

Published in final edited form as:

Int J Cardiol. 2011 September 15; 151(3): 278–283. doi:10.1016/j.ijcard.2010.05.056.

Cardiopulmonary Exercise Testing is equally Prognostic in Young, Middle-Aged and Older Individuals Diagnosed with Heart Failure

Ross Arena, PhD, PT¹, Jonathan Myers, PhD², Joshua Abella, MD², Sherry Pinkstaff, DPT¹, Peter Brubaker, PhD³, Dalane W. Kitzman, MD³, Mary Ann Peberdy, MD⁴, Daniel Bensimhon, MD⁵, Paul Chase, MD⁵, and Marco Guazzi, MD, PhD⁶

¹Department of Physical Therapy, Virginia Commonwealth University Richmond, Virginia

²VA Palo Alto Health Care System Cardiology Division Palo Alto, CA

³Wake Forest University, School of Medicine: Sections on Cardiology and Geriatrics Winston-Salem, NC

⁴Department of Internal Medicine, Virginia Commonwealth University Richmond, Virginia

⁵LeBauer Cardiovascular Research Foundation Greensboro, NC

⁶University of Milano, San Paolo Hospital Cardiopulmonary Laboratory, Cardiology Division Milano, Italy

Abstract

Background—Although previous research has demonstrated the prognostic value of cardiopulmonary exercise testing (CPX), these studies have exclusively focused on elderly patients with heart failure (HF). Investigations that have comprehensively examined the value of CPX across different age groups are lacking. The purpose of the present investigation was to evaluate the prognostic value of CPX in young, middle-aged and older patients with HF.

Methods—A total of 1605 subjects (age: 59.2 ± 13.7 years, 78% male) underwent CPX and were subsequently tracked for major cardiac events. Ventilatory efficiency (VE/VCO₂ slope) and peak oxygen consumption (VO₂), both absolute and percent-predicted, were determined. The prognostic value of these CPX variables was assessed in ≤ 45 , 46–65 and ≥ 66 year subgroups.

Results—The three year event rates for major cardiac events in the ≤ 45 , 46–65 and ≥ 66 year subgroups were 8.8%, 6.0% and 5.7%, respectively. The VE/VCO₂ slope (Hazard ratio ≥ 1.07 , $p < 0.001$), peak VO₂ (Hazard ratio ≤ 0.87 , $p < 0.001$) and percent-predicted peak VO₂ (Hazard ratio ≤ 0.98 , $p < 0.001$) were all significant prognostic markers in each age subgroup. While the VE/VCO₂ slope carried the greatest prognostic strength, peak VO₂ and percent-predicted peak VO₂ were retained in multivariate analyses (Residual Chi-Square ≥ 5.2 , $p < 0.05$). With respect to peak VO₂, the actual value was the more robust prognostic marker in the ≤ 45 and ≥ 66 year subgroups while the percent-predicted expression provided better predictive resolution in subjects who were 46–65 years old.

© 2010 Elsevier Ireland Ltd. All rights reserved.

Address for correspondence: Ross Arena, PhD, PT Associate Professor, Department of Physical Therapy, Box 980224 Virginia Commonwealth University, Health Sciences Campus Richmond, VA USA 23298-0224 804-828-0234 office raarena@vcu.edu.

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Conclusions—These results indicate that, irrespective of a patient's age at presentation, CPX provides valuable prognostic information in the HF population.

Keywords

Expired gas analysis; aerobic capacity; ventilatory efficiency

Introduction

Cardiopulmonary exercise testing (CPX) is routinely employed during the clinical assessment of patients with heart failure (HF), primarily to assess prognosis in those being considered for heart transplantation.[1-3] While the HF population is comprised of patients with rather heterogeneous characteristics, the initial body of research strongly establishing the value of CPX was largely performed without consideration of how specific traits impact the tests' ability to predict adverse events. More recently however, research has examined the potential impact of specific characteristics, and these studies have demonstrated that CPX remains prognostically relevant irrespective of factors such as HF etiology[4-6], sex[7], race[8] and pharmacologic management[9-10]. These investigations collectively help to support the broad application of CPX in the HF population.

The impact of a patient's age on the prognostic value of CPX has also been examined although, to this point, in an incomplete fashion. Specifically, several investigations have consistently demonstrated CPX is safe, reliable and prognostically useful in elderly patients diagnosed with HF.[11-14] While the highest incidence and prevalence of HF is clearly seen in those at an advanced age[15], a substantial number of patients diagnosed with this chronic disease are considerably younger and are also frequently referred for CPX. Thus, determining the prognostic characteristics of CPX in younger patients with HF seems to be a relevant research endeavor.

