Clinical Investigation

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Triiodothyronine and Brain Natriuretic Peptide

Similar Long-Term Prognostic Values for Chronic Heart Failure

Although low levels of free triiodothyronine and high levels of brain natriuretic peptide have been shown as independent predictors of death in chronic heart failure patients, few studies have compared their prognostic values. The aim of this prospective study was to measure free triiodothyronine and brain natriuretic peptide levels and to compare their prognostic values among such patients.

A total of 334 patients (mean age, 62 ± *13 yr; 218 men) with ischemic and nonischemic dilated cardiomyopathy were included in the study. The primary endpoint was a major cardiac event.*

During the follow-up period, 92 patients (28%) experienced a major cardiac event. Mean free triiodothyronine levels were lower and median brain natriuretic peptide levels were higher in patients with major cardiac events than in those without. A significant negative correlation was found between free triiodothyronine and brain natriuretic peptide levels. Receiver operating characteristic curve analysis showed that the predictive cutoff values were <2.12 pg/mL for free triiodothyronine and >686 pg/mL for brain natriuretic peptide. Cumulative survival was significantly lower among patients with free triiodothyronine <2.12 pg/mL and among patients with brain natriuretic peptide >686 pg/mL. In multivariate analysis, the significant independent predictors of major cardiac events were age, free triiodothyronine, and brain natriuretic peptide.

In the present study, free triiodothyronine and brain natriuretic peptide had similar prognostic values for predicting long-term prognosis in chronic heart failure patients. These results also suggested that combining these biomarkers may provide an important risk indicator for patients with heart failure. (Tex Heart Inst J 2010;37(5):538-46)

Alterations of thyroid hormone metabolism are described in association with
all stages of chronic heart failure.¹⁻⁶ This well-known abnormality has been
called the "euthyroid sick syndrome," which is a derangement of thy all stages of chronic heart failure.1-6 This well-known abnormality has been hormone metabolism despite normal thyroid function.⁷ The aberration is characterized by decreased circulating levels of the biologically active form of triiodothyronine (T_3) and by increased levels of reverse T_3 in serum as a result of impaired conversion of serum thyroxine (T_4) to T_3 in peripheral tissues, despite the presence of normal thyroid-stimulating hormone (TSH) and T_4 levels.⁸⁻¹⁰ This syndrome occurs in approximately 30% of patients with advanced chronic heart failure. The presence of low T_3 levels has been found to be an independent predictor of poor prognosis; indeed it can be used as a predictor of death in chronic heart failure patients.^{1,4,9}

Brain natriuretic peptide (BNP) is a cardiac neurohormone secreted mainly from both ventricles as a response to volume expansion, pressure overload, and elevated enddiastolic pressure.11 In patients with heart failure, BNP is elevated in correlation with the degree of cardiac insufficiency.12-14 It has potent diuretic, natriuretic, and vascular smooth muscle relaxing effects and also important central and peripheral sympathoinhibitory effects. Brain natriuretic peptide inhibits the renin-angiotensin-aldosterone axis.15-18 For patients with left ventricular (LV) dysfunction, increased BNP levels have both diagnostic and prognostic properties. Increased levels have predicted the worst prognosis—cardiac death—in heart failure patients.¹⁹⁻²⁵

The aims of this study were 1) to determine free- T_3 (FT₃) levels in patients who have worsening heart failure due to ischemic and nonischemic dilated cardiomyopathy, 2) to determine the relationship between FT_3 and BNP levels, and 3) to compare the prognostic value of FT_3 with that of BNP in long-term follow-up.

Study Population

For this prospective study, we screened 592 consecutive patients who were admitted to our clinic from April 2003 through June 2007 with the diagnosis of worsening ischemic or nonischemic dilated cardiomyopathy. The diagnosis of dilated cardiomyopathy was made on the basis of transthoracic echocardiographic findings (LV end-diastolic diameter, >56 mm; and ejection fraction, <0.45). All patients underwent diagnostic coronary angiography for determining the cause of the heart failure.

