

Protective Immunity Against a Lethal Respiratory *Yersinia pestis* Challenge Induced by V Antigen or the F1 Capsular Antigen Incorporated into Adenovirus Capsid

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Abstract

The aerosol form of the bacterium *Yersinia pestis* causes pneumonic plague, a rapidly fatal disease that is a biothreat if deliberately released. At present, no plague vaccines are available for use in the United States, but subunit vaccines based on the *Y. pestis* V antigen and F1 capsular protein show promise when administered with adjuvants. In the context that adenovirus (Ad) gene transfer vectors have a strong adjuvant potential related to the ability to directly infect dendritic cells, we hypothesized that modification of the Ad5 capsid to display either the *Y. pestis* V antigen or the F1 capsular antigen on the virion surface would elicit high V antigen- or F1-specific antibody titers, permit boosting with the same Ad serotype, and provide better protection against a lethal *Y. pestis* challenge than immunization with equivalent amounts of V or F1 recombinant protein plus conventional adjuvant. We constructed AdYFP-pIX/V and AdLacZ-pIX/F1, E1⁻, E3⁻ serotype 5 Ad gene transfer vectors containing a fusion of the sequence for either the *Y. pestis* V antigen or the F1 capsular antigen to the carboxy-terminal sequence of pIX, a capsid protein that can accommodate the entire V antigen (37 kDa) or F1 protein (15 kDa) without disturbing Ad function. Immunization with AdYFP-pIX/V followed by a single repeat administration of the same vector at the same dose resulted in significantly better protection of immunized animals compared with immunization with a molar equivalent amount of purified recombinant V antigen plus Alhydrogel adjuvant. Similarly, immunization with AdLacZ-pIX/F1 in a prime–boost regimen resulted in significantly enhanced protection of immunized animals compared with immunization with a molar-equivalent amount of purified recombinant F1 protein plus adjuvant. These observations demonstrate that Ad vaccine vectors containing pathogen-specific antigens fused to the pIX capsid protein have strong adjuvant properties and stimulate more robust protective immune responses than equivalent recombinant protein-based subunit vaccines administered with conventional adjuvant, suggesting that F1-and/or V-modified capsid Ad-based recombinant vaccines should be considered for development as anti-plague vaccines.

Introduction

AEROSOL TRANSMISSION of virulent *Yersinia pestis* is a threat as a biological weapon because it results in pneumonic plague, a rapidly fatal disease (Perry and Fetherston, 1997; Inglesby *et al.*, 2000; Titball *et al.*, 2004; Prentice and Rahalison, 2007). *Yersinia pestis* is sensitive to antibiotics, but mortality associated with plague is high and multidrug-resistant *Y. pestis* isolates have been identified (Galimand *et al.*, 1997; Inglesby *et al.*, 2000; Guiyoule *et al.*,

2001). At the present time, there are no plague vaccines licensed for use in the United States. Both live attenuated and killed whole cell vaccines against plague have been developed, but the killed whole cell vaccine provides poor protection against pneumonic plague and the live vaccine is associated with significant adverse effects (Meyer, 1970; Russell *et al.*, 1995; Jefferson *et al.*, 2000; Zilinskas, 2006).

Current vaccine development efforts are largely focused on the *Y. pestis* V antigen and the capsular F1 antigen as the primary targets (Titball and Williamson, 2001, 2004; Williamson

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et al., 2005; Cornelius *et al.*, 2007; Morris, 2007; Smiley, 2008). V antigen, required for translocation of bacterial effector proteins into host cells via a type III secretion system, induces expression of host interleukin (IL)-10 and suppresses tumor necrosis factor (TNF)- α through unknown mechanisms (Perry and Fetherston, 1997; Sarker *et al.*, 1998; Pettersson *et al.*, 1999; Auerbuch and Isberg, 2007; Pouliot *et al.*, 2007; Reithmeier-Rost *et al.*, 2007). The F1 capsular antigen is the major protein component of the gel-like capsule that surrounds the bacterium and likely contributes to avoidance of phagocytosis (Cavanaugh and Randall, 1959; Perry and Fetherston, 1997; Titball *et al.*, 2004).

Vaccination of mice with Alhydrogel adjuvant plus V antigen or F1 protein elicits protection against a respiratory *Y. pestis* challenge (Leary *et al.*, 1995; Anderson *et al.*, 1996, 1998; Andrews *et al.*, 1996; Heath *et al.*, 1998; Titball and Williamson, 2001, 2004; Jones *et al.*, 2006; Williamson *et al.*, 2007). To improve the efficacy of F1 and V antigen-based vaccines, we have explored the use of E1⁻E3⁻ replication-deficient adenoviruses (Ads) as adjuvants that may more effectively enhance immune responses against F1 and V antigen. By adding the sequences of V antigen or F1 to the 3' end of the capsid pIX coding sequence of an E1⁻E3⁻ serotype 5 Ad, we created two Ad-based plague vaccine vectors, AdYFP-pIX/V and AdLacZ-pIX/F1, that display the V antigen or F1 protein, respectively, on the surface of the virion for immune recognition. We hypothesized that these vectors would function similarly to V antigen or F1 protein immunogens administered with Alhydrogel in eliciting immunity, but that the adjuvant effects of Ad would be superior. After immunization of mice with either AdYFP-pIX/V or AdLacZ-pIX/F1, the antigen-specific serum antibody titers elicited and the protective efficacy against a lethal intranasal *Y. pestis* challenge were more robust compared with immunization with equimolar amounts of the protein subunits combined with conventional Alhydrogel adjuvant.

Materials and Methods

Adenoviral vectors

The recombinant Ad vectors used in this study were replication-defective E1⁻E3⁻ human adenoviral vectors based on the Ad5 genome. The expression cassettes were inserted into the E1 region and contain (5'-3') the human cytomegalovirus intermediate-early promoter/enhancer, the transgene, and the simian virus 40 poly(A) stop signal. The vectors express a marker gene encoding yellow fluorescent protein (YFP) or β -galactosidase (LacZ). For the V antigen capsid-modified vector, AdYFP-pIX/V, the V antigen human codon-optimized coding sequence was fused to the C terminus of protein IX. For the F1 capsid-modified vector, AdLacZ-pIX/F1, the N-terminal 14 amino acids were deleted from the human codon-optimized F1 coding sequence and the resulting coding sequence was fused to the C terminus of protein IX. AdYFP-pIX/V, AdLacZ-pIX/F1, and the control vectors AdYFP and AdLacZ (identical to the pIX-modified vaccines but without the capsid modifications) were produced in 293 cells and purified by centrifugation twice by passage through a CsCl gradient as previously described (Rosenfeld *et al.*, 1991, 1992). The titer of each recombinant Ad preparation was determined spectrophotometrically and expressed as particle units (pu) (Mittereder *et al.*, 1996).