We are unaware of previous research which has comprehensively examined the impact of age on the prognostic value of CPX in the HF population. Given the premise that an abnormal response in key CPX variables reflects the level of HF disease severity irrespective of age[16], we hypothesized that the prognostic value of this exercise assessment remains prognostically significant across the adult lifespan. The purpose of the present investigation was to therefore examine the prognostic value of CPX in young, middle-aged and elderly patients diagnosed with HF.

Methods

This study was a multi-center analysis including HF patients from the exercise testing laboratories at San Paolo Hospital, Milan, Italy, Wake Forest University Baptist Medical Center, Winston-Salem, North Carolina, USA, LeBauer Cardiovascular Research Foundation, Greensboro, North Carolina, USA, VA Palo Alto Health Care System, Palo Alto, California, USA and Virginia Commonwealth University, Richmond, Virginia, USA. A total of 1605 patients with chronic HF were included. Inclusion criteria consisted of a diagnosis of HF[17] and evidence of left ventricular dysfunction (systolic dysfunction =83%; diastolic dysfunction 17%) by two-dimensional echocardiography obtained within one month of data collection. None of the patients included in this analysis suffered a myocardial infarction within three months of CPX. This investigation was approved by the local ethics committee at each institution and performed in accordance with the declaration of Helsinki. Written informed consent was obtained from subjects prior to study initiation. The authors of this manuscript have certified that they comply with the Principles of Ethical Publishing in the International Journal of Cardiology.

CPX Procedure and Data Collection

Symptom-limited CPX was performed on all subjects and pharmacologic therapy was maintained during exercise testing. Conservative ramping protocols were employed at all centers and ventilatory expired gas analysis was performed using a metabolic cart (Medgraphics CPX-D and Ultima, Minneapolis, MN, Sensormedics Vmax29, Yorba Linda, CA or Parvomedics TrueOne 2400, Sandy, UT). Before each test, the equipment was calibrated in standard fashion using reference gases. Minute ventilation (VE), oxygen uptake (VO_2), and carbon dioxide output (VCO_2) were acquired breath-by-breath, and averaged over 10-second intervals. Peak VO_2 and peak respiratory exchange ratio (RER) were expressed as the highest 10-second averaged samples obtained during the exercise test. Percent-predicted peak VO_2 was calculated according to normative values proposed by Wasserman and Hansen et al. (one of six equations according to sex and bodyweight) [18-19] Previous work by our group has demonstrated that this set of prediction equations provides optimal prognostic value.[20] VE and VCO_2 values, acquired from the initiation of exercise to peak, were input into spreadsheet software (Microsoft Excel, Microsoft Corp., Bellevue, WA) to calculate the VE/ VCO_2 slope via least squares linear regression ($y = mx + b$, m =slope). Previous work by our group and others has shown that this method of calculating the VE/ VCO_2 slope to be prognostically optimal.[16-21]

Endpoints

In the overall cohort, subjects were followed for major cardiac events (mortality, LVAD implantation, heart transplantation) via hospital and outpatient medical chart review. Subjects were followed by the HF programs at their respective institution providing a high likelihood that all events were captured. Any death with a cardiac-related discharge diagnosis was considered an event.

Statistical Analysis

A statistical software package (SPSS 17.0, Chicago, IL) was used to perform all analyses. All continuous data are reported as mean values \pm standard deviation (SD). Dichotomous categorical data are reported as percentages or frequency. Pearson product moment correlation assessed the relationship between age and both the VE/ VCO_2 slope and peak VO_2 . The correlation between measured and percent-predicted peak VO_2 was also assessed. Differences in continuous baseline and CPX variables between no-event and event HF subjects within age subgroups (≤ 46 years, 46-65 years and ≥ 66 years) were tested by two-way analysis of variance (ANOVA). Both main (no-event vs. event and age subgroup) and interaction (event status*age subgroup) effects were assessed. When a significant difference was detected amongst age subgroups, post-hoc analysis was performed by Tukey's honestly significant difference test. The chi-square test was used to determine differences in categorical variables between the event status/age subgroups. Receiver operating characteristic (ROC) curve analysis assessed the prognostic classification schemes of the VE/ VCO_2 slope, peak VO_2 and percent-predicted peak VO_2 in each age subgroup. Univariate and multivariate Cox regression analyses assessed the prognostic value of the aforementioned CPX variables and key baseline variables. Because of strong co-linearity, peak VO_2 and percent-predicted peak VO_2 were entered into separate multivariate analyses. A contingency table was used to determine relative risk ratios for major cardiac events according to previously established dichotomous threshold values for the VE/ VCO_2 slope ($</\geq 36$)[22], peak VO_2 ($\leq/\gt 10 \text{ ml} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$)[2-10] and percent-predicted peak VO_2 ($</\geq 50\%$)[20] in each age subgroup. Results from the multivariate Cox regression analysis was used to determine which expression of peak VO_2 (actual value or percent-predicted) would be used to generate relative risk ratios in each age subgroup. All statistical tests with a p-value < 0.05 were considered significant.

