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## A transcriptional regulatory element critical for CHRN4 promoter activity in vivo

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### Abstract

Genome-wide association studies have underscored the importance of the clustered neuronal nicotinic acetylcholine receptor subunit genes with respect to nicotine dependence as well as lung cancer susceptibility. CHRN4, which encodes the nicotinic acetylcholine receptor  $\beta 4$  subunit, plays a major role in the molecular mechanisms that govern nicotine withdrawal. Thus, elucidating how expression of the  $\beta 4$  gene is regulated is critical for understanding the pathophysiology of nicotine addiction. We previously identified a CA box regulatory element, (5' – CCACCCCT –3') critical for  $\beta 4$  promoter activity in vitro. We further demonstrated that a 2.3-kb fragment of the  $\beta 4$  promoter region containing the CA box is capable of directing cell-type specific expression of a reporter gene to a myriad of brain regions that endogenously express the  $\beta 4$  gene. To test the hypothesis that the CA box is critical for  $\beta 4$  promoter activity in vivo, transgenic animals expressing a mutant form of the  $\beta 4$  promoter were generated. Reporter gene expression was not detected in any tissue or cell type at embryonic day 18.5. Similarly, we observed drastically reduced reporter gene expression at postnatal day 30 when compared to wild type transgenic animals. Finally, we demonstrated that CA box mutation results in decreased interaction of the transcription factor Sp1 with the mutant  $\beta 4$  promoter. Taken together these results demonstrate that the CA box is critical for  $\beta 4$  promoter activity in vivo.

### Keywords

Nicotinic Acetylcholine Receptors; CHRN4; Sp1; CACCC; Transgenic Mice

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During the development of the mammalian nervous system, transcriptional regulatory cascades act to define the properties of individual nerve cells by controlling gene expression during neuronal differentiation (Sauka-Spengler and Bronner-Fraser, 2008). These developmental gene networks must be tightly controlled in order to ensure that each neuron is capable of performing the biological functions required for neuronal communication (Eisen, 1991, Francis and Landis, 1999, Groves and Bronner-Fraser, 1999, Flores et al.,

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2000, Jessell, 2000). Acetylcholine (ACh) is an excitatory neurotransmitter that participates in signaling through both ionotropic and G-protein coupled receptors. Cholinergic signaling mediated by ionotropic nicotinic acetylcholine receptors (nAChR)<sup>2</sup> is involved in an array of physiological functions including learning, memory and attention (Albuquerque et al., 2009). Interestingly, substantial alterations in cholinergic signal transduction are observed in numerous neurological disorders including Alzheimer's disease, schizophrenia, epilepsy and nicotine addiction (Whitehouse et al., 1988, Steinlein et al., 1995, De Fusco et al., 2000, Perry et al., 2001, Isacson et al., 2002, Perl et al., 2003, Teaktong et al., 2003). Hence, further elucidating the molecular mechanisms that act to control expression of the nAChR subunit genes will contribute not only to our understanding of neuronal development but to a greater understanding of the pathophysiology of several neurological disorders as well.

Neuronal nAChRs are ligand-gated cation channels encoded by a family of genes designated CHRNA2 – 10 and CHRNB2 – B4. Mature nAChRs exist as heteropentamers containing a combination of  $\alpha$  ( $\alpha 2 - \alpha 6$ ) and  $\beta$  ( $\beta 2 - \beta 4$ ) subunits, while a subset of receptors are homopentamers containing  $\alpha$  subunits alone ( $\alpha 7 - \alpha 10$ ) (Albuquerque et al., 2009). Neuronal populations in both the central (CNS) and peripheral nervous systems (PNS) express multiple nAChR subtypes (Goldman et al., 1987, Corriveau and Berg, 1993, Vernallis et al., 1993, Conroy and Berg, 1995, Gotti et al., 1997, Zoli et al., 1998, Genzen et al., 2001). Each distinct nAChR subtype displays unique biophysical and pharmacological properties, which are determined by subunit composition (McGehee and Role, 1995). The specific subunits assembled into a particular receptor subtype is dictated, at least in part, by the cell-type specific transcription of the individual subunit genes (Albuquerque et al., 2009).

Three of the 12 neuronal nicotinic subunit genes, those encoding the  $\alpha 5$ ,  $\alpha 3$  and  $\beta 4$  subunits, are located in a highly conserved genomic cluster (Fig. 1A; also see (Boulter et al., 1990)). These three receptor subunit genes are co-expressed in a variety of cell types and tissues in both the PNS and CNS (Gotti et al., 2006). The co-expression of these three genes is thought to result from the coordinate regulation of the CHRNA5/A3/B4 locus (Albuquerque et al., 2009). As a likely consequence of their co-expression, the  $\alpha 5\alpha 3\beta 4$  receptor subtype is the predominant nAChR expressed in the PNS where they play a crucial role in mediating fast synaptic transmission in autonomic ganglia (Rust et al., 1994, Conroy and Berg, 1995, Flores et al., 1996). Despite their largely overlapping patterns of expression, there are a small subset of regions where these genes appear to be uniquely regulated (Gotti et al., 2007, Grady et al., 2009).