Purification of recombinant V antigen and F1 protein

Recombinant V antigen from *Yersinia pestis* was produced by inserting the V antigen coding sequence into the T7 promoter-driven prokaryotic expression plasmid pRSET (Invitrogen, Carlsbad, CA) to generate the pRSET-V plasmid, expressing V antigen as a histidine-tag fusion protein. pRSET-V was transformed into the BL21(DE3) pLysS strain of *Escherichia coli* and expression of V antigen was induced with isopropyl- β -D-thiogalactopyranoside (IPTG). V antigen was affinity purified by passage through a nickel-nitrilotriacetic acid (Ni-NTA) Superflow column (Qiagen, Valencia, CA) under native conditions. The purity of the protein was confirmed by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) (NuPAGE system; Invitrogen) and its identity was confirmed by Western analysis with a rabbit anti-V antigen antibody (kindly provided by S. Bavari, U.S. Army Medical Research Institute for infectious diseases [USAMRIID], Fort Detrick, MD).

Recombinant F1 protein from *Yersinia pestis* was produced by inserting the F1 protein coding sequence into the T7 promoter-driven prokaryotic expression plasmid pRSET (Invitrogen) to generate the pRSET-F1 plasmid, expressing F1 as a histidine-tag fusion protein. After transforming the plasmid into the BL21(DE3) pLysS strain of *E. coli*, protein expression was induced with IPTG and the protein was affinity purified by passage through a Ni-NTA Probond column (Invitrogen) under hybrid conditions. The purity of the protein was confirmed by SDS-PAGE (NuPAGE system; Invitrogen) and its identity was confirmed by Western analysis with a polyclonal rabbit anti-F1 antibody (kindly provided by S. Bavari, USAMRIID).

Western analysis

To assess the presence of V antigen and F1 protein in the viral capsid, Ad vectors (10^{10} PU) were denatured by heating at 95°C for 5 min in NuPAGE sample buffer (Invitrogen) and separated by SDS-polyacrylamide (4–12%) gel electrophoresis (NuPAGE system; Invitrogen). The gel was transferred to a polyvinylidene difluoride membrane (Bio-Rad, Hercules, CA) and exposed to blocking solution (5% fat-free milk [blot grade; Bio-Rad] in phosphate-buffered saline [PBS], pH 7.4) for 1 hr. For detection of V antigen, the membrane was then incubated with a 1:5000 dilution of anti-V antigen polyclonal antiserum for 1 hr. A peroxidase-conjugated goat anti-rabbit IgG secondary antibody (AbCam, Cambridge, MA) was then added at a dilution of 1:10,000 for 1 hr of incubation, followed by detection with chemiluminescent peroxidase substrate (ECL Plus reagent; GE Healthcare Life Sciences, Piscataway, NJ). For detection of F1 protein, the membrane was incubated with a 1:5,000 dilution of a polyclonal rabbit anti-F1 antibody for 1 hr. A peroxidase-conjugated goat anti-rabbit IgG secondary antibody (AbCam) was then added at a dilution of 1:10,000 for 1 hr of incubation, followed by detection with ECL Plus reagent.

Assessment of anti-V antigen and anti-F1 humoral responses

Female BALB/c mice were obtained from Jackson Laboratory (Bar Harbor, ME). The animals were housed under specific pathogen-free conditions and used at 6 to 8 weeks of

age. The mice were immunized intramuscularly in a prime–boost regimen with AdYFP-pIX/V or AdLacZ-pIX/F1 vector diluted in 100 μ l of PBS to the specified dose (10^9 or 10^{11} pu), or with the corresponding theoretically calculated molar equivalent amount of purified recombinant antigen plus Alhydrogel (HCI Biosector, Frederikssund, Denmark) adjuvant (diluted to a final concentration of 1%). Four weeks after prime vaccination, the groups were boosted with the same immunogen at the same dose received in the primary immunization. Naive mice and mice immunized with AdYFP or AdLacZ were used as negative controls. The dose of vector selected for immunization was based on our previous experience in murine immunity studies and our experience in administering recombinant Ad vectors to normal human volunteers. A dose of 10^{11} PU in a mouse scales (by weight) to 10^{13} PU in humans, which has been successfully administered by our group with no adverse effects (Crystal *et al.*, 2002; Harvey *et al.*, 2002).

To assess the ability of AdYFP-pIX/V or purified recombinant V antigen to generate anti-V antigen-specific antibodies *in vivo*, at 6 weeks after prime immunization, serum was collected via the tail vein, centrifuged at $8000\times g$ for 20 min, and stored at -20°C . Anti-V antigen antibody levels in mouse serum were assessed by ELISA, using flat-bottomed 96-well EIA/RIA plates (Corning, New York, NY) coated with 0.5 μ g of recombinant V antigen per well in a total volume of 100 μ l of 0.05 M carbonate buffer, pH 7.4, overnight at 4°C . The plates were washed with PBS and blocked with 5% dry milk in PBS for 1 hr at 23°C . Serial serum dilutions were added to each well and incubated for 1 hr at 23°C . The plates were washed three times with PBS containing 0.05% Tween (PBS–Tween) and 100 μ l/well of 1:10,000 diluted peroxidase-conjugated sheep anti-mouse IgG (Sigma-Aldrich, St. Louis, MO) in PBS containing 1% dry milk was added and incubated for 1 hr at 23°C . Absorbance at 415 nm was read with a microplate reader (Bio-Rad). Antibody titers were calculated according to a $\log(\text{OD}) - \log(\text{dilution})$ interpolation model and a cutoff value equal to 2-fold the absorbance of background.

A similar method was used to calculate anti-F1 antibody levels from serum collected from AdLacZ-pIX/F1- or purified recombinant F1-immunized mice. In this case, the ELISA 96-well plates were coated with 0.3 μ g of recombinant F1 protein per well.

Yersinia pestis CO92 lethal respiratory tract challenge

To assess the ability of AdYFP-pIX/V, AdLacZ-pIX/F1, purified recombinant V antigen, and purified recombinant F1 protein to protect mice against a lethal challenge with *Y. pestis*, 6 weeks after prime immunization each mouse was challenged intranasally with *Y. pestis* CO92 (Sofer-Podesta *et al.*, 2009). The *Y. pestis* challenge studies were carried out at the Public Health Research Institute (PHRI) at the International Center for Public Health (Newark, NJ) under BSL3 conditions. *Yersinia pestis* CO92 was grown aerobically in heart infusion broth (Difco; Becton Dickinson, Franklin Lakes, NJ) at 30°C , and diluted in saline solution at doses from 10^3 to 10^6 colony-forming units (CFU). The challenge dose used was 5×10^3 CFU. Twenty-five microliters of bacterial suspension was used for intranasal infection of mice; bacterial dose was controlled by plating on *Yersinia* selective

agar (YSA; Oxoid, Hampshire, UK) and counting colonies for CFU determination. Survival was monitored daily for 14 days.

