verified by sequence analysis. Parasite clones expressing wild-type or L263E variant PfATP6 showed no significant difference in 50% inhibitory concentrations (IC<sub>50</sub>s) for artemisinin or its derivatives dihydroartemisinin and artesunate. Nonetheless, hierarchical clustering analysis revealed a trend toward reduced susceptibility that neared significance (artemisinin,  $P \approx 0.1$ ; dihydroartemisinin, P = 0.053 and P = 0.085; and artesunate, P = 0.082 and P = 0.162for the D10 and 7G8 lines, respectively). Notable differences in the distribution of normalized IC<sub>50</sub>s provided evidence of decreased responsiveness to artemisinin and dihydroartemisinin (P = 0.02 for the D10 and 7G8 lines), but not to artesunate in parasites expressing mutant PfATP6.

Artemisinin (ART)-based combination therapies (ACTs) are endorsed by the World Health Organization as first-line treatment for multidrug-resistant *Plasmodium falciparum* malaria in most regions of endemicity in the world, including almost all of sub-Saharan Africa (14, 28; http://www.who.int/malaria/publications/atoz/9789241563901/en/index.html). However, emerging resistance to ART has recently begun to compromise the treatment of patients suffering from *P. falciparum* infections in western Cambodia (13, 37). ART resistance, if it spreads, would have enormous public health consequences, as there are no suitably efficacious alternatives. Understanding the mechanisms of action of ARTs has therefore gained urgency, because this knowledge may contribute to methods used for monitoring emerging resistance, as well as to the design of newer ARTs (22) that, for example, could be

aimed at treating parasites that have become resistant to the first-generation derivatives.

ARTs are sesquiterpenes containing an endoperoxide bridge that is critical to their antimalarial activity. How this endoperoxide bridge kills parasites is a controversial subject (6, 18, 19, 23, 29, 55). One theory holds that an interaction of ART with Fe<sup>2+</sup>-heme in the parasite might generate toxic carbon-centered free radicals that damage parasite biomolecules, possibly via alkylation, resulting in cell death (21, 38). Evidence supporting a possible effect on the heme detoxification pathway includes reports of interactions between ARTs and heme both in vitro and in an in vivo rodent malaria model (12, 40, 43). Nonetheless, a recent study reported that exposure of Fe<sup>2+</sup>heme to carbon monoxide does not alter the activity of ARTs, whereas it should if interaction with Fe<sup>2+</sup>-heme were important (8). Other proposed mechanisms of ART action have included interference with protein export pathways of the malarial tubulovesicular network, inhibition of parasite endocytic pathways, interference with mitochondrial membrane potential, and interactions with the calcium-binding P. falciparum translationally controlled tumor protein ortholog (1, 2, 4, 24, 31).

ARTs may also act by inhibiting the malarial sarcoplasmicendoplasmic reticulum (ER) Ca<sup>2+</sup>-ATPase ortholog of SERCA, known as PfATP6 (15). When expressed in *Xenopus laevis* oocytes, PfATP6 can be specifically inhibited by ART, which had no action against several other transporters, including PfATP4 (a

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*P. falciparum* non-SERCA  $Ca^{2+}$  ATPase). ART inhibition of PfATP6 was antagonized by thapsigargin, a specific inhibitor of mammalian SERCA (15). Based on these data and related studies, ART compounds were proposed to bind to and inhibit PfATP6 in the *P. falciparum* ER, leading to parasite death.

Modeling studies of the PfATP6 sequence and docking simulations suggested that ARTs might interact directly with PfATP6, possibly via hydrophobic interactions (27, 35, 53). Further studies in oocytes showed that amino acid variants at residue 263 (L263, 263S, and 263A), predicted to be located at the apex of the predicted ART-binding pocket, could modulate the susceptibility of PfATP6 to inhibition by ART. Differences in susceptibility paralleled the rank order of the ART 50% inhibitory concentrations (IC<sub>50</sub>s) observed with *P. falciparum*, *Plasmodium berghei*, and *Plasmodium vivax*, respectively, from which these variants derive (53). An L263E substitution introduced the residue found in the position analogous to that of mammalian SERCA, which was resistant to ART when assayed in enriched rabbit muscle preparations. This resulted in loss of inhibition by ARTs.

These observations led to the hypothesis that mutations at this residue might change the conformation of the putative drug-binding site and potentially cause decreased susceptibility to ART. Additional support for PfATP6 as a possible target of ART compounds in *P. falciparum* emerged from a field study that reported an association between a S769N substitution in PfATP6 and elevated *in vitro* artemether IC<sub>50</sub>s in isolates from French Guiana, suggesting a potential role for polymorphisms in *pfatp6* as candidate markers for emerging ART resistance (26).

In this study, we aimed to test whether a single amino acid change at position 263 of PfATP6 could modulate parasite resistance to this critical class of antimalarials. Utilizing allelicexchange techniques, the wild-type *pfatp6* allele was replaced via single-crossover recombination by a 263E variant allele in two distinct genetic backgrounds, 7G8 (Brazil) and D10 (Papua New Guinea). This article reports the generation of these recombinant parasites and an analysis of their susceptibilities to ARTs.

### MATERIALS AND METHODS

**Parasites and transfection.** The *P. falciparum* parasite lines 7G8 and D10 were obtained from MR4 (http://www.malaria.mr4.org; ATCC, Manassas, VA). They were propagated in leukocyte-free human erythrocytes at 3% hematocrit in complete medium (RPMI 1640 with L-glutamine, 50 mg/liter hypoxanthine, 10 mg/liter gentamicin, 25 mM HEPES, 0.225% NaHCO<sub>3</sub>, and 0.5% Albumax I [Life Technologies]) and cultured at 37°C under 5% CO<sub>2</sub>, 5% O<sub>2</sub>, and 90% N<sub>2</sub> gas (17). The parasites were electroporated with purified plasmid DNA, and episomally transfected lines were selected with 2.0  $\mu$ g/ml blasticidin HCl (In-vitrogen), as described previously (45). Plasmid integration into the *pfatp6* locus was detected by PCR and confirmed by Southern blot analysis (see below). Recombinant parasites were cloned on day 120 by limiting dilution and identified by their expression of parasite lactate dehydrogenase, as described elsewhere (20, 46).

