# Kinetic modeling of the serotonin 5-HT<sub>1B</sub> receptor radioligand [<sup>11</sup>C]P943 in humans

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[<sup>11</sup>C]P943 is a new radioligand recently developed to image and guantify serotonin 5-Hydroxytryptamine (5-HT<sub>1B</sub>) receptors with positron emission tomography (PET). The purpose of this study was to evaluate [11C]P943 for this application in humans, and to determine the most suitable quantification method. Positron emission tomography data and arterial input function measurements were acquired in a cohort of 32 human subjects. Using arterial input functions, compartmental modeling, the Logan graphical analysis, and the multilinear method MA1 were tested. Both the two tissue-compartment model and MA1 provided good fits of the PET data and reliable distribution volume estimates. Using the cerebellum as a reference region,  $BP_{ND}$  binding potential estimates were computed. [<sup>11</sup>C]P943 BP<sub>ND</sub> estimates were significantly correlated with in vitro measurements of the density of 5-HT<sub>1B</sub> receptors, with highest values in the occipital cortex and pallidum. To evaluate noninvasive methods, two- and three-parameter graphical analyses, Simplified Reference Tissue Models (SRTM and SRTM2), and Multilinear Reference Tissue Models (MRTM and MRTM2) were tested. The MRTM2 model provided the best correlation with MA1 bindingpotential estimates. Parametric images of the volume of distribution or binding potential of [<sup>11</sup>C]P943 could be computed using both MA1 and MRTM2. The results show that [11C]P943 provides quantitative measurements of 5-HT<sub>1B</sub> binding potential.

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## Introduction

Serotonin mediates numerous effects in the central nervous system by binding to a large family of

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receptors (Hoyer *et al*, 2002) with various structures, functions, and pharmacology. Six families of metabotropic receptors and one family of ionotropic receptors are known. The 5-Hydroxytryptamine (5-HT<sub>1</sub>) receptor family includes three subtypes of receptors that inhibit the formation of cAMP (cyclic adenosine monophosphate) and have been shown to be functional *in vivo*: the 5-HT<sub>1A</sub>, 5-HT<sub>1B</sub>, and 5-HT<sub>1D</sub> receptors.

The 5-HT<sub>1B</sub> receptor has been shown to be involved in several physiologic functions, behaviors, and psychiatric diseases (for a review, see Sari, 2004). In particular, 5-HT<sub>1B</sub> receptors are expressed as auto-receptors on serotoninergic neurons (Engel *et al*, 1986), and as hetero-receptors on nonserotoninergic neurons (Maura and Raiteri, 1986). Several studies have indicated that 5-HT<sub>1B</sub> receptors are located on presynaptic terminals (Boschert *et al*, 1994; Sari *et al*, 1999), where they inhibit the release of neurotransmitters, including serotonin (Martin *et al*, 1992) and others (e.g., acetylcholine, Maura

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and Raiteri, 1986).  $5\text{-HT}_{1\text{B}}$  receptors have been shown to be involved in locomotor activity, drug abuse reinforcement, migraine, anxiety states (Lin and Parsons, 2002), aggressive behavior, and depression (Moret and Briley, 2000). In particular,  $5\text{-HT}_{1\text{B}}$ antagonists have been analyzed as anxiolytics and antidepressants (Hudzik *et al*, 2003; Dawson *et al*, 2006), and  $5\text{-HT}_{1\text{B}}/5\text{-HT}_{1\text{D}}$  agonists are used for the treatment of migraine (Arulmozhi *et al*, 2005).  $5\text{-HT}_{1\text{B}}$  receptors have also been investigated to explain the antidepressant action of lithium (Chenu and Bourin, 2006).

A 5-HT<sub>1B</sub> receptor radioligand suitable for positron emission tomography (PET) imaging would be useful to study the function of these receptors *in vivo* and to investigate their role in pathophysiology. Only recently, two selective 5-HT<sub>1B</sub> radioligands, [<sup>11</sup>C]P943 (Nabulsi *et al*, 2007) and [<sup>11</sup>C]AZ10419369 (Pierson *et al*, 2008), have been developed and evaluated *in vivo* as PET imaging agents.

(R-1-[4-(2-methoxy-isopropyl)-phenyl]-3-P943 [2-(4-methyl-piperazin-1-yl)benzyl]-pyrrolidin-2-one) is a potent 5-HT<sub>1B</sub> antagonist in vitro, which binds with high affinity to the human 5-HT<sub>1B</sub> receptors expressed in HeLa cells ( $K_i = 0.77 \text{ nmol/L}$ ) (McCarthy et al, 2007; US patent application number 20080206137; see Supplementary data). In the aforementioned study, the affinity of P943 for other 5-HT and non-5-HT receptors was at least 100-fold lower, making this a highly 5-HT<sub>1B</sub>-selective ligand. In a subsequent study, P943 affinity was confirmed to be at least 1,000-fold higher for 5-HT<sub>1B</sub> receptors  $(K_i = 1.2 \text{ nmol/L})$  than for 5-HT<sub>2A</sub>, 5-HT<sub>2B</sub>, 5-HT<sub>2C</sub>, and 5-H<sub>7</sub> receptors ( $K_i > 1 \mu \text{mol/L}$ ), more than 100 times higher than for 5-HT<sub>3</sub> receptors ( $K_i = 157 \text{ nmol/L}$ ), and more than 50 times higher than for  $5-HT_{1A}$ receptors ( $K_i = 62 \text{ nmol/L}$ ) (data obtained from the NIMH Psychoactive Drug Screening Program; see Supplementary data). P943 affinity for  $5-HT_{1D}$ receptors was only 10 times lower than for  $5-HT_{1B}$ receptors ( $K_i = 12 \text{ nmol/L}$ ); however, the density of  $5-HT_{1D}$  receptors is also at least fivefold lower than that of 5-HT<sub>1B</sub> receptors (Varnäs *et al*, 2001); therefore, the fraction of [<sup>11</sup>C]P943 in vivo binding due to 5-HT<sub>1D</sub> receptors is expected to be negligible. The in vivo specificity of [11C]P943 binding was confirmed in rhesus monkey during a blockade study using the 5-HT<sub>1B/1D</sub> antagonist, GR127935 (see Supplementary data). P943 behaves as an antagonist *in vitro*, blocking the reduction in adenylate cyclase activity elicited in the isolated guinea pig substantia nigra by a 5-HT<sub>1B</sub> agonist (McCarthy *et al*, 2007).

The aims of this study were to evaluate the suitability of  $[^{11}C]P943$  to quantify the binding potential of 5-HT<sub>1B</sub> receptors in humans, and to determine the most accurate and precise quantification method. Dynamic PET images and arterial input functions were measured in human subjects to model  $[^{11}C]P943$  brain kinetics. Noninvasive methods avoiding arterial input function measurements and allowing high-resolution parametric imaging were also investigated.

# Materials and methods

#### Subjects

A total of 32 subjects were included in the study to evaluate the kinetic modeling methods over a broad range of subjects. Among these subjects, 8 were healthy normal subjects and 24 were noncontrol subjects. Noncontrol subjects had psychiatric disorders, such as depression or post-traumatic stress disorders (PTSD), but did not have neurodegenerative disorders. As part of the screening procedure, magnetic resonance (MR) images were acquired for each subject to exclude those with brain abnormalities. Psychiatric evaluation included a clinical interview and application of the SCID (Structured Clinical Interview for Diagnostic) for DSM-IV (Statistical Manual of Mental Disorders, 4th Edition) axis I disorders. Patients with depression and PTSD were all medication-naive, and no use of medications was allowed during the study. The absence of recent substance use was determined by selfreport and confirmed by urine toxicology and Breathalyzer on both the day of screening and on the PET scan day, before tracer injection. Moreover, this study only includes subjects whose arterial input function was successfully measured.

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This study was conducted under protocols approved by the Yale School of Medicine Human Investigation Committee, the Human Subjects Subcommittee of the Veterans Affairs Connecticut Healthcare System, and the Yale Radiation Safety Committee. Subjects were recruited by public advertisement. The study protocol described methods, procedures, and prespecified inclusion/exclusion criteria. Written informed consents were obtained from all participants after a complete explanation of study procedures.

#### Radiochemistry

[<sup>11</sup>C]P943 was prepared by *N*-methylation of the precursor with [<sup>11</sup>C]methyl triflate, using the PETtrace cyclotron, MeI Microlab, and a TRACERLab FxC automated synthesizer (GE Healthcare, Chalfont St Giles, UK) as previously described (Nabulsi *et al*, 2007). Both the P943 standard and the *N*desmethyl precursor were provided by Pfizer (Groton, CT, USA). See Supplementary data for additional details.

