

# Simultaneous Pharmacokinetics/Pharmacodynamics Modeling of Recombinant Human Erythropoietin upon Multiple Intravenous Dosing in Rats

Siheem Ait-Oudhia, Jean-Michel Scherrmann, and Wojciech Krzyzanski

*Faculté de Pharmacie, Neuropsychopharmacologie des Addictions, Université Paris Descartes, Institut National de la Santé et de la Recherche Médicale, Centre National de la Recherche Scientifique, Paris, France (S.A.-O., J.-M.S.); and Department of Pharmaceutical Sciences, School of Pharmacy and Pharmaceutical Sciences, State University of New York, Buffalo, New York (S.A.-O., W.K.)*

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## ABSTRACT

A pharmacokinetics (PK)/pharmacodynamics (PD) model was developed to describe the tolerance and rebound for reticulocyte (RET) and red blood cell (RBC) counts and the hemoglobin (Hb) concentrations in blood after repeated intravenous administrations of 1350 IU/kg of recombinant human erythropoietin (rHuEPO) in rats thrice weekly for 6 weeks. Drug concentrations were described by using a quasi-equilibrium model. The PD model consisted of a lifespan-based indirect response model (LIDR) with progenitor cells [burst colony-forming unit erythroblasts and colony-forming unit erythroblasts (CFUs)], normoblasts (NOR), RETs, and RBCs. Drug-receptor complex stimulatory effects on progenitor cells differentiation and RBC lifespan were expressed by using the  $E_{\max}$  model ( $S_{\max\text{-epo}}$  and  $SC_{50\text{-epo}}$ ,  $E_{\max}$  and  $EC_{50}$ ). The Hb profile was indirectly modeled through a LIDR model for mean corpuscular hemoglobin (with a lifespan  $T_{\text{mch}}$ ) including a linear ( $S_{\max\text{-mch}}$ ) drug stimu-

latory effect. The negative feedback from RBCs accounted for the time-dependent rHuEPO clearance decline. A simultaneous PK/PD fitting was performed by using MATLAB-based software. PK parameters such as equilibrium dissociation, erythropoietin receptor degradation, production, and internalization rate constants were 0.18 nM (fixed), 0.08 h<sup>-1</sup>, 0.03 nM/h, and 2.51 h<sup>-1</sup>, respectively. The elimination rate constant and central volume of distribution were 0.57 h<sup>-1</sup> and 40.63 ml/kg, respectively. CFU and NOR, RET, and RBC lifespans were 37.26 h, 17.25 h, and 30.15 days, respectively.  $S_{\max\text{-epo}}$  and  $SC_{50\text{-epo}}$  were 7.3 and 0.47 10<sup>-2</sup> nM, respectively.  $E_{\max}$  was fixed to 1.  $EC_{50}$  and  $SC_{50\text{-epo}}$  were equal.  $S_{\max\text{-mch}}$  and  $T_{\text{mch}}$  were 168.1 nM<sup>-1</sup> and 35.15 days, respectively. The proposed PK/PD model effectively described rHuEPO nonstationary PK and allowed physiological estimates of cell lifespans.

## Introduction

Erythropoiesis is the physiological process by which red blood cells (RBCs) are produced in the body. Erythropoietin (EPO) is a 30.4-kDa glycoprotein hormone produced mainly by the kidneys. EPO is responsible for maintaining the steady-state production of erythrocytes and oxygen homeostasis. It exerts its erythropoietic effects by binding to specific activating EPO receptors (EPORs) on the membranes of erythroid progenitor cells such as the burst colony-

forming unit erythroblasts (BFUs) and the colony-forming unit erythroblasts (CFUs) in the bone marrow. The consequent effects include survival, cellular proliferation, terminal differentiation to the stage normoblasts (NORs), and the release of the reticulocytes (RETs) into the peripheral circulation, later resulting in an increase in mature RBC counts and hemoglobin (Hb) levels in the blood (Flaharty, 1990).

Recombinant human erythropoietin (rHuEPO) is structurally very similar to endogenous EPO (Egrie, 1990). Receptor-mediated endocytosis and lysosomal degradation in the bone marrow have been recognized as the primary mechanisms for its nonlinear pharmacokinetics (PK) and a major clearance pathway (Kato et al., 1997; Chapel et al., 2001; Veng-Pedersen et al., 2004). The intracellular signaling that follows the binding of rHuEPO to EPORs leads to the down-regula-

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**ABBREVIATIONS:** PK, pharmacokinetics; PD, pharmacodynamics; BFU, burst colony-forming unit erythroblast; CFU, colony-forming unit erythroblast; NOR, normoblast;  $CL_{\text{lin}}$ , linear clearance;  $CL_{\text{rec}}$ , receptor-mediated clearance;  $CL_{\text{Total}}$ , total body clearance; MCH, mean corpuscular hemoglobin; RBC, red blood cell;  $ML_{\text{RBC}}$ , mean lifespan of RBCs; RET, reticulocyte; EPO, erythropoietin; rHuEPO, recombinant human EPO; EPOR, EPO receptor; ELISA, enzyme-linked immunosorbent assay; TMDD, target-mediated drug disposition; QE, quasi-equilibrium; CV, coefficient of variation; AUC, area under rHuEPO concentrations curve; AUMC, area under moment curve.

tion of EPOR expression and may contribute to the nonstationary PK of rHuEPO (Verdier et al., 2000). However, EPOR activation is very transient because of the rapid internalization and degradation of the EPO-EPOR complex (Walrafen, 2005). A recent study has also shown that mechanisms independent of the EPOR pathway may play an important role in the clearance of erythropoietin-stimulating agents through nonsaturable glomerular filtration and hepatic uptake involving the asialoglycoprotein receptor (Agoram et al., 2009).

rHuEPO has been shown to be effective in the treatment of anemia in patients with chronic renal failure, human immunodeficiency virus, and cancer patients undergoing chemotherapy. rHuEPO facilitates autologous blood predonation and reduces the need for allogenic blood transfusion in perioperative settings (Hodges et al., 2007). Conversely, inappropriate activation of erythropoiesis may predispose patients to aplastic anemia because of the transient suppression of the erythropoietic activity in bone marrow (Casadevall et al., 2002). This suppression may result from negative feedback on the production of progenitor cells or depletion of medullary precursor cells after excessive stimulation by rHuEPO. Previous comprehensive PK/pharmacodynamics (PD) modeling analyses suggested that RETs (Ramakrishnan et al., 2004) or Hb (Woo et al., 2006, 2007) are responsible for the counter-regulatory mechanisms. More recently, an alternative model featuring the depletion of a precursor pool, a concept introduced by Sharma et al. (1998) and Hazra et al. (2006), has been proposed to describe the tolerance and rebound phenomena observed for RETs after a single subcutaneous dose of rHuEPO in healthy volunteers (Krzyzanski et al., 2008). In this paradigm, the erythropoietic processes might not be fast enough to compensate for the excessive stimulatory effect of rHuEPO on the differentiation of cells at one or more stages of maturation, leading to the exhaustion of a particular cell population and consequently to the reduced production of all downstream cell types.

The purpose of our study was to develop a mechanism-based PK/PD model that describes simultaneously rHuEPO nonstationary PK and the process of erythropoiesis in rats after repeated stimulations with high dose of rHuEPO over a long treatment period. Our objectives were to: 1) characterize the decline in rHuEPO clearance over time; 2) characterize changes in RBC survival and Hb levels over time under stress erythropoiesis; and 3) propose a mechanism for the tolerance effect and rebound phenomena described previously for RETs in the bloodstream after a single intravenous or subcutaneous administration of rHuEPO in rats (Woo et al., 2006). We present a comprehensive PK/PD model that proposes a mechanistic relationship between rHuEPO concentrations and the hematological responses to rHuEPO over time, which, in turn, drive a time-dependent change in rHuEPO elimination.

## Materials and Methods

**Animals.** Male Wistar rats were obtained from Charles River Laboratories, Inc. (Wilmington, MA). The study was initiated when the animals were 12 weeks old and had a mean weight of  $450 \pm 25$  g. The animals treated with rHuEPO were fed standard food (Harlan Teklad, Madison, WI) supplemented with 1% (w/w) carbonyl iron (Sigma-Aldrich, St. Louis, MO). Control rats were fed a standard diet without iron supplementation. All animals had free access to food and water and were maintained on a 12/12-h

light/dark cycle. The animals were acclimatized for 1 week before initiation of the study. All study protocols were approved by the Institutional Animal Care and Use Committee of the State University of New York (Buffalo, NY).

**Erythropoietin.** Recombinant human erythropoietin (10,000 IU/ml; Amgen, Thousand Oaks, CA) is a recombinant 165-amino acid glycoprotein produced by mammalian cells transfected with the human gene for EPO. Endogenous EPO and rHuEPO have the same molecular mass (30,400 Da).

**Experimental Design.** We randomized 15 rats to five groups of three rats each. One group was injected with saline (B Braun Medical Inc., Irvine, CA) supplemented with 0.25% bovine serum albumin (Sigma-Aldrich); the others were injected intravenously with rHuEPO (1350 IU/kg). The rats were anesthetized with 5% isoflurane (Hospira, Inc., Lake Forest, IL) before any injection or blood sampling was carried out. This procedure was applied to each animal up to three times per 48 h. Either placebo or epoetin  $\alpha$  was injected through the tail vein three times per week over 6 weeks. Blood samples were collected from the tail vein of treated and control animals after a staggered sampling strategy to minimize the volume of blood drawn from each animal each day. Control animals were sampled by using the same sampling scheme as one of the treated groups. The typical volume of blood sampled reached  $100 \pm 20$   $\mu$ l for rHuEPO assay but only  $50 \pm 10$   $\mu$ l for PD measurements. In the latter case, blood was anticoagulated with 2% EDTA (Sigma-Aldrich). This experimental design resulted in the withdrawal of <0.5% of the total blood volume per day for both types of animal groups and was in agreement with the Institutional Animal Care and Use Committee guidelines on chronic blood sampling, which allow a maximum collection volume of 1% of total blood volume per day.

PK analysis was based on serum rHuEPO concentrations in samples drawn at 0, 5, 15, and 30 min then 1, 2, 4, 8, 12, 16, 24, 32, and 48 h after the intravenous injection. Three full PK profiles were performed on days 0, 16, and 28, and an additional washout profile was started after the last rHuEPO injection on day 36. The erythropoietic effects of rHuEPO were evaluated by measuring RET and RBC counts and Hb concentrations daily through day 14 and every 2 days thereafter through day 60. Iron status was monitored by measuring plasma transferrin and ferritin concentrations on weeks 1, 3, 5, and 7, and rHuEPO immunogenicity was carefully monitored by detecting anti-rHuEPO antibodies on weeks 2, 4, 6, and 8.

