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# **T-cell assay conversions and reversions among household contacts of tuberculosis patients in rural India**

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# **SUMMARY**

**BACKGROUND—**Interferon-gamma assays (IGRAs) are alternatives to the tuberculin skin test (TST), but IGRA conversions and reversions are not well understood. In a pilot study, we determined conversions and reversions using QuantiFERON-TB Gold In-Tube® (QFT) among household contacts of TB cases, and evaluated the effect of using various definitions and criteria for conversions.

**DESIGN—**In a cohort of 250 contacts in India, 46% were TST-positive at baseline and 54% were QFT-positive. We re-tested this cohort after 12 months. Conversion rates were estimated using several definitions.

**RESULTS—**Of the 250 contacts, 205 (82%) underwent re peat testing. Among 85 contacts with baseline TST-negative/QFT-negative results, TST conversion rates ranged between 7.5% and 13.8%, and QFT conversion rates ranged between 11.8% and 21.2%, depending on the definitions used. Among 109 contacts who were QFT-positive at baseline, seven (6.4%) had QFT reversions. QFT reversions were most likely when the baseline TST was negative and QFT results were just above the diagnostic cut-off.

**CONCLUSIONS—**QFT conversions and reversions occurred among contacts of TB cases. Conversion rates seemed to vary, depending on the test and definitions used for conversions. These findings need to be verified in larger studies in various settings.

## **Keywords**

tuberculosis; interferon-gamma assay; tuberculin skin test; QuantiFERON-TB Gold; contacts; serial testing

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Serial testing for latent tuberculosis infection (LTBI) is recommended for populations at ongoing risk of TB exposure, including contacts and health care workers. However, the interpretation of serial tuberculin skin testing (TST) is challenging because of non-specific variations, boosting, conversions and reversions.<sup>1</sup>

Interferon-gamma (IFN-γ) release assays (IGRAs) provide a new tool for LTBI diagnosis and surveillance for new TB infection.<sup>2,3</sup> Two commercial IGRAs are now available and approved by the US Food and Drug Administration (FDA): the QuantiFERON-TB Gold In-Tube<sup>®</sup> (QFT) assay (Cellestis Limited, Carnegie, Victoria, Australia) and the T-SPOT. TB assay (Oxford Immunotec, Oxford, UK). IGRAs have features that are advantageous compared with TST for serial testing: they are highly specific and are therefore unaffected by prior bacille Calmette-Guérin (BCG) vaccination; as they can be repeated without concern with boosting, there is no need for a baseline two-step testing protocol; and the testing protocol requires only one visit.<sup>4</sup> IGRAs could therefore potentially provide a more accurate estimate of the annual risk of TB infection (ARTI) in specific populations.<sup>5,6</sup>

Although IGRAs have been recommended for serial testing,  $7$  data are scarce on the interpretation of repeated IGRA results. Existing studies, although limited, suggest that conversions, reversions and non-specific variations occur with IGRA serial testing, just as they do with TST serial testing. $8-15$  However, there is no consensus on how to define and interpret IGRA conversions and reversions.4,5 Some guidelines have therefore not recommended IGRAs for serial testing, 16,17 while others have stated that they may be used for serial testing in the place of TST.7,18–20

Household contacts are at risk for acquiring TB infection. Approximately half of all household contacts in low- and middle-income countries are likely to be TST-positive.<sup>21</sup> Although IGRAs have shown promise in contact and outbreak studies in low-incidence countries,  $22-28$  published data from high-incidence countries are inconsistent.  $29-31$  We conducted a prospective, serial-testing pilot study among TB contacts in India. Although we did not design a typical contact investigation study, we chose to study household contacts because of the expected high rates of conversions in the Indian setting. Our objectives were to determine the incidence of TST and QFT conversions, and to assess whether different tests and variations in definitions are likely to produce different rates of conversions and estimate rates of QFT reversions.

