

RESEARCH PAPER

Spectral reflectance from a soybean canopy exposed to elevated CO₂ and O₃

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Abstract

By affecting the physiology and structure of plant canopies, increasing atmospheric CO₂ and O₃ influence the capacity of agroecosystems to capture light and convert that light energy into biomass, ultimately affecting productivity and yield. The objective of this study was to determine if established remote sensing indices could detect the direct and interactive effects of elevated CO₂ and elevated O₃ on the leaf area, chlorophyll content, and photosynthetic capacity of a soybean canopy growing under field conditions. Large plots of soybean (*Glycine max*) were exposed to ambient air (~380 μmol CO₂ mol⁻¹), elevated CO₂ (~550 μmol mol⁻¹), elevated O₃ (1.2× ambient), and combined elevated CO₂ plus elevated O₃ at the soybean free air gas concentration enrichment (SoyFACE) experiment. Canopy reflectance was measured weekly and the following indices were calculated from reflectance data: near infrared/red (NIR/red), normalized difference vegetation index (NDVI), canopy chlorophyll content index (chl. index), and photochemical reflectance index (PRI). Leaf area index (LAI) also was measured weekly. NIR/red and LAI were linearly correlated throughout the growing season; however, NDVI and LAI were correlated only up to LAI values of ~3. Season-wide analysis demonstrated that elevated CO₂ significantly increased NIR/red, PRI, and chl. index, indicating a stimulation of LAI and photosynthetic carbon assimilation, as well as delayed senescence; however, analysis of individual dates resolved fewer statistically significant effects of elevated CO₂. Exposure to elevated O₃ decreased LAI throughout the growing season. Although NIR/red showed the same trend, the effect of O₃ on NIR/red was not statistically significant. Season-wide analysis showed significant effects of O₃ on PRI; however, analysis of individual dates revealed that this effect was only statistically significant on two dates. Elevated O₃ had minimal effects on the total canopy chlorophyll index. PRI appeared to be more sensitive to decreased photosynthetic capacity of the canopy as a whole compared with previously published single leaf gas exchange measurements at SoyFACE, possibly because PRI integrates the reflectance signal of older leaves with accumulated O₃ damage and healthy young, upper canopy leaves, enabling detection of significant decreases in photosynthetic carbon assimilation which have not been detected in previous studies which measured gas exchange of upper canopy leaves. When the canopy was exposed to elevated CO₂ and O₃ simultaneously, the deleterious effects of elevated O₃ were diminished. Reflectance data, while less sensitive than direct measurements of physiological/structural parameters, corroborate direct measurements of LAI and photosynthetic gas exchange made during the same season, as well as results from previous years at SoyFACE, demonstrating that these indices accurately represent structural and physiological effects of changing tropospheric chemistry on soybean growing in a field setting.

Key words: Canopy reflectance, elevated CO₂, elevated O₃, NIR/red, PRI, soybean (*Glycine max*), SoyFACE.

Introduction

Increases in atmospheric CO₂ and O₃ concentrations projected to occur by the middle of this century will probably have dramatic and contrasting effects on the productivity of agroecosystems. While elevated atmospheric CO₂ may increase leaf-level photosynthesis, leaf area index (leaf area per unit ground area, LAI) and overall productivity of soybean (Ainsworth *et al.*, 2002; Long *et al.*, 2004; Dermody *et al.*, 2006), growth in elevated O₃ generally has the opposite effects (Morgan *et al.*, 2003; Long *et al.*, 2005; Dermody *et al.*, 2006), and elevated CO₂ may partially mitigate the negative effects of elevated O₃ when plants are exposed to elevated CO₂ and elevated O₃ simultaneously (Cardoso-Vilhena *et al.*, 2004; Booker and Fiscus, 2005; Dermody *et al.*, 2008). As global concentrations of CO₂ and O₃ continue to increase, it will be necessary to understand their effects on the structure and physiological properties of plant canopies. Remote sensing allows rapid and non-invasive estimation of physiological parameters which are important to determining productivity, such as leaf area and photosynthetic carbon assimilation, and is an excellent tool for large-scale assessment of ecosystem structure and function. It is crucial, however, to verify whether reflectance indices calculated from canopy-level remote sensing measurements have the accuracy and sensitivity to detect the effects of elevated CO₂ and O₃ on ecosystem structure and function.

The leaf area and structure of a soybean canopy determine the area available for interception of incoming solar radiation and affect canopy photosynthesis and ecosystem productivity. In elevated CO₂, lower light compensation points and an extended period for leaf growth contribute to greater LAI (Pearcy, 1983; Hirose *et al.*, 1996; Ferris *et al.*, 2001; Dermody *et al.*, 2006). Exposure to elevated CO₂ in growth chambers or open-top chambers caused an 18% stimulation of LAI in soybean (Ainsworth *et al.*, 2002), but effects of this magnitude are not always observed when plants are grown in more realistic field conditions (Long *et al.*, 2004; Ainsworth and Long, 2005). At the soybean free air gas concentration enrichment (SoyFACE) experiment, elevated CO₂ increased peak LAI by 9–25% in 2002–2004, but did not affect peak LAI in 2001 (Dermody *et al.*, 2006, 2008). In contrast, O₃ is highly reactive and even at moderate concentrations can inhibit photosynthesis, causing accumulated damage in mature leaves late in the growing season, and O₃ may accelerate senescence and late-season loss of leaf area in soybean (Sandermann *et al.*,

1998; Morgan *et al.*, 2003, 2004; Dermody *et al.*, 2006, 2008).