#### **Positron Emission Tomography Imaging**

In preparation for the scan, the antecubital vein and the radial artery were cannulated for radiotracer injection and blood sampling, respectively. Positron emission tomography imaging was performed using the high-resolution research tomograph (Siemens/CTI, Knoxville, TN, USA), which acquires 207 slices (1.2 mm slice separation) with a reconstructed image resolution of ~3 mm. After a transmission scan,  $585 \pm 139 \text{ MBq}$  of [<sup>11</sup>C]P943 was injected over 1 min using an infusion pump (Harvard PHD 22/2000, Harvard Apparatus, Holliston, MA, USA). The tracer-specific activity at the time of injection was  $150 \pm 79 \text{ MBq/nmol}$ . The injected mass was  $2.3 \pm 1.7 \mu g$ 

(max 9.6  $\mu$ g) or 0.028 ± 0.017  $\mu$ g/kg (max 0.092  $\mu$ g/kg). List mode data were acquired for 120 mins.

#### **Arterial Input Function Measurement**

Whole Blood and Plasma: Continuous and sequential discrete blood samples were obtained. For the first 7 mins, arterial blood was collected continuously using a peristaltic pump (4 mL/min), and radioactivity in whole blood was measured using a cross-calibrated radioactivity monitor (PBS-101, Veenstra Instruments, Joure, The Netherlands). During this period, the pump was stopped to collect samples at 3 and 5 mins. Subsequently, discrete blood samples were collected at 7, 10, 15, 20, 25, 30, 40, 50, 60, 75, 90, 105, and 120 mins after injection. The plasma was separated from blood cells by centrifugation  $(3,900 g \text{ for } 5 \text{ mins at } 4^{\circ}\text{C};$ Allegra X-22R Centrifuge, Beckman Coulter, Fullerton, CA, USA). Whole blood and plasma samples were counted in a cross-calibrated well counter (Wizard 1480, Perkin-Elmer, Waltham, MA, USA). The plasma time-activity curve (TAC) during the first 7 mins was estimated from the continuous whole blood TAC. The ratio of the whole-blood-over-plasma concentration was calculated for each sample collected between 3 and 30 mins, fitted to a linear function, and then extrapolated between 0 and 7 mins.

*Plasma-Free Fraction*: Radiolabeled [<sup>11</sup>C]P943 (740 kBq) was added ( < 25 μL/mL) to a blood sample collected before injection to produce a blood standard. After mixing and centrifugation, plasma water was separated from plasma proteins using ultrafiltration tubes (Centrifree UF device number 4104, Millipore, Billerica, MA, USA) and centrifugation (1,100 g for 20 mins; IEC Medilite centrifuge, Thermo Fisher Scientific, Waltham, MA, USA). Plasma and water samples were counted in triplicate, and free fraction (*f*<sub>P</sub>) was estimated as the ratio of the mean concentration in water and plasma.

Determination of Ligand Metabolism in Plasma: For selected samples (10, 20, 40, 60, and 90 mins after injection) plasma proteins were precipitated using acetonitrile (1 mL/mL plasma) and centrifugation (14,000 g for 4 mins; minispin plus centrifuge, Eppendorf, Westbury, NY, USA). The supernatant was counted and analyzed by reverse-phase HPLC (high performance liquid chromatography). The HPLC system consisted of an isocratic pump (LC-20A1, Shimadzu, Kyoto, Japan), an injector (7725i, Rheodyne, Rohnert Park, CA, USA) equipped with a 2 mL sample loop, and a C18 SymmertyPrep column  $(7.8 \times 300 \text{ mm}^2, 7 \mu \text{m}, \text{Waters}, \text{Milford}, \text{MA}, \text{USA})$ . The column was eluted with acetonitrile and aqueous 50 mmol/L ammonium acetate (pH 5.8 (55/45)) at a flow rate of 2.8 mL/min. The output of the HPLC column was connected to a fraction collector (CF-1 Fraction Collector, Spectrum Chromatography, Houston, TX, USA). Fractions were collected every minute and counted in a well counter.

The ratio of the radioactivity concentrations in the supernatant and plasma was obtained and fitted to a quadratic curve  $(f_s)$ . The unchanged fraction in the

supernatant was fitted to an integrated  $\gamma$ -function:

$$f_{\rm H}(t) = a \left( 1 - \frac{|b|}{1+|b|} \frac{\int\limits_{0}^{\rm ct} e^{-u} u^{d-1} du}{\int\limits_{0}^{\infty} e^{-u} u^{d-1} du} \right)$$
(1)

For improved consistency of the fits, the fourth parameter d was fixed at 2.2 (i.e. the mean value obtained with unconstrained four-parameter fits over all subjects). The unchanged fraction in the plasma was then computed as the product of functions  $f_{\rm S}$  and  $f_{\rm H}$ .

Determination of  $[^{11}C]P943$  Plasma Clearance and Clearance Rate: The terminal clearance rate  $\beta$  of  $[^{11}C]P943$  from the plasma was estimated by fitting the input function curve, between 30 and 120 mins, to a single exponential. Clearance was estimated by dividing the injected dose by the integral of the input function curve from 0 to  $\infty$ , where the input function curve was extrapolated on the basis of the aforementioned fit.

#### **Magnetic Resonance Imaging**

Magnetic resonance imaging was performed on a 3-T whole-body scanner Trio (Siemens Medical Systems, Erlangen, Germany) with a circularly polarized head coil. The dimension and pixel size of MR images were  $256 \times 256 \times 176$  and  $0.98 \times 0.98 \times 1.0$  mm<sup>3</sup>, respectively.

#### **Image Reconstruction and Motion Correction**

Dynamic scan data were reconstructed with all corrections (attenuation, normalization, scatter, randoms, and dead time) using the Motion-compensation Ordered subset expectation maximization (OSEM) List-mode Algorithm for Resolutionrecovery Reconstruction (MOLAR) algorithm (Carson et al, 2003) with the following frame timing:  $6 \times 30$  secs,  $3 \times 1 \text{ mins}$ ,  $2 \times 2 \text{ mins}$ , and  $22 \times 5 \text{ mins}$ . Motion correction was performed by image smoothing (Gaussian filter with a FWHM (full-width at half-maximum) of 3 pixels), followed by coregistration to an early summed image (0 to 10 mins after injection) using a six-parameter mutual information algorithm (FLIRT, FSL 3.2, Analysis Group, FMRIB, Oxford, UK). No correction was applied for misalignment between transmission and emission scans. On the basis of the estimated motion transformation matrices, the maximum displacement of each of the brain regions used in this analysis was calculated and these values were averaged across regions for each subject. The average maximum motion was  $6.0 \pm 2.0 \,\mathrm{mm} \,(n = 32)$ .

#### **Regional Time-Activity Curve Computation**

Gray matter regions-of-interest (ROIs) were taken from the AAL (Anatomical Automatic Labeling) template (Tzourio-Mazoyer *et al*, 2002), delineated on an MR template (Collins *et al*, 1998). Seven TACs were produced: the cerebellum (84 cm<sup>3</sup> in template space), pallidum (4.6 cm<sup>3</sup>), as well as the frontal (256 cm<sup>3</sup>), occipital, parietal (64 cm<sup>3</sup>), and temporal (172 cm<sup>3</sup>) cortices. Two regions

were selected in the occipital cortex: one with relatively low binding (referred to as occipital, 80 cm<sup>3</sup>) and one with relatively high binding (referred to as calcarine, 33 cm<sup>3</sup>). Nine additional ROIs were used to compare in vivo data with *in vitro* measurements of the density of 5-HT<sub>1B</sub> receptors: the caudate nucleus  $(16 \text{ cm}^3)$ , putamen  $(17 \text{ cm}^3)$ , insular cortex (29 cm<sup>3</sup>), anterior and posterior cingular cortices (22 and  $6.4 \,\mathrm{cm}^3$ , respectively), raphe nucleus  $(1.4 \,\mathrm{cm}^3)$ , substantia nigra (2.3 cm<sup>3</sup>), and the pulvinar and dorsomedial nuclei of the thalamus  $(5.1 \text{ and } 2.8 \text{ cm}^3, \text{ respectively})$ . The latter four regions were added to the template initially based on the Talairach and Tournoux atlas (Talairach and Tournoux, 1988), which is a stereotactic atlas of the thalamus (Morel et al, 1997), and the cytoarchitecture of the dorsal raphe (Baker et al, 1990). Subsequently, the position of these regions was slightly adjusted by application to spatially normalized high-resolution research tomograph PET images from tracers, which have high focal uptake in these regions.

To apply the ROIs to the PET data, a summed image (0 to 10 mins after injection) was created from the motioncorrected data and registered to the subject's T1-weighted 3-T MR image (six-parameter registration), which, in turn, was registered to the MR template using a 12-parameter affine transformation.