Results

Baseline and event characteristics are listed in Table 1. The three year event rates for major cardiac events in the ≤ 45 , 46-65 and ≥ 66 year subgroups were 8.8%, 6.0% and 5.7%, respectively. When only cardiac mortality was considered, the event rates for the age subgroups were 4.8%, 4.2% and 5.2%. The mean tracking periods for the ≤ 45 , 46-65 and ≥ 66 year subgroups were 19.3 ± 11.4 , 22.5 ± 12.2 and 24.3 ± 11.2 months, respectively. The lowest percentage of cardiac deaths was observed in the ≤ 45 subgroup while the highest was in the ≥ 66 year subgroup. As expected, there was a significant difference in age amongst the three subgroups, although no difference was detected between subjects remaining event-free and those suffering a major cardiac event. The percentage of subjects with a non-ischemic HF etiology as well as female subjects was greatest in the ≤ 45 year subgroup. The percentage of subjects with an ischemic HF etiology was greater in subjects suffering a major cardiac event in the middle-aged and older subgroups. New York Heart Association class was comparable amongst age subgroups, though a significant difference was observed between subjects remaining event-free and those suffering a major cardiac event within each age group. Left ventricular ejection fraction differed amongst the three age subgroups and was lowest in those ≤ 45 years. Moreover, LVEF was consistently lower in subjects suffering a major cardiac event in each age subgroup. While ACE inhibitor use varied, there was a clear downward trend in beta-blocker use as age progressed, which is consistent with previous findings.[23] With respect to beta-blocker use, 66% of the subjects were prescribed Carvedilol (average daily dose: 30 mg), 23% were prescribed Metoprolol (average daily dose: 80 mg), and 11% were prescribed another pharmacologic agent in this drug class. Lastly, there were no significant age group*event status interactions for any comparison.

For the overall group, the correlations between age and both the VE/VCO₂ slope ($r = 0.06$, $p < 0.05$) and peak VO₂ ($r = -0.11$, $p < 0.001$) were weak although statistically significant. The correlation between measured and percent-predicted peak VO₂ was robust ($r = 0.71$, $p < 0.001$), supporting our concerns over co-linearity and inclusion of these aerobic capacity expressions in separate survival analyses. Cardiopulmonary exercise testing results according to age subgroup and event status are listed in Table 2. None of the exercise tests were terminated secondary to ECG criteria for myocardial ischemia. Measured peak VO₂ was higher in the ≤ 45 year subgroup compared to those subjects in the 46-65 and ≥ 66 year subgroups. Conversely, percent-predicted peak VO₂ differed amongst the three age subgroups and was highest in those ≥ 66 years of age. The VE/VCO₂ slope was lower in the ≤ 45 year group compared to the ≥ 66 year group. Peak VO₂ and percent-predicted peak VO₂ were higher and the VE/VCO₂ slope was lower in subjects who were event-free compared to those suffering a major cardiac event in each age subgroup. No differences in peak RER were observed. Once again, there were no significant age group*event status interactions for any comparison.

Receiver operating characteristic curve and univariate Cox regression analysis results for CPX variables in the overall group are listed in Table 3. The VE/VCO₂ slope, peak VO₂ and percent-predicted peak VO₂ were all significant predictors of major cardiac events in each age subgroup. When only cardiac-mortality was considered an event, VE/VCO₂ slope (Area under ROC curve = 0.71, hazard ratio = 1.06, $p < 0.01$), peak VO₂ (Area under ROC curve = 0.63, hazard ratio = 0.89, $p < 0.05$) and percent-predicted peak VO₂ (Area under ROC curve = 0.62, hazard ratio = 0.96, $p < 0.05$) once again all remained significant prognostic in each subgroup. When only considering those subjects on a beta-blocking agent, peak VO₂, percent predicted peak VO₂ and the VE/VCO₂ slope all remained significant univariate prognostic markers in each age specific subgroup (Chi square: ≥ 10.7 , $p \leq 0.001$).