**rHuEPO Serum Concentrations.** Blood samples were drawn from the tail vein without anticoagulant then centrifuged within 30 min of collection to avoid hemolysis. The initial centrifugation was carried out at 400g for 15 min at room temperature (20–25°C). The serum was then separated, centrifuged at 5000g for 20 min, and stored at –20°C in a nonself-defrosting freezer. All samples for rHuEPO concentration determination were analyzed the same day to reduce variability. The rHuEPO concentrations were measured by using the Quantikine IVD erythropoietin enzyme-linked immunosorbent assay (ELISA) kit (R&D Systems, Minneapolis, MN) according to the manufacturer's instructions. This assay is specific for rHuEPO and, thus, did not detect endogenous EPO. The standard curve ranged from 0 to 200 mIU/ml; serum samples containing >200 mIU/ml were diluted with diluents provided by the manufacturer. The lower limit of detection was <1 mIU/ml, the lower limit of quantification was 2 mIU/ml, and the coefficient of variation (CV) over the range of measured concentrations was <10%.

**Hematological Parameters.** RBC count ( $10^6$  cell/ $\mu$ l), Hb concentration (g/dl), mean corpuscular hemoglobin (MCH; pg/cell), mean corpuscular volume (MCV; fL), mean corpuscular hemoglobin concentration (MCHC; g/dL), hematocrit (Hct; %), platelet count ( $10^5$  cell/ $\mu$ l), white blood cell count ( $10^3$  cell/ $\mu$ l), and white blood cell differential were determined with a Cell-Dyn 1700 counter (Abbott Laboratories, Abbott Park, IL) in an anticoagulated blood samples within 4 h of collection. The RET count was

determined by flow cytometry (FACSCalibur; BD Biosciences, San Jose, CA). All procedures were carried out according to the manufacturer's instructions.

**Iron Monitoring.** Plasma transferrin and ferritin concentrations were determined with immunoperoxidase assay kits from ICL, Inc. (Newberg, OR) according to the manufacturer's instructions. The standard curves ranged from 6.25 to 400 ng/ml for transferrin and from 12.5 to 400 ng/ml for ferritin. The lower limits of detection were 6.25 and 12.5 ng/ml for transferrin and ferritin, respectively, and the CV over the range of measured concentrations was <20% for each assay.

**Anti-EPO Antibodies Detection.** rHuEPO was biotinylated following a procedure described by Wojchowski and Caslake (1989). The biotinylated rHuEPO was coated on commercially available multiwell polystyrol plates coated with streptavidine (Sigma-Aldrich). Anti-rHuEPO antibodies were then detected by ELISA, as described by Kientsch-Engel et al. (1990) and Tillmann et al. (2006). The anti-rHuEPO antibodies contained in the animal sera and bound to the biotinylated rHuEPO were detected by using rabbit anti-rat Fab fragments conjugated with horseradish peroxidase (Sigma-Aldrich) and the specific substrate 2,2'-acino-di(3-ethylbenzothiazoline-sulfonic) acid (Sigma-Aldrich). An antierythropoietin antibody produced in rabbit (Sigma-Aldrich) served as a positive control and was used at three concentrations (5, 10, and 15 µg/ml). One negative control (blank: 0 µg/ml) was used to test the reliability of the reaction. The specificity of the assay was evaluated in parallel by using bovine serum albumin. The cutoff for the positive samples was a 50% decrease in absorbance. The lower limit of detection in the ELISA was 5 µg/ml. This assay was specific to antibodies binding to the rHuEPO not to the rat EPO. Antibodies against rat EPO may cross-react with rHuEPO but are not specific enough to strongly bind to it and, thus, are cleared from the media after washing.

**The PK/PD Model.** Several comprehensive PK/PD models for rHuEPO have been developed in different animal species including monkeys (Ramakrishnan et al., 2003), rats (Woo et al., 2006, 2007), and humans (Ramakrishnan et al., 2004). The catenary lifespan approach based on the rHuEPO–EPOR-driven depletion of the BFU compartment in the bone marrow was modified to capture the observed tolerance effect and rebound phenomenon (Sharma et al., 1998; Krzyzanski et al., 2005, 2008). The PK/PD model illustrated in Fig. 1 was fitted to the hematological responses and is described below (further details are provided in *Appendix*).

The PK model for unbound rHuEPO serum concentrations ( $C_p$ ) consists of a target-mediated drug disposition (TMDD) model assuming quasi-equilibrium (QE) (Mager and Krzyzanski, 2005) characterized by the following system of equations:

$$\frac{dC_{tot}}{dt} = K_{EPO} - K_{int} \cdot C_{tot} - \left( K_{el} \cdot \left( \frac{RBC(0)}{RBC(t)} \right) + K_{pt} - K_{int} \right) \cdot C_p + K_{tp} \cdot \frac{A_T}{V_c} \quad (1)$$

$$\frac{dA_T}{dt} = K_{pt} \cdot C_p \cdot V_c - K_{tp} \cdot A_T \quad (2)$$

$$\frac{dR_{tot}}{dt} = K_{syn} - (K_{int} - K_{deg}) \cdot (C_{tot} - C_p) - K_{deg} \cdot R_{tot} \quad (3)$$

$$C_p = \frac{1}{2} \cdot \left[ (C_{tot} - R_{tot} - K_D) + \sqrt{(C_{tot} - R_{tot} - K_D)^2 + 4 \cdot K_D \cdot C_{tot}} \right] \quad (4)$$

where  $C_{tot}$  represents the total drug concentration,  $A_T$  is the amount of drug in the peripheral compartment,  $R_{tot}$  is the total receptor capacity,  $K_{EPO}$  is the production rate of endogenous EPO (fixed to 0),  $K_{int}$  is the rate constant of drug–receptor complex internalization,  $K_{el}$  is the drug elimination rate from the central compartment,  $RBC(t)$  is the RBC count at time  $t$ ,  $K_{pt}$  is the central-to-peripheral compartment transfer rate,  $K_{tp}$  is the peripheral-to-central compartment transfer rate,  $V_c$  is the volume of the central compartment,  $K_{deg}$  is the free receptor degradation rate, and  $K_D$  is the drug–receptor complex equilibrium dissociation constant.

The initial conditions for the differential equations (eqs. 1–3) were:

$$C_{tot0} = \frac{D_{iv}}{V_c} \quad (5)$$

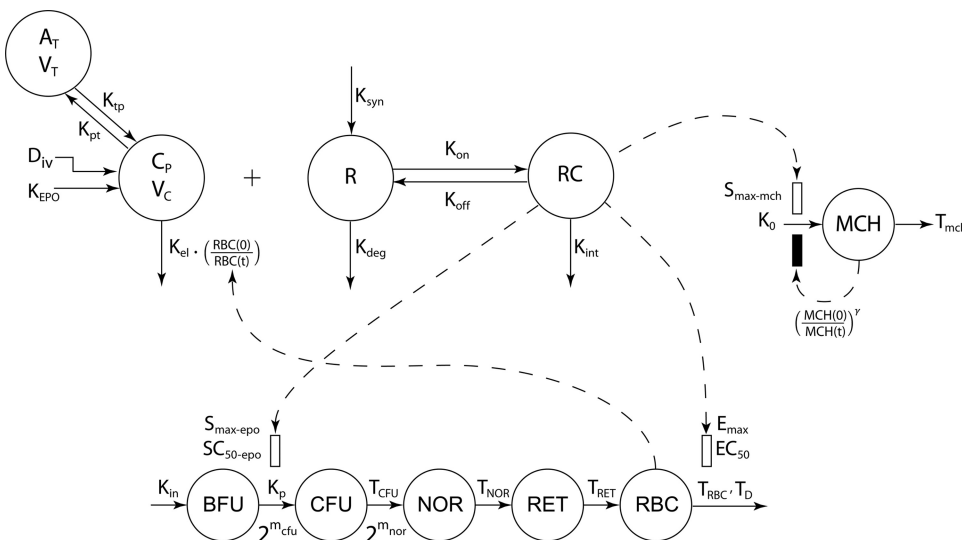
$$A_{T0} = 0 \quad (6)$$

$$R_{tot0} = R_{tot0} \quad (7)$$

where  $D_{iv}$  is the rHuEPO dose and  $R_{tot0}$  is the estimated amount of free receptor at time 0. The time course for RC was calculated:

$$RC(t) = \frac{R_{tot}(t) \cdot C_p}{K_D + C_p} \quad (8)$$

Erythropoiesis was described by using a catenary lifespan-based indirect response model with five compartments representing three types of medullary cells (BFU, CFU, and NOR) and two types of circulating cells (RET and mature RBC, symbolized by  $RBC_M$ ):



**Fig. 1.** Schematic diagram of the simultaneous PK/PD model for rHuEPO on blood reticulocytes, red blood cells, and mean corpuscular hemoglobin. Symbols are defined under *Appendix*.

$$\frac{dBFU(t)}{dt} = K_{in} - K_p \cdot S_1(t) \cdot BFU(t) \quad (9)$$

$$\begin{aligned} \frac{dCFU(t)}{dt} = & 2^{m_{cfu}} \cdot K_p \cdot S_1(t) \cdot BFU(t) - 2^{m_{cfu}} \cdot K_p \cdot S_1(t - T_{CFU}) \\ & \times BFU(t - T_{CFU}) \quad (10) \end{aligned}$$

$$\begin{aligned} \frac{dNOR(t)}{dt} = & 2^{m_{cfu}} \cdot K_p \cdot S_1(t - T_{CFU}) \cdot BFU(t - T_{CFU}) \\ & - 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot S_1(t - T_{CFU} - T_{NOR}) \cdot BFU(t - T_{CFU} - T_{NOR}) \quad (11) \end{aligned}$$

$$\begin{aligned} \frac{dRET(t)}{dt} = & 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot S_1(t - T_{CFU} - T_{NOR}) \\ & \times BFU(t - T_{CFU} - T_{NOR}) - 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot S_1(t - T_{CFU} - T_{NOR} \\ & - T_{RET}) \cdot BFU(t - T_{CFU} - T_{NOR} - T_{RET}) \quad (12) \end{aligned}$$

$$\begin{aligned} \frac{dRBC_M(t)}{dt} = & 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot S_1(t - T_{CFU} - T_{NOR} - T_{RET}) \\ & \times BFU(t - T_{CFU} - T_{NOR} - T_{RET}) - 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot S_1(t - T_{CFU} \\ & - T_{NOR} - T_{RET} - T_{RBC}) \cdot BFU(t - T_{CFU} - T_{NOR} - T_{RET} - T_{RBC}) \\ & \times (1 - E(t - T_{CFU} - T_{NOR} - T_{RET} - T_{RBC})) - 2^{(m_{cfu} + m_{nor})} \cdot K_p \\ & \times S_1(t - T_{CFU} - T_{NOR} - T_{RET} - T_D) \cdot BFU(t - T_{CFU} - T_{NOR} - T_{RET} \\ & - T_D) \cdot E(t - T_{CFU} - T_{NOR} - T_{RET} - T_D) \quad (13) \end{aligned}$$

where  $K_{in}$  is the production rate of BFU,  $K_p$  is the rate constant at which BFU differentiates into CFU,  $m_{cfu}$  and  $m_{nor}$  are the average proliferations of BFU and CFU, respectively, through mitosis both fixed to 4 (Wintrobe, 2003), and  $T_{CFU}$ ,  $T_{NOR}$ ,  $T_{RET}$ , and  $T_{RBC}$  are the mean lifespans of CFUs, NORs, RETs, and mature RBCs, respectively.  $K_{in}$  was estimated as a secondary parameter according to:

$$K_{in} = K_p \cdot BFU(0) \quad (14)$$

where  $BFU_0$  is the count of BFU at time 0 (see eq. 17). To avoid identifiability issues, the lifespans  $T_{CFU}$  and  $T_{NOR}$  were pooled into a single parameter  $T_{PRO}$  ( $T_{CFU} + T_{NOR}$ ) representing the mean lifespan of the all progenitor cells in the bone marrow.