Statistical analyses

Data are presented as means \pm standard error of the mean. Statistical analyses were performed using the nonpaired two-tailed Student *t* test, assuming equal variance. Survival evaluation was carried out by Kaplan–Meier analysis. Statistical significance was determined at $p < 0.05$.

Results

Construction of genetically pIX-modified vectors and detection of V antigen and F1 protein on the capsid of modified virions

The recombinant Ad vectors AdYFP-pIX/V and AdLacZ-pIX/F1, encoding either the V antigen coding sequence or the F1 capsular antigen coding sequence fused to the C terminus of pIX, were constructed with the AdEasy vector system (Fig. 1). *In vitro* studies demonstrated that these vectors expressed yellow fluorescent protein (YFP) or β -galactosidase (LacZ) as marker transgenes.

After growth and purification of the vectors, incorporation of the modified pIX into viral capsids was analyzed by Western blotting (Fig. 2). The probing of electrophoretically resolved AdYFP-pIX/V and AdLacZ-pIX/F1 purified virions with either an anti-V antigen antibody or an anti-F1 antibody detected the presence of bands of the expected molecular sizes for a pIX/V fusion protein (55 kDa) or a pIX/F1 fusion protein (32 kDa) as compared with purified recombinant V antigen (37 kDa) or F1 protein (15 kDa).

In addition to determining the molecular weight of the pIX/V and pIX/F1 fusion proteins, this experiment was also designed to detect an amount of purified recombinant V antigen or F1 protein theoretically calculated to be the molar equivalent amount of V antigen or F1 protein present on the virion surface. Although this is not a quantitative measurement of the absolute amount of protein present in the viral capsid, the intensity of the signal corresponding to the amount of V antigen detected on the AdYFP-pIX/V capsid and the intensity of the calculated molar equivalent amount of purified recombinant V antigen were comparable (Fig. 2, compare lanes 2 and 3). Similar results were obtained by comparing AdLacZ-pIX/F1 with the calculated molar equivalent amount of purified recombinant F1 antigen (Fig. 2, compare lanes 5 and 6), confirming that the expected amount of V antigen or F1 protein was displayed on the virion surface.

Humoral immune responses and protection against challenge with Yersinia pestis conferred by immunization with AdYFP-pIX/V versus purified recombinant V antigen plus adjuvant

To assess the humoral response against V antigen after immunization with AdYFP-pIX/V or recombinant V antigen plus adjuvant, BALB/c mice were intramuscularly vaccinated after a prime–boost regimen, and the serum anti-V antigen IgG response was determined 6 weeks after prime immunization. Mice receiving 10^9 pu of AdYFP-pIX/V or 15 ng of recombinant V antigen (the molar equivalent amount

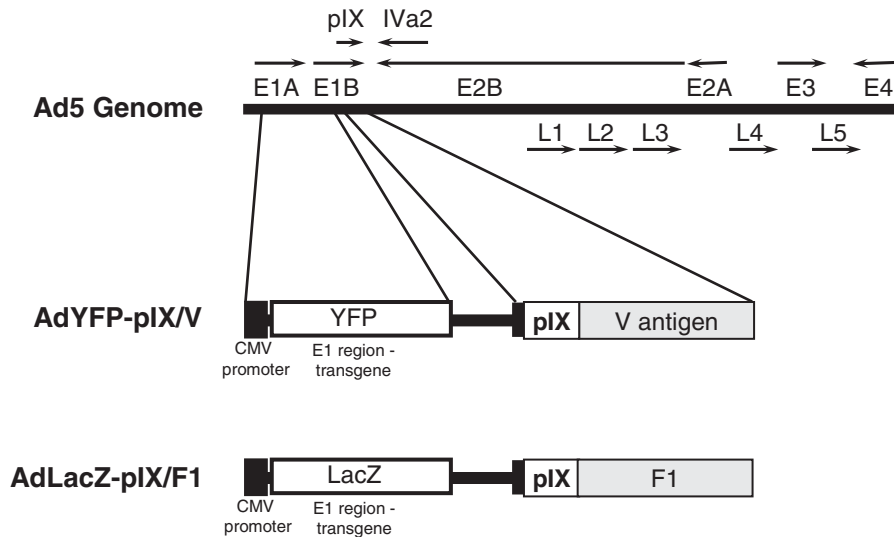


FIG. 1. Capsid-modified Ad vectors. The constructs used in this study are replication-defective E1⁻E3⁻ serotype 5 Ad gene transfer vectors containing (5' to 3') the cytomegalovirus immediate early promoter/enhancer (CMV), a marker gene, and the simian virus 40 poly(A) stop signal. *Top:* Ad5 genome. *Middle:* AdYFP-pIX/V expresses YFP as a transgene and has the V antigen coding sequence fused in frame to the C terminus of protein IX. *Bottom:* AdLacZ-pIX/F1 expresses LacZ as a transgene and has the coding sequence for the F1 capsular antigen fused in frame to the C terminus of protein IX. Expression of the pIX/V or pIX/F fusion protein is under the control of the pIX promoter.

of protein present in 10^9 pu of capsid-modified virions) and boosted 4 weeks later with the same immunogen at the same dose had higher antibody titers than mice receiving only the prime immunization (Fig. 3A and B). In addition, mice receiving 10^{11} pu of AdYFP-pIX/V and boosted 4 weeks later with the same dose and vector show no substantial differences in anti-V antigen antibody titers than those receiving

only the prime immunization (Fig. 4A). Mice vaccinated with $1.5 \mu\text{g}$ of recombinant V antigen (the molar equivalent amount of protein present in 10^{11} pu of capsid-modified virions) and boosted 4 weeks afterward with the same dose of V antigen, exhibited higher antibody titers than mice that received only the initial vaccination with V antigen/adjuvant, but still lower than that achieved with the Ad