Multivariate Cox regression analyses for CPX variables only are listed in Table 4. The VE/VCO₂ slope was the strongest prognostic marker irrespective of age. In all multivariate analyses, peak VO₂ and percent-predicted peak VO₂ were retained in the regression. Peak VO₂ provided stronger prognostic value in the ≤45 and ≥66 year subgroups while percent-predicted peak VO₂ was the preferred expression in the 46-65 year subgroup. Figure 1 illustrates the change in relative risk according to CPX values. Compared to subjects with normal responses (a lower VE/VCO₂ slope (<36) and higher peak VO₂ (>10 ml•kg⁻¹•min⁻¹) or percent-predicted peak VO₂ (≥50%)), risk for adverse events substantially increased as subjects had one to two abnormal CPX values. When a peak VO₂ threshold of ≤/≥10 ml•kg⁻¹•min⁻¹ was substituted for a percent-predicted threshold of </≥50% in the middle-aged group, the relative risks for one and two abnormal values were 3.9 (95% CI: 2.5-6.1) and 6.4 (95% CI: 4.0-10.2), respectively. While the VE/VCO₂ slope/peak VO₂ combination produced statistically significant (p<0.001) relative risk values in middle-aged subjects, a percent-predicted VO₂ threshold of </≥50%, in place of the actual value, provided better predictive resolution in this subgroup.

Multivariate Cox regression results using both CPX and key baseline variables are listed in Table 5. The VE/VCO₂ slope was once again the strongest prognostic marker in each age subgroup. Peak VO₂ and LVEF were retained in the regression for the ≤45 and ≥66 year subgroups while NYHA class and percent-predicted peak VO₂ were retained in the 46-65 year subgroup.

Discussion

The results of the present study both addresses an area of research that has not been previously investigated as well as reinforcing the findings of previous investigations. The novel finding of the current investigation is the robust prognostic value of CPX in younger patients with HF. We are unaware of any previous investigation demonstrating the prognostic significance of ventilatory efficiency and aerobic capacity in a HF cohort with a mean age in the fourth decade of life. Additionally, our results indicate younger patients with HF referred for CPX are more likely to be female, be diagnosed with a non-ischemic etiology, present with a lower LVEF and be prescribed a beta-blocking agent. Despite these differences in baseline characteristics, the prognostic value of CPX variables in younger subjects was significant and strikingly similar to the two older cohorts, which will be addressed in a subsequent section. The majority of previous investigations in this area have investigated cohorts with a mean age greater than 50 years.[16] The robust prognostic value of CPX in the middle aged cohort was therefore an expected finding, as the majority of previous investigations in this area primarily included subjects who were middle-aged or older. Three previous investigations have demonstrated the prognostic significance of CPX exclusively in elderly HF cohorts.[12-14] These studies all demonstrated the prognostic value of both the VE/VCO₂ relationship and peak VO₂ in cohorts with a mean age greater than 70 years. The largest of these investigations included 188 subjects and 67 adverse events.[13] The results of the present investigation are consistent with the findings of these previous investigations but in a much larger cohort (n>500) with a greater number of adverse events (n=73), therefore greatly bolstering the concept that CPX is prognostic in elderly patients with HF. Lastly, peak VO₂, percent-predicted peak VO₂ and the VE/VCO₂ slope all maintained prognostic value in each subgroup when only those subjects prescribed a beta-blocking agent were considered, which is consistent with previous investigations that did not consider age.[9-10]

A progressively increasing VE/VCO₂ slope and decreasing peak VO₂ reflects the degree of disease severity in patients with HF.[24-27] Given the ability of these CPX variables to reflect the degree of pathophysiology, we postulated that an abnormal CPX response would

provide prognostic information irrespective of age, a hypothesis supported by our results. Although the correlation between age and both the VE/VCO₂ slope and peak VO₂ was statistically significant, the relationship was considerably weaker than what is commonly observed in apparently healthy cohorts.[19-28] In fact, the correlations were so weak that their statistical significance can only be attributed to the large number of subjects included in the present study (~1600) and likely has no physiologic/clinical importance. This finding in itself indicates the VE/VCO₂ and peak VO₂ response in patients with HF are more a function of disease severity than age-related trends that are observed in apparently healthy individuals. In all three age-based subgroups, subjects who remained event free had a significantly higher measured and percent-predicted peak VO₂ and a significantly lower VE/VCO₂ slope compared to subjects suffering a major cardiac event. Consistent with these mean differences, the VE/VCO₂ slope, peak VO₂ and percent-predicted peak VO₂ were all found to be robust univariate and multivariate prognostic markers in young, middle-aged and older subjects with HF. Moreover, the stepwise increase in relative risk for adverse events according to established prognostic thresholds for these CPX variables was strikingly similar across age subgroups; subjects with both an abnormal peak VO₂ and abnormal VE/VCO₂ slope have 6-8 times the risk of adverse events (Figure 1). Therefore, it appears an abnormally elevated VE/VCO₂ slope and/or diminished peak VO₂ reflects poor prognosis in a consistent manner across the adult lifespan in patients diagnosed with HF.