In the proposed paradigm, rHuEPO affects the process of erythropoiesis through two mechanisms:

1) The RC-driven stimulation  $S_1$  of the BFU differentiation into CFU, represented by:

$$S_1(t) = 1 + \frac{S_{max-epo} \cdot RC(t)}{SC_{50-epo} + RC(t)} \quad (15)$$

where  $S_{max-epo}$  is the maximum stimulation effect, and  $SC_{50-epo}$  is the drug-concentration producing 50% of  $S_{max-epo}$ .

2) The reduction of the mean lifespan of mature RBC from  $T_{RBC}$  to  $T_D$ , where the proportion of mature RBC with a reduced lifespan depends on RC:

$$E(t) = \frac{E_{max} \cdot RC(t)}{SC_{50-epo} + RC(t)} \quad (16)$$

where  $E_{max}$  is fixed to 1. The parameter  $T_D$  was computed as  $T_D = (1 - \alpha) \cdot T_{RBC}$ , where  $T_{RBC}$  is estimated as a secondary parameter with

$$T_{RBC} = \left( \frac{RBC(0) - RET(0)}{K_{in} \cdot 2^{(m_{cfu} + m_{nor})}} \right).$$

To well capture the RBC linear decline after rHuEPO injections stopped, the parameter  $\alpha$  was fixed to 1 and therefore  $T_D = 0$ .

By assuming steady state, one can solve for the initial conditions of eqs. 9 to 13:

$$BFU(0) = \frac{RET_0}{2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot T_{RET}} \quad (17)$$

$$CFU(0) = 2^{m_{cfu}} \cdot K_p \cdot BFU(0) \cdot T_{CFU} \quad (18)$$

$$NOR(0) = 2^{(m_{cfu} + m_{nor})} \cdot K_p \cdot BFU(0) \cdot T_{NOR} \quad (19)$$

$$RET(0) = RET_0 \quad (20)$$

$$RBC_M(0) = RBC_0 - RET_0 \quad (21)$$

where  $RET_0$  and  $RBC_0$  are the average count of RETs and total RBCs measured at time 0:  $17.46 \cdot 10^4$  and  $7.5 \cdot 10^6$  cell/ $\mu$ l, respectively.

The observed RET and RBC counts were fitted to  $RET_{total}$  and  $RBC_{total}$  according to:

$$RET_{total}(t) = RET_b(t) + \Delta RET(t) \quad (22)$$

$$RBC_{total}(t) = RBC_b(t) + \Delta RET(t) + \Delta RBC_M(t) \quad (23)$$

where  $RET_b(t)$  and  $RBC_b(t)$  are the RET and RBC baselines fitted by using eqs. 29 and 30.  $\Delta RET$  and  $\Delta RBC$  indicate the differences from the baseline value of RET [ $\Delta RET(t) = RET(t) - RET_0$ ] and  $RBC_M$  [ $\Delta RBC_M = RBC_M - RBC_M(0)$ ].

Measured data for MCH were fitted to a lifespan-based indirect response model using a single compartment that represents the residence time of MCH in the body ( $T_{mch}$ ). The dynamics of MCH over time is governed by:

$$\frac{dMCH(t)}{dt} = K_0 \cdot S_2(t) \cdot I(t) - K_0 \cdot S_2(t - T_{mch}) \cdot I(t - T_{mch}) \quad (24)$$

where  $K_0$  is the production rate of MCH, dependent on  $T_{mch}$  and the estimated MCH at time 0 ( $MCH_0$ ) according to:

$$K_0 = \frac{MCH_0}{T_{mch}} \quad (25)$$

The effect of rHuEPO on MCH was captured by a linear stimulatory function  $S_2$  driven by RC and defined as:

$$S_2(t) = 1 + S_{max-mch} \cdot RC(t) \quad (26)$$

where  $S_{max-mch}$  is the slope of the RC effect. In addition, the tolerance and rebound phenomena observed on MCH were captured by a negative feedback  $I(t)$  driven by the MCH increase relative to its baseline  $MCH_0$  using the following ratio:

$$I(t) = \left( \frac{MCH(t)}{MCH_0} \right)^\gamma \quad (27)$$

Ultimately, the Hb concentrations were calculated as:

$$Hb(g/dl) = \frac{MCH(\text{pg/cell}) \cdot RBC_{total}(10^6 \text{ cells}/\mu\text{l})}{10} \quad (28)$$

In summary, the PK parameters  $V_c$ ,  $K_{el}$ ,  $K_{pt}$ ,  $K_{tp}$ ,  $K_{int}$ ,  $K_{deg}$ , and  $R_{tot0}$  and the PD parameters  $S_{max-epo}$ ,  $SC_{50-epo}$ ,  $K_p$ ,  $T_{PRO}$ ,  $T_{RET}$ ,  $S_{max-mch}$ ,  $T_{mch}$ ,  $\gamma$ , and  $MCH_0$  were estimated.

**Hematological Baseline Fittings.** The baselines of PD biomarkers for RET and RBC showed time-dependent changes in the control group. The magnitudes of change were high enough to consider aging effects in the model. Therefore, all collected sampling data from untreated animals were pooled and modeled by using empirical Hill functions to account for the decline in RET and rise in RBC:



$$\text{RET}_b(t) = \begin{cases} \text{RET}_{\max} & , 0 \leq t < \text{zeta} \\ \text{RET}_{\max} - \frac{(\text{RET}_{\max} - \text{RET}_{\min}) \cdot (t - \text{zeta})^{h_{\text{RET}}}}{(T_{1/2-\text{RET}} - \text{zeta})^{h_{\text{RET}}} + (t - \text{zeta})^{h_{\text{RET}}}} & , t \geq \text{zeta} \end{cases} \quad (29)$$

$$\text{RBC}_b(t) = \begin{cases} \text{RBC}_{\min} & , 0 \leq t < \text{lambda} \\ \text{RBC}_{\min} + \frac{(\text{RBC}_{\max} - \text{RBC}_{\min}) \cdot (t - \text{lambda})^{h_{\text{RBC}}}}{(T_{1/2-\text{RBC}} - \text{lambda})^{h_{\text{RBC}}} + (t - \text{lambda})^{h_{\text{RBC}}}} & , t \geq \text{lambda} \end{cases} \quad (30)$$

with  $\text{zeta} = 0.3 \cdot T_{1/2-\text{RET}}$  and  $\text{lambda} = 0.5 \cdot T_{1/2-\text{RBC}}$ , where  $T_{1/2-\text{RET}}$  and  $T_{1/2-\text{RBC}}$  represent, respectively, the time where the baselines in RETs or RBCs decreased or increased by 50% of the magnitude of change ( $\text{RET}_{\max} - \text{RET}_{\min}$  or  $\text{RBC}_{\max} - \text{RBC}_{\min}$ ).

The MCH baseline was modeled as a constant (using both control and treated animals). The baseline of RBC in association to the constant baseline in MCH drives the changes in the baseline of Hb via eq. 28.

**Data Analysis.** All data were pooled for the analysis. Serum rHuEPO concentration versus time profiles were first analyzed by a noncompartmental approach using WinNonlin (Pharsight, Mountain View, CA). Because the ELISA did not detect endogenous EPO, the PK parameters were calculated by using serum EPO concentrations that were not corrected to the endogenous baseline. For the first PK profile, area under rHuEPO concentrations curve (AUC) and area under moment curve (AUMC) were calculated by the linear trapezoidal rule with extrapolation to infinity. The analysis of the following PK profiles assumed that the steady state was reached;  $\text{AUC}_{\text{ss}}$  and  $\text{AUMC}_{\text{ss}}$  were thus calculated from 0 to 48 h. Total body clearance ( $\text{CL}_{\text{Total}}$ ) was estimated as  $D_{\text{iv}}/\text{AUC}$ . The mean residence time (MRT) and the steady-state volume of distribution ( $V_{\text{ss}}$ ) were calculated as described for multiple-dosing regimen (Cheng and Jusko, 1991) using:

$$\text{MRT} = \frac{\text{AUMC}_{\text{ss}|0}^{\tau} + \tau \cdot \text{AUC}_{\text{ss}|N_r}^{\infty}}{\text{AUC}_{\text{ss}|0}^{\tau}} \quad (31)$$

$$V_{\text{ss}} = \frac{\text{Dose} \cdot (\text{AUMC}_{\text{ss}|0}^{\tau} + \tau \cdot \text{AUC}_{\text{ss}|N_r}^{\infty})}{(\text{AUC}_{\text{ss}|0}^{\tau})^2} \quad (32)$$

The optimization of the PK/PD models for baseline and 1350 IU/kg dose data were performed sequentially by using the MATLAB-based software Scarabee version 1.0 (<http://code.google.com/p/pmlab/downloads/list>). Parameter estimates were obtained after minimization of a likelihood-derived function by the Nelder-Mead Simplex algorithm, which is implemented in MATLAB version 7.6.4 through the *fminsearch* function (The Mathworks Inc., Natick, MA) (Lagarias et al., 1998). Delayed differential equations were solved by using the *dde23* solver, which is based on an explicit Runge-Kutta formula (Dormand and Prince, 1980). The covariance matrix and the CV on parameter estimates were calculated as described in the users manual of ADAPT software (D'Argenio and Schumitzky, 1997). The residual variability in PK- and PD-dependant variables were modeled with proportional (eq. 33) and additive (eq. 34) models:

$$V_i = \sigma_i^2 \cdot Y_i^2 \quad (33)$$

$$V_{i,j} = \sigma_j^2 \quad (34)$$

where  $V_i$  and  $V_{i,j}$  are the variance of the residual for the  $i$ th observation data point and the variance of the residual for the  $i$ th data point of  $j$ th PD response, respectively;  $Y_i$  is the prediction for the  $i$ th observation data point; and  $\sigma_i$  and  $\sigma_j$  are the variance parameters.