# **METHODS**

## **Study population**

We established a cohort of household contacts at the Mahatma Gandhi Institute of Medical Sciences (MGIMS), Sevagram, India. Between February and June 2006, 250 contacts of 54 smear-positive index cases were recruited (culture and HIV results were not available for most patients, as these tests were not routinely performed). The study was approved by the ethics committees at the MGIMS Hospital and the University of California, San Francisco. Written informed consent was obtained from adult participants. In the case of children, verbal assent and parental consent were obtained.

## **Test procedures**

TST was performed using the Mantoux method using 2 tuberculin units (TU) of purified protein derivative-RT23, and results were read using a blinded caliper after 48–72 h by a trained, certified reader. In a previous study, this tuberculin reader had demonstrated excellent inter-reader agreement.<sup>32</sup> An induration of  $\,$  10 mm was considered as positive at baseline, in accordance with Indian guidelines.<sup>33</sup> Immediately after the TST, blood was collected into the QFT tubes and transported to the laboratory within 6 h. After over-night incubation, enzyme-linked immunosorbent assay (ELISA) was performed according to the manufacturer's instructions. The cut-off value for a positive QFT was IFN- $\gamma$  = 0.35 international units (IU)/ml (after accounting for nil control and mitogen control results). Because the ELISA cannot precisely measure absolute IFN-γ values >10 IU/ml, such values were treated as 10 IU/ml.

#### **Follow-up testing**

In 2007, approximately 12 months after the baseline testing, we re-surveyed the cohort. Follow-up TST was offered only to those who had TST <10 mm at baseline. Follow-up QFT was offered to all contacts, regardless of TST results. To minimise variability, identical protocols were used for baseline and follow-up tests. Follow-up TST and QFT was performed by the same personnel, blinded to the previous results.

## **Definitions of conversions and reversions**

Because one of our objectives was to assess whether variations in definitions produce different rates of conversions, we used two definitions for TST conversions and four definitions for QFT conversions. These were decided a priori, based on prior work $8,34$  and published recommendations.<sup>1,7,35</sup> Furthermore, an exploratory post-hoc analysis was made using an 'uncertainty zone'.

For TST conversions, the definitions were: 1) baseline  $TST < 10$  mm and follow-up TST 10 mm, with an increment of 6 mm (less stringent); and 2) baseline TST < 10 mm and follow-up TST  $\,$  10 mm, with an increment of 10 mm (more stringent). While the more sensitive 6 mm increment has been suggested because random variations will result in differences of  $<6$  mm,<sup>1</sup> the 10 mm increment cut-off is more specific and recommended by the American Thoracic Society (ATS) and the US Centers for Disease Control (CDC).<sup>35</sup> Participants with TST conversions were evaluated for TB disease and referred for preventive treatment.

We explored four definitions for QFT conversion. From the least stringent to the most stringent, these were: 1) baseline IFN- $\gamma$  <0.35 IU/ml and follow-up IFN- $\gamma$  = 0.35 IU/ml (i.e., a negative to positive change, as recommended by the CDC);<sup>7</sup> 2) baseline IFN- $\gamma$  < 0.35 IU/ml and follow-up IFN- $\gamma$  0.35 IU/ml, plus a 30% increase in IFN- $\gamma$  over the baseline value (based on previous data on reproducibility of QFT results when repeated over time;<sup>34</sup> 3) baseline IFN- $\gamma$  < 0.35 IU/ml and follow-up IFN- $\gamma$  = 0.35 IU/ml, plus an absolute increase of 0.35 IU/ml over the baseline value;<sup>8</sup> and 4) baseline IFN- $\gamma$  < 0.35 IU/ml and follow-up IFN- $\gamma$  0.70 IU/ml<sup>8</sup> (twice the manufacturer's diagnostic cutoff point and the most stringent definition).

QFT reversions were defined as baseline IFN- $\gamma$  0.35 and follow-up IFN- $\gamma$  < 0.35 IU/ml. Because participants who were TST-positive ( $10 \text{ mm}$ ) at baseline did not undergo repeat testing, TST reversion rates could not be determined.