Many remote sensing indices correlate with LAI, including the normalized difference vegetation index (NDVI; Table 1) and the ratio of near infrared to red reflectance (NIR/red; Table 1). Although NDVI is a sensitive indicator of canopy structure at low values of LAI, it is relatively insensitive to variation in the structure of canopies with LAI >3 (Gamon *et al.*, 1995; Panferov *et al.*, 2001). More recently, NIR/red has been used to estimate LAI and, in contrast to NDVI, NIR/red is sensitive to variation in canopy structure through high values of LAI (Daughtry *et al.*, 2000). Both NDVI and simple ratios such as NIR/red may be particularly useful for detecting subtle changes in the rate of canopy development and senescence because they utilize the sharp differences between soil and foliage reflectance in the near infrared and visible portions of the spectrum (Peñuelas and Filella, 1998).

In addition to the leaf area available for light interception, the duration of the canopy is an important determinant of productivity and, because of their disparate effects on photosynthesis and LAI, elevated CO₂ and O₃ generally have opposing effects on the timing of canopy senescence (Dermody *et al.*, 2006). By extending the period for leaf development at the end of the growing season, elevated CO₂ may delay senescence of soybean canopies (Dermody *et al.*, 2006, 2008). Elevated O₃ generally increases the rate of leaf loss deep in the canopy (Morgan *et al.*, 2003; Dermody *et al.*, 2006). The decline in canopy chlorophyll content that accompanies senescence is a reliable indicator of reduced photosynthetic capacity and can be estimated using reflectance indices such as the total canopy chlorophyll content index (chl. index) (Table 1). Numerous other indices have been developed which accurately assess chlorophyll content on a leaf basis in a wide variety of species, for example the chlorophyll normalized difference index (Gitelson and Merzlyak, 1994; Gamon and Surfus, 1999; Richardson *et al.*, 2002). In a previous study, the chl. index accounted for 92% of the variation in canopy chlorophyll contents in maize and soybean, and was influenced by chlorophyll content at the leaf level as well as green LAI (Gitelson *et al.*, 2005), making this index a promising candidate for detecting effects of CO₂ and O₃ on canopy senescence.

Determining the response of whole canopy photosynthetic carbon assimilation rates to elevated CO₂ and O₃

Table 1. Canopy reflectance indices utilized in this experiment, including their acronyms, formulae, the biological parameter estimated by each index, and the reference

Reflectance index	Acronym	Formula	Estimated biological parameter	References
Normalized difference vegetation index	NDVI	$(R_{900}-R_{680})/(R_{900}+R_{680})$	Leaf area index (LAI)	Gamon <i>et al.</i> (1995)
Near infrared/red	NIR/red	(R_{801}/R_{670})	Leaf area index (LAI)	Daughtry <i>et al.</i> (2000)
Photochemical reflectance index	PRI	$(R_{531}-R_{570})/(R_{531}+R_{570})$	PSII efficiency, Net CO ₂ uptake	Gamon <i>et al.</i> (1997)
Total canopy chlorophyll content index	chl. index	$(\int R_{840-870}/\int R_{720-730})$	Canopy chlorophyll content	Gitelson <i>et al.</i> (2005)

throughout the growing season is an important step in predicting crop productivity in a future high CO₂ and high O₃ atmosphere. Elevated CO₂ increases leaf-level photosynthesis of C₃ plants such as soybean (Drake *et al.*, 1997; Ainsworth *et al.*, 2002; Ainsworth and Long, 2005). When administered in closed systems such as growth chambers, elevated O₃ reduces photosynthesis (Morgan *et al.*, 2003), but, in a FACE system, the effects of O₃ on leaf-level photosynthesis were evident only late in the growing season and in mature leaves (Morgan *et al.*, 2004). The photochemical reflectance index (PRI) is correlated with photosystem II (PSII) efficiency and net CO₂ assimilation across a range of environmental conditions (Peñuelas *et al.*, 1995; Gamon *et al.*, 1997; Guo *et al.*, 2006), making it a promising tool for assessing whether leaf level responses to elevated CO₂ and O₃ persist at the canopy level and throughout the growing season.

The purpose of this study was to determine whether reflectance indices capture the effects of elevated CO₂ and O₃ on the structural and physiological properties of a soybean canopy. The soybean crop was exposed to elevated CO₂, elevated O₃, and elevated CO₂ plus O₃ treatments in a field setting using FACE technology at the SoyFACE experiment in Champaign, IL, USA. The SoyFACE facility provided a unique opportunity to determine the sensitivity of canopy reflectance indices to the physiological and structural effects of elevated CO₂ and O₃ on an intact soybean canopy because plant responses to these treatments at SoyFACE have been well characterized since 2001. It was predicted that elevated CO₂, by increasing LAI, leaf area duration, and photosynthetic capacity, would increase NIR/red and PRI throughout the growing season, and would increase total canopy chl. index during late-season measurements. In contrast, it was predicted that elevated O₃, by decreasing LAI throughout the season, reducing photosynthetic carbon assimilation, and increasing the rate of leaf loss to senescence, would lead to lower values of NIR/red, PRI, and chl. index, especially during late-season measurements.