#### **Kinetic Modeling**

*Compartmental Modeling Using the Input Function*: Kinetic analysis of regional TACs was performed with the one-tissue (1T) and two-tissue (2T) models (for a review see, Gunn *et al*, 2001), using the following equation:

$$C_{\rm T}(t) = \sum_{i=1}^{n} \gamma_i \times e^{-\alpha_i t} \otimes C_{\rm P}(t)$$
(2)

where *n* equals the number of tissue compartments. For the 1T model,  $\gamma_1$  is  $K_1$ , the influx rate constant and  $\alpha_1$  is  $k_2$ , the efflux rate constant. For the 2T model, the eigenvalues  $\alpha_1$  and  $\alpha_2$  and the coefficients  $\gamma_1$  and  $\gamma_2$  are linked to the kinetic parameters  $K_1$ ,  $k_2$ ,  $k_3$  and  $k_4$  through equation set C.7 in Gunn *et al* (2001). The main parameters of interest are the total volume of distribution  $V_T$  (computed as  $K_1/k_2$  ( $1 + k_3/k_4$ )), and the binding potential BP<sub>ND</sub> (estimated as  $V_T/V_{ND}-1$ , where  $V_{ND}$  is the distribution volume of the reference region, the cerebellum).

*Linear Graphical Analyses*: Three linear graphical analyses were tested. The first is the original graphical analysis with input function  $(GA_{IF})$  (Logan *et al*, 1990) using the following equation:

$$\frac{\int_{0}^{t} C_{\rm T}(u) du}{C_{\rm T}(t)} = V_{\rm T} \frac{\int_{0}^{t} C_{\rm P}(u) du}{C_{\rm T}(t)} + b, \quad t > t *$$
(3)

The remaining two graphical analyses use a reference region TAC. The first analysis  $(GA_{REF1})$  uses the following a two-parameter equation (Logan *et al*, 1996):

$$\frac{\int_{0}^{t} C_{\rm T}(u) du}{C_{\rm T}(t)} = \frac{V_{\rm T}}{V_{\rm ND}} \frac{\int_{0}^{t} C_{\rm Ref}(u) du}{C_{\rm T}(t)} + b, \quad t > t *$$
(4)

and the second analysis  $(GA_{REF2})$  uses the following threeparameter equation (Ichise *et al*, 1996; Logan *et al*, 1996):

$$\frac{\int_{0}^{t} C_{\rm T}(u) du}{C_{\rm T}(t)} = \frac{V_{\rm T}}{V_{\rm ND}} \frac{\int_{0}^{t} C_{\rm Ref}(u) du - b' C_{\rm Ref}(t)}{C_{\rm T}(t)} + b, \quad t > t * \quad (5)$$

where b' is the y-intercept (i.e., b) of the regression line in the GA<sub>IF</sub> analysis of the reference region TAC. In GA<sub>REF2</sub>, b' was set to the average (across all 32 subjects) estimate of b obtained using MA1 (see below) in the cerebellum.

Multilinear Graphical Analyses: Two multilinear analyses were evaluated: MA1 (Ichise *et al*, 2002), using input function data, and the second version of the Multilinear Reference Tissue Model (MRTM) analysis (Ichise *et al*, 2003), using the cerebellum as a reference region. These two multilinear methods are based on the same hypotheses as the corresponding linear graphical analyses (GA<sub>IF</sub> for MA1 and GA<sub>REF2</sub> for MRTM), but reduce the noise-induced bias on the parameter estimates by rearranging Equations (3) and (5). For MA1, Equation (3) is rearranged as follows:

$$C_{\rm T}(t) = -\frac{V_{\rm T}}{b} \int_{0}^{t} C_{\rm P}(u) du + \frac{1}{b} \int_{0}^{t} C_{\rm T}(u) du, \quad t > t *$$
 (6)

and for MRTM, Equation (5) is rearranged as:

$$C_{\rm T}(t) = -\frac{1}{b} \frac{V_{\rm T}}{V_{\rm ND}} \int_{0}^{t} C_{\rm Ref}(u) du + \frac{1}{b} \int_{0}^{t} C_{\rm T}(u) du + \frac{b'}{b} \frac{V_{\rm T}}{V_{\rm ND}} C_{\rm Ref}(t), \quad t > t*$$
(7)

As b' is a parameter linked only to the reference region TAC, it should ideally be the same in all regions (Ichise *et al*, 2003). Thus, two approaches were tested to reduce the noise in the parameter estimates: first, all TACs from a single subject were fitted simultaneously with a common b' (MRTM2 method). In the second approach, b' was fixed to the global average value obtained with MA1, as in the GA<sub>REF2</sub> method (MRTM2\* method).

*Compartmental Modeling Without Input Function*: The Simplified Reference Tissue Model (SRTM) (Lammertsma and Hume, 1996) was also evaluated using the following equation:

$$C_{\rm T}(t) = R_1 C_{\rm Ref}(t) + R_1 (k_2' - k_2) C_{\rm Ref}(t) \otimes {\rm e}^{-k_2 t}$$
(8)

where  $k_2$  and  $k'_2$  are the efflux rates from the target region and the cerebellum, respectively, and  $R_1$  is equal to the ratio of the influx rates in the target region  $K_1$  and in the cerebellum  $K'_1$ . This model assumes that a 1T model can describe both the target and the reference region TACs. Similar to b' in MRTM,  $k'_2$  is linked only to the cerebellum. Thus, we also attempted to reduce the noise by fitting all target TACs simultaneously with a common  $k'_2$  (SRTM2: Wu and Carson, 2002).

Normalization by the Free Fraction: Two others binding potentials,  $BP_P$  and  $BP_F$  (Innis *et al*, 2007), were also evaluated as  $V_T - V_{ND}$  and  $(V_T - V_{ND})/f_P$ , respectively.

#### **Parametric Imaging**

For parametric imaging, the MA1, SRTM, SRTM2, MRTM, and MRTM2 methods were tested. First, the dynamic images were smoothed using a Gaussian filter (FWHM=3.7 mm) before computing parametric images. Both SRTM2 and MRTM2 are two-step procedures that reduce statistical noise in parametric images by fixing  $k'_2$  (in SRTM) or b' (in MRTM) to a common value in all brain voxels. b' (or  $k'_2$ ) was estimated by first computing MRTM (or SRTM) images, then by computing the median of b' (or  $k'_2$ ) estimates from selected brain voxels ( $4 > BP_{ND} > 0.5$ , and -1,000 < b < -1 for MRTM or  $1 > k_2 > 0.0001$  for SRTM).

#### **Data Weights and Parameter Estimation**

Parameters were estimated using weighted least squares with weights on the basis of the noise-equivalent counts in each frame. For compartmental modeling of regional TACs, nonlinear parameter estimation was performed using a Marquardt–Levenberg algorithm (Marquardt, 1963) and custom software for IDL 6.2 (ITT Visual Information Solutions, Boulder, CO, USA). For parametric imaging using the SRTM and SRTM2 methods, a basis function approach was used. For linear and multilinear analyses, a noniterative method was used. Standard errors on the parameter estimates were estimated by computing the theoretical covariance matrix (Beck and Arnold, 1977, chapter 7.7.1) and the error propagation equation (Bevington and Robinson, 2003, chapter 3). Values are reported as rSE (relative s.e.), as a percentage of the parameter value.

#### Effect of the Scan Duration on $V_{\rm T}$ and $BP_{\rm ND}$ Estimates

The effect of the scan duration on  $V_{\rm T}$  and  ${\rm BP}_{\rm ND}$  parameter estimates was investigated for MA1 and MRTM2<sup>\*</sup>. The fit interval was reduced from 0 to 120 mins to 0 to 60 mins, by steps of 10 mins.  $t^*$  was kept at 40 mins. For each healthy subject, region, and scan duration d, the distribution volume  $V_{\rm T}^{\rm d}$  and binding potential  ${\rm BP}_{\rm ND}^{\rm d}$  were estimated. Two statistics were then computed across subjects: first, the relative mean values of  $V_{\rm T}^{\rm d}$  (and  ${\rm BP}_{\rm ND}^{\rm d}$ , respectively), i.e., the average of  $V_{\rm T}^{\rm d}$ ( ${\rm BP}_{\rm ND}^{\rm d}$ , respectively) divided by the average of  $V_{\rm T}^{\rm 20}$  ( ${\rm BP}_{\rm ND}^{\rm 20}$ , respectively); then, the coefficients of variation, i.e., the s.d. of  $V_{\rm T}^{\rm d}$  ( ${\rm BP}_{\rm ND}^{\rm d}$ , respectively) divided by the average of  $V_{\rm T}^{\rm d}$  ( ${\rm BP}_{\rm ND}^{\rm d}$ , respectively). Both statistics were expressed as percentages.

#### **Statistical Analyses**

To compare methods, correlations and relative differences between sets of parameter estimates were computed using all 32 subjects. For the presentation of normal parameter values, means and s.d. were computed using only healthy normal subjects, unless otherwise specified.