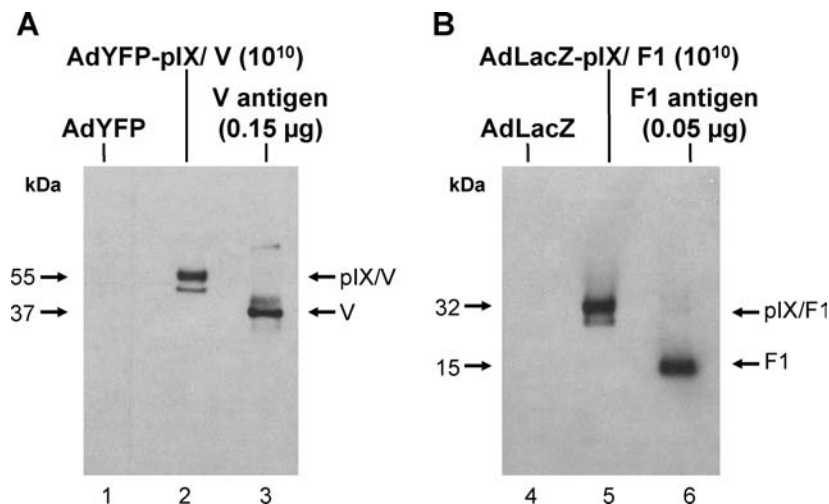


FIG. 2. Detection of V antigen or F1 antigen on the surface of capsid-modified Ad vectors by Western analysis. **(A)** Purified AdYFP-pIX/V virions, purified AdYFP virions as a negative control, or a molar equivalent amount of purified recombinant V antigen that corresponds to the amount of V antigen displayed on the capsid of AdYFP-pIX/V were assessed by Western analysis with an anti-V antigen polyclonal antiserum. Lane 1, AdYFP, 10^{10} pu; lane 2, AdYFP-pIX/V, 10^{10} pu; lane 3, purified recombinant V antigen, $0.15 \mu\text{g}$. **(B)** Purified AdLacZ-pIX/F1 virions, purified AdLacZ virions as a negative control, or a molar equivalent amount of purified recombinant V antigen that corresponds to the amount of V antigen displayed on the capsid of AdYFP-pIX/V were assessed by Western analysis with a polyclonal rabbit F1 antibody. Lane 4, AdLacZ, 10^{10} pu; lane 5, AdLacZ-pIX/F1, 10^{10} pu; lane 6, purified recombinant F1 antigen, $0.05 \mu\text{g}$. The molecular weights of pIX/V, pIX/F1, V antigen, and F1 are indicated.

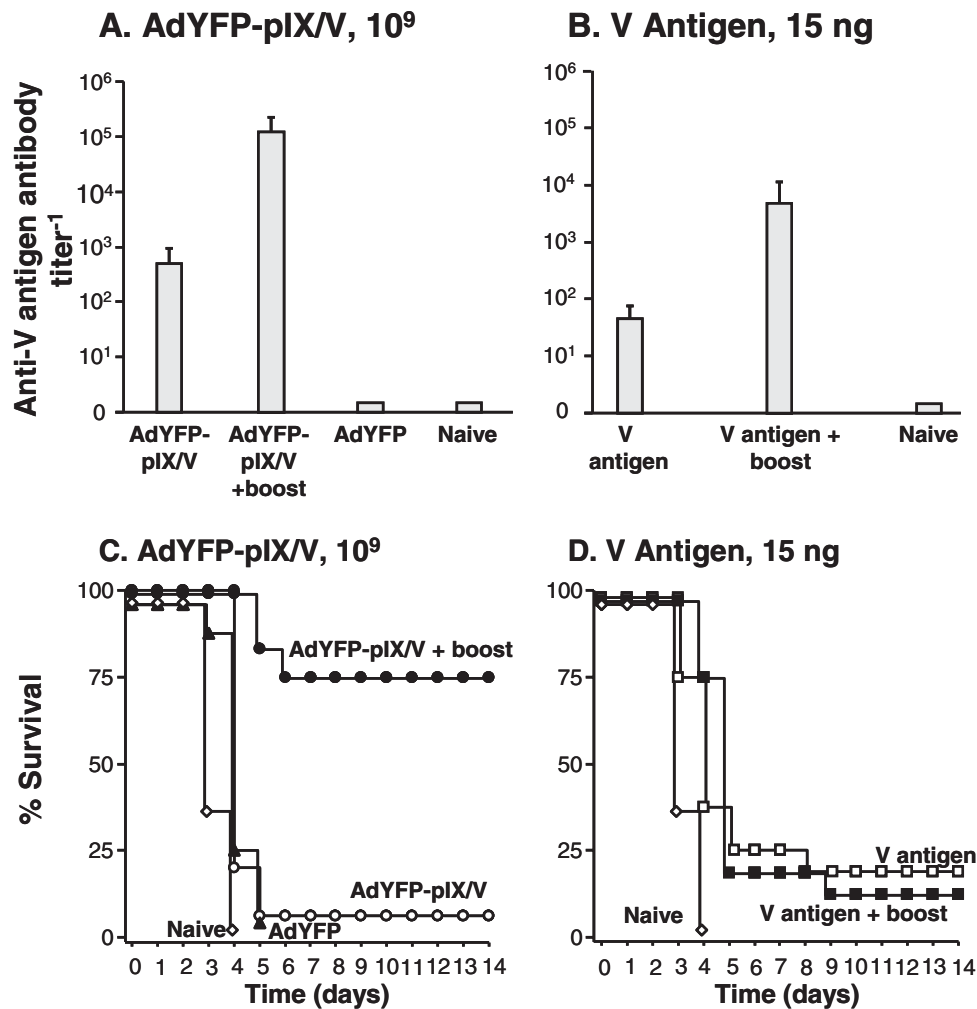


FIG. 3. Anti-V antigen antibodies and survival of mice immunized in a prime–boost regimen with AdYFP-pIX/V or V antigen/Alhydrogel. BALB/c mice ($n=8$ to 16 per group) were immunized with AdYFP-pIX/V or purified V antigen/Alhydrogel. A subset of animals received a single repeat administration of the same immunogens at the same dose 4 weeks after primary immunization. (A) Serum anti-V antigen titers, AdYFP-pIX/V (10^9 particle units, pu). Six weeks after the prime immunization serum antibody levels were measured in an anti-V antigen-specific ELISA ($p < 0.0001$, AdYFP-pIX/V unboosted or boosted vs. AdYFP). (B) Serum anti-V antigen titers, purified recombinant V antigen (15 ng, the molar equivalent amount of protein present in 10^9 pu of AdYFP-pIX/V) with Alhydrogel ($p < 0.009$, V antigen unboosted or boosted vs. naive). (C) Survival, AdYFP-pIX/V. Six weeks after prime immunization with 10^9 pu of AdYFP-pIX/V, mice were challenged with a lethal dose of *Yersinia pestis* CO92, and survival of the animals was monitored for 14 days. Naive mice and mice that received AdYFP (10^{11} pu) intramuscularly were included as negative controls ($p = 0.1437$, AdYFP-pIX/V vs. AdYFP; $p < 0.0001$, AdYFP-pIX/V plus boost vs. AdYFP). (D) Survival, V antigen/Alhydrogel. Naive mice were used as negative controls ($p = 0.0093$, V antigen vs. naive; $p = 0.0248$, V antigen plus boost vs. naive).

vaccine (Fig. 4B). No serum antibody titers were detected in naive or AdYFP-immunized mice.