Recently, a number of genome-wide association studies have linked single nucleotide polymorphisms in the clustered nAChR subunit genes to an increased likelihood of nicotine dependence and lung cancer (Greenbaum and Lerer, 2009). These results highlight the significance of the clustered subunit genes with respect to tobacco related illness. These data have brought to light the importance of the  $\alpha 5\alpha 3\beta 4$  subtype and shifted the focus from the more commonly studied  $\alpha 7$  and  $\alpha 4\beta 2$  nAChR subtypes (Sciamanna et al., 1997, Picciotto et al., 1998, Wang et al., 2001, Walters et al., 2006, Breitling et al., 2009, Paleari et al., 2009, Sun et al., 2009).

nAChR subunit gene knock-out mice have proven to be a valuable tool for deciphering the function of specific nAChR subtypes in the nervous system. The  $\beta 4$  knock-out animals display significantly milder somatic symptoms of nicotine withdrawal (Salas et al., 2004). These results demonstrate that the  $\beta 4$  subunit plays a major role in the molecular mechanism underlying nicotine dependence. There is also some indication that blockade of  $\alpha 3\beta 4$  nAChRs results in a reduction of opioid and stimulant self-administration, suggesting that nAChRs that contain the  $\beta 4$  subunit are involved in mediating withdrawal syndromes elicited by other drugs of abuse (Glick et al., 2002). Thus, elucidating the molecular

mechanisms underlying expression of the  $\beta 4$  gene will improve our understanding of nicotine addiction and withdrawal as well as lung cancer, and other tobacco-related diseases.

The expression patterns of the  $\beta 4$  subunit gene have been characterized by several groups, (Dineley-Miller and Patrick, 1992, Zoli et al., 1995, Winzer-Serhan and Leslie, 1997) yet the mechanisms that control expression are not completely understood. We previously identified in vitro an 8-base pair transcriptional regulatory element in the  $\beta 4$  promoter that is critical for promoter activity (Bigger et al., 1996). This regulatory element, deemed the CA box due to its nucleotide composition, is also the binding site for several transcription factors in vivo (Scofield et al., 2008). We recently demonstrated that a 2.3-kb fragment of the  $\beta 4$  promoter region containing the CA box is capable of directing cell-type specific and developmentally regulated expression of a reporter gene in vivo (Bruschweiler-Li et al., 2010).

In this study we sought to determine if the CA box regulatory element within the  $\beta 4$  promoter region is indeed critical for the temporally and spatially restricted transcriptional activity of the  $\beta 4$  promoter in vivo.

## Experimental Procedures

### Construction of the mutant CA box $\beta 4$ promoter/ $\beta$ -galactosidase transgene

The 2,346-base pair SacI/HindIII fragment of the rat  $\beta 4$  subunit gene was excised from the pSGB4SH construct (Bruschweiler-Li et al., 2010) and used as the template for mutagenic polymerase chain reaction (PCR). For mutagenesis, the primers were designed to make 3 base substitutions in the CA box within the context of the 2.3-kb promoter fragment (Fig. 1A). After mutagenesis the resulting fragment was ligated back into the pSG-MAR backbone in order to generate the pSGMutB4SH construct. The SacI/HindIII fragment in the resulting construct was sequenced in order to ensure that only the expected mutations were made (Genewiz, California, USA).

### Cell culture and transfection

The mouse neuroblastoma cell line Neuro-2a (Olmsted et al., 1970b) and the mouse septal cholinergic cell line SN17 (Hammond et al., 1990) were cultured and transfected as previously described (Liu et al., 1999). Briefly, transfections were done using a liposome-mediated approach (Lipofectamine 2000, Invitrogen, California, USA). The cells were transfected with either WT or mutant CA box construct and a luciferase expression construct, pGL-Promoter, in which the SV40 promoter drives expression of the firefly luciferase gene. (Promega Corporation, Wisconsin, USA). The cells were allowed to incubate in transfection medium for 2 days and then harvested and assayed for beta-galactosidase ( $\beta$ -gal) (Galacto-Star, Applied Biosystems, California, USA) and luciferase (Luciferase Assay System, Promega) activities in a Lumimark microplate luminometer (Bio-Rad, California, USA). To correct for differences in transfection efficiencies between dishes, the  $\beta$ -gal activity in each sample was normalized to the luciferase activity in that same sample. The student *t* test was used for statistical analysis.

### Generation of transgenic mice

pSGMutB4SH was digested with NotI to release the mutated  $\beta 4/\beta$ -gal transgene. Following agarose gel electrophoresis, the transgene fragment was excised and the DNA was extracted from the gel using a QIAquick Gel Extraction Kit (QIAGEN, California, USA). The purified DNA was injected into pronuclei followed by implantation into pseudopregnant females. The C57BL/6  $\times$  SJL F2 hybrid mouse strain was used for all transgenic experiments. Injection of DNA and all subsequent steps up to and including the generation of founder animals were performed by the University of Massachusetts Medical School Transgenic

Animal Modeling Core. Transgenic founders were identified by PCR. Founders were mated with C57BL/6 × SJL F2 hybrid mice to establish transgenic lines. Adequate measures were taken to minimize pain and discomfort to the animals. All procedures were conducted in accordance with the rules of the Institutional Animal Care and Use Committee of the University of Massachusetts Medical School.

### Determination of transgene copy number

Transgene copy number of the mutant CA box transgenic lines was determined using absolute quantification-based real-time PCR as described previously (Bruschweiler-Li et al., 2010).