## **Uncertainty zone analyses**

In a previous report, Harada et al. had suggested the use of a 'grey zone' for QFT results (0.10–0.35 IU/ml) and had suggested excluding results in the grey zone from conversion rate calculations.36 We explored an alternative approach of drawing a 'zone of uncertainty' on both sides of the existing QFT cut-off of 0.35 IU/ml (Figure 1). Arbitrarily, we chose 0.20– 0.50 IU/ml as the uncertainty zone. Any value <0.20 IU/ml was considered 'definitely negative', and any value >0.50 IU/ml was considered 'definitely positive'. Those in the uncertainty zone were considered to have 'uncertain status'. A person whose IFN-γ result increased from <0.20 and exceeded 0.50 IU/ml on the repeat test was considered to have a 'true conversion'. Likewise, a person whose IFN-γ result decreased from >0.50 and fell to <0.20 IU/ml was considered to have a 'true reversion'. Results that fluctuated within the uncertainty zone during repeat testing were considered 'doubtful conversions' or 'doubtful reversions'.

## **Statistical analyses**

Analyses performed using Stata/IC 10.0 (Stata Corp, College Station, TX, USA) involved the estimation of incidence of TST and QFT conversions (after accounting for household clustering) using varying definitions and incidence of QFT reversions. Concordance between dichotomised TST and QFT conversions was evaluated using agreement and kappa (κ) statistics.

# **RESULTS**

#### **Study cohort and baseline results**

Figure 2 shows the study profile. The baseline characteristics of the study cohort are shown in Table 1: 57% of the cohort was female and 60% had BCG scars. The median age was 25 years, with 18% aged  $12$  years. Of the children aged  $12$  years, none were  $\leq$ 5 years of age. Housewives and students made up nearly 70% of the cohort. The baseline TST and QFT results are shown in Figure 2. All of the 250 contacts had valid (i.e., no indeterminate) baseline TST and QFT results, and 46% were TST-positive at baseline (cut-off  $10 \text{ mm}$ ); 54% of the 250 contacts were QFT-positive at baseline ( $0.35$  IU/ml cut-off). The baseline concordance between the two tests was 82% ( $\kappa$  = 0.63). At baseline, one participant had active TB and was referred for treatment.

## **Incidence of TST and QFT conversions**

Of the 250 contacts, 205 (82%) participated in the repeat survey (Figure 2). All 205 contacts underwent repeat QFT testing, while repeat TST was performed and read in 101 participants. No new cases of active TB disease had occurred among the 205 contacts during the follow-up period.

Conversion rates were determined in two groups: 1) among contacts who had positive TST and negative QFT results at baseline (i.e., TST-positive/QFT-negative), and 2) negative TST and QFT results at baseline (i.e., TST-negative/QFT-negative). In the former group, only QFT conversions could be estimated. In the latter group, both TST and QFT conversions were determined.

Among 11 contacts with baseline TST-positive/QFT-negative results and valid follow-up QFT data, four (36%) had QFT conversions using the simple positive-to-negative change as the definition. Of these four conversions, two were associated with a 0–0.43 IU/ml change in IFN- $\gamma$ , one was associated with a 0.19–5.94 IU/ml change, and one increased from 0.34 to 1.46 IU/ml.

Among 85 contacts with baseline TST-negative/QFT-negative results, the estimated rates of QFT conversions, using four different definitions, ranged between 11.8% and 21.2% (Table 2 and Figure 3). The highest conversion rate of 21.2% (95% confidence interval [CI] 13–31) was estimated with the least stringent definition of negative to positive, and also with the definition that required a 30% increase over the baseline IFN- $\gamma$  value. The most stringent definition of an increase from <0.35 IU/ml to  $\,$  0.70 IU/ml produced the lowest conversion rate of 11.8% (95%CI 6–20). Although the CIs overlapped, there was nearly a two-fold difference between the most and least stringent definitions for QFT conversion. With TST, the conversion rate estimates ranged between 7.5% and 13.8%. With the most stringent definition of a 10 mm increment, the TST conversion rate was 7.5% (95%CI 3–16%). Although the CIs overlapped, this TST conversion rate is almost three-fold lower than the QFT conversion rate with the least stringent definition.