### **Results**

#### **Input Function**

Radioactivity concentration in whole blood was always lower than that in the plasma. The whole

blood to plasma concentration ratio was  $0.79 \pm 0.06$ and  $0.85 \pm 0.05$  at 3 and 120 mins after injection. respectively. The free fraction of [<sup>11</sup>C]P943 in the plasma  $f_{\rm P}$  was  $5.8 \pm 0.5\%$  (*n*=8 healthy controls). <sup>11</sup>C]P943 was slowly metabolized: unchanged  $[^{11}C]P943$  represented  $89 \pm 4\%$  of the total plasma radioactivity at 20 mins after injection, and  $53 \pm 9\%$ at 90 mins. Radioactivity recovered in the supernatant after plasma protein precipitation slowly decreased to  $89 \pm 1\%$  at 90 mins after injection. Overall,  $94 \pm 14\%$  of the radioactivity was recovered at the output of the HPLC system. All metabolites were more polar than the parent compound.  $[^{11}C]P943$  plasma clearance was  $1.6 \pm 0.2 L/min$  in healthy controls (range = 1.2 to 2.0 L/min, n = 8) and  $1.7 \pm 0.3$  L/min when all subjects were included (range = 0.8 to 2.3 L/min, n = 32). The clearance rate  $\beta$  was 0.010 ± 0.004 per min in healthy controls (range = 0.006 to 0.020 per min, n = 8) and  $0.012 \pm 0.005$  per min in the full cohort (range = 0.005) to 0.022 per min, n = 32).

#### **Brain Time-Activity Curves**

The average brain uptake of [<sup>11</sup>C]P943 peaked at  $0.30 \pm 0.05$  percent of injected dose per 100 mL (%ID/ 100 mL) at 20 mins after injection, and then slowly decreased, reaching  $0.16 \pm 0.03$ %ID/100 mL at 120 mins after injection. Figure 1 shows selected TACs measured for one healthy normal subject. The radioactivity concentration peaked at ~ 10 mins after injection in the cerebellar cortex, a region devoid of 5-HT<sub>1B</sub> receptors (Varnäs *et al*, 2001), and between 20 and 40 mins in cortical areas. In the pallidum, a small region with a high density of 5-HT<sub>1B</sub> receptors, the TAC peaked at 20 mins for some subjects, and then slowly decreased, whereas in other subjects, no clear peak was seen and the TAC reached a plateau after 50 mins.

Transient equilibrium was not reached between the plasma and any brain region. Moreover, the pallidum-to-cerebellum concentration ratio steadily increased during the scan  $(2.9 \pm 0.2 \text{ at} 120 \text{ mins}$  after injection, n = 8). The ratio of the cortex to cerebellum was also increasing throughout the scan, but reached 95% of its 120-min value  $(2.0 \pm 0.2, n = 8)$  by 80 mins.

#### **Compartmental Modeling Using the Input Function**

To compare the quantification methods, seven TACs were fitted for each subject: the cerebellum, pallidum, and five cortical regions. The 2T model provided better fits of the data than did the 1T model in all regions. The residual sum of squares,  $\chi^2$ , Akaike information criterion, and Schwartz criterion statistics were significantly higher for 1T than for 2T in all regions (*P*<0.001, uncorrected paired *t*-test). F-tests indicate that the  $\chi^2$  is significantly higher for

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**Figure 1** Time–activity curves, Logan plots, and fits obtained in selected ROIs: cerebellum (circles), parietal (squares), calcarine cortex (triangles), and pallidum (solid diamonds). (**A**) Fits obtained with the one-tissue (1T) compartment model (dashed line) or the two-tissue (2T) compartment model (solid line). (**B**) Graphical analysis with input function ( $GA_{IF}$ ). (**C**) Fits obtained with the multilinear analysis using the input function (MA1). (**D**) Graphical analysis using reference region data  $GA_{REF2}$ . (**E**) Fits obtained with multilinear analyses using reference region data (MRTM). (**F**) Fits obtained with the simplified reference tissue model (SRTM). *t*\* was set to 40 mins for panels B and C, and to 10 and 20 mins for panels D and E, respectively.  $313 \times 352 \text{ mm}^2$  ( $600 \times 600 \text{ d.p.i.}$ ).

1T than for 2T, for all 224 TACs except 1. Figure 1A show typical fits obtained in one subject for the 1T and 2T models.

For both models, the  $K_1$  estimates in the cortex and cerebellum were relatively uniform  $(0.22 \pm 0.03 \text{ mL/min per cm}^3 \text{ for } 2\text{T}, n=8)$  and higher than in the pallidum  $(0.18 \pm 0.04 \text{ mL/min per cm}^3 \text{ for } 2\text{T}, n=8)$ . In all regions, 1T  $K_1$  estimates were  $18 \pm 6\%$  (n=32) lower than those of the 2T model.

The average  $V_{\rm T}$  estimates for the 1T and 2T models in healthy normal subjects are listed in Table 1. The 2T  $V_{\rm T}$  values ranged from  $5.4 \pm 1.1 \, {\rm mL/cm^3}$  in the cerebellum to  $15.6 \pm 4.1 \, {\rm mL/cm^3}$  in the pallidum, and BP<sub>ND</sub> estimates ranged from  $0.62 \pm 0.09$  in the parietal cortex to  $1.81 \pm 0.32$  in the pallidum.

The rSE estimated by sensitivity analysis were  $\leq 3\%$  for  $K_1$  and 2% for  $V_T$  with the 1T model, and

 $\leq$  17% for  $K_1$  (mean rSE = 3%) and  $\leq$  15% for  $V_T$  (mean rSE = 2%) with the 2T model.

The correlations between  $V_{\rm T}$  estimates obtained using various methods are shown in Figure 2. The corresponding regression equations and the relative differences between methods across regions are presented in Table 2. In particular, 1T  $V_{\rm T}$  estimates tended to be lower than those of the 2T model in all regions, whereas 1T BP<sub>ND</sub> estimates were higher than 2T estimates in cortical areas, and lower in the pallidum.

#### **Graphical Analysis with Input Function**

Typical Logan ( $GA_{IF}$ ) plots and MA1 fits are shown in Figures 1B and 1C, respectively.  $GA_{IF}$  provided

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Parameters		Volume of distribu	tion $V_T$ (mL/cm <sup>-3</sup> )	)	Binding potential $BP_{ND}$ (unitless)					
Methods	1T	2T	$GA_{IF}{}^{\mathrm{a}}$	MA1 <sup>a</sup>	2T	MA1 <sup>a</sup>	$GA_{REF2}{}^{\mathrm{a,b}}$	$MRTM^{a}$	$MRTM2^{*a,b}$	
Cerebellum Pallidum Calcarine Occipital Parietal Frontal Temporal	$\begin{array}{c} 4.9\pm1.0\;(19\%)\\ 13.2\pm3.6\;(27\%)\\ 10.2\pm2.1\;(20\%)\\ 9.0\pm2.1\;(23\%)\\ 8.3\pm1.5\;(18\%)\\ 9.2\pm1.8\;(19\%)\\ 8.7\pm1.8\;(20\%) \end{array}$	$\begin{array}{c} 5.4 \pm 1.1 \ (21\%) \\ 15.6 \pm 4.1 \ (27\%) \\ 10.6 \pm 2.2 \ (21\%) \\ 9.5 \pm 2.2 \ (24\%) \\ 8.7 \pm 1.6 \ (19\%) \\ 9.7 \pm 2.0 \ (20\%) \\ 9.2 \pm 1.9 \ (21\%) \end{array}$	$\begin{array}{c} 5.4 \pm 1.1 \ (21\%) \\ 14.0 \pm 3.8 \ (27\%) \\ 10.6 \pm 2.2 \ (21\%) \\ 9.4 \pm 2.3 \ (24\%) \\ 8.6 \pm 1.6 \ (19\%) \\ 9.7 \pm 2.0 \ (21\%) \\ 9.1 \pm 1.9 \ (21\%) \end{array}$	$\begin{array}{c} 5.4 \pm 1.1 \ (21\%) \\ 15.2 \pm 3.9 \ (26\%) \\ 10.6 \pm 2.2 \ (21\%) \\ 9.4 \pm 2.2 \ (24\%) \\ 8.7 \pm 1.6 \ (19\%) \\ 9.6 \pm 2.0 \ (20\%) \\ 9.1 \pm 1.9 \ (21\%) \end{array}$	$\begin{array}{c} 1.81 \pm 0.32 \ (17\%) \\ 0.97 \pm 0.14 \ (14\%) \\ 0.75 \pm 0.22 \ (30\%) \\ 0.62 \pm 0.09 \ (15\%) \\ 0.79 \pm 0.11 \ (14\%) \\ 0.69 \pm 0.08 \ (11\%) \end{array}$	$\begin{array}{c} 1.80 \pm 0.28 \ (16\%) \\ 0.98 \pm 0.15 \ (15\%) \\ 0.75 \pm 0.23 \ (31\%) \\ 0.62 \pm 0.10 \ (16\%) \\ 0.80 \pm 0.12 \ (15\%) \\ 0.70 \pm 0.09 \ (12\%) \end{array}$	$\begin{array}{c} 1.56 \pm 0.19 \; (12\%) \\ 0.99 \pm 0.15 \; (15\%) \\ 0.76 \pm 0.24 \; (31\%) \\ 0.62 \pm 0.11 \; (18\%) \\ 0.80 \pm 0.13 \; (17\%) \\ 0.70 \pm 0.10 \; (14\%) \end{array}$	$\begin{array}{c} 1.90 \pm 0.47 \; (25\%) \\ 0.94 \pm 0.14 \; (15\%) \\ 0.72 \pm 0.23 \; (32\%) \\ 0.59 \pm 0.10 \; (18\%) \\ 0.76 \pm 0.13 \; (17\%) \\ 0.66 \pm 0.09 \; (14\%) \end{array}$	$\begin{array}{c} 1.80 \pm 0.25 \ (14\%) \\ 0.99 \pm 0.15 \ (15\%) \\ 0.76 \pm 0.24 \ (32\%) \\ 0.62 \pm 0.11 \ (18\%) \\ 0.81 \pm 0.14 \ (17\%) \\ 0.71 \pm 0.10 \ (14\%) \end{array}$	