### Histochemical analysis of transgenic mice

Two ages of transgenic mice were studied: embryonic day (ED) 18.5 and postnatal day (PD) 30. Mice were anesthetized with pentobarbital and perfused transcardially with cold 0.1 M sodium phosphate buffer/2 mM MgCl<sub>2</sub> followed by fixative (cold 4% paraformaldehyde). Tissues were then dissected and post-fixed for 5–6 hours (ED18.5) or 4 hours (PD30). Fixed tissues were transferred to 30% sucrose/2 mM MgCl<sub>2</sub>, in 1X phosphate-buffered saline (PBS) and incubated at 4°C overnight. Tissues were embedded in Tissue-Tek (Miles, Indiana, USA) and quick frozen on dry ice. If not used immediately, the samples were stored at –80°C. Sectioning was done on a Leica CM3050S cryostat at –28°C generating either 14 μm (ED18.5) or 25 μm (PD30) thick sections that were transferred directly onto Superfrost glass slides (Fisher, Pennsylvania, USA). Slides were air-dried at room temperature, washed with sodium phosphate buffer and then incubated overnight at 37°C with β-gal staining solution (0.1 M NaHPO<sub>4</sub>, 0.1 M NaH<sub>2</sub>PO<sub>4</sub>, 2 mM MgCl<sub>2</sub>, 0.1% sodium deoxycholate, 0.02% NP-40, 10 mM K<sub>3</sub>(Fe)CN<sub>6</sub>, 10 mM K<sub>4</sub>(Fe)CN<sub>6</sub>, 1 mg/ml X-gal). In order to minimize any variability in the β-Gal staining results, sections from mutant CA box transgenic lines and the corresponding WT transgenic and non-transgenic lines were stained at the same time and in the same batch of staining solution. Two animals from each transgenic founder line were studied in depth. Following β-gal staining, slides were washed with 1X PBS and incubated in distilled water either for 1 h (ED18.5) or overnight (PD30). Slides were then counter-stained with Neutral Red (1% w/v in 37 mM sodium acetate), dehydrated through a graded series of ethanol solutions (50%, 70%, 90% and 100%) and cleared with xylene. The slides were air-dried overnight at room temperature in a fume hood followed by the application of cover slips. Microscopy was done using a Zeiss Axiovert 200M microscope with a high resolution Retiga 1300R CCD camera and Slidebook image analysis software. Anatomical analysis was done with the aid of the Paxinos and Franklin mouse brain atlas (Franklin and Paxinos, 2001) and the Kaufman atlas of mouse development (Kaufman, 1998).

### Chromatin immunoprecipitation (ChIP)

Brain tissue ChIP experiments were performed as described previously (Scofield et al., 2008). In short, frozen transgenic brain tissue was ground to a powder and subsequently titrated in PBS with 1% formaldehyde. Cross-linking was carried out at 37°C for 10 minutes. Cells were collected by centrifugation, washed twice with PBS containing protease inhibitors and then collected again by centrifugation. The resulting pellet was re-suspended in lysis buffer with protease inhibitors and then sonicated in order to shear chromatin into an average size of 500 base pairs. Fragmented chromatin was spun down at 4°C in order to eliminate cellular debris. The resulting chromatin samples were diluted in ChIP dilution buffer and used for immunoprecipitation.

## PCR

Each ChIP-derived DNA sample was used in PCR with the following primers designed to amplify a segment of the *rat* transgenic mutant  $\beta 4$  promoter, 5' - TAAGCTGCCTCGGGTGAACCTAAGA-3', 5' - TGTCTGGGGGAACCTGTGGCTAT-3'. ChIP-derived DNA was also amplified using the following primers designed to amplify a segment of the *mouse* endogenous  $\beta 4$  promoter, 5' - TTGGGTAAGCCAGGCTAAGA-3', 5' - GGTCGGAGACTTTCTCACA-3'. Following amplification, PCR products were electrophoresed through a 2% agarose gel. Densitometry values for ChIP-DNA derived PCR product were obtained using the Ultra-Violet Products EpiChemi<sup>3</sup> Darkroom imaging system and UVP analysis software (UVP, California, USA). One-way ANOVA was used for statistical analysis followed by Tukey's multiple comparison post-test.

## RESULTS

Previously, we showed that mutagenesis of the CA box within the  $\beta 4$  promoter virtually eliminates promoter activity in vitro (Bigger et al., 1996). In order to investigate the importance of the CA box for  $\beta 4$  promoter activity in vivo, we generated transgenic mice that express a lacZ reporter gene under direction of a mutant  $\beta 4$  promoter in which the CA box sequence was changed from 5' - CCACCCCT -3', to 5' - CCAGCGCA -3' (Fig. 1A). When these constructs were tested in vitro, significantly reduced levels of  $\beta$ -Gal activity were observed in the two neuronal-like cell lines Neuro-2a (Olmsted et al., 1970a) and SN17 (Hammond et al., 1990) (Fig. 1B). These results indicated that the  $\beta 4$  promoter constructs were indeed suitable for the generation of transgenic animals.

A total of 6  $\beta 4$  promoter CA box mutant transgenic lines (lines 19, 25, 28, 30, 33, and 83) were generated and analyzed for  $\beta$ -Gal activity at both ED18.5 and PD30. Using a quantitative PCR approach described previously (Bruschweiler-Li et al., 2010), transgene copy number was determined for each line and revealed that line 19 has approximately 10 copies, line 25 has 62 copies, line 28 has 69 copies, line 30 has 25 copies, line 33 has 23 copies and line 83 has 37 copies.

In the WT  $\beta 4$  promoter/lacZ transgenic lines, we observed a striking recapitulation of endogenous  $\beta 4$  gene expression patterns at ED18.5 in both the CNS and PNS, including the spinal cord, intestine, and cortex (Fig. 2A-C; also see (Bruschweiler-Li et al., 2010)). In contrast, no reporter gene expression was seen in any of these tissues at ED18.5 in any of the mutant CA box transgenic lines (Fig. 2E-G).

