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# **Deciphering STAT3 Signaling In the Heart: Plasticity and Vascular Inflammation**

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# **Abstract**

STAT3 is a transcription factor that plays a critical role in heart development and protection. New developments in understanding its molecular chemistry have revealed the importance of STAT3 in controlling mitochondrial respiration, independent of its function as a transcription factor, and in modulating inflammatory signaling through interactions with other transcription factors and cofactors. The purpose of this article is twofold. First, we summarize some recent insights into the function of STAT3. Second, we seek to illustrate the complexity of targeting a particular cellular protein for therapeutic purposes and the need to consider context when attempting to decipher the role of a particular signaling pathway in the heart. In this case, inflammation, aging, hypertrophy, and heart failure provide new environments that certainly impact on the functioning of STAT3 and on the gene profile linked to its activation.

# **Introduction**

Various genetic and nongenetic animal models have revealed that STAT3 has a role in protecting the heart from stress resulting from ischemia-reperfusion, pressure-overload hypertrophy, and aging, thereby preventing heart failure.<sup>1–4</sup> This transcription factor has been implicated in both early and late ischemic preconditioning, as well as ischemic postconditioning. Clear evidence has been found that gene expression contributes to the protective actions of STAT3 in late ischemic preconditioning and aging. Genes shown to be upregulated are involved in extracellular matrix remodeling, angiogenesis, and protection from oxidants and apoptosis.<sup>2</sup> But poorly understood nongenomic actions of STAT3 that likely impact on mitochondrial permeability transition pore opening and NF-κB transcriptional activity may be involved as well. That is assuredly the case in early (classical) preconditioning and postconditioning due to the short time frames involved. As discussed here, differential STAT3 phosphorylation and cellular distribution may contribute to the nongenomic actions of STAT3. Our recent work indicates that the former may impact as well on the particular gene profile linked to STAT3 activation by affecting the association of STAT3 with other transcription factors and cofactors.

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### **Not your everyday transcription factor**

STAT3 was initially described as a latent cytoplasmic transcription factor that dimerizes and translocates to the nucleus upon phosphorylation of a tyrosine residue (Y705) near the Cterminus by an activated JAK or Src family kinase.<sup>2</sup> STAT3 homodimers or STAT3-STAT1 heterodimers induce the expression of target genes that have a GAS-like element in their promoter. The COOH-terminal region of STAT3 also has a transactivation domain (TAD) that contains a serine residue (S727) that modulates its transcriptional activity (Figure 1). Moving towards the  $NH_2$ -terminus, other notable features of STAT3 are a linker domain, a DNA binding domain, a coiled–coiled domain that is important in protein–protein interactions, and a  $NH_2$ -terminal domain. Phosphorylation of S727 within the TAD is generally thought to be essential for maximal STAT3 transcriptional activity by allowing for the recruitment of co-factors, such as the histone acetyltransferase p300. The extent of S727 phosphorylation thus introduces another level of regulation in STAT3-mediated gene expression. There is also evidence that prior STAT3 S727 phosphorylation precludes phosphorylation at Y705, at least in response to the IL-6-type cytokines.<sup>2</sup> In any case, STAT3 has been shown to interact independent of DNA binding with several other transcription factors, including NF-κB p65 and p50 to induce or repress gene expression. In most cases, as with p300, these interactions have been mapped to the coiled-coil domain of STAT3, which has been postulated to interact with the TAD. Phosphorylation of S727 may disrupt this intramolecular interaction, thereby providing ready access to the coiled-coil domain. However, a mandatory role for STAT3 S727 phosphorylation in these interactions is not established. Anyway, these observations suggest the possibility that STAT3 may impact gene expression independently of tyrosine phosphorylation through association with other transcription factors or co-factors. Such a scenario was recently proposed as the mechanism responsible for interleukin-10 (IL-10)-dependent NF-κB recruitment to the interleukin 1 receptor antagonist (IL-1ra) promoter in LPS-stimulated monocytes and neutrophils.<sup>5</sup> IL-1ra is a negative regulator of the inflammatory response. It was suggested that IL-10 activated-STAT3 enhances IL-1ra promoter hyperacetylation via its association with p300, thereby facilitating accessibility of the LPS-activated NF-κB components to the DNA elements.

To complicate matters further, others have recently reported that contrary to an older report showing enhanced nuclear export of STAT3 phosphorylated on S727 alone in T lymphoma cells,<sup>6</sup> STAT3 is constitutively phosphorylated on S727 in chronic lymphocytic leukemia cells, shuttles to the nucleus, binds DNA, and activates transcription of STAT3 responsive genes – all independently of Y705 phosphorylation.<sup>7</sup> No molecular or cellular basis for these noncanonical findings comes to mind, nor is it known what role this process plays in other cell types if it does in fact occur in other cells. In any case, neither S727 nor Y705 phosphorylation would seem to be mandatory for the presence of STAT3 in the nucleus, since constitutive shuttling of STAT3 between the nucleus and cytoplasm has been observed and is attributable to a novel R214/215 sequence in the coiled-coil domain and a conserved sequence element in the DNA binding domain. $8,9$ 

Two other recent developments concerning STAT3 warrant mentioning. First, STAT3 itself is a substrate for p300, being acetylated on multiple lysine (K) residues including K685 in the TAD and K49 and K87 in the NH2-terminal domain. STAT3 K685 acetylation is required for its dimerization and DNA binding; K49 and K87 acetylation is important for p300 recruitment and enhanceosome assembly.10 Second, STAT3 phosphorylated on S727 was shown to be present in mitochondria of cultured cells and primary tissues, including the heart.<sup>11</sup> Moreover, mitochondrial STAT3 is required for optimal activities of complexes I and II of the electron transport chain.