The ability of AdYFP-pIX/V or recombinant V antigen plus adjuvant to confer protective immunity was evaluated by challenging the immunized mice with the fully virulent *Y. pestis* CO92 strain. Six weeks after prime immunization, the mice were infected intranasally with 5×10^3 CFU of *Y. pestis*. Overall, immunization with AdYFP-pIX/V followed by a single repeat administration of the same vector at the same dose showed enhanced protection compared with mice immunized with a molar equivalent of V antigen plus adjuvant (Fig. 3). Of the mice primed and boosted with 10^9 pu of AdYFP-pIX/V, 75% were protected (Fig. 3C), whereas

only 12.5% of the mice receiving a prime–boost immunization with 15 ng of V antigen survived (Fig. 3D). A low percentage of animals receiving just the prime immunization with either 10^9 pu of AdYFP-pIX/V or 15 ng of V antigen survived, whereas mice vaccinated with AdYFP or naive mice were not protected against the challenge.

When mice were vaccinated with 10^{11} pu of AdYFP-pIX/V, a high survival rate was observed in the presence or absence of a secondary immunization (100 and 90% respectively; Fig. 4C). In contrast, only 50% of the mice receiving 1.5 μ g of V antigen in a prime–boost regimen survived and animals that received only a single administration of V antigen showed almost no protection, comparable to the naive

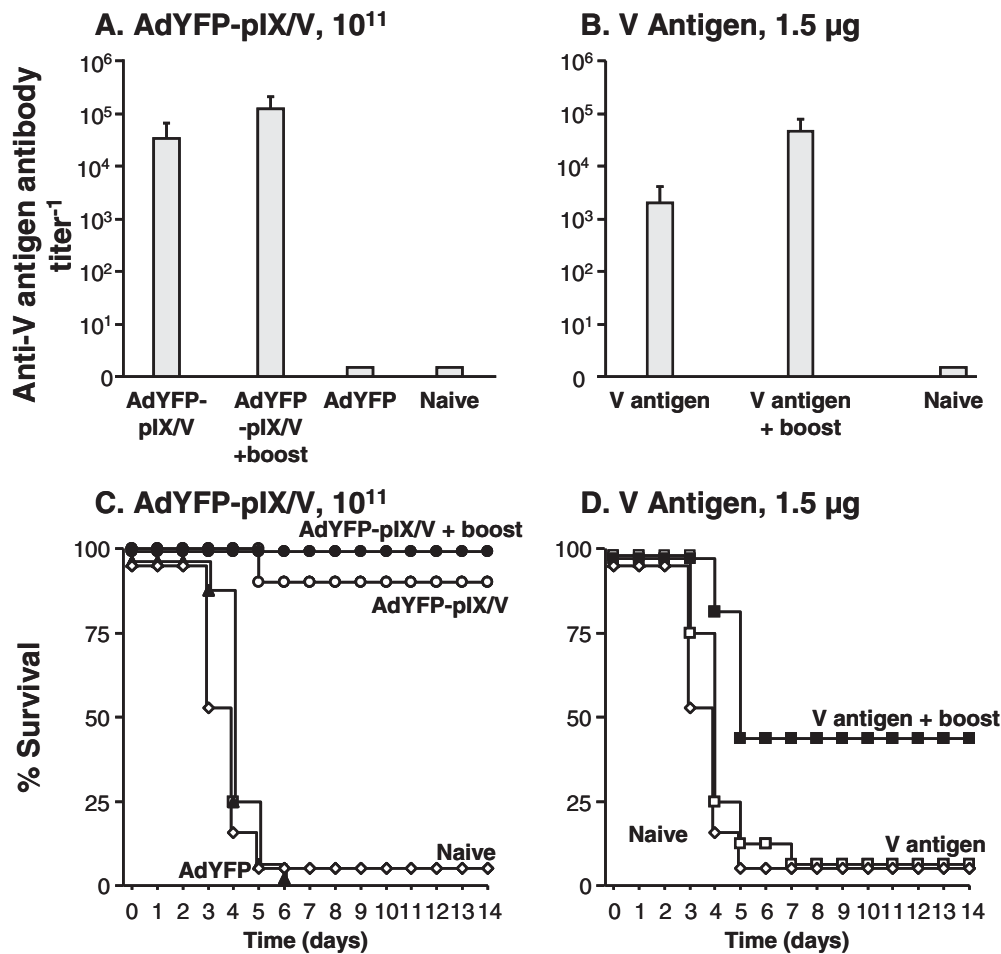


FIG. 4. Anti-V antigen antibodies and survival of mice immunized in a prime-boost regimen with AdYFP-pIX/V or V antigen/Alhydrogel. BALB/c mice ($n = 16$ to 24 per group) were immunized with AdYFP-pIX/V or purified V antigen/Alhydrogel. A subset of animals received a single repeat administration of the same immunogens at the same dose 4 weeks after primary immunization. (A) Serum anti-V titers, AdYFP-pIX/V (10^{11} particle units, pu). Six weeks after the prime immunization serum antibody levels were measured in an anti-V antigen-specific ELISA ($p < 0.00007$, AdYFP-pIX/V unboosted or boosted vs. AdYFP). (B) Serum anti-V titers purified recombinant V antigen ($1.5 \mu\text{g}$, the molar equivalent amount of protein present in 10^{11} pu of AdYFP-pIX/V) with Alhydrogel ($p < 0.0005$, V antigen unboosted or boosted vs. naive). (C) Survival, AdYFP-pIX/V. Six weeks after prime immunization with 10^{11} pu of AdYFP-pIX/V, mice were challenged with a lethal dose of *Yersinia pestis* CO92, and survival of the animals was monitored for 14 days. Naive mice and mice that received AdYFP (10^{11} pu) intramuscularly were included as negative controls ($p < 0.0001$, AdYFP-pIX/V vs. AdYFP; $p < 0.0001$, AdYFP-pIX/V plus boost vs. AdYFP). (D) Survival, V antigen/Alhydrogel. Naive mice were used as negative controls ($p = 0.3014$, V antigen vs. naive; $p < 0.0001$, V antigen plus boost vs. naive).

control (Fig. 4D). Control mice immunized with AdYFP were not protected.