$\beta 4$  gene expression is observed early during prenatal development in the dorsal root ganglia (DRG) where it co-assembles with the  $\alpha 3$  and  $\alpha 5$  subunits to form the  $\alpha 5\alpha 3\beta 4$  nAChR subtype. This receptor subtype has been shown previously to be the predominant subtype expressed in the PNS (Conroy and Berg, 1995) where it plays a role in nicotinic transmission at the synapses of autonomic ganglia (Vernallis et al., 1993). At ED18.5, we observed lacZ expression in the DRG of the WT transgenic lines (Fig. 2D), however no lacZ expression was observed in the DRG of the mutant CA box transgenic lines (Fig. 2H). Moreover, we did not observe lacZ expression in any cell type in any of the mutant CA box transgenic founder lines at ED18.5, suggesting that the CA box plays a critical role in mediating  $\beta 4$  promoter activity at this stage of development.

$\beta$ -Gal activity was observed in PD30 WT transgenic lines in several areas that endogenously express the  $\beta 4$  gene (Dineley-Miller and Patrick, 1992, Winzer-Serhan and Leslie, 1997, Gahring et al., 2004) including the piriform cortex, medial habenula, and the subiculum (Table 1) (Fig. 3A-C) (Bruschweiler-Li et al., 2010). Out of the 6 mutant CA box transgenic founder lines investigated, no lacZ expression was observed in any region of the brain at

PD30 in 4 of these lines, lines 25, 30, 33, and 83 (Table 1). The remaining 2 lines, mutant CA box transgenic lines 19 and 28, expressed extremely low levels of the lacZ reporter gene in a small subset of brain regions (Table 1). Interestingly, no expression of lacZ was observed in the mutant CA box transgenic animals in several areas of endogenous  $\beta 4$  expression including the piriform cortex, medial habenula, or the subiculum (Fig. 3E-G) (Dineley-Miller and Patrick, 1992, Winzer-Serhan and Leslie, 1997, Gahring et al., 2004). In addition, the mutant CA box transgenic lines had fewer lacZ positive cells when compared to the WT transgenic lines in several areas of endogenous  $\beta 4$  expression. For example, we observed a drastically reduced number of lacZ positive cells in the dentate gyrus of mutant CA box transgenic line 28 when compared to WT transgenic line 39 (Fig. 3D, H) (Table 1). Consistent with our previous observations at ED18.5, lacZ expression was detected in the DRG of WT transgenic lines at PD30 while no expression of lacZ was detected in the DRG in any of the 6 mutant CA box transgenic lines (Fig. 4A, B). In addition, we observed lacZ expression in the trigeminal ganglion in the WT transgenic line 54 at ED18.5 (Bruschweiler-Li et al., 2010), while no lacZ expression is present in any of the mutant transgenic lines at ED18.5 (Fig. 4C, D). Taken together, these data suggest that the CA box regulatory element plays a critical role in directing  $\beta 4$  promoter activity in vivo.

We previously demonstrated that, in addition to virtually eliminating promoter activity, mutation of the  $\beta 4$  promoter CA box substantially reduced binding and transactivation by the transcription factor Sp1, in vitro (Bigger et al., 1996, Bigger et al., 1997). In order to test the hypothesis that CA box mutation results in reduced association of the Sp1 transcription factor with the  $\beta 4$  promoter in vivo, brain tissue from mutant CA box transgenic line 28 was used as a source of chromatin for ChIP assays. Consistent with our previous work (Scofield et al., 2008), PCR using Sp1 ChIP-derived DNA as template resulted in amplification of the endogenous mouse  $\beta 4$  promoter to levels that were approximately 3-fold higher than controls (Fig. 5, black bars), indicating an interaction of Sp1 with the endogenous  $\beta 4$  promoter. Conversely, PCR reactions using the same Sp1 ChIP-derived DNA as template *did not* result in amplification of the mutant CA box transgenic  $\beta 4$  promoter above background levels (Fig. 5, white bars), indicating that there was no or significantly reduced interaction between Sp1 and the mutant  $\beta 4$  promoter.

## DISCUSSION

The biophysical diversity of nAChRs is a result of the large variety of subunit combinations that can assemble into functional receptors (Gotti et al., 2006). While the exact molecular mechanisms controlling the incorporation of a particular subunit into a mature receptor are not completely understood, regulation at the level of transcription likely plays a critical role. Consistent with this hypothesis, the  $\alpha 5$ ,  $\alpha 3$  and  $\beta 4$  genes are co-expressed in a variety of cell types in the PNS where the  $\alpha 5\alpha 3\beta 4$  receptor subtype is the most predominant nicotinic receptor subtype expressed (Rust et al., 1994, Conroy and Berg, 1995). The co-expression of these subunits is likely the result of coordinate regulation of the  $\alpha 5\alpha 3\beta 4$  locus by shared transcriptional regulatory features. An example of which is the positive regulation of these three genes by the transcription factor Sp1 (Yang et al., 1995, Bigger et al., 1996, Bigger et al., 1997, Campos-Caro et al., 1999, Melnikova et al., 2000a, Terzano et al., 2000, Campos-Caro et al., 2001, Melnikova and Gardner, 2001, Valor et al., 2002). It is most likely that Sp1 acts in concert with cell-type specific regulatory factors in order to direct expression of the clustered subunit genes to the appropriate cell types.