**Table 1** [<sup>11</sup>C]P943 volumes of distribution and binding potentials estimates in healthy controls (n = 8)

MRTM, Multilinear Reference Tissue Model; 1T, one tissue model; 2T, two-tissue model.

Variability is shown as s.d. across subjects (percentage s.d. in parentheses)

<sup>a</sup>t\* was set to 40 mins for GA<sub>IF</sub> and MA1, and to 10, 20, and 40 mins for GA<sub>REF2</sub>, MRTM, and MRTM2\*, respectively.

 ${}^{\mathrm{b}}\!b'$  was fixed to the mean *b* value estimated in the cerebellum with MA1.



**Figure 2** Selected correlations between  $V_{\rm T}$  estimated with analyses using input function data. Solid line represents the line of best fit. Dashed line is the line of identity. (**A**) 1T versus 2T (**B**) GA<sub>IF</sub> versus 2T (**C**) MA1 versus 2T. See Table 2 for regression values. 156 × 352 mm<sup>2</sup> (600 × 600 d.p.i.).

well correlated with 2T estimates (Figure 2C, Table 2 pallidum were differences were Conversely, estimates than did 2T in the pallidum ( $-17 \pm 11\%$  for  $V_{\rm T}$  and BP<sub>ND</sub>, respective cerebellum similar  $V_{\rm T}$  and  $BP_{\rm ND}$  estimates than  $V_{\rm T}$ and  $\mathrm{BP}_{\mathrm{ND}}$ and MA1 not < 2% cortex, estimates  $V_{
m T}$ underestimated and in all regions) and were very but lower  $BP_{ND}$ obtained pallidum  $(-9 \pm 6\%$  and respectively) (Table 2). estimates (mean did 2T in the  $V_{\rm T}$  and with relative in the BP<sub>ND</sub> MA1

Parameters	Method 1	Method 2	Correlation			Relative difference		
			Slope	Intercept	$r^2$	Cerebellum	Cortex	Pallidum
$V_{\mathrm{T}}$	1T	2T	0.843	0.752	0.966	$-9 \pm 4\%$	$-5 \pm 2\%$	$-12\pm6\%$
•	$GA_{IF}^{a}$	2T	0.882	0.943	0.964	$2 \pm 3\%$	$1 \pm 2\%$	$-9 \pm 6\%$
	MA1 <sup>a</sup>	2T	0.984	0.173	0.989	$0.4 \pm 3\%$	$0.5 \pm 1\%$	$0.5 \pm 5\%$
	$MA1^{b}$	MA1 <sup>a</sup>	0.978	0.126	0.983	$-2 \pm 3\%$	$-0.7 \pm 3\%$	$-0.4 \pm 6\%$
$BP_{ND}$	1T	2T	0.832	0.200	0.929		$12 \pm 8\%$	$-5 \pm 10\%$
ND	$GA_{IF}^{a}$	2T	0.758	0.162	0.903		$-1 \pm 7\%$	$-17 \pm 11\%$
	MA1 <sup>a</sup>	2T	0.964	0.033	0.966		$0.4 \pm 6\%$	$0.5 \pm 9\%$
	$GA_{REF1}^{a}$	MA1 <sup>a</sup>	0.540	0.254	0.784		$-10 \pm 4\%$	$-35 \pm 8\%$
	$GA_{REF2}^{a}$	$MA1^{a}$	0.783	0.148	0.925		$-0.7 \pm 3\%$	$-15 \pm 10\%$
	MRTM <sup>a</sup>	$MA1^{a}$	1.043	-0.069	0.833		$-5 \pm 3\%$	$-0.2 \pm 29\%$
	MRTM2 <sup>a</sup>	$MA1^{a}$	0.865	0.082	0.868		$-2 \pm 6\%$	$-10 \pm 18\%$
	MRTM2* <sup>a,c</sup>	$MA1^{a}$	0.965	0.026	0.970		$-0.05 \pm 3\%$	$-2 \pm 9\%$
	SRTM	$MA1^{a}$	0.825	0.070	0.968		$-8 \pm 3\%$	$-15 \pm 8\%$
	SRTM2	$MA1^{a}$	0.617	0.234	0.859		$-5 \pm 4\%$	$-28 \pm 9\%$
	$MRTM2^{b}$	MA1 <sup>a</sup>	0.909	0.105	0.922		$6 \pm 11\%$	$2 \pm 17\%$
	$\rm SRTM2^{b}$	MA1 <sup>a</sup>	0.610	0.243	0.857		$-4 \pm 7\%$	$-27\pm10\%$

**Table 2** Correlations and relative differences between  $V_{T}$  or  $BP_{ND}$  estimates

MRTM, Multilinear Reference Tissue Model; SRTM, Simplified Reference Tissue Model; 1T, one tissue model; 2T, two-tissue model.

Correlations and relative differences calculated from the various tested methods (n = 32).

Slope and intercept are determined by linear fit of (Method 1) = slope  $\times$  (Method 2)+intercept.

<sup>a</sup>For GA<sub>IF</sub> and MA1, *t*\* was set to 40 mins; for GA<sub>REF1</sub> and GA<sub>REF2</sub>, *t*\* was set to 50 and 10 mins respectively; for MRTM, MRTM2, and MRTM2\*, *t*\* was set to 20, 30, and 40 mins, respectively.

<sup>b</sup>Using mean regional values from parametric images. *t*\* was set to 20 mins to compute the MA1 and MRTM2 parametric images.

 $^{c}b'$  was fixed to the mean b value estimated in the reference region with MA1 (i.e., -37 mins).

 $(t^* = 40 \text{ mins})$  in healthy controls are listed in Table 1. Owing to the excellent agreement between MA1 and 2T and because of the computational simplicity of MA1 and its suitability for parametric imaging, MA1 will be used as the method of choice for modeling with the input function (see the 'Discussion' section).

#### **Graphical Analysis using a Reference Region**

Typical Logan plots obtained using  $GA_{REF2}$  and fits obtained with MRTM, are shown in Figures 1D and 1E, respectively. The linear section of the  $GA_{REF1}$ Logan plot was not reached within the 120-min scanning duration (data not shown). Thus,  $GA_{REF1}$  $BP_{ND}$  estimates were consistently lower than the 2T estimates for any  $t^*$  setting. With  $t^* = 50$  mins, the underestimation was  $-10 \pm 4\%$  and  $-35 \pm 8\%$  in the cortex and pallidum, respectively (Table 2). For  $GA_{REF2}$ , the best correlation with MA1  $BP_{ND}$  estimates was obtained with  $t^* = 10$  mins (b' = -30 mins).  $GA_{REF2}$  then provided similar results to MA1 in the cortex, but underestimated  $BP_{ND}$  in the pallidum (by  $-15 \pm 10\%$ , Table 2).

Stable MRTM  $BP_{ND}$  estimates could not be obtained with  $t^*$  settings above 20 mins. Using MA1 as a reference, MRTM provides slightly larger underestimations of  $BP_{ND}$  values than does  $GA_{REF2}$  in the cortex ( $-5 \pm 3\%$  with MRTM,  $-0.7 \pm 3\%$  with  $GA_{REF2}$ ), but less underestimated  $BP_{ND}$  values than does  $GA_{REF2}$  in the pallidum ( $-0.2 \pm 29\%$  with MRTM,  $-15 \pm 10\%$  with  $GA_{REF2}$ ) However, pallidum MRTM  $BP_{ND}$  estimates were more variable than either MA1 or  $GA_{REF2}$   $BP_{ND}$  estimates (the relative s.d. in healthy controls was 25% with MRTM, versus 16% with MA1 and 12% with  $GA_{REF2}$ ), because of the additional fitted parameter b'.