**Model Simulations.** We carried out a series of simulations to examine our PK/PD model. In the first set of simulations, the hematological responses of RETs, RBCs, MCH, and Hb after a single injection were generated by using different values for  $E_{\max}$ . A second set of simulations was conducted to show the dynamics of free and bound EPORs after the same dosing scheme used in our study. The dynamics of the free EPOR was given by:

$$R(t) = R_{\text{tot}}(t) - \text{RC}(t) \quad (35)$$

A third set of simulations aimed to illustrate the time-dependent changes in the different clearance pathways of rHuEPO, i.e., the linear elimination ( $\text{CL}_{\text{lin}}$ ), the receptor-mediated clearance ( $\text{CL}_{\text{rec}}$ ), and the total body clearance ( $\text{CL}_{\text{Total}}$ ). The changes in the three clearances after the multiple rHuEPO administrations regimen used in our study were obtained by using the following equations (Wiczling et al., 2009):

$$\text{CL}_{\text{lin}}(t) = k_{\text{el}} \cdot V_c \cdot \left( \frac{\text{RBC}(t)}{\text{RBC}(0)} \right) \quad (36)$$

$$\text{CL}_{\text{rec}}(t) = k_{\text{int}} \cdot \left( \frac{\text{RC}(t) \cdot V_c}{C_p(t)} \right) \quad (37)$$

$$\text{CL}_{\text{Tot}}(t) = \text{CL}_{\text{lin}}(t) + \text{CL}_{\text{rec}}(t) \quad (38)$$

Finally, the variations in the mean RBC lifespan  $\text{ML}_{\text{RBC}}(t)$  after the repeated rHuEPO injection schedule used in our study was simulated according to Krzyzanski et al. (2008):

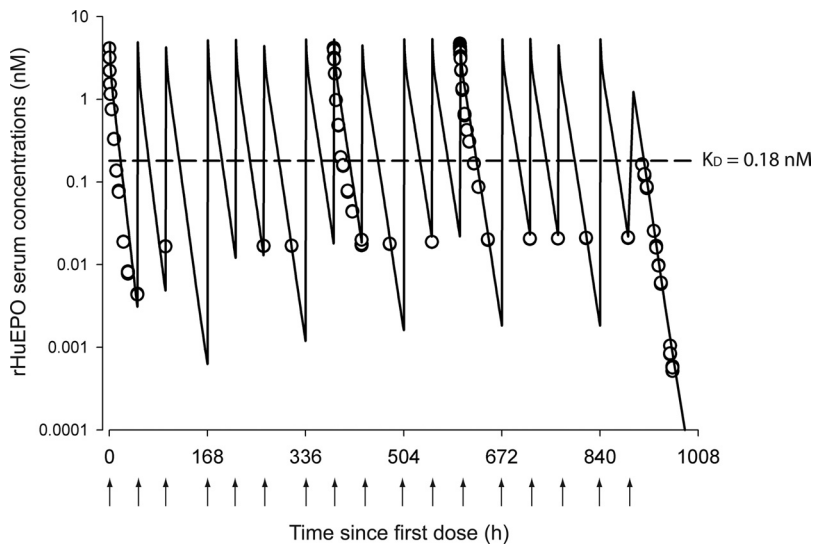
$$\text{ML}_{\text{RBC}}(t) = E(t) \cdot T_D + (1 - E(t)) \cdot T_{\text{RBC}} \quad (39)$$

## Results

**Pharmacokinetics.** Figure 2 shows the observed and predicted rHuEPO serum concentrations versus time profiles after a thrice-weekly intravenous dosing with 1350 IU/kg over 6 weeks. The PK parameters calculated by a noncompartmental approach for each PK profile are listed in Table 1. The nonstationary PK of rHuEPO was revealed by the decline in  $\text{CL}_{\text{Total}}$  over time that was estimated at approximately 55% between the first and 12th intravenous injections. Because repeated exposures to human proteins could lead to the development of an immune reaction and a decrease of the rHuEPO clearance by antibody-driven capture of the drug, we monitored rHuEPO immunogenicity over the course of the entire study plus 2 weeks beyond its completion. All animals were negative for anti-rHuEPO antibodies, except one rat that was found positive on day 57. This animal was euthanized and excluded from the analysis.

For the three analyzed PK profiles, the steady-state volume of distribution  $V_{\text{ss}}$  was larger than the initial volumes of distribution calculated as the ratio of the dose over the estimated rHuEPO at time 0 ( $D_{\text{iv}}/C_{p0}$ ), indicating rHuEPO was distributed outside of the blood compartment and therefore the need for a peripheral compartment in the developed PK model. In parallel,  $V_{\text{ss}}$  exhibited a time-dependent decrease (29%) between the first and 12th injections.

The general characteristics of TMDD PK (Mager and Jusko, 2001) were previously revealed for rHuEPO, and the TMDD model successfully captured its concentration time course profiles for five escalating single doses (Woo et al., 2007). However, to reduce the number of model parameters the QE assumption was used to fit our data (Mager and Krzyzanski, 2005). The PK parameters obtained by the simultaneous fitting of the PK and PD are listed in Table 2. All



**Fig. 2.** Time course profiles of rHuEPO serum concentrations after multiple intravenous injections of 1350 IU/kg thrice weekly for 6 weeks. The observed data are represented by  $\circ$ , and the lines represent the model predictions using eq. 4. The arrows represent intravenous dosing events.

TABLE 1

Estimated values of pharmacokinetic parameters with their corresponding CV% obtained by noncompartmental analysis of mean rHuEPO serum concentrations after intravenous administration of 1350 IU/kg three times a week over 6 weeks

Parameter (unit)	Definition	1350 IU/kg Three Times a Week for 6 Weeks		
		PK <sub>1</sub> (IV <sub>1</sub> )	PK <sub>2</sub> (IV <sub>8</sub> )	PK <sub>3</sub> (IV <sub>12</sub> )
AUC (IU/ml-h)	Area under the concentration curve	49.4 (2.3)	77.6 (12)	104.2 (2.7)
CL <sub>Total</sub> (ml/h/kg)	Total clearance	27.3 (2.4)	17.4 (16.5)	12.9 (2.7)
MRT (h)	Mean residence time	5.58 (4.4)	7.19 (7.9)	8.40 (10.3)
V <sub>ss</sub> (ml/kg)	Steady-state volume of distribution	152 (2.3)	125 (52.3)	108 (6.3)

TABLE 2

Estimated pharmacokinetic and pharmacodynamic parameters for rHuEPO

Parameter (unit)	Definition	Estimate	CV%
V <sub>c</sub> (ml/kg)	Central volume of distribution	40.63	13.8
K <sub>el</sub> (h <sup>-1</sup> )	First-order elimination rate constant	0.571	2.67
K <sub>pt</sub> (h <sup>-1</sup> )	First-order intercompartmental rate constant	0.318	5.9
K <sub>tp</sub> (h <sup>-1</sup> )	First-order intercompartmental rate constant	0.294	4.91
K <sub>int</sub> (h <sup>-1</sup> )	First-order internalization rate constant	2.512	51.1
K <sub>deg</sub> (10 <sup>-1</sup> h <sup>-1</sup> )	First-order EPOR degradation rate constant	0.807	13.2
K <sub>D</sub> (10 <sup>-1</sup> nM) <sup>b</sup>	Equilibrium dissociation constant	0.18	NA
R <sub>tot0</sub> (nM)	EPOR maximum binding capacity	0.408	8.21
K <sub>syn</sub> (10 <sup>-1</sup> nM/h) <sup>a</sup>	Zero-order EPOR production rate constant	0.329	8.81
K <sub>EPO</sub> (nM/h) <sup>b</sup>	Zero-order endogenous EPO production rate constant	0	NA
K <sub>in</sub> (10 <sup>-2</sup> cell/μl/h) <sup>a</sup>	Zero-order production rate of BFU	0.395	0.14
m <sub>cfu</sub> (-) <sup>b</sup>	Average proliferation of BFU to CFU in bone marrow	4	NA
m <sub>nor</sub> (-) <sup>b</sup>	Average proliferation of CFU to NOR in bone marrow	4	NA
S <sub>max-epo</sub> (-)	Maximum stimulation on BFU differentiation to CFU	7.308	2.41
SC <sub>50-epo</sub> (10 <sup>-2</sup> nM)	Concentration for 50% stimulation on BFU differentiation	0.467	48.1
E <sub>max</sub> (-) <sup>b</sup>	Maximum stimulation on RBC loss after third injection	1	NA
K <sub>p</sub> (10 <sup>-3</sup> h <sup>-1</sup> )	First-order rate constant for BFU differentiation to CFU	0.186	5.15
T <sub>PRO</sub> (h)	Progenitors mean lifespan	37.26	0.03
T <sub>RET</sub> (h)	RET mean lifespan	17.25	0.14
T <sub>RBC</sub> (days) <sup>a</sup>	RBC mean lifespan	30.15	0.14
T <sub>D</sub> (h) <sup>a</sup>	RBC mean lifespan change calculated as (1 - α)T <sub>RBC</sub>	0	NA
K <sub>o</sub> (10 <sup>-1</sup> pg/cell/h) <sup>a</sup>	Zero-order production rate for MCH	0.229	0.31
S <sub>max-mch</sub> (nM <sup>-1</sup> )	Maximal stimulation of K <sub>o</sub>	168.1	51.2
T <sub>mch</sub> (days)	Mean residence time for MCH in blood	35.15	0.23
g (-)	Power coefficient on feedback function on MCH production	7.415	13.6
MCH <sub>0</sub> (pg/cell)	Baseline for MCH	19.37	0.27
α (-) <sup>b</sup>	Fraction T <sub>RBC</sub> reduction under rHuEPO treatment	1	NA

CV is expressed as SD/mean. NA, not applicable.