Several groups, including our own, have intensively studied the transcriptional regulatory mechanisms that act to control expression of these three subunit genes. These studies have uncovered several regulatory elements (discussed below) that are involved in directing cell-type specific expression of the clustered nAChR subunit genes. Here, we have used mutant

$\beta 4$  promoter transgenic mice to demonstrate that the CA box is a critical mediator of  $\beta 4$  promoter activity in vivo. Mutation of the CA box resulted in absent or substantially decreased expression of the lacZ reporter gene in an array of brain regions where lacZ expression is observed in the WT  $\beta 4$  promoter/lacZ transgenic lines (Bruschweiler-Li et al., 2010) and  $\beta 4$  is endogenously expressed (Winzer-Serhan and Leslie, 1997, Gahring et al., 2004). Taken together, these results demonstrate that the CA box plays a pivotal role in the transcriptional activity of the  $\beta 4$  promoter in vivo.

A previous study done by the Deneris group uncovered an additional transcriptional regulatory element that mediates expression of the  $\beta 4$  gene (Xu et al., 2006). This element, deemed the conserved non-coding region #4 (CNR4), is located approximately 30-kb upstream of the  $\beta 4$  gene. CNR4 appears to contain regulatory information needed to direct expression of the  $\beta 4$  gene to the pineal gland and interpeduncular nucleus (Xu et al., 1999), two areas of endogenous  $\beta 4$  gene expression where we do not see lacZ expression in the WT or mutant CA box  $\beta 4$  transgenic lines. Additional experiments demonstrated that CNR4 is critical for the coordinate expression of the  $\beta 4$  and  $\alpha 3$  genes in the pineal gland as well as in the superior cervical ganglion. Based on its high degree of conservation and its distal location from the genes it acts to regulate, CNR4 is thought to be a locus control region-like regulatory domain (Xu et al., 2006).

In addition to CNR4, mutation of several E26 transformation-specific sequence (ETS) factor binding sites in an enhancer located in the  $\beta 4$  3'-untranslated region (the  $\beta 43'$  element) can severely diminish expression of the  $\alpha 5$ ,  $\alpha 3$  and  $\beta 4$  genes in the adrenal gland (Xu et al., 2006). Furthermore, mutation of ETS binding sites within the  $\beta 43'$  enhancer also diminished expression of these genes in the superior cervical ganglion (Xu et al., 2006). It is clear from these data as well as data from our own lab that several transcriptional regulatory elements located around the clustered subunit genes act in concert to provide the necessary regulatory information to drive expression of the required subunits in the appropriate cell type (Yang et al., 1997, Francis and Deneris, 2002, Xu et al., 2006, Fuentes Medel and Gardner, 2007, Bruschweiler-Li et al., 2010).

ChIP experiments done on mutant CA box transgenic brain tissue demonstrated a reduced interaction of Sp1 with the CA box mutant  $\beta 4$  promoter. These data are in agreement with previous studies from our lab indicating that CA box mutation abrogates Sp factor binding and transactivation of the  $\beta 4$  promoter in vitro (Bigger et al., 1996, Bigger et al., 1997). Because the  $\beta 4$  promoter lacks a TATA box, Sp1 could play a crucial role in tethering the basal transcription machinery to the  $\beta 4$  promoter, as it does in other TATA-less promoters (Pugh and Tjian, 1991) The lack of Sp1 interaction with the CA box mutant promoter provides a plausible molecular mechanism for the severely reduced  $\beta 4$  promoter activity observed in the CA box mutant transgenic lines. CA box mutation may also impede the transactivation ability of additional transcriptional regulatory factors that associate with the  $\beta 4$  promoter at this region by virtue of protein-protein interactions with Sp1 (Melnikova et al., 2000b, Scofield et al., 2008). This is likely the case for transcription factor c-Jun, which physically interacts with Sp1 and is capable of activating transcription from the  $\beta 4$  promoter in vitro, despite the fact that the  $\beta 4$  promoter lacks a consensus AP-1 binding site. At the WT  $\beta 4$  promoter, Sp1 and c-Jun synergistically activate transcription, however, when the CA box is mutated, the  $\beta 4$  promoter is no longer responsive to either Sp1 or c-Jun (Melnikova and Gardner, 2001). In addition, the transcription factor Sox10 also synergistically transactivates the  $\beta 4$  promoter when supplied in concert with Sp1 (Melnikova et al., 2000a). Similar to what is observed with c-Jun, CA box mutation also drastically reduces Sox10's ability to activate transcription from the  $\beta 4$  promoter (Liu et al., 1999). It is possible then that Sp1 may act to nucleate a complex of positive-acting transcription factors needed for cell-type specific expression for the  $\beta 4$  gene in vivo.

Apart from transcriptional regulation, it is important to note that other modes of regulation may act to mediate the temporally- and spatially-regulated transcriptional activity of the  $\beta 4$  subunit gene promoter. Possible modes of regulation include chromatin remodeling, post-translational modifications and regulation of  $\beta 4$  mRNA translation by microRNAs.

Although there was no 4 promoter activity in the mutant CA box transgenic animals at ED18.5, it remains unclear if the CA box simply plays a role in directing basal promoter activity or if it is involved in mediating the developmentally and temporally regulated expression of the  $\beta 4$  gene. Future studies will be focused on addressing these questions.