The majority of previous literature comparing the prognostic power of the VE/VCO₂ slope and peak VO₂ has demonstrated that the former is superior to the latter. Nevertheless, a multivariate approach, assessing both ventilatory efficiency and aerobic capacity, is thought to provide a higher level of predictive resolution compared to assessment of either variable in isolation.[16] The current findings support the collective assessment of the VE/VCO₂ slope and peak VO₂ irrespective of patient age. For example, a VE/VCO₂ slope of 42 in combination with a peak VO₂ of 9.0 ml • kg⁻¹ may be equally ominous in two patients whose ages are 35 and 75 years, respectively. In addition, while both the measured and percent-predicted peak VO₂ expressions were significant univariate predictors of major cardiac events, there appears to be an age-related influence on which one provides optimal prognostic information. The measured peak VO₂ value may be preferable to percent-predicted expressions in young and older patients with HF while the opposite is true for middle-aged individuals. This finding may be attributable to the ability of peak VO₂ prediction equations to provide a more accurate representation of what is truly a normal value in middle-aged subjects compared to those who are either younger or elderly. Irrespective of these findings, both measured and percent-predicted peak VO₂ should be reported in HF patients undergoing a CPX, as both values demonstrate prognostic value. Future research is needed to confirm the influence of age on the prognostic characteristics of different expressions of aerobic capacity in this population.

Demonstrating the prognostic value of CPX in younger patients with HF was a novel finding. This younger subgroup was however, comprised of the smallest number of subjects and had the fewest adverse events, diminishing the strength of conclusions that can be drawn at the present time. Future research is needed to confirm our findings in younger patients with HF. As with research examining the prognostic characteristics of elderly patients, several investigations from independent research groups are needed to support the results of the present investigation. I

In conclusion, CPX continues to be an important clinical assessment portending powerful prognostic information in patients with HF. The HF population is comprised of patients with rather diverse characteristics, one of them being the age at which they are diagnosed with this chronic disease. The results of the present study indicate CPX provides consistently powerful prognostic information across the adult lifespan in patients with HF.

Acknowledgments

Coats AJ. Ethical authorship and publishing. *Int J Cardiol* 2009; 131: 149-50.

Supported in part by NIH grants R37AG18915 and P60AG10484

Reference List

1. Gibbons RJ, Balady GJ, Beasley JW, et al. ACC/AHA Guidelines for Exercise Testing. A report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee on Exercise Testing). *J Am Coll Cardiol*. 1997; 30:260–311. [PubMed: 9207652]
2. Gibbons RJ, Balady GJ, Timothy BJ, et al. ACC/AHA 2002 guideline update for exercise testing: summary article. A report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Committee to Update the 1997 Exercise Testing Guidelines). *J Am Coll Cardiol*. 2002; 40:1531–1540. [PubMed: 12392846]
3. Jessup M, Abraham WT, et al. 2009 Focused Update: ACCF/AHA Guidelines for the Diagnosis and Management of Heart Failure in Adults: A Report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines: Developed in Collaboration With the International Society for Heart and Lung Transplantation. *Circulation*. 2009; 119:1977–2016. [PubMed: 19324967]
4. Arena R, Myers J, Abella J, Peberdy MA. Influence of Heart Failure Etiology on the Prognostic Value of Peak Oxygen Consumption and Minute Ventilation/Carbon Dioxide Production Slope. *Chest*. 2005; 128:2812–2817. [PubMed: 16236959]
5. Guazzi M, Myers J, Arena R. Cardiopulmonary Exercise Testing in the Clinical and Prognostic Assessment of Diastolic Heart Failure. *Journal of the American College of Cardiology*. 2005; 46:1883–1890. [PubMed: 16286176]
6. Guazzi M, Myers J, Peberdy MA, Bensimhon D, Chase P, Arena R. Exercise oscillatory breathing in diastolic heart failure: prevalence and prognostic insights. *European Heart Journal*. 2008; 29:2751–2759. [PubMed: 18836201]
7. Guazzi M, Arena R, Myers J. Comparison of the prognostic value of cardiopulmonary exercise testing between male and female patients with heart failure. *International Journal of Cardiology*. 2006; 113:395–400. [PubMed: 16650490]
8. Arena R, Myers J, Abella J, et al. Prognostic characteristics of cardiopulmonary exercise testing in caucasian and African American patients with heart failure. *Congest Heart Fail*. 2008; 14:310–315. [PubMed: 19076854]
9. Arena RA, Guazzi M, Myers J, Abella J. The Prognostic Value of Ventilatory Efficiency with Beta-Blocker Therapy in Heart Failure. *Med Sci Sports Exerc*. 2007; 39:213–219. [PubMed: 17277583]
10. O'Neill JO, Young JB, Pothier CE, Lauer MS. Peak Oxygen Consumption as a Predictor of Death in Patients With Heart Failure Receiving {beta}-Blockers. *Circulation*. 2005; 111:2313–2318. [PubMed: 15867168]
11. Scardovi AB, Coletta C, De MR, et al. The cardiopulmonary exercise test is safe and reliable in elderly patients with chronic heart failure. *J Cardiovasc Med (Hagerstown)*. 2007; 8:608–612. [PubMed: 17667032]
12. Davies LC, Francis DP, Piepoli M, Scott AC, Ponikowski P, Coats AJ. Chronic heart failure in the elderly: value of cardiopulmonary exercise testing in risk stratification. *Heart*. 2000; 83:147–151. [PubMed: 10648485]
13. Cicoira M, Davos CH, Florea V, et al. Chronic heart failure in the very elderly: clinical status, survival, and prognostic factors in 188 patients more than 70 years old. *Am Heart J*. 2001; 142:174–180. [PubMed: 11431675]
14. Mejhert M, Linder-Klingsell E, Edner M, Kahan T, Persson H. Ventilatory variables are strong prognostic markers in elderly patients with heart failure. *Heart*. 2002; 88:239–243. [PubMed: 12181213]