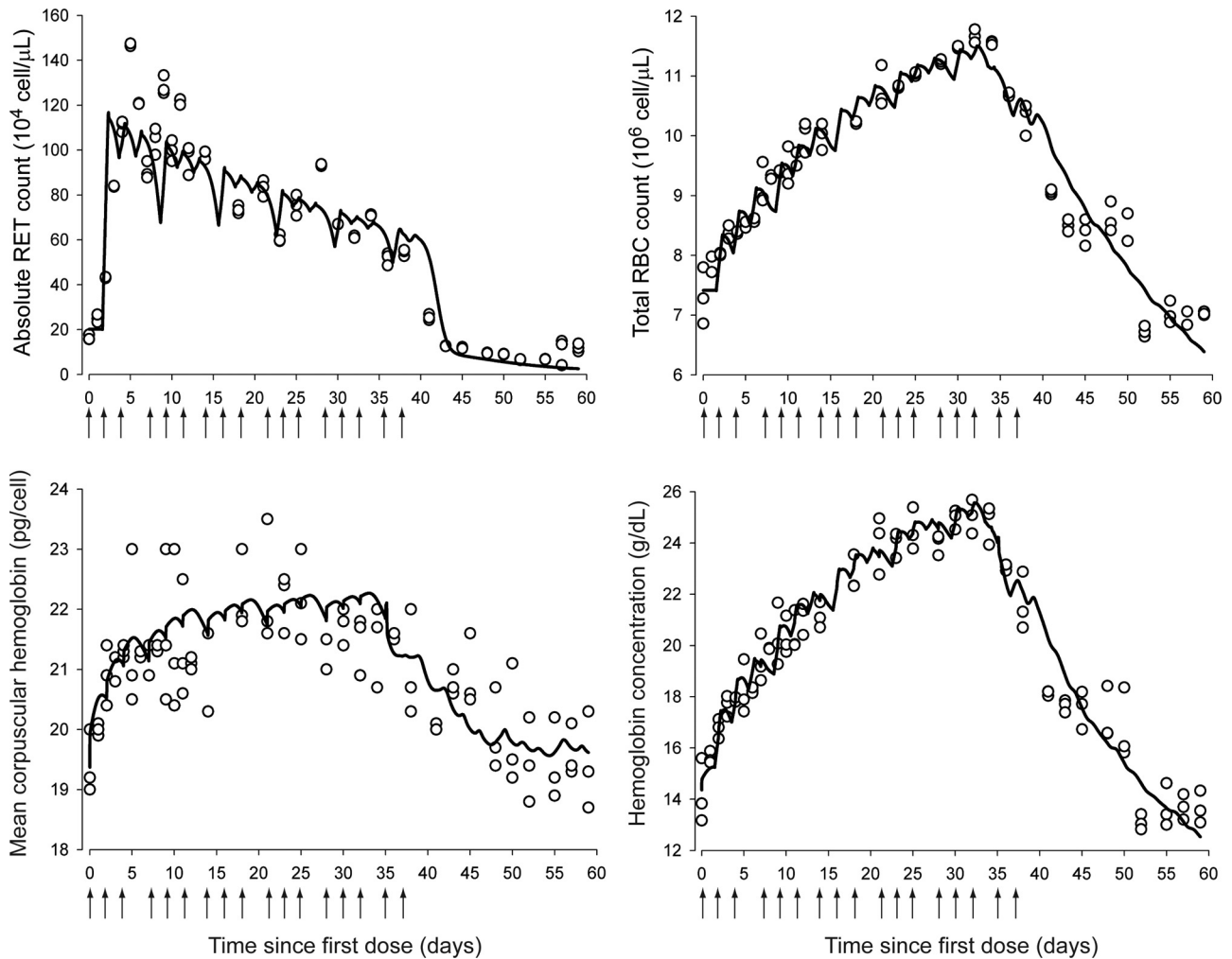
<sup>a</sup> Secondary parameter.

<sup>b</sup> Fixed parameter.

PK parameters were precisely estimated, and the coefficients of variation were <20%. Only K<sub>int</sub> showed a CV of 51.1%.

**Pharmacodynamics.** After cessation of rHuEPO treatment, the blood sampling was carried out for PD measurements

for an additional 23 days. The stimulatory effects of rHuEPO on erythropoiesis are shown in Fig. 3, which depicts both observed and predicted RETs, RBCs, MCH, and Hb. Observed absolute RET counts peaked 24 h after the third injection, i.e., approxi-



**Fig. 3.** Time course profiles of absolute blood reticulocyte counts (top left), red blood cells (top right), mean corpuscular hemoglobin (bottom left), and hemoglobin (bottom right). The observed data are represented by  $\circ$ . The solid lines represent the model predictions using eqs. 22 (top left), 23 (top right), 24 (bottom left), and 28 (bottom right). The arrows represent intravenous dosing events.

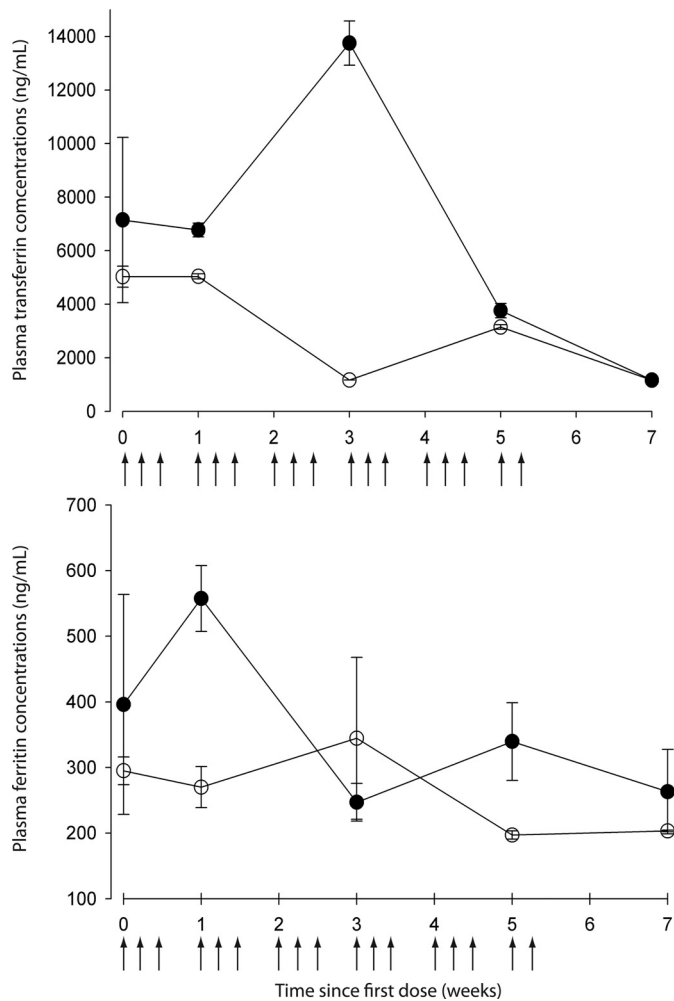
mately 5 to 6 days. However, the animals rapidly developed tolerance to rHuEPO as shown by the steady decline in RET counts after the peak, even though rHuEPO was still being administered to the animals, and thus, high EPO concentrations were maintained in blood. This decline continued in an oscillatory manner until RET counts fell below their baselines soon after treatment withdrawal, characteristic of a rebound phenomena. Ultimately, observed RET counts returned to normal control values by day 57.

Measured total RBC counts and measured Hb responses showed a parallel trend (Fig. 3). Both observed total RBCs and observed Hb increased constantly until they peaked on day 32 for RBCs and day 34 for Hb. However, the tolerance of rHuEPO also affected RBCs and Hb, which both decreased to anemic values with RBC nadirs at 12.5% and Hb nadirs at 16% of control animal values. RBCs recovered to their normal baseline values by day 59, but Hb did not, possibly because of the short time frame of our study (60 days), which may have prevented the stabilization of the hematological endpoints in control animals. MCH amounts reflect the average amount of hemoglobin contained in each RBC. MCH is an important erythropoietic biomarker because it informs about the rate and dynamics of synthesis of Hb. After an increase of approximately 18 days, MCH reached a plateau (Fig. 3), staying relatively constant for

18 days, then decreased below its normal values but did not return to normal values by the end of the study. Our model was able to capture all the features of the observed time course of RETs, total RBCs, and mean corpuscular Hb.

Finally, our experiments showed no iron depletion during and after stress erythropoiesis, because both plasma transferrin and ferritin concentrations in treated rats remained in the range of values obtained in the control animals (Fig. 4).

Previously published PK/PD models using lifespan concepts with feedback regulatory loops driven either by RET (Ramakrishnan et al., 2004) or Hb change from baseline (Woo et al., 2006) have been used to characterize the erythropoietic effect of rHuEPO. The Hb-driven counter-regulation loop model (Woo et al., 2006) was simulated by using our dosing regimen. The RET response showed rapid tolerance and the predicted RBC count did not decrease after the treatment withdrawal because longer dosing creates more homeostatic changes. In this study, a precursor pool depletion mechanism implemented via the stimulatory effect of rHuEPO-EPOR complexes on the differentiation of BFU into CFU was considered to be responsible for both tolerance and rebound phenomena observed in RETs and RBCs. Additional effects of the rHuEPO-EPOR complexes on RBC lifespan distribution were incorporated into the model to account for the drug effect on RBC lifespan. The tolerance and



**Fig. 4.** Top, mean serum transferrin concentration-time profile. Bottom, mean serum ferritin concentration-time profile. Means ( $n = 12$ )  $\pm$  S.D. of treated animals ( $\bullet$ ) and means ( $n = 3$ )  $\pm$  S.D. for the control animals ( $\circ$ ) are shown.

rebound in MCH and indirectly in Hb were accounted for by a negative feedback loop on Hb synthesis driven by the ratio

$$\left(\frac{\text{MCH}(0)}{\text{MCH}(t)}\right)^\gamma$$

The PD parameters obtained by simultaneous fitting of the drug concentrations and erythropoietic responses are listed in Table 2.

The  $S_{\text{max-epo}}$  and  $SC_{50\text{-epo}}$  of rHuEPO-EPOR complex in rats after multiple intravenous dosing were estimated to be 7.3 and  $0.47 \times 10^{-2}$  nM. The parameter  $k_p$  was estimated to be very small,  $0.186 \times 10^{-3} \text{ h}^{-1}$ , resulting in a derived maturation half-life of BFU cells of 160.4 days. The duration

of erythropoiesis processes in the bone marrow was determined by the parameter  $T_{\text{PRO}}$  and was estimated to be 1.56 days. The time needed for RETs to mature in blood to the stage RBCs is accounted for by their mean lifespan  $T_{\text{RET}}$  of 17.25 h. The estimated  $T_{\text{RET}}$  represents only the average RET lifespan in blood and discounts the maturation time of RET in the bone marrow. The estimated mean lifespan for RBC was 30.15 days.

The estimated mean residence time of MCH in blood circulation was 35.15 days, which was consistent with the value of  $T_{\text{RBC}}$ . The slope  $S_{\text{max-mch}}$  of drug effect on MCH was estimated at  $168.1 \text{ nM}^{-1}$ . An alternative  $E_{\text{max}}$  model for drug effect was initially tested but the half-stimulatory concentration was consistently found to be much higher than the range of the predicted rHuEPO-EPOR complex concentrations and could not be precisely estimated. Accordingly, we simplified the model to the current linear function, with slope representing the ratio of  $S_{\text{max}}/SC_{50}$  of the initial  $E_{\text{max}}$  function. All PD parameters were estimated with reasonable precision with CV < 20%, except for  $S_{\text{max-mch}}$  and  $SC_{50\text{-epo}}$ , which had CVs of 51.2 and 48.1%, respectively. This result was expected, because a better resolution for those parameters usually requires two dose levels or one that is very large (Krzyszanski et al., 2006).