With MRTM2 (i.e., a coupled fit of all TACs from each subject, with a single parameter b'), only  $t^*$  settings up to 30 mins could be tested. With this  $t^*$  value, b' was slightly higher than b estimated in the cerebellum with MA1 (-31±10 mins versus -34±5 mins or +8±34%). The MRTM2 BP<sub>ND</sub> estimates in the pallidum were lower than MA1 estimates (-10±18%; Table 2).

With MRTM2\* (i.e., with b' fixed to the mean MA1 estimate of b in the cerebellum),  $t^*$  settings up to 70 mins could be used. The best correlation with MA1 BP<sub>ND</sub> estimates was obtained with  $t^* = 40$  mins (and b' set to -37 mins). The mean relative difference between MA1 and MRTM2\* BP<sub>ND</sub> estimates was then below 2% in all regions.

 $BP_{ND}$  estimates, obtained with  $GA_{REF2}$ , MRTM, and MRTM2\* with  $t^*$  set to 10, 20, and 40 mins, respectively, are listed in Table 1. The correlations between MA1  $BP_{ND}$  estimates and these  $GA_{REF2}$ , MRTM, MRTM2, and MRTM2\*  $BP_{ND}$  estimates are presented in Figures 3A–3D.

#### Simplified Reference Tissue Model

Typical fits obtained with SRTM are shown in Figure 1F. F-tests indicate that the  $\chi^2$  is significantly higher for SRTM than for the 2T model for a majority of cortical TACs (95 out of 160), but only for a few pallidum TACs (4 out of 32). The  $\chi^2$ , Akaike information criterion, and Schwartz criterion statistics were significantly higher



**Figure 3** Selected correlations between BP<sub>ND</sub> estimated with methods using the cerebellum as a reference region and MA1. Solid line is the line of best fit. Dashed line is the line of identity. (**A**) GA<sub>REF2</sub> versus MA1.  $t^*$  is 10 mins for GA<sub>REF2</sub> and 40 mins for MA1. (**B**) MRTM versus MA1.  $t^*$  is 20 mins for MRTM and 40 mins for MA1 (**C**) MRTM2, with b' coupled across regions for each subject, versus MA1.  $t^*$  is 30 mins for MRTM2 and 40 mins for MA1. (**D**) MRTM2\*, with b' fixed at -37 mins, versus MA1.  $t^*$  is 40 mins for both methods. (**E**) SRTM versus MA1. (**F**) SRTM2, with  $k'_2$  coupled across region for each subject, versus MA1. See Table 2 for regression values.  $313 \times 352 \text{ mm}^2$  (600 × 600 d.p.i.).

for SRTM than for 2T in the frontal and occipital regions, and in the calcarine region for  $\chi^2$  (*P*<0.05, uncorrected paired *t*-test).

The SRTM BP<sub>ND</sub> estimates were lower than MA1 estimates, especially in the pallidum  $(-15\pm8\%;$  Table 2). Estimates of  $k'_2$  were not constant across regions, being lower in the pallidum  $(0.035\pm0.004 \text{ per min}, n=8)$  than in the cortex  $(0.051\pm0.006 \text{ per min}, n=8)$ . Moreover, attempting to reduce s.e. on BP<sub>ND</sub> estimates by coupling the parameter  $k'_2$  across regions (SRTM2) leads to an even greater underestimation of pallidum BP<sub>ND</sub>  $(-28\pm9\%;$  Table 2). With SRTM2,  $k'_2$  was  $0.046\pm0.004$  per min.

#### **Parametric Imaging**

Parametric images of  $V_{\rm T}$  (MA1) and BP<sub>ND</sub> (MRTM2 and SRTM2) are shown in Figure 4. The value of  $t^*$ 

was reduced to 20 mins for MA1 and MRTM2 to reduce noise. Parametric MA1  $V_{\rm T}$  estimates were very well correlated with MA1  $V_{\rm T}$  estimates using regional TACs (Table 2). Similarly, MRTM2 BP<sub>ND</sub> estimates were highly correlated with MA1 BP<sub>ND</sub> estimates using regional TACs (Table 2). Conversely, SRTM2 substantially underestimated BP<sub>ND</sub> in high binding areas (Table 2).

The parameter b', estimated from the first pass of MRTM2, was  $-33 \pm 5$  mins, very close to the average b estimates with MA1 from cerebellum TACs with the same  $t^*$  value  $(-32 \pm 4 \text{ mins})$ . However, there was no significant correlation across subjects between these two sets of estimates (y=0.285x-23,  $r^2=0.115$ , n=32, where y values are MRTM2 b' estimates, and x values are MA1 b estimates in the cerebellum). Thus, as the coefficients of variation were small, estimation uncertainty seemed to be the dominant source of uncertainty. For SRTM2,  $k'_2$  was 0.045  $\pm$  0.006 per min.



**Figure 4** MR anatomic image (row A) and parametric images of V<sub>T</sub> obtained on the same healthy control subject with MA1 (row B), and BP<sub>ND</sub> obtained with MRTM2 (row C) and SRTM2 (row D), in axial, sagittal, and coronal views (from left to right). The slices were selected to display high binding regions: pallidum (axial view), occipital (sagittal view), and substantia nigra (coronal view). The V<sub>T</sub> color scale ranges form 0 to 17.3 mL/cm<sup>3</sup>, and the BP<sub>ND</sub> color scale ranges from -1 (to maximize the visual identity between V<sub>T</sub> and BP<sub>ND</sub> images) to 3. Differences between the SRTM2 images and the MA1 or MRTM2 images are especially visible in the small receptor-rich regions, where SRTM2 BP<sub>ND</sub> values are underestimated. 79 × 106 mm<sup>2</sup> (300 × 300 d.p.i.).

#### **Comparison of Binding Potential Outcome Measures**

The binding potentials  $BP_P$  and  $BP_F$  were computed from MA1  $V_T$  estimates and [<sup>11</sup>C]P943 plasma-free fraction  $f_P$  (for  $BP_F$ ). For healthy controls (n=8),  $BP_P$ estimates ranged from  $3.3 \pm 0.6$  in the parietal to  $9.8 \pm 2.9$  in the pallidum, and  $BP_F$  estimates ranged from  $56 \pm 9$  in the parietal to  $168 \pm 47$  in the pallidum (see Supplementary Table S5 in the Supplementary information file). Among the three binding potentials (i.e.,  $BP_{ND}$ ,  $BP_P$ , and  $BP_F$ ),  $BP_P$  estimates were the most variable (the relative s.d. is up to twice as high), and  $BP_F$  estimates: e.g., the relative s.d. of  $BP_{ND}$ ,  $BP_P$  and  $BP_F$  in the pallidum for healthy controls were 16, 29, and 28%, respectively (n=8). This suggests that variability in the measurement of the input function is a strong contributor to intersubject variability; this variability was minimized by the use of  $BP_{ND}$ .

# Comparison of Binding Potential $BP_F$ Estimates with In Vitro $B_{max}$ Measurements

The correlations between  $BP_F$  ( $B_{max}/K_d$ ) estimates obtained from healthy subjects (n=8) and measurements of the density of 5-HT<sub>1B</sub> receptors from two in vitro autoradiography studies (Varnäs et al, 2001, 2004) are presented in Figure 5. For this comparison, additional ROIs were included in the study as described in the 'Materials and methods' section. BP<sub>F</sub> estimates were significantly correlated with the in vitro  $B_{\text{max}}$  measurements (BP<sub>F</sub>=0.36× $B_{\text{max}}$ -3,  $r^2 = 0.671$ , n = 10, P < 0.05 for the first *in vitro* study  $BP_{\rm F} = 0.23 \times B_{\rm max} + 0.2$ ,  $r^2 = 0.576$ , and n = 13, P < 0.001 for the second in vitro study). The regression slopes are estimates of the *in vivo*  $K_d$ , and these values are in good agreement with the reported in *vitro*  $K_i$  value (see the 'Discussion' section).