Materials and methods

Site description

This research was conducted at the SoyFACE facility at the University of Illinois in Champaign, Illinois (40°02'N, 88°14'W, 228 m above sea level; <http://www.soyface.uiuc.edu>). SoyFACE consists of 16 octagonal experimental plots, 20 m in diameter and circumscribed by pipes that release CO₂ and O₃ through 300 µm pores at a supersonic velocity above the soybean canopy (Miglietta *et al.*, 2001). The experiment is arranged in four randomized blocks, each containing four plots. Within each block, plots were exposed to elevated CO₂, elevated O₃, elevated CO₂ plus O₃, or ambient conditions. Each plot was divided in two, with one half planted with *Glycine max* L. cv. Pioneer 93B15 while the other half was subdivided into 23 rectangular subplots (3×2 m) each containing a different soybean cultivar.

Daytime CO₂ concentrations in ambient plots averaged 387 µl l⁻¹ at SoyFACE in 2005. The target concentration for elevated CO₂ plots was 550 µl l⁻¹ and, during the 2005 growing season, the

average CO₂ concentration in elevated plots was 552 µl l⁻¹. The target concentration for elevated O₃ plots was 1.5× ambient concentrations, but, because plants were not fumigated when leaf surfaces were wet, the actual treatment was ~1.2× ambient concentration. Average ambient O₃ concentration between 10:00 and 18:00 CST (central standard time) was 49.7 nl l⁻¹, and the average O₃ concentration in elevated plots was 58.7 nl l⁻¹.

Wind speed and direction were measured continuously to adjust the rate and position of gas release to maintain target concentrations within experimental plots. Plants were fumigated during daylight hours, and 1 min average CO₂ and O₃ were ±20% of the target for >90% of the time during the 2005 growing season (E Ainsworth, personal communication). Experimental plots were separated by at least 100 m to avoid cross-contamination of CO₂ and O₃ (Nagy *et al.*, 1994).

Leaf area index measurement

LAI was measured at weekly intervals during the 2005 growing season with a plant canopy analyser (LAI-2000, Li-Cor, Lincoln, NE, USA), as described by Dermody *et al.* (2006). Briefly, LAI was measured when the sun was completely obscured by cloud cover or within 1 h of sunset to ensure that incident light was diffuse. Measurements were made at six random locations in each plot and at each location; one measurement was made above the canopy and four measurements were made below the canopy. The entire hemispherical view was used to calculate LAI, and the operator was excluded from measurements by a view cap. LAI data were used to calculate leaf area duration (LAD), or the area under the curve of LAI plotted against time (Dermody *et al.*, 2006). For each experimental plot, the relationship between LAI and time was fitted using the trapezoidal rule (SigmaPlot ver. 10, Systat Software Inc., Point Richmond, CA, USA).

Canopy reflectance estimation

Upwelling irradiance from the soybean canopy was measured from 300 nm to 1100 nm with a portable spectroradiometer (UniSpec Spectral Analysis System, PP Systems, Haverhill, MA, USA) on 12 dates from mid June to mid September, 2005. The spectroradiometer was equipped with a visible/near infrared detector with a Raleigh resolution of <10 nm and a bin size of 3.3 nm. On each sampling date, 16 readings were taken in the plot containing the Pioneer 93B15 cultivar, and these 16 readings were then averaged to produce one reading for each plot ($n=4$). Measurements were taken under clear skies and in full sunlight; to minimize errors associated with changes in solar angle (J Gamon, personal communication) measurements were taken between the hours of 10:00 and 14:00 CST. Measurements were taken from a height of ~1 m above the canopy with the fibreoptic probe pointed downward to measure upwelling irradiance of the soybean canopy. With an acceptance angle of 45° the area of canopy sampled by the probe when held at a height of 1 m was ~0.54 m². To standardize for differing levels of irradiance on different sampling dates, a photographic grey card of ~18% reflectance was used as a field standard. For each measurement, upwelling canopy radiance was divided by the upwelling radiance of the grey card and multiplied by a correction factor to yield reflectance values. The correction factor was calculated as $100 \times (R_{\text{grey card}}/R_{\text{reference}})$, where $R_{\text{grey card}}$ is the reflectance of the grey card, and $R_{\text{reference}}$ is the reflectance of a white reference standard (PP Systems, Haverhill, MA, USA), measured under uniform light conditions in the laboratory.