15. Lloyd-Jones D, Adams R, Carnethon M, et al. Heart Disease and Stroke Statistics--2009 Update: A Report From the American Heart Association Statistics Committee and Stroke Statistics Subcommittee. *Circulation*. 2009; 119:e21–181. [PubMed: 19075105]
16. Arena R, Myers J, Guazzi M. The clinical and research applications of aerobic capacity and ventilatory efficiency in heart failure: an evidence-based review. *Heart Fail Rev*. 2008; 13:245–269. [PubMed: 17987381]
17. Radford MJ, Arnold JM, Bennett SJ, et al. ACC/AHA Key Data Elements and Definitions for Measuring the Clinical Management and Outcomes of Patients With Chronic Heart Failure: A Report of the American College of Cardiology/American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Heart Failure Clinical Data Standards): Developed in Collaboration With the American College of Chest Physicians and the International Society for Heart and Lung Transplantation: Endorsed by the Heart Failure Society of America. *Circulation*. 2005; 112:1888–1916. [PubMed: 16162914]
18. Hansen JE, Sue DY, Wasserman K. Predicted values for clinical exercise testing. *Am Rev Respir Dis*. 1984; 129:S49–S55. [PubMed: 6421218]
19. Wasserman, K.; Hansen, JE.; Sue, DY.; Stringer, W.; Whipp, BJ. Normal Values. In: Weinberg, R., editor. *Principles of Exercise Testing and Interpretation*. Lippincott Williams and Wilkins; Philadelphia: 2005. p. 160-182.
20. Arena R, Myers J, Abella J, et al. Determining the Preferred Percent-Predicted Equation for Peak Oxygen Consumption in Patients With Heart Failure. *Circ Heart Fail*. 2009; 2:113–120. [PubMed: 19808326]
21. Bard RL, Gillespie BW, Clarke NS, Egan TG, Nicklas JM. Determining the Best Ventilatory Efficiency Measure to Predict Mortality in Patients with Heart Failure. *The Journal of Heart and Lung Transplantation*. 2006; 25:589–595. [PubMed: 16678039]
22. Arena R, Myers J, Abella J, et al. Development of a Ventilatory Classification System in Patients With Heart Failure. *Circulation*. 2007; 115:2410–2417. [PubMed: 17452607]
23. Maggioni AP, Sinagra G, Opasich C, et al. Treatment of chronic heart failure with {beta} adrenergic blockade beyond controlled clinical trials: the BRING-UP experience. *Heart*. 2003; 89:299–305. [PubMed: 12591836]
24. Reindl I, Wernecke KD, Opitz C, et al. Impaired ventilatory efficiency in chronic heart failure: possible role of pulmonary vasoconstriction. *Am Heart J*. 1998; 136:778–785. [PubMed: 9812071]
25. De Feo S, Franceschini L, Brighetti G, et al. Ischemic etiology of heart failure identifies patients with more severely impaired exercise capacity. *International Journal of Cardiology*. 2005; 104:292–297. [PubMed: 16186059]
26. Passino C, Poletti R, Bramanti F, Prontera C, Clerico A, Emdin M. Neuro-hormonal activation predicts ventilatory response to exercise and functional capacity in patients with heart failure. *Eur J Heart Fail*. 2006; 8:46–53. [PubMed: 16112902]
27. Tumminello G, Guazzi M, Lancellotti P, Pi-rard LA. Exercise ventilation inefficiency in heart failure: pathophysiological and clinical significance. *European Heart Journal*. 2007; 28:673–678. [PubMed: 17124197]
28. Sun XG, Hansen JE, Garatachea N, Storer TW, Wasserman K. Ventilatory efficiency during exercise in healthy subjects. *Am J Respir Crit Care Med*. 2002; 166:1443–1448. [PubMed: 12450934]