It is not likely that the pIX/V antigen fusion protein exists in a conformation that resembles native V antigen, and consequently, pIX/V would not form functional dimers as native V antigen does. This may result in display of a different array of protective epitopes on pIX/V relative to native V antigen. Although this is relevant to consider for future studies in nonhuman primates and humans, there are V antigen epitopes commonly recognized by immune sera from V antigen-immunized mice and nonhuman primates that are protected against *Y. pestis* challenge (Cornelius *et al.*, 2008), suggesting that the robust protective immunity elicited in AdYFP-pIX/V-immunized mice may translate to other species.

Humoral immune responses and protection against challenge with *Yersinia pestis* conferred by immunization with AdLacZ-pIX/F1 versus purified recombinant F1 antigen plus adjuvant

To evaluate humoral responses against the F1 capsular antigen, mice were intramuscularly immunized with AdLacZ-pIX/F1 or recombinant F1 protein plus adjuvant in a prime-boost regimen, and the anti-F1 antigen IgG titers were assessed in serum 6 weeks after prime immunization. Animals immunized with 10^9 or 10^{11} pu of AdLacZ-pIX/F1 and boosted 4 weeks later with the same vector at the same dose showed higher antibody titers than mice just receiving the prime immunization (Figs. 5A and 6A, respectively). In contrast, mice vaccinated with 5.1 ng or $0.5 \mu\text{g}$

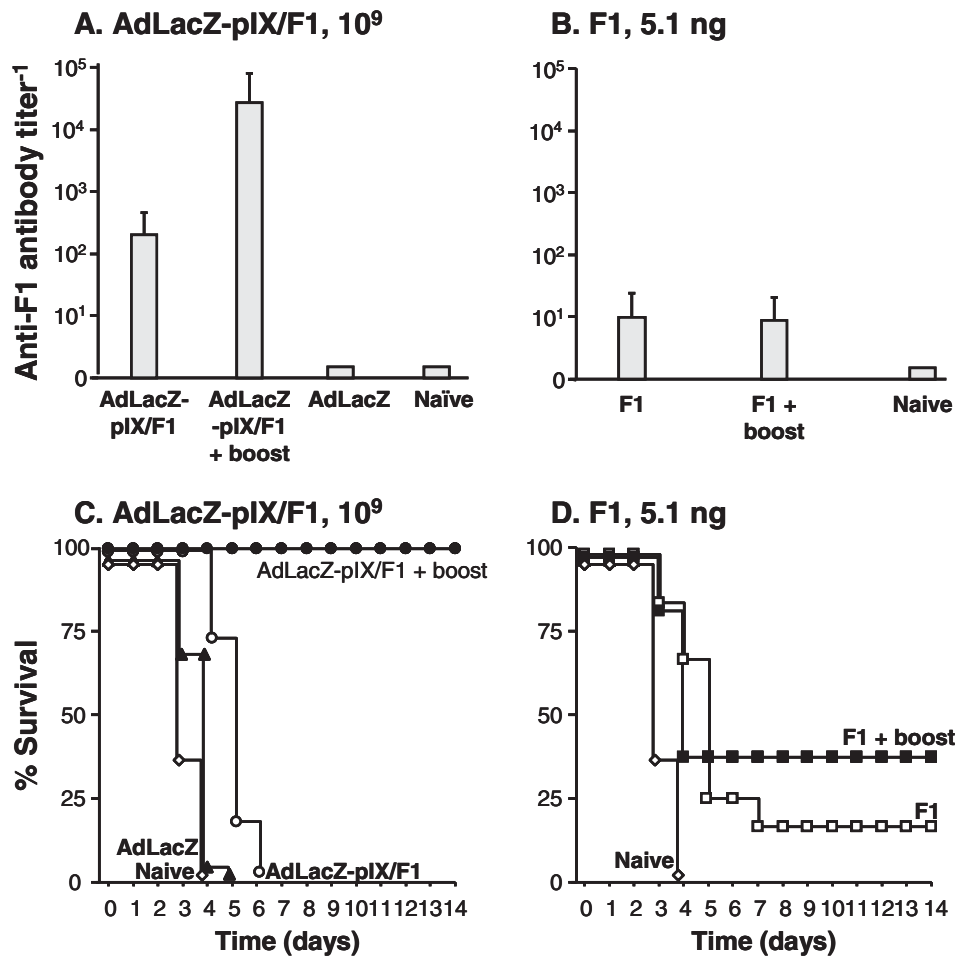


FIG. 5. Anti-F1 antibodies and survival of mice immunized in a prime-boost regimen with AdLacZ-pIX/F1 or F1/Alhydrogel. BALB/c mice ($n = 11$ to 22 per group) were immunized with AdLacZ-pIX/F1 or purified F1/Alhydrogel. A subset of animals received a single repeat administration of the same immunogens at the same dose 4 weeks after primary immunization. (A) Serum anti-F1 titers, AdLacZ-pIX/F1 (10^9 particle units, pu). Six weeks after the prime immunization serum antibody levels were measured in an anti-F1-specific ELISA ($p < 0.01$, AdLacZ-pIX/F1 unboosted or boosted vs. AdLacZ). (B) Serum anti-F1 titers, purified recombinant F1 (5.1 ng, the molar equivalent amount of protein present in 10^9 pu of AdLacZ-pIX/F1) with Alhydrogel ($p < 0.01$, F1 unboosted or boosted vs. naive). (C) Survival, AdLacZ-pIX/F1. Six weeks after prime immunization with 10^9 pu of AdLacZ-pIX/F1, mice were challenged with a lethal dose of *Yersinia pestis* CO92, and survival of the animals was monitored for 14 days. Naive mice and mice that received AdLacZ (10^{11} pu) intramuscularly were included as negative controls ($p < 0.0001$, AdLacZ-pIX/F1 vs. AdLacZ; $p < 0.0001$, AdLacZ-pIX/F1 plus boost vs. AdLacZ). (D) Survival, F1/Alhydrogel. Naive mice were used as negative controls ($p < 0.0007$, F1 vs. naive; $p = 0.0044$, F1 plus boost vs. naive).

of recombinant F1 (molar equivalent amount of protein as present in 10^9 or 10^{11} pu of capsid-modified virions, respectively) followed by the same dose of F1 at 4 weeks after prime immunization show no significant boosting effect (Figs. 5B and 6B, respectively). No F1-specific antibody titers were detected in naive or AdLacZ-immunized mice.