Calculation of reflectance indices

To quantify the effects of elevated CO₂ and elevated O₃ on LAI, canopy chlorophyll content, and net CO₂ assimilation, four indices were calculated from canopy reflectance measurements. The NDVI is a widely used index which probes changes in LAI, and was

calculated as the normalized ratio of reflectance at 900 nm and 680 nm, $(R_{900}-R_{680})/(R_{900}+R_{680})$, where R_x indicates canopy reflectance at x wavelength in nanometres (Table 1; Gamon et al., 1995). To obtain a more accurate assessment of the effects of CO₂ and O₃ when LAI was >3, the ratio of reflectance in the NIR/red also was calculated, as the non-normalized ratio of reflectance at 801 nm and 670 nm, (R_{801}/R_{670}) ; Table 1; Daughtry et al., 2000). The PRI was calculated as the normalized ratio of reflectance at 531 nm and 570 nm $(R_{531}-R_{570})/(R_{531}+R_{570})$ (Table 1; Peñuelas et al., 1995; Gamon et al., 1997). PRI is responsive to PSII efficiency because conversion of xanthophyll cycle pigments involved in dissipation of excess light energy can be detected through reflectance at 531 nm, with reflectance at 570 nm serving as a reference (Gamon et al., 1997). Canopy chlorophyll content was estimated using the canopy chl. index, calculated as the ratio of the area under the curve in the 840–870 nm region and the 720–730 nm region ($\int R_{840-870}/\int R_{720-730}$; Table 1; Gitelson et al., 2005). The chl. index is sensitive to variation in chlorophyll content because it incorporates reflectance at or near the red edge, the point of maximum slope in reflectance of vegetation. This is the point in the reflectance spectrum of vegetation where reflectance changes from low in the visible, chlorophyll absorption region to high in the near infrared region due to leaf and canopy scattering (Filella and Peñuelas, 1994).

Statistical analysis

After verifying that the data satisfied the assumptions of analysis of variance (ANOVA), a repeated measures ANOVA (PROC MIXED: SAS ver. 8.1 SAS Institute, Cary, NC, USA) was used to test for treatment effects on LAI, NDVI, NIR/red, PRI, and chl. index. Analyses were performed on plot means. For LAI data, blocks and blocks×CO₂×O₃ were included as random components, and for reflectance data blocks were included as the only random component because the blocks×CO₂×O₃ interaction term was not significant. All comparisons were made between treatments and control. To avoid type II errors, significant differences from control were defined as $P < 0.1$. Slice statements were utilized to compare treatments within individual days.

Results

Leaf area index

LAI increased to a maximum of ~6.5 in ambient plots on DOY (day of year) 222 (Fig. 1). Averaged across the growing season, LAI was greater in elevated relative to ambient CO₂ plots (Table 2; Fig. 1). However, a significant DOY×CO₂×O₃ interaction revealed that LAI was significantly greater in elevated CO₂ relative to ambient

plots only at the end of the growing season, on DOY 250 and 259, and had no effect on peak LAI (Table 2; Fig. 1). LAI was significantly lower in elevated relative to ambient O₃ plots when averaged across the growing season (Table 2; Fig. 1). A significant DOY×CO₂×O₃ interaction revealed that this difference was significant during mid-season measurements (DOY 193–222; Table 2; Fig. 1). When elevated CO₂ and elevated O₃ were applied in combination, elevated CO₂ ameliorated the negative effects of elevated O₃, making LAI in combination plots indistinguishable from that in ambient plots, except on DOY 229 and 259, where LAI was higher in combination plots relative to ambient plots (Table 2; Fig. 1).

When administered at ambient O₃, growth under elevated CO₂ had no effect on LAD [ambient mean, 398.1±17.2 (SE; d m⁻² m⁻²), elevated CO₂ mean: 402.90±17.2]. There was, however, a substantial reduction in LAD for plants grown under elevated O₃ (elevated O₃ mean: 301.2±17.2) that was eliminated when plants were exposed to elevated O₃ and elevated CO₂ simultaneously (combined elevated CO₂+elevated O₃ mean: 419.7±17.2; Table 2).

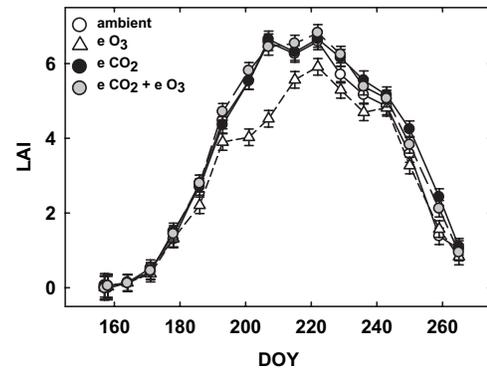


Fig. 1. Leaf area index (LAI) estimates of soybean grown in ambient air (open circles), elevated CO₂ (filled circles), elevated O₃ (open triangles), and combined elevated CO₂ and elevated O₃ (grey circles). Each point represents the least squared mean ±SE ($n=4$). Dates of measurement are indicated on the x-axis as day of year (DOY).

Table 2. Three-way ANOVA of the effects of date, elevated CO₂ treatment, elevated O₃ treatment, and two- and three-way interactions on LAD, LAI, NIR/red, PRI, and the chl. index of soybean. Significant effects are shown in bold.