Exclusion criteria were as follows: concomitant presence of any severe systemic illness; overt or subclinical hyper- or hypothyroidism; therapy with thyroid hormone or derivatives, steroids, nonsteroidal anti-inflammatory drugs, lithium, phenobarbital, amiodarone, dopamine, dobutamine, iodine-containing compounds, clofibrate, carbamazepine, antithyroid agents, or heparin; or receipt of radiographic contrast medium within 2 weeks before measurement of thyroid hormone levels. Patients with symptoms of acute heart failure due to conditions or diseases other than dilated cardiomyopathy (for example, acute mitral regurgitation, acute aortic regurgitation, or pericardial tamponade) were also excluded.

A total of 258 patients were excluded, and the remaining 334 patients (218 men and 116 women; mean age, 62 ± 13 yr) underwent statistical analysis. The study was conducted in accordance with the Declaration of Helsinki and was approved by our local institutional ethics committee. All patients gave informed consent before entering the study.

Echocardiographic Assessment

All participants underwent transthoracic echocardiography by means of an echocardiograph equipped with a broadband transducer (Vivid 7®, GE VingMed Ultrasound AS; Horten, Norway). Measurements of the left atrium, LV, and right ventricle (RV) were obtained from the parasternal long-axis and apical 4-chamber views, in accordance with standard criteria. Left ventricular ejection fraction (LVEF) was calculated using the modified Simpson rule in the apical 2- and 4-chamber views. Mitral flow was measured from the apical 4-chamber view with pulsed-wave Doppler, by placing the sample volume at the tips of the mitral leaflets. Four types of diastolic filling were defined: *normal filling* (E/A [ratio of early/late peak diastolic velocities], 1–2; DT [deceleration time], 160–240 ms, and IVRT [isovolumic relaxation time], 70–90 ms); *relaxation abnormality in filling* (E/A, <1; DT, >240 ms; and IVRT, >90 ms); *pseudonormal pattern in filling* (E/A, <1–1.5; DT, 160–200 ms; and IVRT, <90 ms); and *restrictive filling* (E/A, >1.5; DT, <160 ms; and IVRT, <70 ms).26

Blood Samples

During the first 1 to 3 days of hospitalization, each patient had fasting blood samples drawn from a large antecubital vein for the determination of biochemical and hemostatic values. The samples were centrifuged for 10 min and serum FT_3 , FT_4 (free-T₄), and TSH levels were measured by means of an Immulite® 2000 advanced immunoassay system (Siemens Medical Solutions USA, Inc.; Malvern, Pa). The reference intervals of our laboratory were as follows: TSH, 0.4 to 4 μ IU/mL; FT₃, 1.57 to 4.71 pg/mL; and FT_4 , 0.8 to 1.9 ng/dL. The BNP levels were analyzed by means of the Triage® BNP test (Biosite Incorporated; San Diego, Calif) within 24 hr after hospitalization. The normal value for the Triage® BNP test was <100 pg/mL. Sedimentation, albumin, hemoglobin, and lipid levels were measured by standard methods.

Patient Follow-Up

Follow-up was started upon the taking of BNP and thyroid hormone measurements. Clinical follow-up was done by telephone contact and by periodic examination of patients in the outpatient clinic. All patients were monitored for a mean duration of 17 ± 13 months (range, 1–49 mo). The primary endpoint of the study was a major cardiac event (MCE), which we defined as any of the following: sudden death, cardiac transplantation, death attributable to advanced heart failure, and, for patients with an implantable cardioverter-defibrillator, the receipt of a shock due to ventricular fibrillation. The physicians adjudicating these endpoints were blinded with respect to patients' T_3 and BNP levels.

Statistical Methods

The SPSS 13.0 (SPSS Inc., an IBM company; Chicago, Ill) and MedCalc® 8.1.0.0 statistical software (MedCalc Software; Mariakerke, Belgium) packages were used for statistical analyses. Results are presented as mean \pm SD, as median and interquartile ranges, or as percentages and numbers for categorical data. Normality tests were used for all variables. In comparing patients with and without MCEs, continuous variables that were normally distributed were analyzed with the 2-tailed *t* test, and unequally distributed variables were analyzed with the Mann-Whitney U test. Categorical data and proportions were analyzed using the χ^2 or Fisher exact test where appropriate. Correlations between thyroid hormones and echocardiographic or biochemical values were determined by Spearman correlation analysis. To compare values between and within the various types of diastolic filling, a 1-way analysis of variance was used. Homogeneity of variances was tested for all variables with Levene's test. If equal variances were assumed, Tukey's HSD post hoc test was applied; if not, the Tamhane T2 test was used to compare the parameters within groups. The Bonferroni correction was used to determine statistically significant values among patient groups with various types of diastolic filling.