DNA constructs. A 2.5-kb *pfatp6* coding-sequence fragment (nucleotides 1 to 2503 of the 3.7-kb *pfatp6* gene, contained within exon 1 of this 3-exon gene; PlasmoDB gene ID, PFA0310c [http://www.plasmodb.org]) was amplified from *Xenopus* expression vectors that had either the L263 or the mutant 263E *pfatp6* coding sequence cloned into pcDNA3.1(+) (15). Sequences were amplified with the primers 5'-AAACCTAGGATGGAAGAGGTTATTAAGAATGCTC (the AvrII site is underlined) and 5'-AAAGTCGACCTTTAGCTATAGCTCTG GCCG (the SaII site is underlined) and subcloned into the pLN\_CAM plasmid digested with AvrII and SaII. This transfection plasmid expresses the blasticidin-

S-deaminase (*bsd*)-selectable marker (32), under the control of a 0.6-kb *Plasmodium chabaudi* dihydrofolate reductase-thymidylate synthase (PcDT) 5' untranslated region (UTR) and a 0.6-kb *P. falciparum hrp2* 3' UTR. The *pfatp6* insert was placed under the control of a functional 1.0-kb *P. falciparum* 5' UTR from the calmodulin gene (PlasmoDB gene ID PF14\_0323 [10]). The resulting 8.0-kb plasmids were designated pLN\_L263 and pLN\_E263. The pLN\_E263 plasmid has three nucleotide mutations: T787G and T788A (which confer the L263E amino acid substitution) and T792C (a silent mutation that creates an EcoRI site) (53).

**DNA analysis**. *P. falciparum* DNA was purified from saponin-released trophozoite pellets with DNeasy Tissue Kits (Qiagen). PCR-based detection of integration used the *pfatp6*-specific primers p1 (5'-CTTATTATATCTTTGT CATTCGTG) and p5 (5'-GAAAAATCTTCAAATTCTCTTCCA), the pLNspecific primer p2 (5'-ACACTATAGAATACTCAAGCTTGG), and the *calmodulin* 5' UTR-specific primer p3 (5'-CCTAATAGAAATATATCACCTA GG). Gel-purified PCR products (Gel Extraction Kit; Qiagen) were sequenced with the *pfatp6* internal coding sequence primer 5'-AAATATTT TATTTTCATCTACCGC.

For Southern blot analysis, 1  $\mu$ g of DNA was digested with XbaI and NcoI, electrophoresed, and transferred onto nylon membranes. Hybridizations were performed with a hexamer-primed <sup>32</sup>P-labeled probe that was prepared from a 0.7-kb fragment of the *pfatp6* coding sequence (nucleotides 373 to 1090), released by HindIII/KpnI digestion of the transfection plasmid pLN\_E263.

**RNA preparation and RT-PCR assays.** RNA was prepared from sorbitolsynchronized trophozoite stage parasites using Trizol (Invitrogen) and treated with DNase I (New England Biolabs). cDNA was prepared from purified RNA by reverse transcription with oligo(dT) primers. Reverse transcriptase (RT) PCR was performed with the *pfatp6*-specific primers p4 (5'-TGGAAGAGGTTATT AAGAATGCTC) and p5, as well as with the *calmodulin* 5' UTR-specific primers p3 plus p5. RT-PCR products were gel purified with gel extraction kits (Qiagen), and the products were sequenced using the *pfatp6* internal coding sequence primer 5'-AAATATTTTACTACCGC (nucleotides 633 to 656; located 130 bp upstream of the variant nucleotide at position 789).

In vitro antimalarial drug assays. Artemisinin, dihydroartemisinin (DHA), and artesunate (AS) were kindly provided by William Ellis, Division of Experimental Therapeutics, Walter Reed Army Institute of Research, Washington, DC. Parasite susceptibilities to antimalarial drugs were assaved in vitro using [<sup>3</sup>H]hypoxanthine incorporation, as previously described (17). Briefly, cultures composed predominately of ring stage parasites were seeded in duplicate in 96-well plates at 0.4% parasitemia and 1.6% hematocrit; 1.5-fold serial dilutions were made to final-concentration ranges of 2.1 to 53.1 nM for ART for 7G8, 5.5 to 141.7 nM for ART for D10, 0.8 to 21.1 nM for DHA for both strains, and 2.8 to 104.0 nM for AS, also for both strains. After 48 h, [3H]hypoxanthine (0.5  $\mu\text{Ci/well})$  was added, and cells were harvested at 72 h.  $IC_{50}s$  were determined by linear regression as described previously (17). Each assay was performed in duplicate and repeated 4 to 9 independent times. Assays 3 to 7 and 8 to 11 (see Tables S1 and S2 in the supplemental material) were run as two groups 6 to 8 months apart and were thus treated as two separate data sets for the purpose of establishing normalized IC50s for the L263 controls (i.e., mean values were calculated for assays 3 to 7 and separately for assays 8 to 11 and were used to derive normalized IC50s for individual lines). Assays 1 and 2 were not considered, as they were dose-finding experiments to optimize the range of dilutions employed in these assays.

Heat map analyses and IC<sub>50</sub> normalizations. Heat maps (see Fig. 4) were generated from the six individual data sets created for each combination of drug (ART, DHA, and AS) and strain (7G8 and D10). Further heat maps that incorporated two or more drugs and/or that combined both 7G8 and D10 parasites were generated following normalization of the IC<sub>50</sub>s (as described above) in order to compare across drugs or strains irrespective of their baseline IC<sub>50</sub>s. For each drug, this produced a normalized mean IC<sub>50</sub> of 1.0 for the control L263 lines (see Fig. 5B; see Table S2 in the supplemental material). After normalization, the data sets were combined by taking the set of concentrations that had a smaller range and imputing those values into the other sets.