In conclusion, our results demonstrate that the CA box is a key determinant of  $\beta 4$  promoter activity at both ED18.5 and PD30 in both the PNS and CNS. Furthermore, these data also indicate that Sp1 plays a critical role in directing the positive transcriptional regulatory effect of the CA box in vivo. Positive regulation of the  $\beta 4$  promoter by Sp1 at the CA box is a crucial aspect of the intricate regulatory cascade that ensures accurate expression of the  $\beta 4$  gene, allowing nAChRs containing the  $\beta 4$  subunit to participate in both normal physiological processes (Dani and Bertrand, 2007, Albuquerque et al., 2009), as well as tobacco-related pathological conditions (Salas et al., 2004, Salas et al., 2009).

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## Abbreviations

<b><math>\beta</math>-Gal</b>	beta-galactosidase
<b>CA box</b>	the (5' – CCACCCCT –3') regulatory element in the $\beta 4$ gene promoter
<b>ChIP</b>	chromatin immunoprecipitation
<b>CNR4</b>	conserved non-coding region 4
<b>CNS</b>	central nervous system
<b>DRG</b>	dorsal root ganglion
<b>ED18.5</b>	embryonic day 18.5
<b>ETS</b>	E26 transformation-specific sequence
<b>nAChR</b>	nicotinic acetylcholine receptor
<b>PNS</b>	peripheral nervous system
<b>PD30</b>	postnatal day 30
<b>WT</b>	wild type

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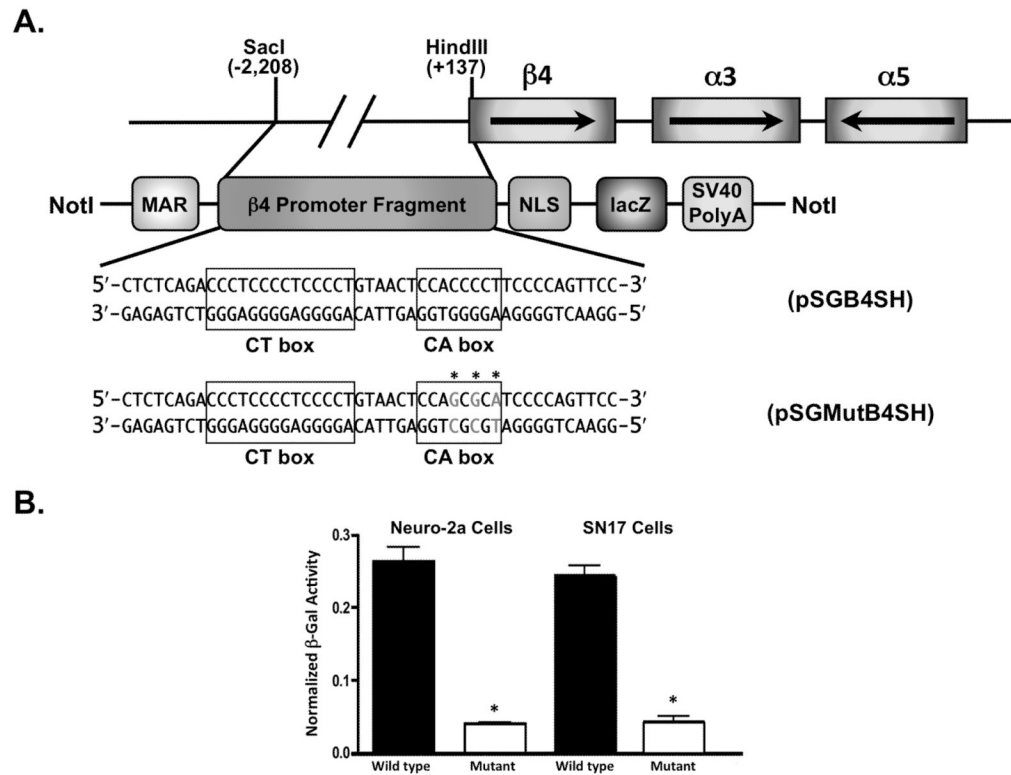