## **Uncertainty zone analysis results**

Table 3 shows the absolute changes in TST and IFN-γ levels in 18 household contacts who had QFT conversions using the least stringent definition. Among these 18 contacts, the uncertainty zone analysis suggested that 'true conversions' occurred in nine of the 18 (50%). In these nine cases, the IFN- $\gamma$  value changed from defiitely negative to definitely positive status. There were no cases of doubtful conversions. Four of the 18 (22%) individuals moved from a definitely negative status into the uncertainty zone, and 5/18 (28%) moved from the uncertainty zone to definitely positive status.

## **Concordance between TST and QFT conversions**

Concordance between TST and QFT conversions is shown in Table 4. The concordance estimates were high, ranging between 83% and 93%. The highest degree of concordance (93%) was with a TST increment of 10 mm, and the most stringent QFT definition of an increase from <0.35 IU/ml to  $0.70$  IU/ml.

## **Incidence of QFT reversions**

Of 109 contacts who were QFT-positive at baseline, and underwent repeat QFT testing, seven (6.4%) reverted (Table 5). Among these seven contacts with QFT reversions, the uncertainty zone analysis suggested that 'true reversions' occurred in 4/7 (57%). In these four cases, the IFN-γ value changed from definitely positive to definitely negative status.

The reversion rate was 3.5% among 85 contacts with a baseline concordant positive (QFTpositive/TST-negative) profile compared to 16.7% among 24 contacts with a baseline discordant (QFT-positive/TST-negative) profile  $(P = 0.04)$ . Reversion rates were significantly higher among those with baseline IFN-γ levels of between 0.35 and 3.0 IU/ml, as compared to those IFN- $\gamma$  levels > 3.0 IU/ml (Table 5). Individuals with IFN- $\gamma$  levels > 3.0 IU/ml were also more likely to have been TST-positive at baseline.

# **DISCUSSION**

T-cell-based IGRAs have features that make them ideal for serial testing. However, given the limited serial testing data, IGRA conversions and reversions are hard to define and interpret. Our pilot study, although limited by relatively small numbers, provides useful data on QFT performance among exposed contacts in a high-burden setting. Our data suggest that both QFT conversions and reversions occurred among contacts, and conversion rates varied, depending on the test and the definitions used. Both conversions and reversions were frequent when IFN-γ values were close to the cut-off point. Our data confirm the findings of previous studies that suggest that conversions, reversions and non-specific variations occur with IGRA serial testing. $8-15$ 

## **IGRA conversions and their interpretation**

Although a fairly high rate of IGRA conversions has been reported in high-endemic settings, 8,9,15 there is no consensus on whether the same cut-off should be used for the diagnosis of LTBI as well as to define conversions. If not, will the choice of definitions produce divergent estimates of conversions? Some studies show that if a simple negative to positive definition is used, then conversion rates may be higher with IGRAs than with TST. $8,15$  In our study, the QFT conversion rate was highest (21.2%) when this least stringent definition was used.

Higher conversion rates with IGRAs could indicate that these assays are more sensitive at identifying new infections. However, at least a proportion of the higher conversion rate may be due to minor variations around the diagnostic cut-off. In our study, the uncertainty zone analysis suggested that only half of all conversions were 'true conversions'. This finding, however, will need to be confirmed in other studies.