**Statistical analyses.** Data were compiled in Excel. One-way analysis of variance (ANOVA) tests were used to compare mean IC<sub>50</sub>s between control and mutant lines for a given strain and compound (Table 1). Following hierarchical clustering, hypergeometric distribution tests were used to analyze the extent to which the IC<sub>50</sub>s of the mutant samples were skewed to the left or right of the IC<sub>50</sub>s of the controls (Table 2; see Fig. 4 below and Fig. S1 in the supplemental material).

Sample size calculations and normality assumptions for  $IC_{50}s$  employed Shapiro-Wilks and Shapiro-Francia tests and were evaluated in Stata (v8.0 for Macintosh). Other statistical and graphical analyses were done in Prism (Graph-

Drug	Measurement	Value (mean ±SEM)			
		7G8 <sup><i>a</i></sup>		$D10^{b}$	
		L263	263E	L263	263E
Artemisinin	Absolute $IC_{50}$ (nM) Normalized $IC_{50}$ No. of assays	$\begin{array}{c} 20.7 \pm 2.9 \\ 1.00 \pm 0.11 \\ 12 \end{array}$	$22.3 \pm 1.7 \\ 1.30 \pm 0.12 \\ 28$	$42.8 \pm 5.0$ $1.00 \pm 0.12$ 11	$51.6 \pm 5.5 \\ 1.20 \pm 0.13 \\ 21$
Dihydroartemisinin	Absolute $IC_{50}$ (nM) Normalized $IC_{50}$ No. of assays	$\begin{array}{c} 2.26 \pm 0.29 \\ 1.00 \pm 0.10 \\ 12 \end{array}$	$\begin{array}{c} 2.37 \pm 0.18 \\ 1.33 \pm 0.13 \\ 28 \end{array}$	$3.41 \pm 0.36$ $1.00 \pm 0.11$ 13	$\begin{array}{c} 3.96 \pm 0.35 \\ 1.18 \pm 0.10 \\ 27 \end{array}$
Artesunate	Absolute $IC_{50}$ (nM) Normalized $IC_{50}$ No. of assays	$\begin{array}{c} 13.5 \pm 1.7 \\ 1.00 \pm 0.09 \\ 12 \end{array}$	$\begin{array}{c} 14.9 \pm 1.4 \\ 0.97 \pm 0.07 \\ 28 \end{array}$	$23.6 \pm 3.1 \\ 1.00 \pm 0.09 \\ 13$	$26.2 \pm 2.8 \\ 0.99 \pm 0.08 \\ 27$

TABLE 1. Summary of absolute and normalized IC<sub>50</sub> values for mutant and control lines

<sup>*a*</sup> 7G8 L263 refers to  $C1^{7G8}$  and  $C2^{7G8}$ ; 7G8 263E refers to  $M1^{7G8}$ ,  $M2^{7G8}$ ,  $M3^{7G8}$ , and  $M4^{7G8}$ . <sup>*b*</sup> D10 L263 refers to  $C1^{D10}$  and  $C2^{D10}$ ; D10 263E refers to  $M1^{D10}$ ,  $M2^{D10}$ , and  $M3^{D10}$ .

pad v4.0a). Nonparametric Mann-Whitney U tests and parametric unpaired t tests (with Welch's correction for unequal variances) were used to compare control and mutant groups. Proportions were compared with chi-squared tests, and Fisher's exact values were calculated. Data values for each line are provided in Tables S1 and S2 in the supplemental material, which list the individual arithmetic and the group normalized means.

## RESULTS

Generation of recombinant lines expressing the PfATP6 L263E mutation. To investigate a potential role for the PfATP6 L263E mutation in mediating reduced in vitro susceptibility of P. falciparum to the ART class of antimalarials, we applied an allelic-exchange strategy to the P. falciparum ARTsensitive strains 7G8 and D10, as illustrated in Fig. 1.

We constructed the 8.0-kb pLN E263 transfection plasmid to contain the bsd-selectable marker cassette and a 3'-truncated 2.5-kb pfatp6 fragment encoding the L263E mutation. This fragment (denoted *pfatp6* $\Delta$ 3) was placed downstream of a functional 1.0-kb calmodulin 5' UTR (Fig. 1A). Single-site crossover between this insert and the homologous pfatp6 se-

TABLE 2. Tests of significance<sup>a</sup>

Drug	Line	P value <sup>b</sup>
Artemisinin	7G8	0.107
Artemisinin	D10	0.101
Artesunate	7G8	0.162
Artesunate	D10	0.082
Dihydroartemisinin	7G8	0.085
Dihydroartemisinin	D10	0.053
Artemisinin	7G8 and D10	0.032
Artesunate	7G8 and D10	0.039
Dihydroartemisinin	7G8 and D10	0.057
Artemisinin plus artesunate	7G8 and D10	0.017
Artemisinin plus dihydroartemisinin	7G8 and D10	0.020
Artesunate plus dihydroartemisinin	7G8 and D10	0.009
All three drugs	7G8	0.108
All three drugs	D10	0.008
All three drugs	7G8 and D10	0.026

<sup>a</sup> Performed following hierarchical clustering analysis.

<sup>b</sup> P values were calculated using a hypergeometric one-tailed test to compare the L263 and 263E lines. This test analyzed the extents to which the IC50 values of the 263E samples were skewed to the right (i.e., were higher) compared to the L263 controls.

quence was predicted to replace the endogenous *pfatp6* gene with a recombinant, full-length allele under the control of the calmodulin 5' UTR. Crossover events downstream of position 263 resulted in the introduction of the L263E mutation into this recombinant, functional allele. The calmodulin promoter was selected, as *calmodulin* and *pfatp6* have nearly identical transcriptional profiles, with maximal transcription at 32 to 34 h, as demonstrated in two independent, genomewide microarray studies with highly synchronized parasites (5, 30; http: //www.plasmodb.org). As a control experiment, a pLN L263 plasmid containing the same *pfatp6* fragment but encoding the wild-type L263 residue was also transfected.