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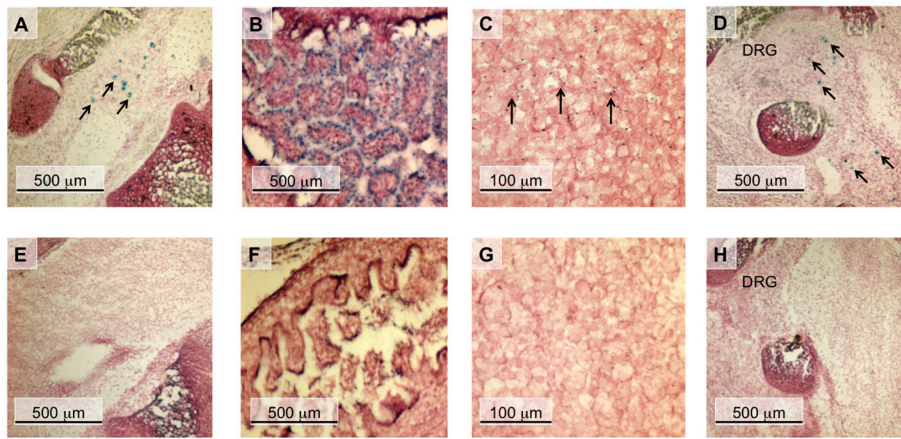
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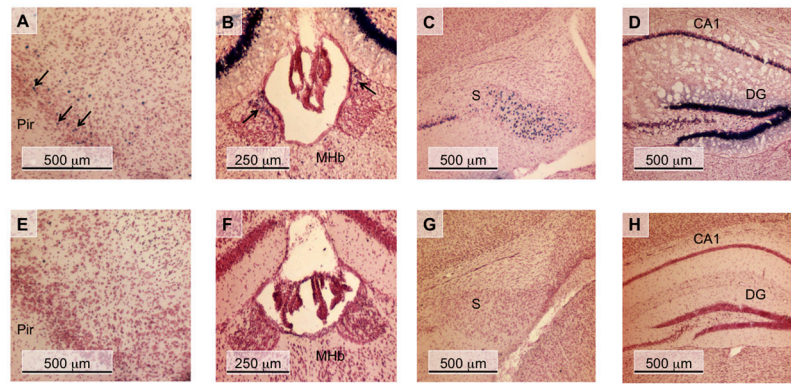
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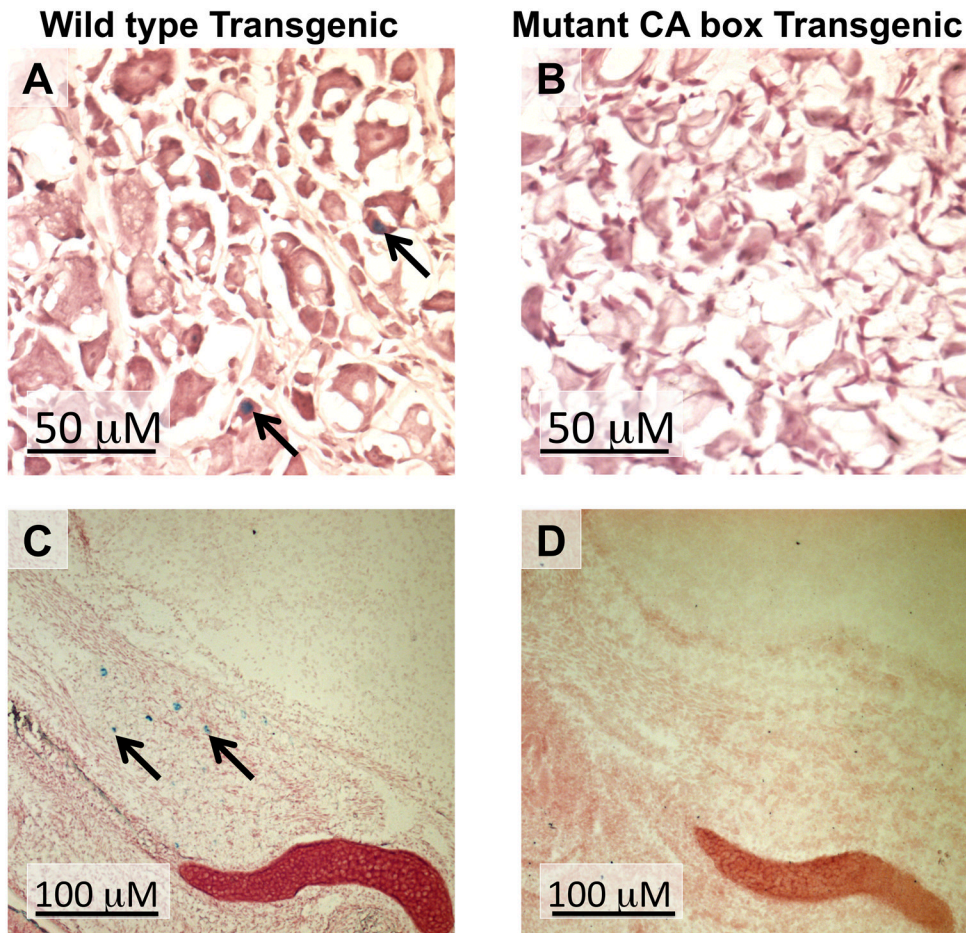
**Fig. 1.** WT and mutant CA box  $\beta 4$  promoter transgenic constructs. (A) WT and mutant  $\beta 4$  promoter/*lacZ* transgene architecture. The  $\beta 4/\alpha 3/\alpha 5$  gene cluster is depicted as boxes with arrows depicting the direction of transcription. Below the clustered nAChR subunit genes is a schematic of the linearized construct used to generate the transgenic animals. MAR, matrix attachment region; NLS, nuclear localization sequence. Shown below this schematic are the nucleotide sequences of the WT and mutant CA boxes. Mutations made to the CA box in the mutant transgenic construct are shown in grey with asterisks over the mutated nucleotides. (B)  $\beta 4$  promoter/*lacZ* transcriptional activity in vitro. DNA from either the WT (black bars) or mutant (white bars) transgenic constructs was transfected into Neuro-2a (left) or SN17 cells (right) along with a luciferase construct in which the SV40 promoter drives expression of the firefly luciferase gene.  $\beta$ -Gal activity was normalized to luciferase activity in order to correct for differences in transfection efficiencies. The data shown here are an average of 3 independent experiments, error bars represent standard deviations of the means. Student *t* test indicated that CA box mutation significantly decreased the  $\beta$ -gal activity of the mutant transgenic construct in both cell lines,  $p < 0.05$ .



**Fig. 2.** nAChR  $\beta$ 4 subunit promoter activity in ED18.5 transgenic mice. Sagittal sections of WT transgenic (A - D) and mutant CA box transgenic (E - H) ED18.5 mouse embryos are shown. These sections were simultaneously stained for  $\beta$ -Gal activity and then counter-stained with neutral red. (A and E) lower lumbar region of the spinal cord; (B and F), intestine; (C and G) cortex; (D and H) lower lumbar dorsal root ganglion (DRG). Arrows in panels A, C and D indicate  $\beta$ -gal-expressing cells.