To assess the ability of AdLacZ-pIX/F1 or recombinant F1 protein plus adjuvant to protect mice against a lethal challenge with *Y. pestis*, 6 weeks after prime immunization vaccinated mice were infected intranasally with 5×10^3 CFU of *Y. pestis*. As seen with AdYFP-pIX/V, immunization with AdLacZ-pIX/F1 followed by a single repeat administration of the same vector at the same dose showed enhanced protection relative to mice immunized with an equimolar dose of purified recombinant F1 protein plus adjuvant. Animals primed and boosted with 10^9 or 10^{11} pu of AdLacZ-pIX/F1

were significantly protected against challenge (100%, Fig. 5C and 90%, Fig. 6C, respectively), whereas mice receiving a prime-boost immunization with 5.1 ng or 0.5 μ g of F1 protein were minimally protected against challenge (30%, Fig. 5D, and 20%, Fig. 6D, respectively).

Animals receiving just a prime immunization with either dose of AdLacZ-pIX/F1 or F1 protein showed no, or a low percentage of, survival (0% for mice receiving 10^9 or 10^{11} PU of AdLacZ-pIX/F1, Figs. 5C and 6C, respectively; 20% for mice receiving 5.1 ng of F1, Fig. 5D; and 0% for mice receiving 0.5 μ g of F1, Fig. 6D). Naive or AdLacZ-immunized mice were not protected against the challenge.

Although the conformation of pIX/V or pIX/F1 fusion proteins likely differs from that of native V antigen or F1 protein and the exact same array of protective epitopes may not be displayed, immunization with AdYFP-pIX/V or

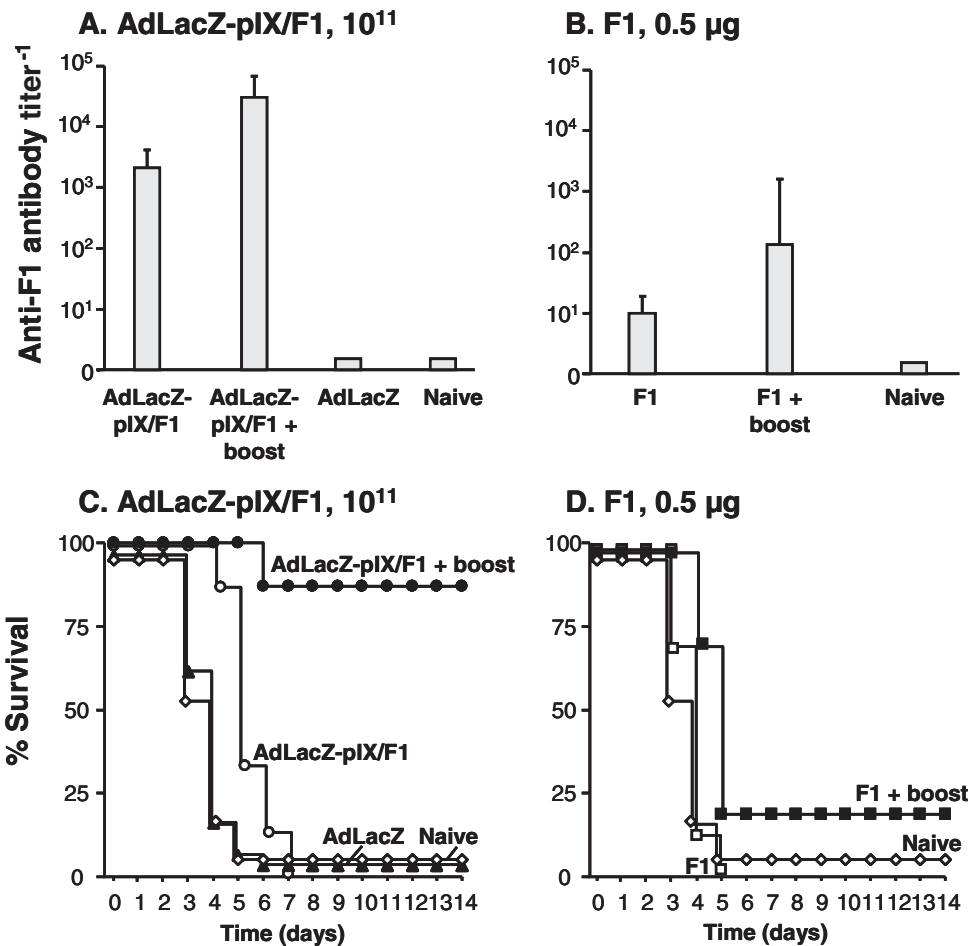


FIG. 6. Anti-F1 antibodies and survival of mice immunized in a prime-boost regimen with AdLacZ-pIX/F1 or F1/Alhydrogel. BALB/c mice ($n = 15$ to 31 per group) were immunized with AdLacZ-pIX/F1 or purified F1/Alhydrogel. A subset of animals received a single repeat administration of the same immunogens at the same dose 4 weeks after primary immunization. (A) Serum anti-F1 titers, AdLacZ-pIX/F1 (10^{11} particle units, pu). Six weeks after the prime immunization serum antibody levels were measured by anti-F1-specific ELISA ($p < 0.0003$, AdLacZ-pIX/F1 unboosted or boosted vs. AdLacZ). (B) Serum anti-F1 titers, purified recombinant F1 ($0.5 \mu\text{g}$, the molar equivalent amount of protein present in 10^{11} pu of AdLacZ-pIX/F1) with Alhydrogel ($p < 0.0005$, F1 unboosted vs. naive). (C) Survival, AdLacZ-pIX/F1. Six weeks after prime immunization with 10^{11} pu of AdLacZ-pIX/F1, mice were challenged with a lethal dose of *Yersinia pestis* CO92, and survival of the animals was monitored for 14 days. Naive mice and mice that received AdLacZ (10^{11} pu) intramuscularly were included as negative controls ($p < 0.0007$, AdLacZ-pIX/F1 vs. AdLacZ; $p < 0.0001$, AdLacZ-pIX/F1 plus boost vs. AdLacZ). (D) Survival, F1/Alhydrogel. Naive mice were used as negative controls ($p = 0.4187$, F1 vs. naive; $p < 0.0001$, F1 plus boost vs. naive).

AdLacZ-pIX/F1 provides a more robust and enhanced protective immune response compared with immunization with purified recombinant V antigen or F1 protein.