Recombination and single-site crossover events also created an upstream truncated fragment expressing the first 2.5 kb of the *pfatp6* coding sequence, under the control of the endogenous pfatp6 promoter but lacking a 3' UTR. This fragment, contained within exon 1 of this 3-exon gene, was 1.2 kb shorter than the full-length pfatp6 coding sequence (corresponding to amino acids 1 to 833 of the 1,228-amino-acid full-length protein and predicted to encode the first 5 of the protein's 10 transmembrane helices). The absence of a 3' UTR has been previously shown in recombinant Plasmodium parasites to be incompatible with protein expression (9, 39, 58). Furthermore, analysis of the structural model and predicted topology of PfATP6 and comparison with the known structure of the orthologous rabbit SERCA1 molecule indicated that the removal of the five C-terminal transmembrane helices and of key functional regions (including the predicted phosphorylation site Asp359, analogous to Asp351 in rabbit SERCA1, and the putative Ca<sup>2+</sup>-binding sites in helices M4 to M6 and M8 [51–53]) would render any translated product nonfunctional.

7G8 and D10 parasites transfected with pLN\_L263 and pLN E263 were screened for plasmid integration into the *pfatp6* locus by PCR. This included the use of primers specific for the recombinant upstream truncated and downstream fulllength loci (p1 plus p2 and p3 plus p5, respectively). We also tested for the presence of the original wild-type locus using the primer pair p1 plus p5 (primer locations are shown in Fig. 1A). By day 86 postelectroporation, lines that were positive with the p1 plus p2 and p3 plus p5 primer pairs diagnostic for recombination had been identified. From these lines, recombinant

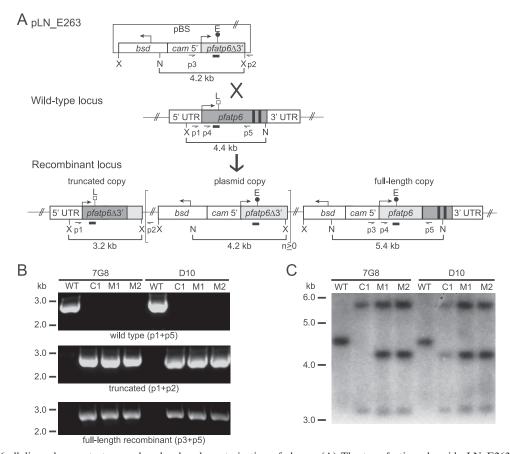


FIG. 1. *pfatp6* allelic-exchange strategy and molecular characterization of clones. (A) The transfection plasmid pLN\_E263 contains the *bsd* selectable marker and a 2.5-kb *pfatp6* truncated coding sequence fragment lacking the 3' end of the gene (containing the putative transmembrane domains 6 to 10 and encoding the L263E mutation). The control plasmid pLN\_L263 (not shown) encodes the wild-type *pfatp6* allele was replaced. The resulting upstream, truncated fragment of *pfatp6* lacked the 3' end of the gene (including exons 2 and 3) and a 3' UTR. The downstream, functional, full-length allele was under the control of the *calmodulin* 5' UTR and had the endogenous *pfatp6* 3' UTR as its terminator element. The brackets delineate the plasmid sequence that in some lines integrated as tandem linear copies (total plasmid copy number,  $n \ge 1$ ). PCR primers (p1 to p5), XbaI (X) and NcoI (N) sites, and fragment sizes and the *pfatp6* probe (narrow rectangular boxes) are indicated. pBS, pBluescript plasmid backbone. The large cross indicates a single crossover event, and the thick arrow points to the ensuing recombinant locus. The E above the black circle and the L above the white square refer to the position of the nucleotides encoding amino acids 263E and L263. The bent arrows indicate the direction of transcription. (B) Integration of the transfected plasmids at the *pfatp6* locus was confirmed by PCR analyses of the wild-type (WT) parental (7G8 and D10) lines and the recombinant clones (C1<sup>7G8</sup>, C1<sup>D10</sup>, M1<sup>7G8</sup>, M2<sup>7G8</sup>, M1<sup>D10</sup>, and M2<sup>D10</sup>) with primers specific for the wild-type locus (p1 plus p5), the upstream truncated fragment (p1 plus p2), and the downstream recombinant, full-length allele (p3 plus p5). (C) Southern blot hybridization of XbaI/NcoI-digested genomic probe revealed a 4.4-kb band in the wild-type strains. The recombinant clones integrated in the wild-type blasmid copies into this locus.

clones were obtained by limiting dilution. The clones generated from transfection of the control pLN\_L263 plasmid were named C1<sup>7G8</sup>, C2<sup>7G8</sup>, C1<sup>D10</sup>, and C2<sup>D10</sup>, and those generated from transfection of the mutant pLN\_E263 plasmid were named M1<sup>7G8</sup>, M2<sup>7G8</sup>, M3<sup>7G8</sup>, M4<sup>7G8</sup>, M1<sup>D10</sup>, M2<sup>D10</sup>, and M3<sup>D10</sup>.

PCR analyses of these clones showed loss of the 2.7-kb wild-type-specific band (p1 plus p5) in the recombinant controls and mutants and acquisition of PCR bands specific for the upstream truncated fragment (2.7 kb; p1 plus p2) and the downstream recombinant full-length allele (2.7 kb; p3 plus p5) (shown for a subset of clones in Fig. 1B; other clones produced equivalent results [data not shown]). These PCR products were excised and sequenced, revealing that the integration event in

the mutant clones occurred in such a way that the L263E mutation was downstream in the full-length allele (Fig. 1A). Southern blot hybridization of XbaI/NcoI-digested genomic-DNA samples with a *pfatp6* probe confirmed the expected gene organization in all clones, revealing the loss of a 4.4-kb band present in the wild-type strains and showing 5.4-kb and 3.2-kb bands consistent with single-site recombination at the *pfatp6* locus (Fig. 1C). The 4.2-kb band indicated integration of two or more tandem copies of the transfection plasmid into the *pfatp6* locus in all recombinant clones, with the exception of C1<sup>7G8</sup>, which harbored a single plasmid copy in the integrated locus.