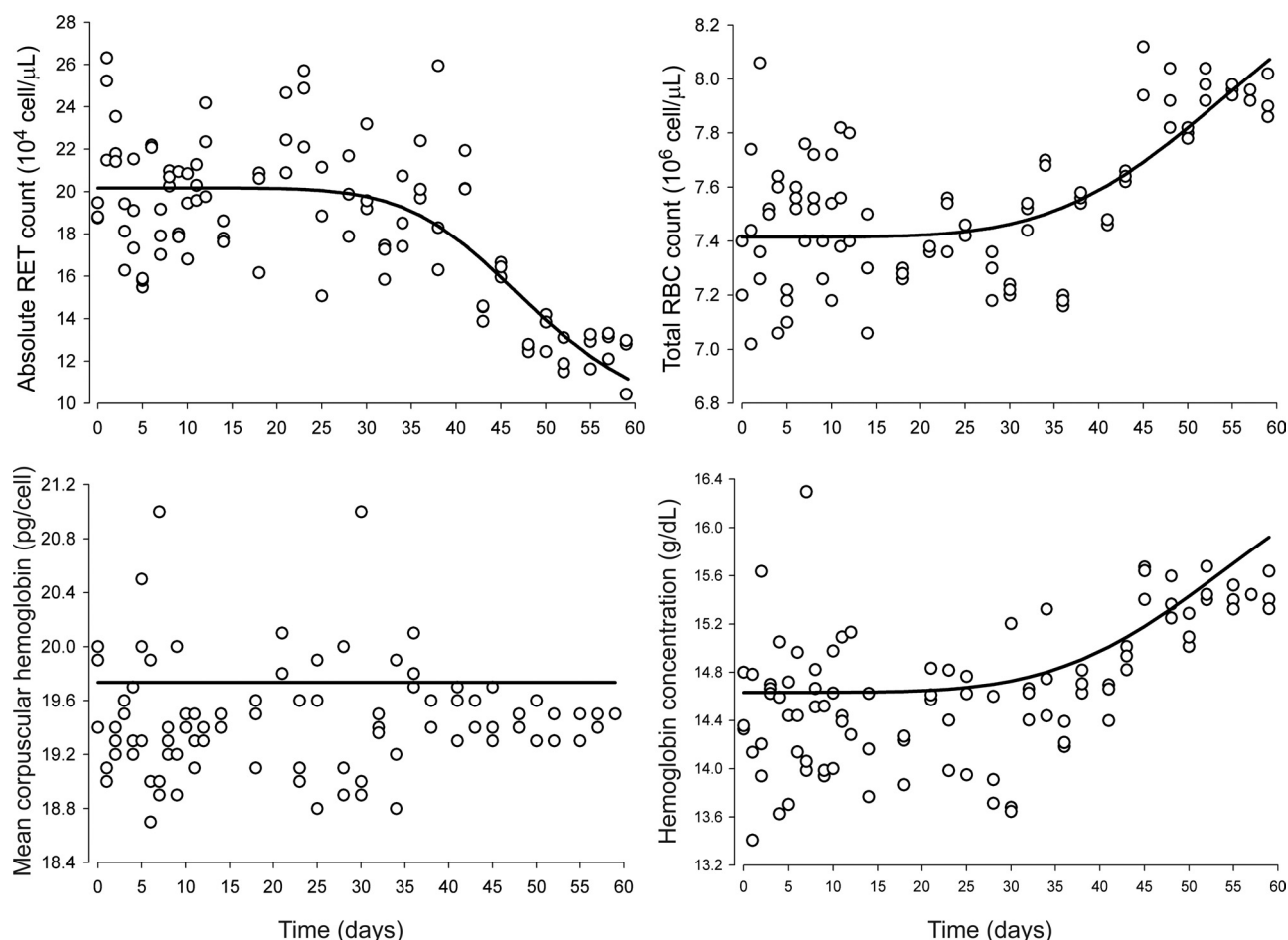
**Hematological Baseline Changes.** Over the course of the study, control animals showed changes of low magnitude in their hematological responses that warranted modeling of time-variant baselines  $\text{RET}_b$ ,  $\text{RBC}_b$ , and  $\text{Hb}_b$ . The related parameter estimates are summarized in Table 3. The observed and predicted baseline versus time profiles are depicted in Fig. 5. The average baseline values for RET were stable at  $\text{RET}_{\text{max}} 20.13 \times 10^4 \text{ cells}/\mu\text{l}$  until 30 to 35 days after the beginning of the study, then slowly decreased toward a new steady-state level at  $\text{RET}_{\text{min}} \sim 9.08 \times 10^4 \text{ cells}/\mu\text{l}$ . Conversely, the average RBC baseline values were stable at  $\text{RBC}_{\text{min}} \sim 7.42 \times 10^6 \text{ cells}/\mu\text{l}$  until 30 to 35 days after the first placebo administration, then raised to a slightly higher steady state at  $\text{RBC}_{\text{max}} \sim 8.14 \times 10^6 \text{ cells}/\mu\text{l}$  at the last day of the experiment. The MCH was found to be fairly stable over the course of the study and fitted at  $\text{MCH}_0 \sim 19.73 \text{ pg}/\text{cell}$ . The baseline of Hb was calculated by using eq. 28 and was parallel to the  $\text{RBC}_b$  versus time profile.

**Model Simulations.** The simulated hematological responses after single dose are depicted in Fig. 6. The effect of  $E_{\text{max}}$  on the profile of  $\text{RBC}(t)$  after single dose is illustrated in Fig. 7. The simulated profiles for  $R_{\text{tot}}(t)$ ,  $\text{RC}(t)$ , and  $R(t)$  after multiple doses are illustrated in Fig. 8. Figure 9 shows the simulated profiles for the linear elimination  $CL_{\text{lin}}(t)$ , the receptor-mediated clearance  $CL_{\text{rec}}(t)$ , and the total body clear-

**TABLE 3**  
Estimated pharmacokinetic and pharmacodynamic parameters for RET and RBC baselines

Parameter (unit)	Definition	Estimate	CV%
$\text{RET}_{\text{Min}}$ ( $10^4 \text{ cell}/\mu\text{l}$ )	Minimum value for RET baseline	9.07	37.3
$\text{RET}_{\text{Max}}$ ( $10^4 \text{ cell}/\mu\text{l}$ )	Maximum value for RET baseline	20.13	1.69
$T_{1/2\text{-RET}}$ (days)	Age $_{1/2}$ of animals past since the beginning of the study for RET baseline change	48.04	10.4
$h_{\text{RET}}$	Hill coefficient for RET	4.95	46.5
$\text{RBC}_{\text{Min}}$ ( $10^6 \text{ cell}/\mu\text{l}$ )	Minimum value for RBC baseline	7.42	0.32
$\text{RBC}_{\text{Max}}$ ( $10^6 \text{ cell}/\mu\text{l}$ )	Maximum value for RBC baseline	8.14	3.79
$T_{1/2\text{-RBC}}$ (days)	Age $_{1/2}$ of animals past since the beginning of the study for RBC baseline change	46.6	13.9
$h_{\text{RBC}}$	Hill coefficient for RBC	3.85	72.8





**Fig. 5.** Changes in baselines values of absolute blood reticulocyte counts (top left), red blood cells (top right), mean corpuscular hemoglobin (bottom left), and hemoglobin (bottom right). The observed data are represented by  $\circ$ , and the lines represent the model predictions using eq. 29 (top left), eq. 30 (top right), MCH baseline (bottom left), and eq. 28 (bottom right), respectively.

ance  $CL_{Total}(t)$  after multiple doses. The simulated mean RBC lifespan  $ML_{RBC}(t)$  is represented in Fig. 10.

## Discussion

We report a mechanism-based model developed to describe rHuEPO time-dependent PK and its erythropoietic responses in rats. The nonstationary PK of rHuEPO was successfully captured by using our proposed  $CL_{lin}$  attenuation model. Recent studies demonstrated that for large single intravenous doses in mice and rats (Agoram et al., 2009) or multiple subcutaneous injections in humans (Krzyszanski et al., 2005) rHuEPO PK is linear. We, therefore, used the RBC-driven negative retroregulation loop on  $K_{el}$  to capture the observed  $CL_{lin}$  decline. An alternative model describing EPOR down-regulation with

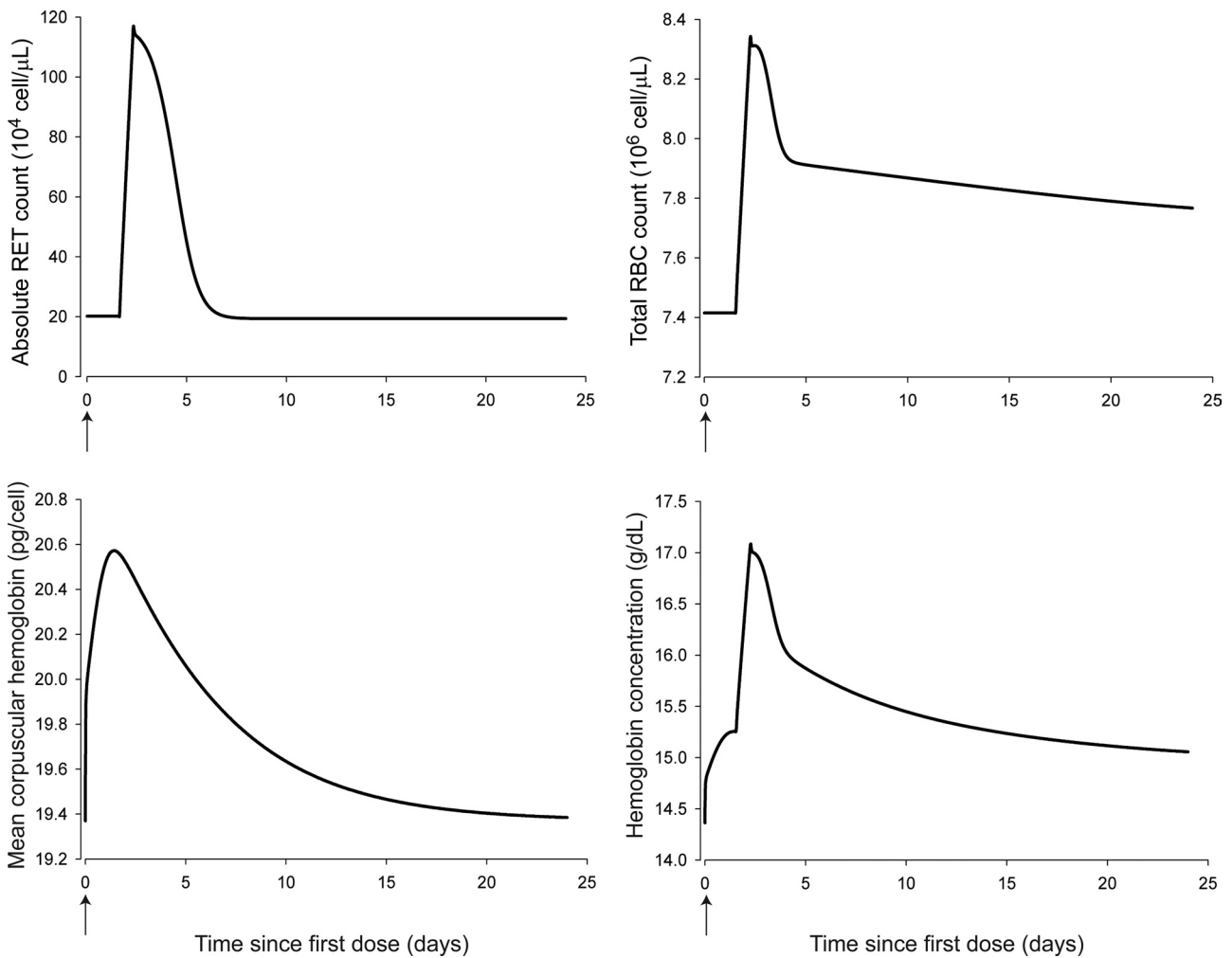
$$k_{syn}(t) = k_{syn}^* \cdot \left( \frac{BFU(0) + CFU(0)}{BFU(t) + CFU(t)} \right)$$

was tested. The fittings were comparable in both models. The Akaike information criterion was lower for our proposed model (4156.5 versus 4191.3). We selected the  $CL_{lin}$  attenuation model based on the availability of RBC measurements, because our study was not designed to measure progenitor cells in the bone marrow. Our selection is further supported