During the follow-up period, clinical and laboratory values were compared between patients with and without MCE. For values that were significantly different in patients with MCE, the predictive cutoff values for determining event development were detected with receiver operating characteristic (ROC) curve analysis by using the MedCalc 8.1.0.0 statistical software package. Natural log transformation of BNP achieved a normal distribution. Thus the log (BNP) values were used in the multivariate analyses. The Kaplan-Meier method was used to analyze the timing of events during followup. Statistical assessment was performed with the logrank test, with values of *P* <0.05 considered significant. Cox proportional hazard analysis was used to evaluate independent predictors of survival. Variables evaluated in the model were age, sex, diabetes mellitus, hypertension, albumin, FT_3 , log (BNP) levels, prior use of diuretics, spironolactone, β-blockers, or angiotensinconverting enzyme (ACE) inhibitors or angiotensin-II receptor blockers (ARBs), and the patient's LVEF, New York Heart Association (NYHA) functional class, and RV diameter.

Results

Baseline characteristics of the study group are shown in Table I. During the follow-up period, 92 MCEs (28%) occurred. Table II compares the demographic, clinical, hematologic, biochemical, and echocardiographic values of MCE-positive patients with those of MCE-negative patients. Patients who experienced MCEs were older and had worse functional capacity. No significant difference between the 2 groups was found in regard to sex or the presence of coronary artery disease. The presence of diabetes mellitus was higher in patients who experienced MCEs than in those who did not (38% vs 21%; *P*=0.001). Median levels of BNP were significantly higher in patients with MCEs than in those without MCEs, whereas mean values of cholesterol, triglycerides, and albumin, and FT_3/FT_4 ratios, were lower. Prior use of ACE inhibitors or ARBs and β-blockers seemed to have a beneficial effect on survival, but prior use of digitalis and diuretic agents was significantly higher among patients with MCEs than among those without (Table II). Comparison of echocardiographic results between MCE-positive and MCEnegative patients showed that the only significantly different value between the 2 groups was diameter of the RV. Ejection fraction, LV end-diastolic dimension, LV end-systolic dimension, and left atrial size were similar between the 2 groups. Among 239 patients with sinus rhythm, 70 patients (29%) had a restrictive filling pattern and 86 patients (36%) had a relaxation abnor-

ACEI/ARB = angiotensin-converting enzyme inhibitors/angiotensin-II receptor blocker; BNP = brain natriuretic peptide; $FT₃$ = free triiodothyronine; FT_4 = free thyroxine; IQR = interquartile range; LVEDD = left ventricular end-diastolic diameter; NYHA = New York Heart Association

Data are shown as mean \pm SD or as number and percentage.

mality. Fifteen patients (17%) with a relaxation abnormality and 25 patients (36%) with a restrictive filling pattern experienced MCEs during the follow-up period (*P*=0.007).

Although the relationship between rehospitalization and $FT₃$ or BNP levels was not a specified endpoint, we also investigated that. Median levels of BNP were significantly higher in rehospitalized patients than in those who did not require rehospitalization: 718 pg/ mL (range, 243.3–1,537.5 pg/mL) vs 360 pg/mL (range, 144.5–950.7 pg/mL); *P* <0.001. Nevertheless, FT₃ levels of rehospitalized patients were similar to those of patients who were not rehospitalized.