**RT-PCR analysis of** *pfatp6* **recombinant clones.** To confirm the expression of *pfatp6* from the 1.0-kb *calmodulin* 5' UTR,

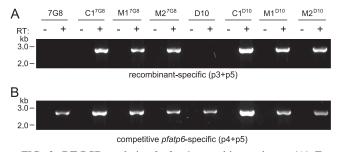


FIG. 2. RT-PCR analysis of *pfatp6* recombinant clones. (A) Expression of the recombinant *pfatp6* locus from the 1.0-kb *calmodulin* 5' UTR was confirmed by RT-PCR analysis of RNA prepared from trophozoite stage parasites using a *calmodulin* 5' UTR-specific primer (p3) and a *pfatp6*-specific primer (p5), which revealed a 2.7-kb band. Reaction mixtures were prepared with and without RT to confirm the absence of detectable genomic-DNA contamination in the samples. (B) Sequencing of competitive RT-PCR products obtained with primers specific for full-length *pfatp6* (p4 plus p5) demonstrated full-length expression of only the downstream recombinant locus in the E263 mutant clones, as demonstrated by sequence identification of only the mutant codon in the RT-PCR product. The primers are indicated in Fig. 1.

RT-PCR was performed on RNA samples prepared from trophozoite stage parasite samples. These reactions used a *calmodulin 5'* UTR-specific primer (p3) and a *pfatp6*-specific primer (p5), which yielded a 2.7-kb band (Fig. 2A). Mock reactions prepared without RT showed no product, demonstrating the lack of detectable genomic-DNA contamination in the RNA samples. Sequencing of the PCR products from a competitive RT-PCR utilizing primers specific for full-length *pfatp6* (p4 plus p5), which can amplify from a recombinant full-length allele or a wild-type allele [Fig. 1A]), demonstrated that the clones expressed only a single functional allele (from the downstream locus in the case of the recombinant lines) (Fig. 1A and 2B).

**Drug responses of** *pfatp6* **recombinant clones.** We tested the *pfatp6* recombinant clones against ART, DHA (the active *in vivo* metabolite of many clinically useful ART compounds [57]), and AS.  $IC_{50}$ s were also obtained for the 7G8 and D10 wild-type strains, as well as the recombinant control and mutant lines generated in each strain. The ART  $IC_{50}$ s (means ± standard errors of the mean [SEM]) for 7G8 and D10 were 16.5 ± 2.9 and 56.4 ± 5.2 nM, respectively, determined from 5 independent assays performed in duplicate. These baseline values were comparable to those from an earlier study of these strains that had reported  $IC_{50}$ s of 11 nM and 42 nM, respectively (41). Other studies have also obtained similar  $IC_{50}$ s with ART (36, 44, 49).

In total, each line was run on average 7 times (range, 4 to 9) against each derivative. Table 1 shows the mean ( $\pm$ SEM) IC<sub>50</sub>s calculated for the set of L263 and 263E lines grouped per strain background and artemisinin compound (IC<sub>50</sub>s for individual assays are provided in Table S1 in the supplemental material). Statistical analysis revealed no significant differences between the two sets of lines, as calculated by one-way ANOVA tests comparing mutants to controls for a given strain and a given compound.

The trend toward slightly elevated  $IC_{50}s$  in the D10 263E mutant lines (Table 1), and the evidence from the literature

that  $IC_{50}s$  do not readily detect altered parasite susceptibility to ART derivatives (7, 13, 56) led us to examine our assays in greater detail. Figure 3 shows the mean (±SEM) values of percent inhibition for each line assayed against each compound at each concentration tested in these dose-response assays. Broadly, the results showed similar dose-response patterns between lines in a given genetic background. In the 7G8 background, the least susceptible across all artemisinins was the M4<sup>7G8</sup> line, while the C1<sup>7G8</sup> line appeared typically to be the most sensitive. In D10, there was a trend toward the 263E lines being less susceptible, and the C1<sup>D10</sup> line was typically the most sensitive of all. These assays revealed reduced susceptibility of D10 parasites in general compared to the 7G8 lines, with this difference being the most evident for ART (Table 1).

To analyze these data further, we organized the dose-response data into six groups, corresponding to the data for ART, AS, and DHA for the 7G8 and D10 backgrounds, and performed hierarchical clustering analysis. For this, we converted the dose-response data for a particular line and compound in a given assay into a heat map that visually represented the dose-response profile (ranging from 0% inhibition, depicted in blue, to 50%, in green, and 100%, in red). We then plotted each line and assay vertically down a set of rows corresponding to the drug concentration (set on a scale of 1 to 10 for ART and DHA and 1 to 11 for AS; with 1 being zero drug and the highest number representing the highest drug concentration). The data were then clustered from left to right so that the leftmost data set represented the most sensitive dose-response profile and the rightmost data set the least sensitive profile (Fig. 4). This allowed a visual representation of how lines compared in their dose responses. As an example, with DHA and the 7G8 lines, one could observe that the most sensitive response was the C17G8 line tested in assay 4, whereas the four least sensitive responses were observed with mutant lines  $M1^{7G8}$  (assay 5),  $M2^{7G8}$  (assay 6), and  $M3^{7G8}$  (assays 9) and 10). This analysis allowed us to peruse all the data without regard to the initial IC<sub>50</sub> and to compare across genetic backgrounds and compounds.

Visual inspection of these data revealed some trends toward decreased susceptibility in 263E lines, manifested as a preponderance of these lines (shown in green in the top row above the heat maps) toward the right of the clustered distribution. We tested this statistically using a hypergeometric test that calculated the probability that a given type of line (L263 or 263E) would be represented at a particular place on the spectrum of IC<sub>50</sub>s clustered from least to most sensitive (i.e., from right to left in the top panels in Fig. 4; note that more assays were performed with 263E than with L263 lines because more mutant lines were included in our assays). The null hypothesis in this case was that the distribution was random. Statistical tests for individual experimental groups approached but did not attain significance (Table 2). Nonetheless, we noted that combining responses for two or more drugs, or combining drugs for 7G8 and D10, resulted in significant differences between mutant and control lines for most combinations (Table 2).