Effect	Numerator DF	LAD		LAI		NIR/red		PRI		Chl. index	
		F-stat	P-value	F-stat	P-value	F-stat	P-value	F-stat	P-value	F-stat	P-value
Date	11 (LAI=16)	–	–	358.34	<0.0001	85.24	<0.0001	112.57	<0.0001	36.48	<0.0001
CO ₂	1	10.40	0.0104	22.1	0.0012	9.68	0.0040	6.27	0.0181	3.67	0.0656
Date×CO ₂	11 (LAI=16)	–	–	1.93	0.0216	0.76	0.6794	0.60	0.8210	0.97	0.4797
O ₃	1	4.39	0.0655	7.41	0.0237	1.48	0.2334	4.83	0.0360	0.35	0.5575
Date×O ₃	11 (LAI=16)	–	–	1.55	0.0903	0.55	0.8640	1.27	0.2526	0.82	0.6241
CO ₂ ×O ₃	1	8.85	0.0156	6.59	0.0306	1.01	0.3236	0.01	0.9123	0.16	0.6929
Date×CO ₂ ×O ₃	11 (LAI=16)	–	–	1.85	0.0290	0.75	0.6857	0.38	0.9626	1.95	0.0432

Relationship between leaf area index and reflectance indices

To determine which index was more sensitive to variation in LAI caused by seasonal changes in canopy cover and by atmospheric CO₂ and O₃ treatments, the values for NDVI and NIR/red were regressed against those obtained from weekly measurements of LAI. NIR/red was linearly related to LAI over its entire range (Fig. 2; $R^2=0.86$). The relationship between NDVI and LAI was non-linear and, above LAI values of ~ 3 , NDVI tended to saturate and became less sensitive to variation in LAI (Fig. 2b). The relationship between NIR/red and NDVI with LAI was not affected by elevated CO₂ or elevated O₃, and the regressions shown are for all treatments.

Near infrared/red

NIR/red was a sensitive indicator of seasonal variation in canopy development and the effects of atmospheric treatments on LAI (Fig. 3a; Table 2). As LAI increased to mid-season peak values, there was a concurrent increase in NIR/red. NIR/red increased from ~ 2 early in the season (DOY 172) to 30–35 during peak LAI (DOY 207–216), and decreased to ~ 2 late in the season (DOY 260; Fig. 3a).

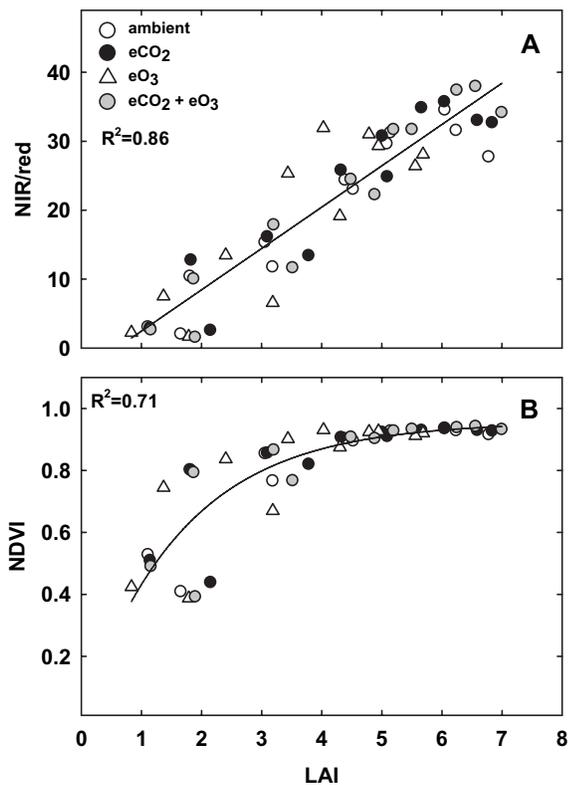


Fig. 2. NIR/red (a) and NDVI (b) plotted against LAI of soybean measured on the same dates in ambient air (open circles), elevated CO₂ (filled circles), elevated O₃ (open triangles), and combined elevated CO₂ and elevated O₃ (grey circles) treatments, including a linear regression for (a) and an exponential regression for (b) ($R^2=0.86$ and 0.71, respectively).

When averaged across the growing season, NIR/red was 8.5% higher in elevated relative to ambient CO₂ plots (Table 2; Fig. 3a). However, when CO₂×O₃×DOY effects were analysed for each day separately using slice statements, NIR/red in elevated CO₂ plots was not significantly different from NIR/red in ambient plots on any measurement date, suggesting that the significant main effect of elevated CO₂ was due to amelioration of O₃ damage in combination plots, rather than direct stimulation of leaf area by elevated CO₂ (Fig. 3a).

The effect of O₃ was not significant ($P < 0.1$), but there was a consistent trend for lower NIR/red in elevated O₃ relative to ambient plots (Fig. 3a; Table 2). CO₂×O₃×DOY