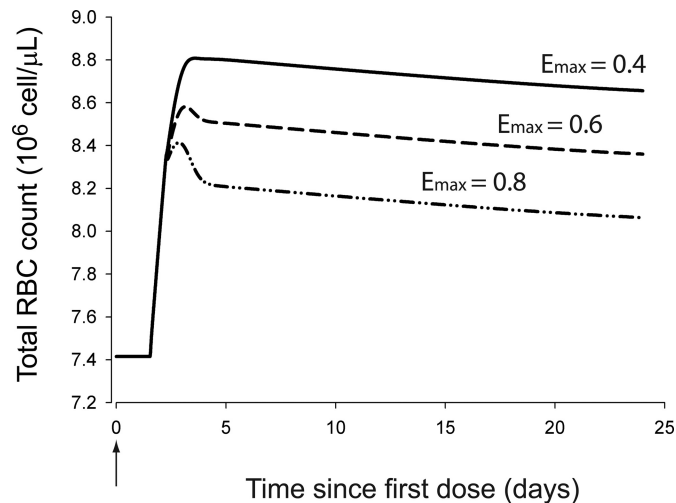
by the simulations showing  $CL_{lin}$  becoming the main clearance pathway (Fig. 9) as  $CL_{rec}$  becomes saturated (Fig. 8).

Comparison of the EPOR dynamics to the single intravenous dose data from Woo et al. (2007) shows higher binding capacity and rate of synthesis with our dosing scheme ( $k_{syn}$  and  $R_{tot}$   $\sim 77$  and  $89\%$  bigger). This faster EPOR turnover may arise from an increase in the count of progenitors bearing EPOR or an up-regulation of EPOR. Because an increase in  $R_{tot}$  caused by an amplified progenitor population size was not implemented in our model to avoid overparameterization, the former hypothesis cannot be supported. The second hypothesis is, however, more likely based on the number of PK observations below  $K_D$  (0.18 nM), indicating partial saturation of EPOR. Furthermore, EPOR endocytosis ( $1/K_{int} \sim 24$  min) and lysosomal degradation ( $1/K_{deg} \sim 12.5$  h) are comparable with *in vivo* estimates (Woo et al., 2007) and *in vitro* estimates (Gross and Lodish, 2006). This suggests that EPOR turnover is controlled by biomolecular rather than cellular trafficking mechanisms.

The observed tolerance and rebound for RETs and RBCs were well captured by the depletion of BFU cells. The bone marrow capacity to produce erythrocytes ( $K_{in}$ ) was  $98.8\%$  lower than estimated after a single rHuEPO stimulation (Woo et al., 2007). However, the single-dose model did not account for mitosis of progenitor, contrary to our model,



**Fig. 6.** Time course profiles of model-predicted hematological responses after single intravenous doses of 1350 IU/kg using the final parameter estimates and  $E_{max}$  fixed to 1. Top left, simulated RETs. Top right, simulated RBCs. Bottom left, simulated MCH. Bottom right, simulated Hb.



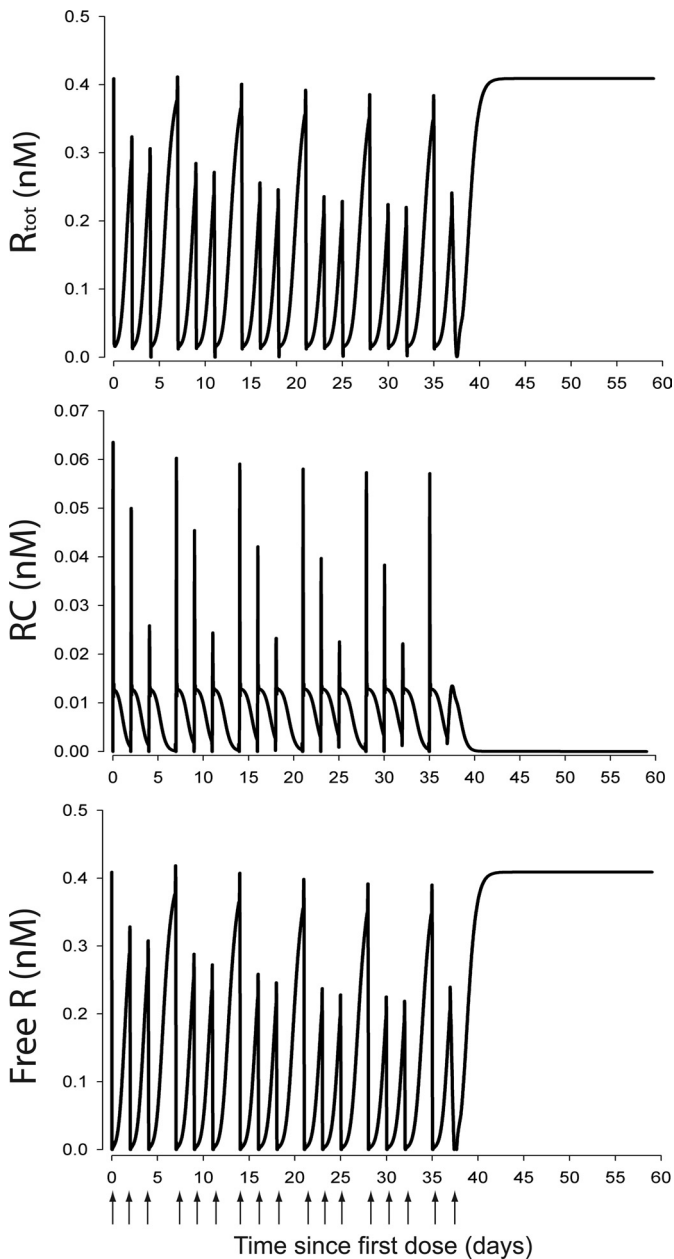
**Fig. 7.** Time course profiles of model-predicted  $RBC(t)$  after a single intravenous dose of 1350 IU/kg using the final parameter estimates and different  $E_{max}$  values.

which included an amplification factor  $2^{(m_{efu}+m_{nor})}$  between BFU and NOR. The estimate of maximum stimulatory effect on erythrocytes production ( $S_{max-epo}$ ) was consistent with the six to eight times higher production of progenitors in normal

mice bone marrow receiving rHuEPO (Mide et al., 2001). Conversely, the efficacy of rHuEPO–EPOR complexes was low ( $SC_{50-epo} \sim 4.67 \times 10^{-3}$  nM) but in the range of the measured concentrations and comparable magnitude with the average endogenous rat EPO concentration ( $6.45 \times 10^{-3}$  nM) (Woo et al., 2008).

The duration of erythropoiesis in our study was 2.27 days ( $T_{PRO} + T_{RET}$ ) and was consistent with the total duration of RET maturation (1.98 days) measured by flow cytometry (Wiczling and Krzyzanski, 2007). However, the small estimate of  $K_p$  suggests a very large lifespan of BFUs. The latter does not reflect a BFU rate loss but rather a baseline rate of depletion of factors necessary for erythropoiesis such as nutrients.

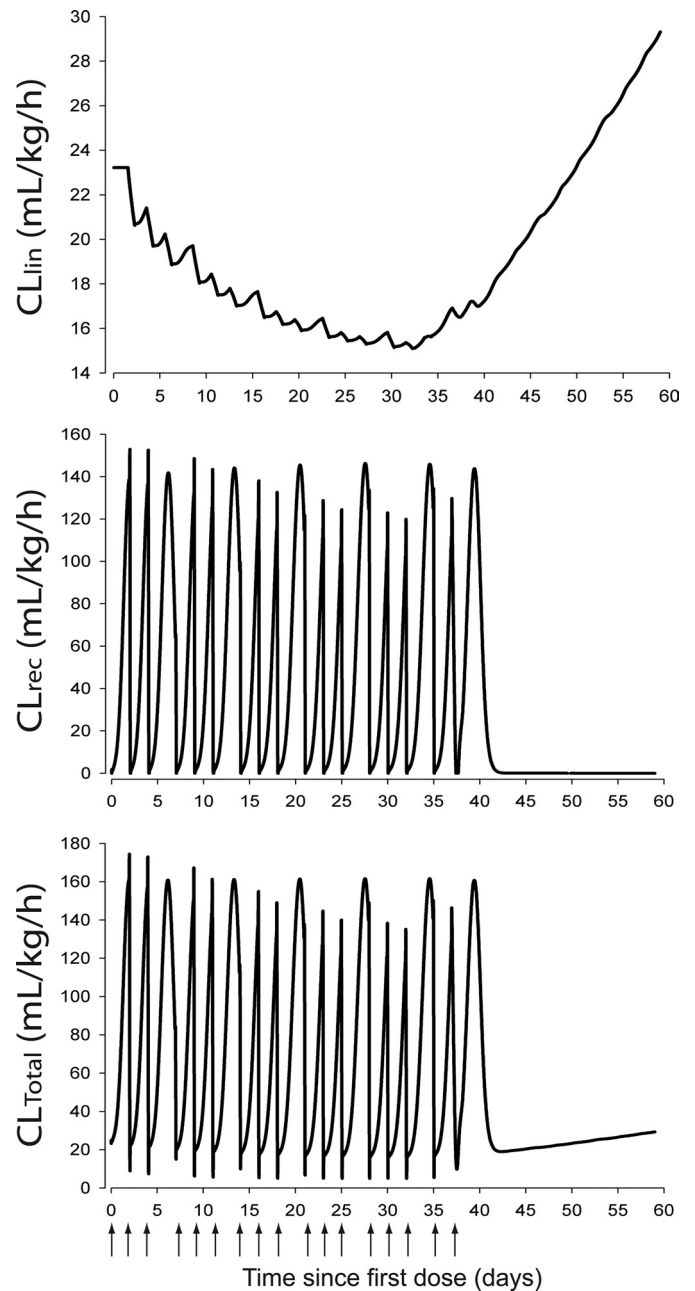
Very little is known regarding the effect of rHuEPO on the RBC lifespan. Our present assumption is that the newly born erythrocytes live shorter under conditions of repeated simulation with large rHuEPO doses to counterbalance the RBC mass (Bogdanova et al., 2007). Our proposed model is the first attempt to introduce the rHuEPO effect on  $T_{RBC}$ . The estimated  $T_{RBC}$  was shorter than normal (30.15 versus  $59.8 \pm 2.2$  days; Derelanko, 1987), and the  $ML_{RBC}(t)$  decreased with repeating administrations (Fig. 10). Two phenomena could explain this reduction. It has been hypothesized that the young erythrocytes might die soon after being



**Fig. 8.** Time course profiles of model-predicted  $R_{\text{tot}}(t)$ ,  $RC(t)$ , and  $R(t)$  concentrations. Top, total EPOR pool concentrations time course profile,  $R_{\text{tot}}(t)$ . Middle, rHuEPO–EPOR complexes concentration time course profile,  $RC(t)$ . Bottom, free EPOR concentration time course profile,  $R(t)$ .

released into the blood under stress conditions (Trial and Rice, 2004), their shortened  $T_{\text{RBC}}$  reducing the overall population  $T_{\text{RBC}}$ . Alternatively, extemporaneous internal hemorrhage caused by the increased RBC mass might cause additional loss of RBC. Although the assessment of the first phenomenon needs further investigation of the RBC survival, the second was unlikely, given the stability of platelet counts over the course of our study [242 (minimum) and 531.7 (maximum)  $10^5$  cells/ $\mu\text{L}$ ] (data not shown). Any little contribution would already be implemented in the model through the overall effect of rHuEPO on RBC loss.