Two further points emerged from this analysis. First, the genetic background (7G8 versus D10) influenced the drug sensitivity phenotype of the L263E mutation, with the D10 line most likely to show differences between 263E and L263 lines. Second, susceptibility to the clinically employed derivatives AS

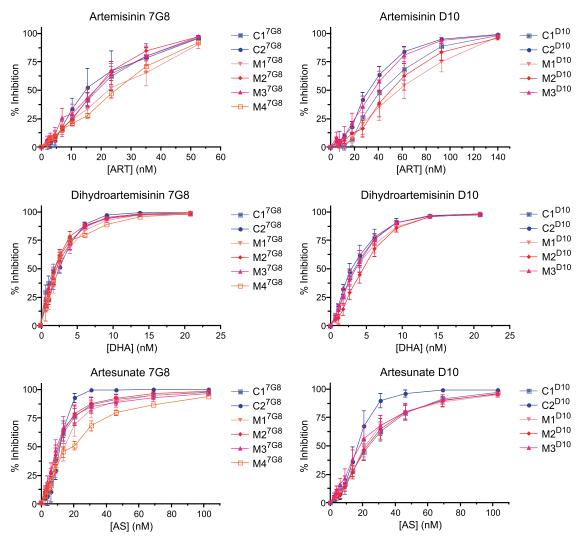


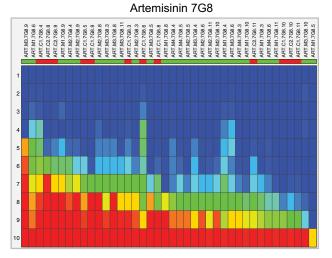
FIG. 3. Dose-response assays for L263 and 263E congenic lines tested against ART, DHA, and AS. The results were obtained from 4 to 9 independent assays with duplicate wells per line and per concentration. Percent inhibition values are shown as means  $\pm$  SEM.

and DHA produced a highly significant difference between mutant and control lines when data for both genetic backgrounds were combined.

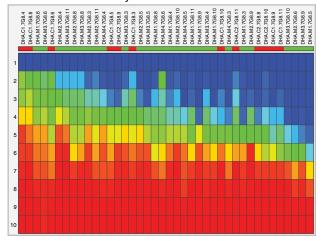
These results led us to examine our data across lines and compounds by normalizing our  $IC_{50}s$  so that data could be analyzed across groups irrespective of host strain-specific differences in the degree of susceptibility to individual compounds. First, we calculated the mean  $IC_{50}$  for all isogenic control lines (harboring the L263 allele) for a given set of concurrently run independent assays and then used these mean values to calculate normalized  $IC_{50}s$  for control (L263) and mutant (263E) lines by dividing their raw  $IC_{50}s$  in a given assay by the mean  $IC_{50}$  obtained from the control lines. This method of normalization enabled comparisons to be made both within and between experiments. Normalized mean ( $\pm$ SEM)  $IC_{50}s$ for individual assays are listed in Table S2 in the supplemental material.

Results from the set of normalized  $IC_{50}$  determinations, presented in Fig. 5, revealed significant differences in the distributions of wild-type versus mutant lines. As shown in Fig.

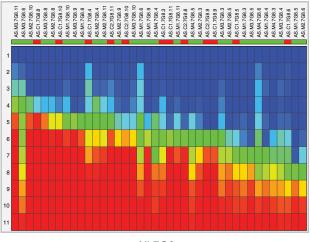
5A, normalized  $IC_{50}$ s for congenic control lines showed normal distributions (Shapiro-Wilks and Shapiro-Francia P values, >0.05 for both groups), whereas the distributions were not normal for the E263 lines (Shapiro-Wilks and Shapiro-Francia *P* values, <0.01 for both groups and tests; see Table S3 in the supplemental material for the data distribution represented in Fig. 5A). This pronounced distributional difference between mutant and control cell lines resulted predominantly from some relatively high values for normalized IC<sub>50</sub>s observed in the mutant lines (see Table S2 in the supplemental material). When the data for all three compounds were aggregated, the difference between the highest and lowest normalized IC<sub>50</sub>s was 5.7-fold in congenic control strains for the larger aggregated data set (range, 0.34 to 1.93 times the mean value for control strains) and 13.3-fold for transgenic lines carrying the L263E mutation (range, 0.26 to 3.47 times the mean value for control strains). The mean increase in  $IC_{50}$ s was 22.9% (95%) confidence interval [CI], 1.11 to 1.36) in the aggregated E263 parasites compared with controls (95% CI, 0.89 to 1.1) with corresponding median (interquartile range) values for L263 of