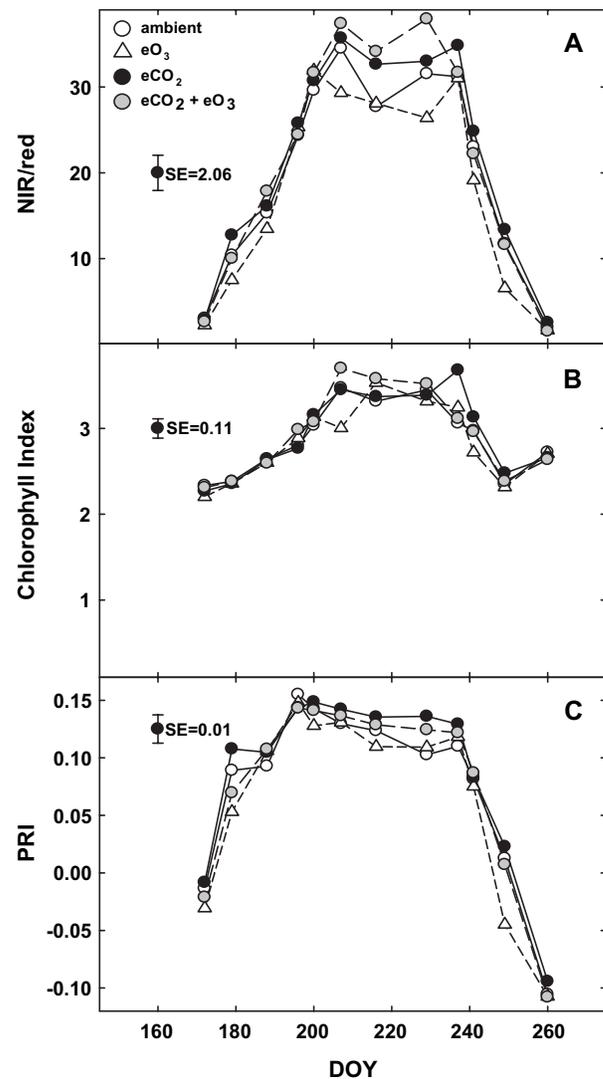


Fig. 3. NIR/red (a), chl. index (b), and PRI (c) measured throughout the growing season in soybean grown in ambient air (open circles), elevated CO₂ (filled circles), elevated O₃ (open triangles), and combined elevated CO₂ and elevated O₃ (grey circles). Each point represents the least squared mean, and date of measurement is indicated on the x-axis as day of year (DOY). The size of the SE bars is represented on the left side of each panel ($n=4$).

effects analysed using slice statements revealed that NIR/red was significantly lower in elevated O₃ relative to ambient plots on DOY 207 and 249 ($P < 0.1$; Fig. 3a). Elevated O₃ treatment resulted in a 9% depression of NIR/red when averaged across the growing season (Fig. 3a).

Although a significant interaction between CO₂ and O₃ on NIR/red ($P < 0.1$) was not detected, there was a trend for exposure to elevated CO₂ to reduce the negative effect of elevated O₃ when both gases were applied simultaneously (Fig. 3a; Table 2). When averaged across the season, NIR/red was 7.6% higher in combination relative to ambient plots (Fig. 3a). This is a considerable stimulation relative to the 9% depression of NIR/red that was observed when plots were exposed to elevated O₃ alone (Fig. 3a).

Chlorophyll index

There was significant seasonal variation in the chl. index, increasing from ~2.3 early in the growing season (DOY 172) to a maximum of ~3.5 during peak LAI (DOY 216) and decreasing to ~2.7 late in canopy senescence (DOY 260; Fig. 3b; Table 2).

When averaged across the growing season, the chl. index increased by ~2.3% in elevated CO₂ compared with ambient plots (Fig. 3b). A significant CO₂×O₃×DOY interaction revealed that this significant effect of CO₂ was primarily caused by the increase in chl. index by elevated CO₂ on one date (DOY 237, $P=0.007$, Fig. 3b).

When data were averaged across the season, there were no significant direct effects of O₃ on chl. index (Table 2). However, a significant CO₂×O₃×DOY interaction revealed that the chl. index was significantly lower in elevated O₃ relative to ambient plots on only one date (DOY 207, $P=0.004$; Fig. 3b).

Photochemical reflectance index

PRI varied significantly throughout the growing season, increasing from negative values before canopy closure (DOY 172) to a maximum of ~0.15 on DOY 196, and decreasing to negative values as the canopy senesced (DOY 249–260; Fig. 3c). There was an average 14% stimulation of PRI in elevated CO₂ relative to ambient plots across the growing season (Fig. 3c).

When data were averaged across the season, PRI was significantly depressed in elevated O₃ plots relative to ambient plots (Fig. 3c; Table 2). When data for each day were analysed separately using slice statements, PRI in elevated O₃ plots was significantly less than in ambient plots on one early season date and one late season date (DOY 179 and 249; Fig. 3c).

There was a trend for elevated CO₂ to ameliorate the negative effects of elevated O₃ on PRI when plots were exposed to elevated CO₂ and elevated O₃ in combination (Fig. 3c). When averaged across the growing season, PRI in combination plots was ~2% higher than PRI in ambient plots (Fig. 3c). This is a noteworthy increase relative to the 14% depression of PRI that was observed when plots were

exposed to elevated O₃ in combination with ambient CO₂; however, this interaction was not significant at the $P < 0.1$ level (Table 2).