An interesting finding in our study was the variability in QFT conversion rates, depending on the definitions used. Although the rates were not significantly different because of the small number of conversions, nearly two- and three-fold differences were noticed when definitions/tests were changed. This variability may be an issue if IGRAs are to be used in community prevalence and ARTI surveys, which typically involve large sample sizes. Unless there is consensus on the definition for conversion, it will be difficult to interpret community-based epidemiological estimates based on IGRAs. The observed QFT conversion rate in our study may be due to a combination of several factors: household exposure to the index case, exposure to TB cases in the community, and exposure to environmental mycobacteria that secrete early secreted antigenic target 6 (ESAT-6) and culture filtrate protein 10 (CFP-10). Because we used a 12-month follow-up period, it is likely that some of the observed conversions were not associated with the original exposure, but were due to subsequent exposure to TB or environmental mycobacteria.

## **IGRA reversions and their interpretation**

Previous studies have found high reversion rates with IGRAs. $8-10,13$  Although the overall reversion rate in our study was 6.4%, the rates differed significantly between baseline concordant positive and baseline discordant subgroups. QFT reversions were most likely when the baseline TST was negative, and QFT results were weakly positive (i.e., IFN-γ just above the diagnostic cut-off). Even minor, non-specific variations around the cut-off can thus potentially lead to apparent QFT reversions. This finding has implications for the timing of contact investigations. A recent study from The Gambia showed high rates of ELISpot (in-house) reversions among household contacts.<sup>9</sup> The authors therefore recommended that a negative ELISPOT result among exposed contacts should be interpreted with caution.<sup>9</sup> Based on these results, a negative IGRA result in an exposed contact does not necessarily rule out a transiently positive IGRA result, especially if the IGRA is done several weeks or months after the exposure. Further research is needed to determine the optimum time for performing IGRAs among exposed contacts. Currently, the recommendation to repeat the TST 8–10 weeks after exposure has ceased has been extrapolated to QFT.<sup>19</sup>

As reviewed recently,  $4,37$  some reversions may reflect clearing of TB infection. Some reversions may merely be due to biological variations among IGRA positives, and some due to variability in laboratory and test procedures.<sup>34</sup> Hill et al. recently suggested that IGRA responses are inherently transient and may require continued exposure to TB antigens to maintain high frequencies.<sup>9</sup> They speculated that reversions may simply reflect the life cycle of Mycobacterium tuberculosis, where the mycobacterium enters a dormant state in which it may not reliably secrete antigens such as ESAT-6 and CFP-10, but instead secretes other antigens.

Further research is needed to elucidate the prognosis of IGRA reversions. Friedman and colleagues have suggested that reversions indicate lack of immunity to TB and that persons with IGRA reversions should therefore be re-evaluated when exposed again.<sup>13</sup> Cohort studies are ongoing (summarised elsewhere $38$ ) and will help to settle these questions.

#### **Study limitations**

Our study had several limitations. First, our study was not designed as a typical contact investigation study. In India, contact investigation is not performed routinely, and we therefore did not repeat the TST and QFT at 8–12 weeks. Our data did not permit an analysis of test results based on the timing of last exposure or cessation of exposure, as such data were not routinely collected. Second, due to the small sample size in our pilot study, we were unable to adequately evaluate the association between exposure factors and rates of conversions and reversions. Third, as we did not perform a two-step baseline TST, the first TST may have boosted the follow-up TST results, and potentially affected the second QFT results. Currently, there is conflicting evidence as to whether a previous TST is likely to increase T-cell responses in a subsequent IGRA.39–42 Fourth, the uncertainty zone we proposed and some of the definitions for QFT conversions were chosen arbitrarily; these definitions need validation in larger studies. Fifth, because we did not re-test contacts who were TST-positive, we did not estimate TST reversion rates. Furthermore, lack of data on HIV precluded stratification of conversion/reversion results by HIV status. Last, as our study

was conducted in a high-incidence setting, conversion and reversion rates may not be generalisable to contact studies in low-incidence settings.