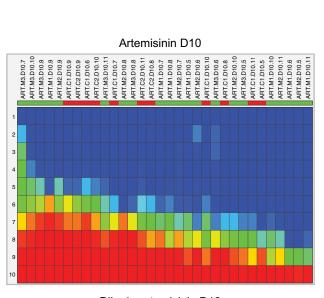
Dihydroartemisinin 7G8



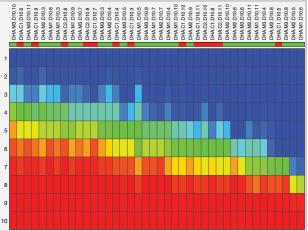
Artesunate 7G8



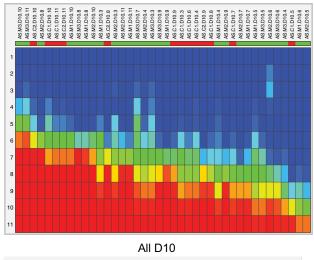


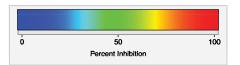


Dihydroartemisinin D10









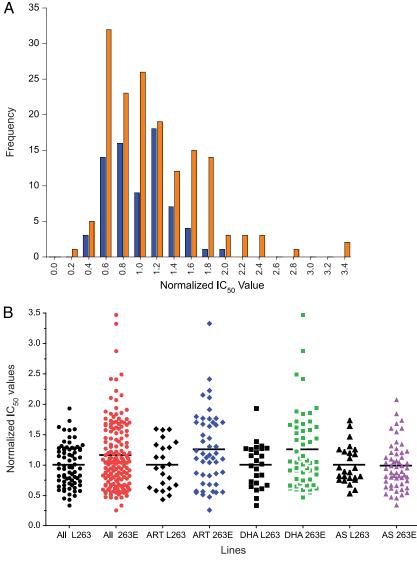


FIG. 5. *In vitro* response of *pfatp6*-modified recombinant clones to ART, DHA, and AS. (A) Distributional plot of normalized (1 = 100%) IC<sub>50</sub>s for ART, DHA, and AS for control (L263, blue) and mutant (E263, orange) congenic cell lines. (B) Individual normalized IC<sub>50</sub>s for control (L263, black) and mutant (E263, red, blue, green, or purple) congenic lines. Aggregated data sets (ART plus DHA plus AS) include results for these drugs pooled for both 7G8 and D10 parasites. Individual assays are shown for each drug. The horizontal lines illustrate the normalized mean IC<sub>50</sub>s for each group.

1.0 (0.67 to 1.3) and for E263 of 1.1 (0.7 to 1.6), representing an approximate 11% increase in median normalized IC<sub>50</sub>s for E263 parasites (P = 0.038 [one-tailed Mann-Whitney U test] and P = 0.003 [unpaired t test with Welch's correction]). Table S4 in the supplemental material provides a listing of the normalized data from each drug assay that comprise the individual data points illustrated in Fig. 5B. Normalized mean ( $\pm$ SEM) IC<sub>50</sub>s for the two genetic strains and for each ART derivative

FIG. 4. Heat maps of dose-response data for *pfatp6*-modified parasite lines, grouped by host strain and compound. The rows represent the range of concentrations renumbered 1 to 10 or 1 to 11, with 1 being zero drug. Each column represents a separate line tested in a particular assay, with percent inhibition going from 0 (dark blue) to 50 (green) to 100 (red) as the compound concentration increased. This plot allows the visualization of both the  $IC_{50}$  level of a sample and the percent inhibition at all concentrations. The dose-response data are hierarchically clustered from left to right based on  $IC_{50}$ s, with the left being the most sensitive response and the right being the least sensitive response (i.e., clustered from lowest  $IC_{50}$  on the right). The top row reflects the type of line; 263E lines are green, and L263 lines are red. Reviewing the top row from left to right illustrates the distribution of these lines from most susceptible to least susceptible, respectively. The heat maps for individual strains and drugs utilized raw  $IC_{50}$  values and dose-response data. At the bottom is shown the hierarchical clustering of the normalized  $IC_{50}$ s obtained for all drugs for the 7G8 or D10 background, producing *P* values of 0.108 and 0.008, respectively (Table 2). 7G8 and D10 heat maps showing the combined data for all drugs are presented in Fig. S1 in the supplemental material.

are illustrated in Table 1, which also shows the nonnormalized data. We note that it would have been preferable to compare these results with a comparator drug, such as chloroquine, for which no difference would have been expected. However, no other drug was included, as the emphasis was placed on generating a large number of replicate assays in order to increase the sensitivity of our analysis of ART responses in our *pfatp6*-modified lines.

As shown in Fig. 5B, there was no significant increase in the median value for IC<sub>50</sub> results between mutant and control lines for AS (P = 0.4), whereas differences between these parasite lines were significant for DHA (P = 0.02; one-tailed Mann-Whitney U test). These values reflect a skewed distribution of results for mutant lines compared with controls. This aspect of the results can also be illustrated by considering the proportion of results for normalized IC<sub>50</sub>s that fall >60% above the mean of control values. These differences were maintained for ART (0% [0/23 in L263 parasites] compared with 27% [11/41 in E263 parasites]; P = 0.005) and for DHA (4.2% [1/24 in L263 parasites] compared with 27% [14/55 in E263 parasites]; P =0.029; all two-sided Fisher's exact tests). When the DHA and ART data were combined, a significantly higher proportion (26%; 25/96) of values lying >60% above the mean control IC<sub>50</sub> was also noted in the 263E lines compared with the L263 parasites (3.8%; 1/26; P = 0.0002; Fisher's exact test).

# DISCUSSION

Recent reports of delayed parasite clearance times following artemisinin treatment of *P. falciparum* infections in western Cambodia provide evidence of emerging resistance (13, 37) and add urgency to studies that aim to examine the mechanism of action of this critical class of first-line antimalarial drugs. How ARTs might act against parasites, against other infections, and as agents to treat cancer progression remains a subject of considerable controversy (14, 29).

Allelic-exchange experiments with *P. falciparum* are powerful tools to examine mechanisms of antimalarial action and parasite resistance (16, 41, 44, 54). Their value is complemented by combining data from heterologous expression studies, for example, in the X. laevis oocyte or Saccharomyces *cerevisiae* expression models, when testing the hypothesis that PfATP6, or its orthologs in other organisms, might constitute an important target for the activity of artemisinins. Several points emerge from our allelic-exchange study that illuminate possible mechanisms of action of this class of antimalarial and that may carry significance for clinical studies on emerging ART resistance. First, in our parasite assays, the magnitude of any change in artemisinin sensitivity associated with the L263E mutation was relatively small (between 10 and 20% change in the mean absolute  $IC_{50}$  (Table 1). One interpretation of these data is that PfATP6 is not a primary target of artemisinin action. Clearly, further studies will be needed to confirm the precise contribution of PfATP6 to artemisinin drug resistance or drug action.

Nonetheless, a similar magnitude of change in  $IC_{50}$  for DHA has been observed in field isolates from patients in Pailin, western Cambodia, who carry parasites that manifest reduced parasite clearance times compared to those of patients from Wanh Pha, Thailand, where clearance times are shorter (from these two regions, mean IC<sub>50</sub>s for DHA were 2.3 nM and 1.5 nM, respectively [13]). When analyzed using aggregated data that increased the number of assays used for comparison, our studies with isogenic lines provide evidence that the introduction of a single amino acid mutation (L263E) into PfATP6 affected parasite sensitivity to ARTs in several measurable ways.