#### Effect of the Scan Duration on $V_{\rm T}$ and BP<sub>ND</sub> Estimates

To estimate the minimal PET data acquisition time required to derive stable  $V_{\rm T}$  or BP<sub>ND</sub> estimates, all regional time activity curves from healthy subjects were fitted using MA1 or MRTM2\* using varying total scan duration, ranging from 60 to 120 mins in 10-min increments. In the cortex and cerebellum, the coefficients of variation of  $V_{\rm T}$  and  ${\rm BP}_{\rm ND}$  estimates were practically constant for all scan durations tested (see Supplementary Figure S5 in Supplementary information file). When the scan duration was reduced, MA1  $V_{\rm T}$  estimates very slightly decreased in the cerebellum and were almost constant in the cortex (Supplementary Figure S5A). Conversely,  $BP_{ND}$  estimates very slightly increased in the cortex when the scan duration was reduced (Supplementary Figure S5B). In the pallidum, for scan durations  $\leq$  70 mins,  $V_{\rm T}$  and BP<sub>ND</sub> estimates were unstable (coefficient of variation  $\geq 90\%$ ). When the scan duration was reduced from 120 to 80 mins, mean V<sub>T</sub> estimates very slightly decreased (Supplementary Figure S5A) and  $BP_{ND}$  estimates were practically constant (Supplementary Figure S5B). The coefficient of variation was practically constant for  $V_{\rm T}$ , but increased from 15% (for both MA1 and MRTM2\*) to 29% for MA1, and 32% for MRTM2\*. Consequently, using 90-min scan duration provided  $V_{\rm T}$  and  $BP_{\rm ND}$ estimates within  $\pm 5\%$  of 120-min scan estimates, in average, for all regions, but with a sizeable increase in the coefficient of variation of the  $BP_{ND}$  estimates in the pallidum (23 versus 15%).

#### Discussion

The primary goal of this study was to evaluate the suitability of  $[^{11}C]P943$  to quantify the binding



**Figure 5** Correlations between BP<sub>F</sub> ( $B_{avail}/K_d$ ) estimates from [<sup>11</sup>C]P943 regional TAC fits with MA1 ( $t^* = 40$  mins) and the 5-HT<sub>1B</sub>  $B_{max}$  measurements using *in vitro* autoradiography and [<sup>3</sup>H]GR 125743 reported by Varnäs *et al* (2001) (**A**) and Varnäs *et al* (2004) (**B**). Region labels are defined as: GP, pallidum; SN, substantia nigra; RN, raphe nucleus; PN, pulvinar nucleus; DN, dorsomedial nucleus; CN, caudate nucleus; PU, putamen; AAC, anterior cingulate cortex; PCC, posterior cingulate cortex; CC, calcarine cortex; FC, frontal cortex; IC, insular cortex; TC, temporal cortex. For cortical regions, the labels are grouped and displayed in the order of the points following the *y* axis ( $B_{max}$ ) values. In subfigure B, for the cortical regions, the *in vitro*  $B_{max}$  values were computed as the unweighted average across cortical layers. For the pallidum, the *in vitro*  $B_{max}$  values were computed as the unweighted average of the (internal and external) globus pallidus and ventral pallidum values. The regression line equations are BP<sub>F</sub> = 0.36  $B_{max} - 3$ ,  $r^2 = 0.671$ , n = 10, P < 0.05 (panel A) and BP<sub>F</sub> = 0.23  $B_{max} + 0.2$ ,  $r^2 = 0.576$ , n = 13, P < 0.001 (panel B). The slope of each regression line is an estimate of the *in vivo*  $K_d$  value, and these values are in good agreement with the reported *in vitro*  $K_i$  value (0.77 nmol/L; McCarthy *et a*, (2007); 1.2 nmol/L; NIMH Psychoactive Drug Screening Program).  $355 \times 133$  mm<sup>2</sup> (600 × 600 d.p.i.).

potential of  $5\text{-HT}_{1B}$  receptors in humans, and to evaluate the most suitable quantification method. [<sup>11</sup>C]P943 is one of the first radioligands available to quantify  $5\text{-HT}_{1B}$  receptors *in vivo*, along with [<sup>11</sup>C]AZ10419369 (Pierson *et al*, 2008).

# Regional Distribution and *In Vivo* Equilibrium Dissociation Constant

The distribution of [11C]P943 binding is correlated with the known density of  $5-HT_{1B}$  receptors from in vitro autoradiography studies (Varnäs et al, 2001, 2004). The correlation, although significant, is not perfect, with mainly two regions having higher binding than predicted by *in vitro* data (putamen and thalamus), and several regions having lower binding than expected from *in vitro* data (caudate, calcarine area when compared with data from Varnäs et al (2001), substantia nigra, raphe nucleus). These differences may be introduced by the partial volume effect, especially as the size of these regions in not similar, and spillover is different for each region. Moreover, there are surely differences in the delineation of the regions between the *in vitro* and *in vivo* studies.

However, it is worth observing than the *in vivo*  $K_d$  of [<sup>11</sup>C]P943 estimated using the slope of the regression line between the BP<sub>F</sub> estimates and  $B_{\text{max}}$  measurements (0.36 and 0.23 nmol/L, respectively) is close to the  $K_i$  values estimated *in vitro* (0.77 nmol/L; McCarthy *et al*, 2007; 1.2 nmol/L; NIMH Psychoactive Drug Screening Program). This indicates that the theoretical prediction of binding potential values taking into account the receptor density ( $B_{\text{avail}}$ ), radioligand affinity ( $K_d$ ), plasma-free fraction ( $f_p$ ),

and nonspecific binding in brain tissue (Innis *et al*, 2007) is well suited for [<sup>11</sup>C]P943.

The free fraction in brain tissue  $f_{\rm ND}$  can be estimated to be ~1% from the measurement of the plasma-free fraction  $f_{\rm P}$  (5.8±0.5%) and the cerebellum distribution volume  $V_{\rm T}$  (5.4±1.1 mL/cm<sup>3</sup>). Using this estimate, the lowest *in vivo*  $K_d$  estimate (0.23 nmol/L) and the peak uptake value observed in the cerebellum to estimate the nondisplaceable [<sup>11</sup>C]P943 concentration (0.29% ID/100 mL), the tracer injected dose limit could be estimated to be ~40 nmol or ~17  $\mu$ g, where a tracer dose is assumed to occupy <5% of receptors. On the basis of this analysis, these studies were conducted at tracer levels.

#### The Cerebellum as a Reference Region

In vitro studies in rodents have shown that  $5-HT_{1B}$ receptors mRNA can be detected in the cerebellum, in cell bodies of the Purkinje cells in the cerebellar gray matter (Voigt et al, 1991). However, using immunoreactivity or autoradiography, 5-HT<sub>1B</sub> receptors were only detected in the deep nuclei of the cerebellum (Pazos and Palacios, 1985; Sari et al, 1999). This localization mismatch was attributed to the fact that 5-HT<sub>1B</sub> receptors are localized at the end of the projections of the Purkinje cells in the deep nuclei (Boschert et al, 1994). In vitro studies of the distribution of 5-HT<sub>1B</sub> receptor mRNA in humans did not include the cerebellum (Varnäs et al, 2005), but autoradiographic studies did not show specific binding in cerebellar gray matter (Varnäs et al, 2001, 2004), as in rodents. On the basis of these data, cerebellar gray matter may be used as a reference region, although additional pharmacological

studies are necessary to validate this reference region. Moreover, care must be taken to reduce spillover from the occipital cortex, which shows high levels of specific binding, as well as from the deep nuclei. Thus, the cerebellum ROI used in this study was located in the lower half of the cerebellum gray matter.

#### **Compartmental Modeling**

Kinetic analysis of [<sup>11</sup>C]P943 brain TACs showed that all curves were better described by the 2T model. The lack of fit of the 1T model is more visually apparent for the cerebellum and pallidum TACs, and differences between 1T and 2T distribution volumes estimates were higher in these regions than in the cortex.

The need for a 2T model to accurately fit the cerebellum TAC, although it is the reference region and (putatively) is devoid of specific binding, has already been reported for other radioligands (Watabe et al. 2000). This may be caused by tissue heterogeneity as the grey and white matter are very finely interwoven in this structure. In addition, being a region with relatively low activity, the cerebellum may be more sensitive to scatter correction errors, or biases in the OSEM reconstructions at low counts (Comtat et al, 2004; van Velden et al, 2008). It is noteworthy that the latter effects can be avoided when randoms and scatters are included in the iterative steps (Comtat et al, 2004), as is the case in the MOLAR reconstruction algorithm. Indeed, with this algorithm, biases were only detected for frames with <200,000 noise-equivalent counts (Planeta-Wilson *et al*, 2008), which is much lower than any frame in the present data.

Similarly, the need for a 2T model in the receptorrich pallidum is not completely intuitive as regions with higher binding potentials tend to be more 1T-like (Carson *et al*, 1998; Watabe *et al*, 2000). However, the need for a 2T model may also be due to the spill-in in this small region, especially at the beginning of the scan as the influx rate  $K_1$  is relatively lower in this region, or heterogeneity of the receptor distribution within the region as the ventral part of the pallidum has a much higher receptor density (Varnäs *et al*, 2001, 2004).