TABLE II. Comparative Clinical, Hematologic, Biochemical, and Other Variables among MCE-Positive and MCE-Negative Patients

Variable	MCE-Negative $(n=242)$	MCE-Positive $(n=92)$	P Value
Age, yr	60.7 ± 12.5	63.7 ± 13	0.055
NYHA functional class	2.7 ± 0.9	3.2 ± 0.8	< 0.001
FT_{3} , pg/mL	2.0 ± 0.8	2.6 ± 0.7	< 0.001
FT ₃ /FT ₄	1.9 ± 0.7	1.5 ± 0.6	< 0.001
Median BNP, pg/mL (IQR)	428 $(147 - 967)$	1.190 $(698 - 2, 250)$	< 0.001
White blood count/mm ³	$8,008 \pm 3,076$	$8,688 \pm 3,549$	NS
Hemoglobin, g/dL	12.9 ± 2.4	12.5 ± 1.9	ΝS
Sedimentation rate, mm/hr	28 ± 22	35 ± 23	0.02
Cholesterol, mg/dL	170 ± 45	156 ± 47	0.023
Triglycerides, mg/dL	114 ± 59	99 ± 47	0.038
Albumin, g/dL	3.9 ± 0.5	3.7 ± 0.6	0.007
Digitalis use	38 (16)	37 (40)	< 0.001
ACEI/ARB use	202 (84)	65 (71)	0.009
Diuretic use	166 (69)	76 (83)	0.01
β-Blocker use	149 (61)	36 (39)	< 0.001
LVEDD, mm	62.5 ± 8.7	$62.7 + 9.5$	ΝS
LVEF	0.26 ± 0.10	0.24 ± 0.10	NS
Left atrial diameter, 46.6 ± 7.5 mm		47.1 ± 5.8	NS
Right ventricular diameter, mm	26.8 ± 5.2	28.5 ± 5.7	0.02

ACEI/ARB = angiotensin-converting enzyme inhibitor/angiotensin-II receptor blocker; BNP = brain natriuretic peptide; $FT₃$ = free triiodothyronine; FT_4 = free thyroxine; IQR = interquartile range; LVEDD = left ventricular end-diastolic diameter; LVEF = left ventricular ejection fraction; MCE = major cardiac event; NS = not significant; NYHA = New York Heart Association

Factors Correlating with FT₃ and BNP Levels

Levels of BNP correlated negatively with levels of FT_3 (r= –0.22, *P* <0.001), albumin (r= –0.23, *P* <0.001), hemoglobin ($r = -0.17$, $P < 0.001$), and total cholesterol (r= –0.22, *P* <0.001), and with LVEF (r= –0.18, *P* <0.001). A positive correlation was found between BNP levels and NYHA functional class, and between BNP and physical examination findings of heart failure. The BNP levels also correlated positively with RV diameter $(r=0.32, P<0.001)$. Levels of FT₃ correlated negatively with NYHA functional class and with physical exami-

nation findings of heart failure, but FT_3 levels correlated positively with albumin (r=0.34, *P* <0.001), hemoglobin (r=0.23, *P* <0.001), and total cholesterol (r=0.17, *P* $<$ 0.001). There was a positive correlation between FT₃ levels and LVEF (r=0.14, *P*=0.002), whereas a negative correlation was found between FT_3 levels and RV diameter (r= –0.22, *P* <0.001).

Cutoff Values of Free T₃ and Brain Natriuretic Peptide for Predicting Major Cardiac Events

To define the low T_3 level in the study population, we used ROC curve analysis to detect the predictive cutoff values of FT_3 for the occurrence of MCEs. The same analysis was done for BNP. The cutoff values for predicting MCEs were >686 pg/mL for BNP and <2.12 pg/mL for FT_3 . The ROC curves and values of area under the curve (AUC) for each are shown in Figure 1. There were 126 patients with $FT_3 < 2.12$ pg/mL; therefore, the incidence of low T_3 level was 37.7%.

Comparative clinical and laboratory variables among patients with low and high FT_3 and among those with low and high BNP levels are shown in Table III. The relationship between low FT_3 , high BNP, and MCE during the follow-up period and the prognostic values of those 2 variables for MCEs appear in Table IV. Although the 2 variables had similar AUC values for predicting MCE, the positive predictive value of $FT₃$ seemed to be greater than the positive predictive value of BNP. When we combined the 2 measures as low $FT_3/$ high BNP, sensitivity decreased, whereas both specificity and positive predictive value increased (Table IV).