Discussion

The remote sensing indices used in this experiment are promising tools for monitoring canopy structure and function because they are non-destructive and have the potential for application at many spatial scales, including leaf-, canopy-, aircraft-, and satellite-based measurements. NIR/red, to a greater extent than NDVI, accurately estimated LAI throughout the growing season. NIR/red was, however, less sensitive than direct measurement of LAI in detecting the negative effects of elevated O₃ during mid-season measurements and delayed senescence observed in elevated CO₂ plots. The chl. index showed little response to CO₂ and O₃ treatments. PRI was sensitive to variation in photosynthetic CO₂ uptake by the soybean canopy throughout the season, demonstrating increased CO₂ uptake in elevated CO₂ treatment and decreased CO₂ uptake in elevated O₃ treatment. Although remote sensing was, in some cases, less sensitive than direct measurement of physiological parameters, NIR/red and PRI revealed similar treatment effects to those that direct measurement of leaf area and leaf level gas exchange have revealed in previous studies at SoyFACE (Bernacchi *et al.*, 2006; Dermody *et al.*, 2006, 2008, Leakey *et al.*, 2009). This study is novel in its successful application of established remote sensing indices to detect effects of elevated atmospheric CO₂ and O₃ on an intact soybean canopy in a field setting.

A linear relationship was found between NIR/red and LAI through a maximum LAI of 6, and a non-linear relationship between NDVI and LAI, where NDVI became insensitive to variation in LAI at values >3 or 4 (Fig. 2). Similarly, NDVI was a sensitive indicator of canopy structure only at LAI values <2 in grassland, chaparral shrub, and oak woodland ecosystems (Gamon *et al.*, 1995). Daughtry and colleagues (2000) used simulated data to demonstrate that LAI accounted for 99.4% of the variation in NIR/red and a slightly smaller percentage (98%) of the variation in NDVI. These authors concluded that NIR/red could reliably estimate LAI, but recognized that this needed to be confirmed using real rather than simulated data (Daughtry *et al.*, 2000). The present data demonstrate that the correlation between NIR/red and LAI is conserved in field-grown soybean at elevated CO₂ or O₃ and that NIR/red appears to be a better index than NDVI for estimating LAI via canopy reflectance measurements.

Although ANOVA indicated a significant direct effect of elevated CO₂ on LAI, CO₂×O₃×DOY interactive effects demonstrated that elevated CO₂ increased LAI only at the end of the season (DOY 250 and 259; Fig. 1), and did not affect peak LAI in 2005 (Fig. 1). Correspondingly, ANOVA indicated a significant direct effect of CO₂ on NIR/red, but no significant effects of CO₂ on specific dates were found

using slice statements. In the case of LAI and NIR/red, the compensatory effect of elevated CO₂ on the negative effects of elevated O₃ probably contributed to significant main effects of CO₂ on both parameters (Figs 1, 3a).

Large CO₂ stimulation of leaf area of soybean has been measured in growth chamber studies (Ainsworth *et al.*, 2002); however, data from free air CO₂ enrichment experiments suggest that this is not always the case (Long *et al.*, 2004; Ainsworth and Long, 2005). At SoyFACE, elevated CO₂ did not affect peak LAI in 2001, but stimulated peak LAI by 10% in 2002 (Dermody *et al.*, 2006). Canopy senescence was delayed by elevated CO₂ in both years, associated with an extended period for addition of new leaves (Dermody *et al.*, 2006). Similarly in 2003 and 2004, exposure to elevated CO₂ increased maximum LAI by 9–25%, and this difference persisted as the canopy senesced (Dermody *et al.*, 2008). The present data demonstrate that elevated CO₂ did not affect peak LAI in 2005, and this absence of a treatment effect was accurately captured by the NIR/red reflectance index (Figs 1, 3). As seen in 2001–2004 at SoyFACE (Dermody *et al.*, 2006, 2008), elevated CO₂ delayed canopy senescence in 2005, as demonstrated by significantly higher LAI in elevated CO₂ on DOY 250 and 259 (Fig. 1). Despite a significant season-wide stimulation of NIR/red by elevated CO₂, there were no significant CO₂ effects on individual dates, indicating that NIR/red used at the present replication level may not be sensitive enough to detect delayed senescence caused by elevated CO₂.

NIR/red in elevated O₃ plots consistently was lower (though non-significantly) than in ambient plots, and this effect was of a similar magnitude to that seen in direct measurement of LAI. In a meta-analysis of studies that used enclosures to examine the effects of elevated O₃ on soybean, Morgan and colleagues (2003) demonstrated that elevated O₃ decreased green leaf area by 32% and total leaf area by ~10% compared with charcoal-filtered air. At SoyFACE in 2002–2004, peak LAI of soybean in elevated O₃ was not different from LAI in ambient plots, but elevated O₃ accelerated senescence and contributed to significantly lower LAI late in the growing season (Dermody *et al.*, 2006, 2008). In contrast to previous years, peak LAI in elevated O₃ plots was ~10% lower than in ambient plots in 2005 (Fig. 1).

Significant interactive effects of CO₂ and O₃ on LAI were detected in 2005 where elevated O₃ decreased LAI, but when plots were exposed to elevated CO₂ in combination with elevated O₃, elevated CO₂ stimulated LAI, compensating for the negative effects of O₃ (Fig. 1); however, this interaction was not detected at the $P < 0.1$ level using NIR/red (Fig. 3a). Elevated CO₂ also offset negative effects of elevated O₃ on LAI at SoyFACE in 2003–2004 (Dermody *et al.*, 2008).