# **CONCLUSIONS**

In this pilot study, both QFT conversions and reversions occurred among household contacts of TB cases in India. The rate of conversions seemed to vary depending on the test and the definitions used. Further work is needed to confirm this in larger studies. Taken together with other studies, our data suggest that IFN- $\gamma$  variability must be kept in mind when interpreting the results of repeat testing. Health professionals should be cautious about using a simplistic dichotomous approach to conversions and reversions, and should instead consider the amount of change in absolute IFN-γ responses, as well as relevant clinical information to interpret serial testing results.

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## **Figure 1.**

Schematic of the uncertainty zone analysis. IFN- $\gamma$  results from the QFT assay were obtained and 0.20–0.50 IU/ml was designated as the uncertainty zone. Any value <0.20 IU/ml was considered 'definitely negative', and any value >0.50 IU/ml was considered 'definitely positive'. Those in the uncertainty zone were considered to have 'uncertain' status. A person whose IFN-γ result increased from <0.20 and >0.50 IU/ml on the repeat test was considered to have a 'true conversion'. Likewise, a person whose QFT result decreased from a value >0.50 and fell to <0.20 IU/ml was considered to have a 'true reversion'. Results that fluctuated within the uncertainty zone during repeat testing were considered 'doubtful conversions' or 'doubtful reversions'. IFN- $\gamma$  = interferon gamma; QFT = QuantiFERON-TB Gold In-Tube®.



## **Figure 2.**

Study profile. TST positivity was induration  $10$  mm. QFT positivity was IFN- $\gamma$  = 0.35 IU/ml. TST = tuberculin skin test; QFT = QuantiFERON-TB Gold In-Tube<sup>®</sup>; IFN- $\gamma$  = interferon-gamma;  $IU =$  international units.



## **Figure 3.**

Incidence of TST and QFT conversions among household contacts with concordant negative results at baseline. TST = tuberculin skin test; IFN- $\gamma$  = interferon-gamma; IU = international units;  $QFT =$  Quanti FERON-TB Gold In-Tube<sup>®</sup>.

## **Table 1**

Baseline characteristics of the study cohort ( $N = 250$ )



BCG = bacille Calmette-Guérin.

## **Table 2**

Incidence of TST and QFT conversions among household contacts with concordant negative results at baseline



\* Only contacts with baseline concordant negative (QFT-negative/TST-negative) results were included in this analysis.

TST = tuberculin skin test; QFT = QuantiFERON-TB Gold In-Tube<sup>®</sup>; CI = confidence interval; IFN- $\gamma$  = interferon-gamma.

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Changes in absolute TST induration and IFNγ values in 18 household contacts who had QFT conversions \*



TST = tuberculin skin test; IFN-γ = interferon-gamma; IU = international units; QFT = QuantiFERON-TB Gold In-Tube

TST = tuberculin skin test; IFN- $\gamma$  = interferon-gamma; IU = international units; QFT = QuantiFERON-TB Gold In-Tube<sup>(@)</sup>, BCG = bacille Calmette-Guérin; NA = not available.

 $\mathcal{B}$ ; BCG = bacille Calmette-Guérin; NA = not available.

#### **Table 4**

Concordance between TST and QFT conversions among household contacts who had concordant negative results at baseline  $(n = 80)$ 



TST = tuberculin skin test; QFT = QuantiFERON-TB Gold In-Tube<sup>®</sup>; CI = confidence interval; IFN- $\gamma$  = interferon-gamma; IU = international units.



 $\frac{1}{2}$  = 0.001. Cochrane-Armitage test for trend:  $\chi^2 = 11.66$  (df 1);  $\overline{\mathsf{X}}$ uenu.

IFN- $\gamma$  = interferon-gamma; QFT = QuantiFERON-TB Gold In-Tube<sup>(0)</sup>; IU = international units; TST = tuberculin skin test; df = degrees of freedom.  $\mathcal{B}_1$ : IU = international units; TST = tuberculin skin test; df = degrees of freedom. IFN-γ = interferon-gamma; QFT = QuantiFERON-TB Gold In-Tube

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**Table 5**