Fig. 1 Receiver operating characteristic (ROC) curve analysis and comparison of the ROC curves in assessing the predictive cutoff values of brain natriuretic peptide (BNP) and free triiodothyronine (FT3) levels for major cardiac events. The area under the curve for BNP was 0.735 (95% CI, 0.68–0.78; P <0.001), and the area under the curve for FT₃ was 0.728 (95% *CI, 0.67–0.77;* P *<0.001). In comparing the ROC curves, we found that the difference between areas was 0.00725 (SE, 0.0414; 95% CI, –0.0738 to 0.0883, Z score, 0.175;* P*=0.861).*

BNP = brain natriuretic peptide; CI = confidence interval; FT3 = free triiodothyronine

TABLE III. Comparative Clinical, Echocardiographic, and Laboratory Variables among Patients with Low FT₃ and High FT₃ Levels and among Those with High BNP and Low BNP Levels

ACEI/ARB = angiotensin-converting enzyme inhibitor/angiotensin-II receptor blocker; BNP = brain natriuretic peptide; $FT₃$ = free triiodothyronine; FT₄ = free thyroxine; IQR = interquartile range; LVEDD = left ventricular end-diastolic diameter; LVEF = left ventricular ejection fraction; NS = not significant; NYHA = New York Heart Association

Data are presented as mean \pm SD or as number and percentage. *P* <0.05 was considered statistically significant.

 $BNP = brain$ natriuretic peptide; FT₃ = free triiodothyronine; MCE = major cardiac event; NPV = negative predictive value; PPV = positive predictive value; Sens = sensitivity; Spec = specificity

Data are presented as number and percentage. $P < 0.05$ was considered statistically significant.

Low Free-T₃ Level and Prognosis

We used Kaplan-Meier analyses according to the ROCcurve-derived cutoff values of FT_3 and BNP levels. Cumulative survival was significantly lower according to log-rank test among patients with an FT_3 <2.12 pg/mL and among those with a BNP >686 pg/mL (Figs. 2 and 3). In the Cox regression analysis adjusted for age; sex; diabetes mellitus; hypertension; albumin; FT_3 ; log (BNP) levels; prior usage of diuretic agents; spironolactone; β-blockers; and ACE inhibitors or ARBs; LVEF;

Fig. 2 Cumulative probability of event-free survival in accordance with free triiodothyronine (FT₃) levels.

Fig. 3 Cumulative probability of event-free survival according to brain natriuretic peptide (BNP) levels.

NYHA functional class; and RV diameter, we found that age, FT_3 , and log (BNP) were significant independent predictors of MCEs. Hazard ratios and 95% confidence intervals of each variable evaluated in the model appear in Table V.

Discussion

In the present study, we enrolled patients with ischemic and nonischemic dilated cardiomyopathy who had been admitted at least once to our in-patient clinic due to worsening heart failure. The main aim of the present study was to investigate the prognostic efficacy of BNP and $FT₃$ levels. Although we found a weak negative correlation between BNP and $FT₃$ levels, our multivariate analyses showed that FT_3 levels might add prognostic strength to the information gained from BNP levels. Both high BNP and low FT_3 levels were significantly associated with the severity of illness, poor LV function, and adverse outcomes. Use of the 2 biomarkers individually or in combination strongly predicted adverse outcomes and death. Among patients with ischemic and nonischemic dilated cardiomyopathy, BNP levels may be used to predict rehospitalization. But $FT₃$ levels yielded no benefits in predicting rehospitalization in those patients.

Low FT_3 level is a frequent finding in chronic heart failure patients.^{3,5} Nevertheless, the clinical importance of a low FT_3 level in heart failure has not been adequately evaluated.5,9,27,28 It has been emphasized that a decreased $FT₃$ level is a beneficial adaptive mechanism to preserve energy.²⁹ Low thyroid hormone activity might be a response to derangement of the neuroendocrine and proinflammatory systems and an important adaptive mechanism during advanced heart failure.^{22,30-34} As previously described,³⁵⁻³⁷ the interleukin system and interactions between cytokine pathways have a negative impact on the peripheral conversion of T_4 into T_3 . Thyroid hormones affect the expression of several enzymes and of functional and structural proteins in the heart and cardiovascular system.³⁸⁻⁴⁰ These effects can improve myocardial contractile function and diastolic properties, can increase stroke volume and cardiac output, and can decrease systemic and coronary vascular resistance.⁴¹