#### **New insights in the role of STAT3 in the heart**

Classically, STAT3 mediates the acute phase responses to cytokines such as IL-6. In the heart, STAT3 activation has been linked to cell protection and angiogenesis. Paradoxically, STAT3 activation has also been linked to left ventricular hypertrophy, the most powerful predictor for development of heart failure. Exactly how STAT3 activates cardiac hypertrophy is not known, but a recent study with transgenic mice overexpressing the angiotensin II type 1 receptor specifically in cardiac myocytes has revealed a possible contributory mechanism.12 Hearts of these transgenics exhibited an increase in STAT3 mRNA and protein, but not in STAT3 phosphorylated on either Y705 or S727 residues. Moreover, development of cardiac hypertrophy and dysfunction correlated with nuclear accumulation of unphosphorylated STAT3 (U-STAT3), which was further linked to abnormal expression of osteopontin. In a mouse model, osteopontin was shown to modulate compensatory cardiac hypertrophy in response to chronic pressure overload, and in humans, osteopontin is a marker of cardiac hypertrophy and severity of heart failure.<sup>13,14</sup> As in certain cancers, therefore, U-STAT3 may serve as a "rogue transcription factor".

Circulating levels of leptin, a hormone that regulates cellular metabolism and reduces appetite, are elevated in heart failure and paracrine production within the heart may be a contributing factor. A recent study has shown that the failing human heart increases expression of leptin and its receptor, and mechanical unloading attenuates these increases.<sup>15</sup> Leptin is known to activate STAT3 and levels of Y705 phosphorylated STAT3 were elevated as well in failing human hearts. A ventricular assist device (VAD) reversed the increase in STAT3 phosphorylation. The pathological relevance of these changes will need to be explored.<sup>16</sup>

# **Vascular inflammation and STAT3**

Cardiac vascular/microvascular inflammation occurs in various pathologies associated with aging, including coronary heart disease, myocardial infarction, and both systolic and diastolic heart failure. In fact, the normal aging process is associated with impaired microvascular endothelial function and age is a primary risk factor for heart disease.<sup>17</sup> Vascular inflammation is initiated by stimuli acting on endothelial cells (ECs) to enhance leukocyte adherence and chemotaxis. In chronic inflammation, persistence of activated macrophages in the vessel wall creates a self-sustaining cycle of cytokines and ROS leading to vascular remodeling, a prothrombotic state, and endothelial dysfunction. A key mediator of EC inflammatory response is the transcription factor complex NF-κB, and a clinical feature of vascular inflammation is increased circulating IL-6, a pro-inflammatory cytokine that is induced by NFκB activation. However, the role of IL-6 in vascular inflammation is not well defined.18 Notably, IL-6 cytokines signal in ECs mainly through STAT3.

With an aging population the economic and social burden of heart-related diseases is predicted to increase dramatically.<sup>19–22</sup> Therefore, the need for novel pharmacotherapeutic strategies to treat heart disease is great. IL-6 and STAT3 EC signaling has universally been studied under non-inflammatory conditions *in vitro* and *in vivo*. By studying the impact of inflammatory events on the so-called pro-inflammatory signaling of the IL-6 type cytokines in cardiac microvascular endothelial cells (ECs), our laboratory seeks to provide a new framework for designing such strategies. IL-6 type cytokine STAT3 signaling in other cells types has both protective and inflammatory actions.<sup>2</sup> We contend that STAT3 activation in human cardiac microvascular ECs is likely to have both beneficial and detrimental effects depending upon the relative level of interaction this transcription factor has with other transcription factors, such as NF-κB p65 and Sp1 (Figure 2). Such interactions could be targeted pharmacologically for instance by blocking or enhancing STAT3  $S^{727}$ 

phosphorylation. Of note, endothelial cell STAT3 was found to play a critical role in limiting cardiac myocyte apoptosis due to ischemia-reperfusion.<sup>23</sup> Yet little research has been done examining IL6 type cytokine STAT3 signaling in cardiac endothelial cells.