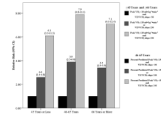


Figure 1.
Relative Risk Values in Each Age Subgroup According to Dichotomous Thresholds for Poor CPX Response*
* Compared to referent group, all relative risk ratios were statistically significant (p<0.01)

Table 1

Baseline Characteristics and Therapy Distribution According to Age and Event Status

<i>Baseline Characteristics</i>	Overall Group (n=1605)	≤45 years: No event (n=218)	≤45 years: Event (n=45)	46-65 years: No event (n=700)	46-65 years: Event (n=107)	≥65 years: No event (n=462)	≥65 years: Event (n=73)
Age, years ^d	59.2 ±13.7	36.4 ±6.5	35.7 ±8.3	57.1 ±5.4	57.5 ±5.8	73.5 ±5.6	74.0 ±5.8
Sex, % Male ^b	78	65	69	80	81	80	100
Etiology, %							
Isch./Non-Isch, ^c	46/54	15/85	14/86	51/49	61/39	54/46	59/41
NYHA Class ^d	2.5 ±0.55	2.4 ±0.71	2.7 ±0.64	2.4 ±0.54	2.8 ±0.62	2.4 ±0.37	2.6 ±0.53
LVEF, % ^e	32.6 ±1 ±14.3	29.6 ±11.3	20.3 ±9.7	32.3 ±14.2	24.8 ±12.7	38.2 ±14.6	31.2 ±13.3
<i>Event Type</i>							
death/transplant/LVAD ^f	162/44/19	---	24/14/7	---	74/24/9	---	64/6/3
<i>Therapy Distribution, %</i>							
ACE Inhibitor	73	81	68	64	62	79	63
Beta-Blocker	63	78	78	66	61	52	52

^aAll three age groups significantly different (p<0.001); No difference according to event status or interaction effects (p≥0.50)

^bSignificantly greater percent of females in the ≤45 years group compared to both others (p<0.001); Significantly greater percent of males in the ≥65 years group compared to both others (p<0.001)

^cPercentage of subjects in the ≤45 years group with non-ischemic etiology significantly greater than both others (p<0.001); Percentage of subjects with ischemic etiology suffering a cardiac event significantly greater compared to subjects not suffering a cardiac event in both the 45-65 and ≥65 years groups (p<0.05)

^dSignificant difference within each age group according to event status (p<0.001); No interaction effects (p>0.20)

^eAll three age groups significantly different (p<0.001); Significant difference within each group according to event status (p<0.001); No interaction effects (p>0.90)

^fSignificantly lower percentage of cardiac deaths in ≤45 years group compared to both others (p<0.05); Significantly higher percentage of cardiac deaths in ≥65 years group compared to both others (p<0.001)

Table 2

Cardiopulmonary Exercise Test Data According to Age and Event Status

	Overall Group (n=1605)	≤45 years: No event (n=218)	≤45 years: Event (n=45)	46-65 years: No event (n=700)	46-65 years: Event (n=107)	≥65 years: No event (n=462)	≥65 years: Event (n=73)
Peak VO ₂ , ml • kg ⁻¹ • min ⁻¹ ^a	16.7 ±6.6	19.2 ±7.7	14.0 ±4.7	17.4 ±6.6	12.3 ±4.3	16.5 ±5.8	12.4 ±3.8
Percent-Predicted Peak VO ₂ , % ^b	70.8 ±36.9	59.5 ±25.5	43.3 ±17.6	71.3 ±35.2	46.0 ±20.5	84.6 ±41.6	66.4 ±37.5
VE/VCO ₂ slope ^c	34.5 ±9.5	32.2 ±9.2	39.9 ±10.1	33.0 ±8.2	42.1 ±11.7	34.2 ±8.4	42.3 ±11.0
Peak RER	1.09 ±0.14	1.09 ±0.13	1.08 ±0.10	1.09 ±0.15	1.09 ±0.15	1.09 ±0.14	1.10 ±0.16

^a ≤45 years age group significantly different from other two groups (p<0.01); Significant difference within each age group according to event status (p<0.001)

^b All three age groups significantly different (p<0.001); Significant difference within each age group according to event status (p<0.001)

^c ≤45 years age group significantly different from ≥65 age group (p<0.05); Significant difference within each age group according to event status (p<0.001)