Thyroid hormone levels begin to decline at a very early stage of heart failure, and this decrease is seen even in asymptomatic or mildly symptomatic patients with idiopathic LV dysfunction.⁶ These observations suggest that there is a decrease in the conversion of FT_4 into FT_3 , despite the finding of a normal range of FT_3 levels in the very early stages of heart failure. Patients who have decreased $FT₃$ levels may also have increased plasma renin activity and increased aldosterone, noradrenalin, BNP, and atrial natriuretic peptide levels.⁶ Because low $FT₃$ levels can indicate higher activity of the neuroendocrine and proinflammatory systems, they contribute to a poor prognosis. Indeed, low T_3 syndrome has been found to be a strong and independent predictor of death in heart-failure patients.^{2,6,9,28}

Increased BNP levels have also been predictive of adverse outcomes, including recurrent chronic heart failure and subsequent death due to chronic heart failure or other causes, whereas very low BNP levels have shown a very high negative predictive value for future cardiac events among heart-failure patients.⁴²⁻⁴⁴ Brain natriuretic peptide is a well-known cardiovascular hormone that might have both inflammatory and anti-inflammatory properties in heart-failure patients. It was shown recently⁴⁵⁻⁴⁷ that BNP regulates the production of major inflammatory molecules, such as reactive oxygen species, leukotriene B_4 , and prostaglandin E_2 . This regulation of inflammatory-molecule production modulates, in turn, the cytokines—such as tumor necrosis factor (TNF)- α , and interleukin (IL-12 and IL-10)—and it affects cell motility. These results furnish new evidence of BNP's ability to modulate the production of inflammatory mediators in macrophages; and this role of BNP has broad implications in inflammatory states wherein increased BNP levels have been observed.⁴⁷ The inflammatory process appears to regulate BNP in a singular

TABLE V. Multivariate Cox Regression Analysis

ACEI/ARB = angiotensin-converting enzyme inhibitor/angiotensin-II receptor blocker; B = Cox regression coefficient; BNP = brain natriuretic peptide; FT_3 = free triiodothyronine; LVEF = left ventricular ejection fraction

P <0.05 was considered statistically significant.

manner.⁴⁸ There have been significant correlations between natriuretic peptide levels in chronic heart failure patients and markers of inflammation and myocardial dysfunction.49 Moreover, TNF can causally contribute to cardiac dysfunction, thereby stimulating BNP secretion.49 Relationships have been found between higher IL-6 and IL-10 levels and lower T_3 and T_4 levels in nonthyroidal illness.50 Therefore, inflammation may be a key mechanism in the readjustment of thyroid hormone levels in patients with chronic heart failure.

In the present study, multivariate analysis showed that both low FT_3 and high BNP levels were strong predictors of MCE. These results suggest that during the course of heart failure, FT_3 begins to decrease and BNP begins to increase. The 2 measures may have similar prognostic values in chronic heart failure, because activation of both the inflammatory and neurohormonal pathways affects FT_3 and BNP levels. In a recent study, Passino and colleagues⁵¹ showed that monitoring the combination of low FT_3 and high BNP levels is a useful approach for determining long-term prognosis in patients with heart failure. In the present study, both specificity and positive predictive values increased when we combined the 2 biomarkers, rather than use one alone. In accordance with the findings of Passino and colleagues,51 our results suggest that this combination biomarker might be an important risk indicator among patients with heart failure. Although BNP levels in our study group were able to predict rehospitalization, we

found no such relationship between rehospitalization and $FT₃$.

Conclusion

We infer that high BNP levels and low FT_3 levels may be predictive markers for worse outcomes, including rehospitalization and death, among patients with ischemic and nonischemic dilated cardiomyopathy. Their combination may provide a new management approach to the risk stratification of these patients. Further studies and large clinical trials are needed to establish the interaction between thyroid hormone metabolism and BNP levels, as well as the role of combining these biomarkers in making long-term prognoses.

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