Second, our drug assays revealed substantial variability in normalized IC<sub>50</sub>s for ART and DHA (up to approximately 13-fold between the highest and lowest values), with this variability being higher in parasites bearing the E263 mutant than in congenic control lines. This variability suggests a degree of phenotypic plasticity that distinguishes the two sets of parasite lines. A significantly higher proportion of parasites ( $\sim$ 2-fold) expressing the E263 mutant PfATP6 had relatively elevated  $IC_{50}s$  (by up to 300% in some assays) (Fig. 5B) compared to the mean values for control lines assayed simultaneously. Field isolates show similar dispersion patterns in  $IC_{50}s$  (13, 37). For example, for DHA assays from Pailin (Cambodia), the difference observed between the highest and lowest values was ~14fold, and for parasites from Wang Pha (Thailand), it was  $\sim 10$ fold (see Fig. 3 in reference 13). In those assays, the contribution of variability in genetic backgrounds was not controlled as it is in transgenic laboratory parasites. This high dispersion in IC<sub>50</sub>s for ART has important implications for assessing resistance, as based on our calculations, a minimum sample size of 84 (partially resistant) Cambodian and 42 (sensitive) Thai isolates would have been needed to detect a significant difference between the Pailin and Wang Pha groups (2-sided alpha; power, 0.8). This calculation assumes a similar fold difference is observed with the reported data with results from approximately 20 isolates from each site. Correlating sensitivities with single nucleotide polymorphisms (SNPs) in putative resistance genes under these circumstances, where no difference was seen in the study by Dondorp et al. (13), may well also require larger numbers of assays.

Third, not all ARTs affected parasites bearing the L263E mutation to similar extents, as there was no discernible effect on the distributions of the normalized IC<sub>50</sub>s measured for AS compared with those for ART and DHA (Fig. 5B). This lack of modulation of AS sensitivity by the L263E mutation may reflect functional differences between drugs, as the results of sufficient assays have probably been determined, according to our power calculations. Of note, the field isolates from western Cambodia that showed significantly increased IC<sub>50</sub> estimates for DHA, when taken from the cohort of patients with prolonged parasite clearance times, had IC<sub>50</sub>s for AS that were also not significantly increased (see Fig. 3 in reference 13).

Fourth, our findings remain consistent with PfATP6 being a potential target for ARTs, because the change in normalized  $IC_{50}s$  in parasites is associated with a change in an amino acid that, based on structural modeling, occupies a key position (53). Nevertheless, there is evident overlap in the results for  $IC_{50}s$  of control and mutant lines (see Tables S1 and S2 in the supplemental material), and the mean  $IC_{50}s$  did not differ significantly. This may be attributable to several factors. Inherent variability in assays is one possibility, although this would be expected to affect results from both control and mutant lines similarly and would thus seem highly unlikely to account

for the distributional differences between the two experimental groups (Fig. 5). The introduction of mutations in PfATP6 may alter expression phenotypes, particularly if the mutations can subtly shift the processing efficiencies of nascent proteins. An alternative explanation is that a secondary, non-PfATP6 target becomes important in ART activity when the sensitivity of PfATP6 as a primary target diminishes, or PfATP6 may be one target but not the primary target. Our data nonetheless indicate that in most assays,  $IC_{50}$ s of L263 and 263E parasites do not differ significantly, and such a difference is observed only in a subset of assays that reveal relatively higher  $IC_{50}$ s in 263E parasites (Fig. 5; see Table S1 in the supplemental material). This could potentially reflect an altered susceptibility to ARTs that is not adequately captured using these [<sup>3</sup>H]hypoxanthine-based measurements.

We note that the L263E mutation, selected based on modeling and homology data to study the role of PfATP6 in ART susceptibility, has not been identified in field isolates. Nevertheless, further support for an important role for PfATP6 in ART action comes from identification of different mutations in this gene in field isolates of *P. falciparum*. This includes several examples of field isolates that displayed increased IC<sub>50</sub>s for particular ART derivatives (reviewed in reference 29). In most cases where PfATP6 polymorphisms have been described (3, 11, 25, 33, 48), corresponding phenotypic results are lacking, and in any case, interpretations may be confounded by some of the factors discussed above, as well as difficulties in adapting field isolates to laboratory culture for phenotype-genotype comparisons.

When expressed in yeast and either highly purified or reconstituted, PfATP6 is inhibited only by very high concentrations of thapsigargin ( $\sim$ 30 µmol) and not by ARTs (6a). These findings suggest that the methodological aspects of the experimental system being used can impact significantly on the physiological properties of malarial transporters and studies of their inhibition. In support of this, when the Toxoplasma gondii ortholog of PfATP6 was expressed in yeast without purification, it complemented a yeast SERCA-deficient mutant and rendered it sensitive to ART (34). Methodologies used to study mammalian SERCA also influence the assessment of sensitivity to ARTs, because rabbit muscle SERCA was inhibited by DHA and not by ART in our earlier assays (50), whereas others have shown ART-mediated inhibition of SERCAs purified by immunoprecipitation from cancer cell lines (42). A third group has reported sensitivity of mammalian SERCAs to ART dimers, but not monomers (47). This evaluation of the SERCA hypothesis across multiple experimental systems is commensurate with the growing importance of ARTs across diverse areas of medicine. For malarial parasites, the results from this study are consistent with the proposition that ARTs might act, at least in part, via inhibition of PfATP6. Undoubtedly, many variables that influence the assessment of ART sensitivity remain to be identified. Furthermore, ART resistance may arise in ways other than alterations in susceptibility of a target. Further investigations are clearly required to delineate how resistance mechanisms will occur in the field and to apply that knowledge to restricting their spread and clinical impact.

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A.-C.U. and S.K. originally conceived the allelic-exchange idea and provided initial versions of the transfection constructs; S.G.V. and D.A.F. designed the experimental investigations; S.G.V. performed the transfections, molecular analyses, and drug assays; S.G.V., D.A.F., D.S., and S.K. analyzed the data; D.S. performed the heat map analysis; S.K. normalized the IC<sub>50</sub> data and implemented the statistical tests; and S.G.V., D.A.F., and S.K. wrote the manuscript.

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