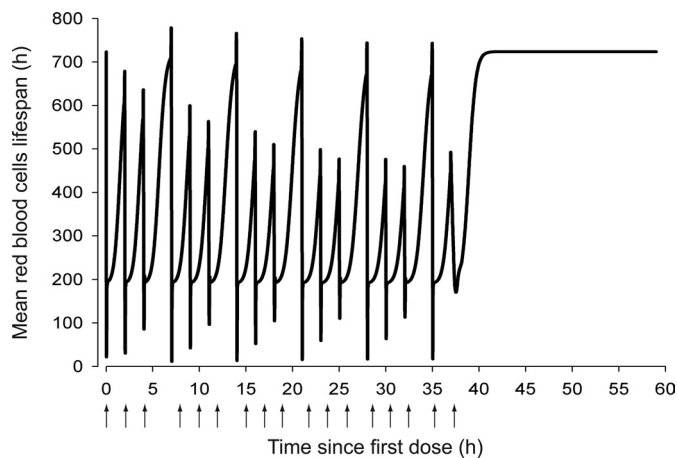
The changes in hematological baselines were previously observed in rats (Kojima et al., 1999) and were moderate during the time period of our study (8 weeks). The transition



**Fig. 9.** Time course profiles of model-predicted rHuEPO clearance pathways. Top, model-predicted linear clearance time course profile,  $CL_{\text{lin}}(t)$ . Middle, model-predicted EPOR mediated clearance time course,  $CL_{\text{rec}}(t)$ . Bottom, model-predicted total rHuEPO clearance time course,  $CL_{\text{Total}}(t)$ .

of  $RET_b$  and  $RBC_b$  from one steady state to another occurred at approximately 17 weeks of age and was consistent with a previous report (Archer et al., 1982). Two mechanisms might explain the decrease of  $RET_b$  over time: either an increase in  $RET$  death rate or their sequestration and later release as mature RBCs from a peripheral organ such as the spleen (Dornfest et al., 1971). Given the increase in  $RBC_b$ , the latter hypothesis is more likely.

It remains unknown whether exogenous EPO competes with the endogenous EPO or shuts down its production. We assumed the latter in our model, based on the lack of analytical method for endogenous rat EPO measurement and the large magnitude of difference (2–3 log) between reported



**Fig. 10.** Time course profile of model-predicted mean RBC lifespan,  $ML_{RBC}(t)$ .

endogenous and rHuEPO concentrations. For times beyond the duration of our study ( $>60$  days), RBC and Hb predictions continue to decline. This may be a consequence of the profound depletion of the BFU compartment and the prolonged time delay needed to reestablish an efficient erythropoiesis as suggested by the low  $k_p$  estimate. However, the lack of endogenous EPO production in our model also contributes to underpredicting the hematological responses at times when rHuEPO is completely cleared from the animals.

Our model predicted oscillations in RET and to a lesser extent in RBCs and Hb. Those oscillations reflect the frequency of rHuEPO administrations, the rapid dynamics of RET, and the blunted propagation of this oscillatory signal in the downstream hematological responses. Consistent trends, with low to no fluctuations in RBCs and Hb, were observed in the data, but could not be confirmed at the individual level because of the staggered sampling design. However, oscillations in RETs, RBCs, and Hb were reported in humans receiving multiple doses of rHuEPO (Krzyszanski et al., 2005), indicating that the staggered sampling design and interanimal variability were unlikely responsible for the fluctuations in hematological responses.

On a methodological note, using nonlinear mixed effects modeling would have been beneficial for the quantification of parameters, interanimal and residual variabilities, and the identification of pertinent covariates. The main obstacle against this approach is the lack of robust population PK/PD software able to solve the delay differential equations used in our model and accommodate stiff numerical integrations.

The simulations represented in Fig. 7 illustrate the difficulties of our model to capture the single-dose RBC response without alteration of  $E_{max}$ . This could result from the sparse sampling during the first dose interval ( $<48$  h). However, our model seems more relevant to the clinical use of rHuEPO that is commonly administered in multiple-dosing schedules.

The mechanism-based nature of our model makes it suitable for allometric scaling and the predictions of drug exposures and hematological responses in humans. The extrapolation from rats to humans using allometric principles (Dedrick, 1973) for volume, clearance, and lifespan parameters has been successfully applied for rHuEPO (Mager et al., 2009). Likewise, our model can extend the allometric predictions to human EPOR binding properties. The translation of

$T_{RBC}$  from our preclinical data to humans may help in better understanding the effect of rHuEPO on RBC loss. This information is important to clinicians in scheduling rHuEPO therapy in anemic patients, because rHuEPO is commonly used in a thrice/once-weekly dosing scheme for a few weeks. In contrast, the physiological turnover rates and the RBC potencies and sensitivities are expected to be similar (Woo and Jusko, 2007).

Although our findings described one dose level, we expect our model to be useful for reproducing human stress erythropoiesis and simulate different rHuEPO dosing regimens in anemic patients and neocytolysis conditions. Conceivably, simulations of  $CL_{lin}(t)$  and  $CL_{rec}(t)$  would predict rHuEPO elimination in patients suffering from anemia caused by kidney insufficiency (reduced  $CL_{lin}$ ) or bone marrow failure (reduced  $CL_{rec}$ ). In addition, the parameter  $T_D$  supports the hypothesis of shorter RBC survival in neocytolysis (Triand and Rice, 2004). The rapid linear RBC and Hb decline beginning before rHuEPO therapy withdrawal also suggests that repeated administrations of high rHuEPO doses can exhaust bone marrow capacity and result in opposite long-term responses to the desired therapeutic effects. The standard clinical practice is to increase rHuEPO doses upon lack of response. Applied to humans, our model might help the identification of a safe dose able to increase erythropoiesis without depleting bone marrow capacity. These applications illustrate how modeling can help the optimization of rHuEPO therapies and predict the erythropoietic responses in a more rationale manner than traditional approaches.

In conclusion, we describe a mechanism-based PK/PD model for rHuEPO after repeated doses. It represents the first attempt to capture rHuEPO effects on RBC loss and lifespan and the retroregulation from hematologic responses on rHuEPO PK. This model yielded physiological estimates that could be projected to humans and used to improve the clinical use of the drug.

## Appendix

We describe here in further details the PK/PD model (Fig. 1) used to capture rHuEPO serum concentrations, RET and RBC blood counts, and MCH and Hb concentrations measured after repeated administration of rHuEPO in rats.

The PK model proposed for rHuEPO serum concentrations consisted of a TMDD model with an assumption of quasi-equilibrium (Mager and Krzyszanski, 2005), defined by eqs. 1 to 7. Free rHuEPO distributes from the central compartment to the peripheral compartment with first-order rates ( $K_{pt}$  and  $K_{tp}$ ) and is eliminated from the central compartment by a linear degradation (described by the first-order elimination rate constant  $k_{el}$ ). The unbound drug ( $C_p$ ) in the central compartment binds to the free EPO receptors (R) with a second-order rate constant ( $K_{on}$ ) to form a drug-receptor complex (RC). Once formed, RC complexes may dissociate with a first-order rate constant ( $k_{off}$ ) or undergo internalization and degradation with a first-order rate constant ( $K_{int}$ ). EPOR are synthesized at a constant rate (represented by the zero-order rate constant  $k_{syn}$ ) and degraded at a first-order rate constant ( $K_{deg}$ ). The total drug concentration ( $C_{tot}$ ) represents the sum of  $C_p$  and RC, whereas the total receptor capacity ( $R_{tot}$ ) represents the sum of R and RC.



QE models assume that drug receptors form and dissociate almost instantaneously and therefore that  $C_p$ , R, and RC are constantly at equilibrium, governed by eq. 8 and the constant of dissociation  $K_D$ . In TMDD models assuming QE,  $K_D$  is estimated rather than the constants  $k_{on}$  and  $k_{off}$ . In our model,  $K_D$  value was fixed to literature value of 0.18 nM (Akahane et al., 1989). We also assumed that the linear elimination of rHuEPO from central compartment was proportional to the ratio  $RBC(0)/RBC(t)$ . Molar units were used for concentrations of  $C_{tot}$ ,  $R_{tot}$ , RC, and  $C_p$ . The measured rHuEPO concentrations were fitted to  $C_p$  after conversion to nanomolar unit by using a specific activity of 160,000 IU/mg of protein and rHuEPO molecular mass of 30.4 kDa (Fisher and Nakashima, 1992).

The endogenous EPO was not accounted for in the model because the ELISA kit used to measure rHuEPO concentrations is specific to human erythropoietin and cannot detect rat EPO. Therefore  $K_{EPO}$  was fixed to zero.

Erythropoiesis involves a great variety and number of cells at different stages of maturation, starting with BFUs, then CFUs, NORs, RETs, and ultimately RBCs. The glycoprotein EPO has been established as the major humoral regulator of RBC production. In the presence of EPO, bone marrow erythroid precursor cells survive, proliferate, mature, and differentiate into RBCs. In the absence of EPO those cells undergo apoptosis.

A previously published PD model (Woo et al., 2006) has been modified and applied to describe the time course of RETs and RBCs and Hb via the MCH after multiple intravenous stimulations with rHuEPO. The PD model assumes three medullary precursor cell compartments such as BFUs, CFUs, and NORs and blood RETs and RBCs.

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**Address correspondence to:** Wojciech Krzyzanski, Department of Pharmaceutical Sciences, 565B Hochstetter Hall, State University of New York, Buffalo, NY, 14260. E-mail: wk@buffalo.edu

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