### **STAT3 and NF-κB**

Several recent studies have found that NF- $\kappa$ B family members, p65 and p50 physically associate with STAT3 to regulate transcription of specific genes. ➊Non-Y705 phosphorylated STAT3 (U-STAT3) was shown to bind to nonactive p65 to form a U-STAT3/U-NF-κB complex that activates a subset of κB-dependent genes, such as RANTES, Il-6, and IL-8.<sup>24</sup> This process is postulated to have importance in amplifying the proinflammatory IL-6 stimulus in certain cancers and possibly chronic inflammation, as STAT3 induces its own expression allowing for accumulation of U-STAT3. Evidence that this process contributes to TNFα-mediated RANTES production by vascular smooth muscle cells following arterial injury *in vivo* was recently reported.<sup>25</sup>  $\bigotimes$ Using a human hepatoma cell Hep3B, others reported that IL-1 and IL-6 induced a STAT3 (ostensibly nonphosphorylated Y705)/p65 complex that promoted expression of the IL-8 promoter off a GAS/ $\kappa$ B element; the same complex inhibited classical STAT3 GAS promoter activity, while p50 co-operated with STAT3 bound to GAS sites.<sup>26</sup>  $\bullet$  Overexpressed p65 and/or p50 along with STAT3 and C/EBPβ were found to synergistically enhance expression of Creactive protein in Hep3B.<sup>27</sup>  $\bullet$ Serum amyloid A expression in HepG2 cells in response to IL-1 and IL-6 cotreatment was found to result from a p65/STAT3/p300 complex that bound to a nonconsensus NF-κB promoter sequence.<sup>28</sup> Evidence suggested that Y705 and S727 phosphorylation were both involved. ➎Enhanced interaction between STAT3 and p65 at the α-2-macroglobulin promoter in rat liver was reported during the acute-phase response, but STAT3 phosphorylation status was not assessed.<sup>29</sup> Lastly, **OS**TAT3 was found to inhibit induction of iNOS by both IL-1β and IFN $\gamma$  + LPS by complexing with p65.<sup>30</sup>

# **STAT3 and Sp1**

Sp1 is a ubiquitous transcription factor involved in diverse cellular processes, including apoptosis, immune response, and angiogenesis.31,32 Contrary to initial thinking, recent evidence shows that transcriptional activity of Sp1 is regulated by several posttranslational mechanisms, including phosphorylation. Of particular note in this regard is phosphorylation of S59, which can have either positive or negative effects on transcriptional activity of Sp1 depending upon the promoter.<sup>31–35</sup> The endogenous phosphatase responsible for Sp1 S59 dephosphorylation is PP2A,  $31,32$  the same phosphatase the targets STAT3 S727. In human microvascular ECs, Sp1 is activated by inflammatory stimuli, such as high-mobility group protein-1 (HMGP-1) and TNFα, and along with STAT3 and NF-κB constitutes a triad of transcription factors linked to an inflammatory response.<sup>36,37</sup> Several studies with other cell types have shown that STAT3 and Sp1 can form a complex to enhance transcription,  $38-45$ but the importance of this complex in vascular ECs is largely unexplored. In a recent study, STAT3 was reported to undergo S727 and Y705 phosphorylation, with the former particularly prominent, as a result of ischemia-reperfusion in the heart or after hypoxia– reoxygenation in vascular endothelial cells.38 Under these conditions STAT3 formed a complex with Sp1 and activated the promoter for intercellular adhesion molecule-1 (ICAM-1), which is upregulated rapidly on endothelial cells during ischemia-reperfusion and mediates tissue leukocyte accumulation.

#### **Conclusions and future directions**

Once seen as a paradigm of simplicity, STAT3 has revealed itself as an essential and complicated protein. STAT3 is now known to function as a transcription factor it its own

right, as a partner with other transcription factors, as a co-factor for transcription or transcriptional enhancer, and as a modulator of mitochondrial respiration. Integration of these various functions is likely context-dependent with age, inflammatory events, and heart failure having importance in shaping the actions of STAT3. Critical factors that determine STAT3 function identified so far are relative levels of STAT3 S727 and Y705 phosphorylation, STAT3 acetylation levels, expression levels of total STAT3, and the activation status of other transcription factors. Could STAT3 be a potential therapeutic target in coronary artery disease or heart failure? Ideally, one would want to enhance the prosurvival and pro-angiogenic actions of STAT3, while diminishing its pro-inflammatory actions. A better understanding of the complex relationship between the function of STAT3 and its cellular environment may make that goal achievable.

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#### **Figure 1.**

Domain structures of STAT3 showing the key sites for phosphorylation (Y705 and S727) and acetylation (K49, K87, and K685). SH2, src homology 2; TAD, transcription activation domain.

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#### **Figure 2.**

Hypothetical impact of increasing inflammatory stress on transcriptional response of endothelial cells to IL-6 type cytokine stimulation. The IL-6 cytokines activate STAT3 by increasing phosphorylation of Y705 and to a lesser extent S727: ➀No stress situation with minimal S727 phosphorylation; ➁STAT3 - NF-κB p65 association with mild inflammation; NF-κB would be activated by oxidative stress or some cytokine like TNFα; ➂Notable inflammation with markedly increased S727 phosphorylation and recruitment of the transcription factor Sp1. S727 phosphorylation might be increased by stress kinases or inhibition of the phosphatase PP2A due to oxidative stress. PP2A inhibition would affect Sp1 activity as well.