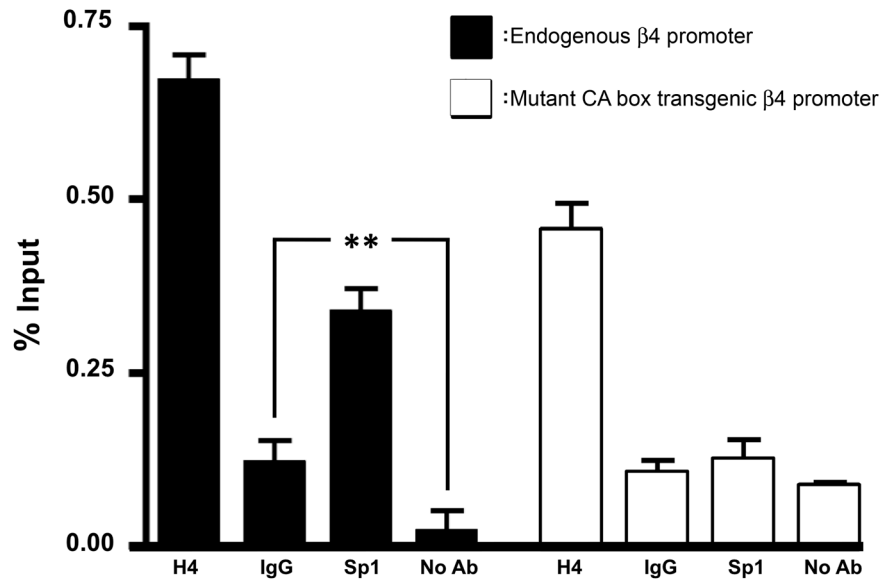


**Fig. 3.** nAChR  $\beta 4$  subunit promoter activity of in the CNS of PD30 transgenic mice. Coronal sections of WT transgenic (A - D) and mutant CA box transgenic (E - H) PD30 mouse brains are shown. These sections were simultaneously stained for  $\beta$ -Gal activity, and then counter-stained with neutral red. (A and E) piriform cortex (Pir); (B and F) medial habenula (Mhb); (C and G) subiculum (S); (D and H) hippocampus, corpus ammon layer 1 (CA1), dentate gyrus (DG); Arrows in panels A and B indicate  $\beta$ -Gal-expressing cells.



**Fig. 4.** nAChR  $\beta 4$  subunit promoter activity of in the DRG of PD30 transgenic mice and in the Trigeminal ganglion of ED18.5 transgenic mice. Sections of WT transgenic line 54 (A) and mutant CA box transgenic line 28 (B) PD30 DRG are shown as well as WT transgenic line 54 (C) and mutant CA box transgenic line 28 (d) ED18.5 trigeminal ganglion. These sections were simultaneously stained for  $\beta$ -Gal activity and then counter-stained with neutral red. Arrows in panels A and C indicate  $\beta$ -Gal-expressing cells.





**Fig. 5.**

CA box mutation results in decreased association of Sp1 with the  $\beta 4$  promoter. ChIP experiments were performed using brain tissue from mutant  $\beta 4$  promoter/lacZ transgenic line 28. Transgenic brain ChIP-derived DNA was used as template for PCR designed to amplify either the WT endogenous mouse  $\beta 4$  promoter (black bars), or the CA box mutant transgenic rat  $\beta 4$  promoter (white bars). (H4) anti-acetylated histone protein H4; (IgG) normal rabbit IgG; (Sp1) Transcription factor Sp1; (No Ab) Mock IP. The data shown here are an average of 4 independent experiments, expressed as a percentage of a 2% unprecipitated input sample. One-way ANOVA statistical analysis performed on the WT  $\beta 4$  promoter values (black bars) indicated that ChIP values differed significantly from the total mean (ANOVA  $F_{3,7} = 148.4$ ,  $p < 0.001$ ). Tukey's multiple comparison post-test resulted in a significant difference between the Sp1 ChIPs and the WT IgG and mock ChIP controls,  $p < 0.01$ . One-way ANOVA statistical analysis performed on the transgenic mutant  $\beta 4$  promoter values (white bars) indicated that ChIP values differed significantly from the total mean (ANOVA  $F_{3,7} = 94.62$ ,  $p < 0.001$ ). Tukey's multiple comparison post-test resulted in no significant difference between Sp1 ChIPs and the IgG and mock ChIP controls at the mutant  $\beta 4$  promoter. Error bars represent standard deviation of the means.

**Table 1**

$\beta$ 4/lacZ transgene expression in the central nervous system of PD30 transgenic animals.

<b>FOUNDER LINE:</b>	<b>39</b>	<b>54</b>	<b>19</b>	<b>25</b>	<b>28</b>	<b>30</b>	<b>33</b>	<b>83</b>
<i>Brain Region</i>	<i>WT Transgenic</i>		<i>Mutant CA box Transgenic</i>					
Piriform Cortex	+++	++++	-	-	-	-	-	-
Caudate Putamen	+	++	-	-	-	-	-	-
Medial Habenula	+++	++	-	-	-	-	-	-
Dentate Gyrus	++++	++	+	-	+	-	-	-
Subiculum	+++	+++	-	-	-	-	-	-
Locus Coeruleus	++	-	-	-	-	-	-	-
Cerebellum	-	-	-	-	-	-	-	-

Expression levels were scored as follows: -, no expression; +, low level; ++, intermediate level; +++, high level; +++++, very high level.