The equation used for the compartmental models (i.e., Equation (2)) does take into account the effect of radioactivity in the blood. However, this effect is small for [<sup>11</sup>C]P943 for three reasons: whole blood radioactivity remains lower than total plasma radioactivity throughout the scan; [<sup>11</sup>C]P943 metabolism in plasma is slow ([<sup>11</sup>C]P943 unchanged fraction is still  $\geq 50\%$  90 after injection); and [<sup>11</sup>C]P943 distribution volumes are relatively high ( $\geq 5 \text{ mL/cm}^3$ ). Thus, assuming that the blood volume in the brain is 0.05 mL/cm<sup>3</sup>, and that its radioactivity concentration can be approximated by the arterial blood radioactivity would represents only 2% of the total activity in the

cerebellum at the end of scan, and <1% in all other regions. Consequently, adding a fixed vascular fraction of  $0.05 \text{ mL/cm}^3$  to the 2T model would produce  $V_{\rm T}$  estimates  $2 \pm 1\%$  lower in the cerebellum and <1% lower in the other regions. The effect on the binding-potential estimates would be somewhat higher: the cortical BP<sub>ND</sub> estimates would be  $3 \pm 2\%$ higher and the pallidum BP<sub>ND</sub> estimates would be  $4 \pm 5\%$  higher. This small bias would be present in all tested methods, as they all neglect the blood activity; thus, ignoring the blood volume correction in the 1T and 2T fits permits a more direct comparison of all modeling methods.

#### **Comparison of Binding Potential Outcome Measures**

Among the three binding potentials,  $BP_{ND}$  estimates were the least variable across healthy controls, suggesting that variability in the measurement of the input function is a strong contributor to intersubject variability. In the measurement of the input function, the unchanged fraction estimation may be the strongest contributor to intersubject variability, as at the end of scan the relative s.d. of total plasma measurements, expressed in percentage of injected dose, is lower or equal (15%) to the relative s.d. of brain TACs (14% to 21% depending of the ROI), whereas the relative s.d. of the unchanged fraction curve is higher (26%).

#### Comparison of [<sup>11</sup>C]P943 and [<sup>11</sup>C]AZ10419369

At present, only qualitative comparisons between [<sup>11</sup>C]P943 and [<sup>11</sup>C]AZ10419369 (Pierson *et al*, 2008) in human beings can be made, as arterial input function measurements and full kinetic modeling of <sup>[11</sup>C]AZ10419369 are required for a comprehensive comparison of these two tracers. The two tracers appear to have similar brain uptake ([11C]P943 average brain uptake is  $0.30 \pm 0.05\%$  ID/100 mL of tissue at peak, which corresponds to a whole-brain uptake of 4.2% ID; this is very comparable with the brain uptake of 3.5% for [<sup>11</sup>C]AZ10419369). The time of peak uptake in the reference region (or the average brain) is higher for [<sup>11</sup>C]P943 than for  $[^{11}C]AZ10419369$  (<5 mins). Conversely, the washout from the reference region (or the average brain) is faster for [<sup>11</sup>C]P943 than for [<sup>11</sup>C]AZ10419369. These differences will be due to differences in both brain and plasma kinetics. Both tracers have qualitatively similar kinetics in the pallidum, with a lower initial uptake than in the cortex and no apparent transient equilibrium with the reference region. In the cortex, both tracers reach an apparent transient equilibrium between the cortical regions and the cerebellum, but <sup>11</sup>C]P943 reached that state later (at 80 mins) than <sup>11</sup>C]AZ10419369 (30 mins). The values of the (target) tissue to reference tissue concentration ratio at 120 mins after injection were similar for [<sup>11</sup>C]P943

and [<sup>11</sup>C]AZ10419369 (~3 in the pallidum and ~2 in the cortical regions).

#### **Transient Equilibrium State in Bolus Studies**

[<sup>11</sup>C]P943 does not reach a transient equilibrium state between the plasma and any brain region within 120 mins. In particular, the tissue-to-plasma ratio increases constantly during the scan in the pallidum. Similarly, results from 2T fits indicate that the smallest eigenvalue  $\alpha_1$  (0.009 ± 0.002 per min) is lower than the exponential decay rate of the input function  $\beta$  (0.012 ± 0.004 per min) in 18 out of the 32 subjects. In this case, no transient equilibrium state may be reached after a bolus injection (Carson *et al.*, 1993). In addition, transient equilibrium between cortical regions and the cerebellum is biased: the cortex-to-cerebellum ratios (minus 1) at 120 mins after injection is  $29 \pm 6\%$  higher than of the binding potential BP<sub>ND</sub> in the cortex. This overestimation of the cortex-to-cerebellum ratio is consistent with the results from the 2T fits. Indeed, the plasma decay rate  $\beta$  (0.012 ± 0.004 per min) is not negligible compared with the smallest eigenvalue  $\alpha_1$  in the cerebellum  $(0.025 \pm 0.006 \text{ min}^{-1})$  and even more so in the cortex  $(0.018 \pm 0.002 \text{ per min})$ . This leads to higher tissue-to-plasma ratios than the true  $V_{\rm T}$ (Carson *et al*, 1993), and higher cortex-to-cerebellum ratios (minus 1) than the true binding potentials.

#### Estimation of $V_{\rm T}$ and ${\rm BP}_{\rm ND}$

Using arterial input function data, the 2T and MA1 methods can be used to analyze regional TACs. MA1 is the method of choice with input function data for parametric imaging because of its lower sensitivity to noise. For reference region analysis, the two-parameter graphical analysis is not applicable as the linear section of this Logan plot is not reached quickly enough. The SRTM provides less biased estimates than does the 1T model in cortical regions, but more biased estimates in the pallidum and high binding regions. Moreover, attempting to reduce noise by using only one  $k'_2$  value for all regions or voxels (SRTM2) noticeably increases the bias in  $BP_{ND}$ in high binding regions. Reference region compartmental models such as the Full Reference Tissue Model or Watabe's Reference Tissue Model, may be theoretically more suitable than SRTM (for a review, see Gunn et al, 2001), as all regions are better described by the 2T model than by the 1T model, although they would still not perfectly describe <sup>[11</sup>C]P943 kinetics. However, in practice, these more complex models provided  $BP_{ND}$  estimates that were less well correlated with MA1  $BP_{ND}$  estimates than SRTM (data not shown).

Methods based on three-parameter graphical analysis are the most suitable for reference region methods. The MRTM produces unbiased  $BP_{ND}$  estimates, with a lower  $t^*$  setting than does MA1

(20 versus 40 mins). However,  $BP_{ND}$  estimates were too variable in the pallidum. Three methods were tested to reduce this variability. Two methods involved fixing b' to the mean MA1 cerebellum values:  $GA_{REF2}$  and MRTM2\*. Both methods were applicable, putatively because of the relatively narrow range of b' values in the present population. Between these two methods, MRTM2\* was the best method, as  $GA_{REF2}$  has noise-induced bias in the pallidum.

#### Estimation of b' in Multilinear Reference Tissue Model 2

An optimal method for reliable estimation of b' for each subject is still under development. The MRTM2 is well adapted for ligands the brain TACs of which are well fitted with the 1T model (Ichise et al, 2008). However, when the 1T model cannot fit perfectly, the brain TACs, additional issues must be considered, as the MRTM equation is then just an approximation. For  $[^{11}C]P943$ , the ideal b', which maximizes the correlation of MA1 and MRTM2  $BP_{ND}$  estimates, appears to be the MA1 b' value obtained with the same  $t^*$  value. Indeed, fixing b' to this value obtained with MA1 in each subject leads to  $BP_{ND}$  estimates almost perfectly correlated with MA1 BP<sub>ND</sub> estimates (i.e.,  $BP_{ND} = 0.986 \times MA1 \quad BP_{ND} + 0.014, \quad r2 = 0.989,$ n = 32, data not shown). However, this most suitable value will not correspond to the least-squares estimate from MRTM in any region. Indeed, even in noise-free simulations, MRTM b' estimates do not equal MA1 b' estimates, as MRTM combines the approximations of MA1 in both reference and target regions.

On the basis of these results, MRTM2\* seems to be the best method to quantify [<sup>11</sup>C]P943 binding potential  $BP_{ND}$  when arterial blood sampling is not available and when only a subset of regional TACs are used. The drawback of this approach is that it assumes an average b' value, determined in one population, can be applied.

#### Conclusions

[<sup>11</sup>C]P943 is suitable to quantify 5-HT<sub>1B</sub> receptors in humans *in vivo*. [<sup>11</sup>C]P943 binding potentials are significantly correlated with *in vitro* measurements of the density of 5-HT<sub>1B</sub> receptors, and *in vivo* estimates of the [<sup>11</sup>C]P943 dissociation constant  $K_d$ are close to *in vitro* measurements. Using arterial input functions, MA1 is the method of choice, especially for parametric images computation. Among noninvasive methods, MRTM2\* is the preferred method.

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# **Conflict of interest**

The authors declare no conflict of interest.

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