Table 3

Receiver Operating Characteristic and Univariate Cox Regression Analysis for Cardiopulmonary Exercise Test Variables According to Age Subgroup: All Events

	Area Under ROC Curve (95% CI)	p-value	Hazard Ratio (95% CI)	p-value
VE/VCO₂ Slope				
≤45 years	0.76 (0.69-0.82)	<0.001	1.07 (1.04-1.09)	<0.001
46-65 years	0.76 (0.71-0.81)	<0.001	1.07 (1.05-1.08)	<0.001
≥65 years	0.75 (0.70-0.81)	<0.001	1.07 (1.05-1.08)	<0.001
Peak VO₂				
≤45 years	0.70 (0.62-0.78)	<0.001	0.87 (0.82-0.92)	<0.001
46-65 years	0.75 (0.70-0.80)	<0.001	0.85 (0.81-0.88)	<0.001
≥65 years	0.73 (0.67-0.79)	<0.001	0.84 (0.80-0.89)	<0.001
Percent-Predicted Peak VO₂				
≤45 years	0.71 (0.63-0.79)	<0.001	0.96 (0.94-0.97)	<0.001
46-65 years	0.77 (0.73-0.82)	<0.001	0.96 (0.95-0.97)	<0.001
≥65 years	0.68 (0.61-0.75)	<0.001	0.98 (0.97-0.99)	<0.001

Table 4

Multivariate Cox Regression Analysis for Cardiopulmonary Exercise Test Variables According to Age Subgroup

≤45 years (n=263; 45 events)	46-65 years (n=807; 107 events)	≥65 years (n=535; 73 events)
	<u>Strongest Multivariate Predictor</u>	
VE/VCO ₂ Slope Chi Square: 38.8, p<0.001*	VE/VCO ₂ Slope Chi Square: 119.5, p<0.001*	VE/VCO ₂ Slope Chi Square: 72.8, p<0.001*
	<u>Secondary Multivariate Predictor</u>	
	Multivariate Regression #1: Peak VO₂	
<i>Residual Chi-Square: 10.7, p<0.01*,#</i>	<i>Residual Chi-square: 25.9, p<0.001*</i>	<i>Residual Chi-Square: 13.7, p<0.001*,#</i>
	Multivariate Regression #2: Percent-Predicted Peak VO₂	
Residual Chi-Square: 9.5, p<0.01*	<i>Residual Chi-square: 26.1, p<0.001*,#</i>	Residual Chi-Square: 5.2, p<0.05*

* Retained in multivariate regression

Stronger secondary prognostic marker

Table 5

Multivariate Cox Regression Analysis for Baseline and Cardiopulmonary Exercise Test Variables According to Age Subgroup

≤45 years (n=263; 45 events)	46-65 years (n=807; 107 events)	≥65 years (n=535; 73 events)
	<u>Strongest Multivariate Predictor</u>	
VE/VCO ₂ Slope Chi Square: 38.8, p<0.001*	VE/VCO ₂ Slope Chi Square: 119.5, p<0.001*	VE/VCO ₂ Slope Chi Square: 72.8, p<0.001*
	<u>Secondary Multivariate Predictors</u>	
LVEF Residual Chi-Square: 17.0, p<0.001* Univariate Chi-Square: 20.1, p<0.001#	NYHA Class Residual Chi-square: 11.8, p<0.01* Univariate Chi-Square: 29.0, p<0.001#	Peak VO ₂ Residual Chi-Square: 9.5, p<0.01* Univariate Chi-Square: 23.6, p<0.001#
Peak VO ₂ Residual Chi-Square: 7.4, p<0.01* Univariate Chi-Square: 16.9, p<0.001#	Percent-Predicted Peak VO ₂ Residual Chi-Square: 7.3, p<0.01* Univariate Chi-Square: 37.3, p<0.001#	LVEF Residual Chi-Square: 8.6, p<0.01* Univariate Chi-Square: 16.3, p=0.02#
NYHA Class Residual Chi-square: 0.10, p=0.76 Univariate Chi-Square: 9.1, p<0.01#	LVEF Residual Chi-square: 2.3, p=0.13 Univariate Chi-Square: 25.6, p<0.001#	NYHA Class Residual Chi-square: 1.8, p=0.12 Univariate Chi-Square: 10.4, p<0.01#
HF Etiology Residual Chi-Square: 0.03, p=0.87 Univariate Chi-Square: 0.11, p=0.74	HF Etiology Residual Chi-Square: 0.67, p=0.41 Univariate Chi-Square: 3.4, p=0.06	HF Etiology Residual Chi-Square: 0.86, p=0.34 Univariate Chi-Square: 2.2, p=0.14

* Retained in multivariate regression

Significant Univariate Predictor