Discussion

In this study, replication-defective adenoviral vectors containing capsid modifications that incorporate relevant *Yersinia pestis* immunogens as fusions to the pIX capsid protein were evaluated as vaccine candidates against pneumonic plague. Two vaccine vectors were constructed, AdYFP-pIX/V and AdLacZ-pIX/F1, to display the *Y. pestis* V antigen or F1 capsular antigen, respectively, on the virion surface for presentation to the immune system. Expression of these antigens on the surface of the resulting recombinant viruses was confirmed by Western analysis. A single immunization with AdYFP-pIX/V at a dose of 10^{11} pu conferred 90% protection

against *Y. pestis* challenge. In addition, immunization with AdYFP-pIX/V at a dose of either 10^9 or 10^{11} pu followed by a single repeat administration of the same vector at the same dose resulted in substantial protection of immunized animals compared with immunization with a comparable molar amount of purified recombinant V antigen plus Alhydrogel adjuvant. Similarly, immunization with AdLacZ-pIX/F1 in a prime-boost regimen resulted in substantial protection of immunized animals compared with immunization with a comparable molar amount of purified recombinant F1 protein plus adjuvant. By comparison with AdYFP-pIX/V or AdLacZ-pIX/F1, the comparable molar amounts of purified V antigen or F1 protein were substantially lower (V antigen, 7- to 700-fold lower; F1, 20- to 2000-fold lower) than the amounts of V antigen or F1 protein demonstrated to be optimally effective in protecting immunized mice against *Y. pestis* challenge in previous studies ($10 \mu\text{g}$, each protein; Williamson *et al.*, 2007),

demonstrating the robust immune enhancement when these proteins are presented in the context of Ad.

Yersinia pestis vaccines

Plague is a potentially fatal infection in humans caused by the bacterium *Yersinia pestis* (Perry and Fetherston, 1997; Titball *et al.*, 2004; Prentice and Rahalison, 2007). The currently recommended therapy for plague is antibiotics, but these drugs are only partially effective once symptoms of pneumonic plague develop and some antibiotic-resistant isolates of *Y. pestis* have been identified (Galimand *et al.*, 1997; Inglesby *et al.*, 2000; Guiyoule *et al.*, 2001). There is no licensed *Y. pestis* vaccine for use in the United States (Titball and Williamson, 2004; Smiley, 2008). Killed whole cell vaccines consisting of heat-inactivated *Y. pestis* have been available since the late 1890s, but although these vaccines protect against the bubonic form of the disease, they do not protect against pneumonic plague (Russell *et al.*, 1995; Titball and Williamson, 2001, 2004; Titball *et al.*, 2004). In the mid-1900s, a formalin-killed whole cell vaccine was licensed and sold as Plague Vaccine, USP (Meyer, 1970). Although controlled clinical trials were not conducted, there is indirect evidence from vaccinated military personnel that it protects against bubonic plague (Cavanaugh *et al.*, 1974). However, there are a variety of side effects associated with this vaccine and it does not provide protection against pneumonic plague (Titball and Williamson, 2001, 2004; Cornelius *et al.*, 2007). A live-attenuated vaccine based on the pigmentation-negative mutant strain of *Y. pestis* EV76 was developed in the former Soviet Union and has been available since 1908 (Russell *et al.*, 1995; Zilinskas, 2006). This vaccine induces a high degree of immune variability in humans and presents the risk that the bacteria could revert to virulence *in vivo*.

The development of a safe and effective plague vaccine has been focused on using recombinant protein subunits of *Y. pestis*, specifically the V antigen and the F1 capsular antigen (Titball and Williamson, 2001, 2004; Williamson *et al.*, 2005; Cornelius *et al.*, 2007; Morris, 2007; Smiley, 2008). Antibodies against V antigen and F1 confer protection against both bubonic and pneumonic plague in mice, guinea pigs, and nonhuman primates (Leary *et al.*, 1995; Anderson *et al.*, 1996, 1998; Andrews *et al.*, 1996; Heath *et al.*, 1998; Jones *et al.*, 2003, 2006; Santi *et al.*, 2006; Bashaw *et al.*, 2007; Mett *et al.*, 2007; Williamson *et al.*, 2007; Cornelius *et al.*, 2008; Chichester *et al.*, 2009; Mizel *et al.*, 2009). Vaccine formulations consisting of combined V antigen and F1 protein subunits or a fusion protein between F1 and V antigen have entered clinical trials and appear to be safe, well tolerated, and immunogenic (Williamson *et al.*, 2005; Morris, 2007). However, these F1 and V antigen-based vaccines have demonstrated variability in protection of different nonhuman primate species against aerosolized *Y. pestis* challenge, suggesting that further refinement of these vaccines would be useful.

Adenovirus-based vaccine vectors

Recombinant Ads are highly immunogenic and, consequently, attractive vaccine candidates, particularly for biodefense (Boyer *et al.*, 2005). Ads are stable, easy to manipulate, can be produced inexpensively at high titer, and can be purified by commonly available methods (Hackett and Crystal, 2004). Importantly, Ad vectors infect a wide variety of cell types *in vivo*, including dendritic cells and other antigen-presenting cells. The

Ad vector may act as an adjuvant by inducing a strong immune/inflammatory response and by promoting differentiation of immature dendritic cells into antigen-presenting cells (Song *et al.*, 1997; Zhong *et al.*, 1999; Morelli *et al.*, 2000; Zhang *et al.*, 2001; Korst *et al.*, 2002; Hackett and Crystal, 2004).

Standard Ad-based vaccine vectors are based on the concept of delivering the relevant antigen as a transgene for expression and stimulation of immunity in the host after administration (Tatsis and Ertl, 2004; Chiuchiolo *et al.*, 2006). It has also been possible to incorporate immunogenic epitopes into permissive sites of hexon, a major capsid protein, and the resulting vaccines exhibit robust protective efficacy (Worgall *et al.*, 2005, 2007). However, the addition of foreign immunogens into hexon is limited by size; insertions of only up to 53 amino acids in the hypervariable region 5 of hexon are tolerated for viable virus recovery (McConnell *et al.*, 2006; Matthews *et al.*, 2008). In contrast, studies on the minor capsid protein, pIX, have indicated that up to 1000 kDa can be fused to the C terminus of the protein, allowing surface exposure of the foreign protein without substantial impact on the formation of virions (Dmitriev *et al.*, 2002; Campos *et al.*, 2004; Meulenbroek *et al.*, 2004; Le *et al.*, 2005; Li *et al.*, 2005; Matthews *et al.*, 2006; Vellinga *et al.*, 2007). We exploited this technology for vaccine development purposes by generating pIX fusions that display the full-length V antigen or F1 proteins on the virion surface to maximize the number of relevant protective epitopes available for recognition. This study demonstrates that Ad-based vaccines containing relevant immunogens on the capsid as fusions to pIX function similarly to recombinant protein-based vaccines, but with the added benefit of the strong adjuvant effect of Ad. Future studies evaluating the contribution of T cell responses may provide additional insight into the enhanced protective immune response conferred by AdYFP-pIX/V and AdLacZ-pIX/F1. Collectively, capsid-modified Ad gene transfer vectors displaying relevant immunogens on their surface via the viral pIX protein are effective vaccine platforms that elicit robust protective immunity relative to recombinant protein-based vaccines.

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Author Disclosure Statement

The authors have no conflict of interest.

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