The chl. index was marginally higher in elevated relative to ambient CO₂ plots in 2005, an effect that was mainly driven by one measurement date (DOY 237; Fig. 3b), suggesting that effects of atmospheric CO₂ on canopy chlorophyll content of soybean were minimal in this

study, corroborating previous experimental results at this and other field sites. During the 2004 growing season at SoyFACE, elevated CO₂ did not affect leaf chlorophyll content (Ainsworth *et al.*, 2007). Additionally, a meta-analysis of 12 FACE experiments found no change in leaf chlorophyll content in plants grown under elevated CO₂ (Ainsworth and Long, 2005).

Significant effects of elevated O₃ on the chl. index were observed on only one day during the 2005 growing season (DOY 207; Fig. 3b), suggesting minimal effects of O₃ on canopy chlorophyll content. Decreased leaf chlorophyll content caused by elevated O₃ has not been observed at SoyFACE (Christ *et al.*, 2006). Because decreases in leaf chlorophyll content have not previously been measured at SoyFACE and the chl. index is influenced by leaf area as well as canopy chlorophyll content, it is likely that the significant effect of O₃ on DOY 207 was caused by a depression in LAI (Fig. 1), rather than a difference in chlorophyll content.

The present data demonstrate that PRI was sensitive to the effects of elevated CO₂ and O₃ on photosynthetic rates as well as variation in photosynthetic rates across the growing season. Elevated CO₂ stimulated PRI by 14% on average, relative to ambient air (Fig. 3c). Similarly, elevated CO₂ stimulated midday photosynthetic carbon assimilation (*A*) by 20% on average during the 2005 growing season (Leakey *et al.*, 2009). This is consistent with previously observed stimulation of midday photosynthesis at SoyFACE; elevated CO₂ stimulated *A* by 24% in 2002, 31% in 2003, and 23% in 2004 (Bernacchi *et al.*, 2006). The results build on previous findings that the relationship between PRI and radiation use efficiency was conserved in a wide variety of tree saplings grown under elevated CO₂ in enclosures (Guo and Trotter, 2006).

The sensitivity of PRI to the negative effects of elevated O₃ on photosynthetic carbon assimilation at SoyFACE is particularly interesting because Morgan and colleagues (2004) found that elevated O₃ did not affect light-saturated photosynthesis, carboxylation capacity of Rubisco, or maximum electron transport in the youngest fully expanded leaf during any stage of crop development at SoyFACE in 2002. These authors did, however, find that in soybean leaves formed during reproductive growth, elevated O₃ resulted in faster loss of photosynthetic capacity as leaves aged, indicating accumulated damage from prolonged exposure to elevated O₃ (Morgan *et al.*, 2004). Similarly, Bernacchi and colleagues (2006) found no effect of elevated O₃ on photosynthesis of young, recently expanded leaves during the 2002, 2003, or 2004 growing seasons at SoyFACE. These observations, coupled with the depression of PRI that was measured in elevated O₃ at the beginning and end of the growing season, suggest that estimating photosynthetic CO₂ uptake via canopy reflectance rather than gas exchange measurement of young leaves in the upper canopy may increase our power to detect loss of photosynthetic capacity caused by elevated O₃, as this estimation incorporates the reflectance signal from young, upper canopy leaves as well as older leaves deeper in the canopy, which have

experienced a larger cumulative dose of O₃ and are more likely to exhibit O₃ damage.

It was demonstrated previously that PRI was sensitive to elevated O₃ at the leaf level in soybeans grown in open-top chambers (Campbell *et al.*, 2007). Additionally, when white clover (*Trifolium repens*) was exposed to elevated O₃ in growth chambers, canopy PRI decreased coincident with decreased photosynthetic carbon assimilation and decreased light use efficiency (Meroni *et al.*, 2008). The present data build on previous work in controlled environments and demonstrate that canopy PRI was sensitive to the negative effects of elevated O₃ on photosynthesis of field-grown soybean, and may give a more realistic estimate of canopy loss of photosynthetic capacity compared with leaf-level measurements of gas exchange.

In this study, a consistent trend for elevated CO₂ to ameliorate the negative effects of elevated O₃ on PRI was observed when plots were exposed to elevated CO₂ plus elevated O₃ in combination (Fig. 3c; Table 2). Averaged across the 2003–2004 growing seasons at SoyFACE, elevated CO₂ alone increased photosynthesis by 27% while elevated CO₂ in combination with elevated O₃ increased photosynthesis by 19% (Bernacchi *et al.*, 2006). Elevated CO₂ often compensates for the negative effects of elevated O₃ on productivity by decreasing stomatal conductance and reducing O₃ diffusion into the leaf (Fiscus *et al.*, 1997; Reid and Fiscus, 1998; Cardoso-Vilhena *et al.*, 2004; Booker and Fiscus, 2005).

Gamon *et al.* (1997) demonstrated that PRI changed rapidly with irradiance levels, and that PRI showed a strong linear relationship with radiation use efficiency only at light levels >500 μmol m⁻² s⁻¹. It is possible that changes in irradiance may contribute to seasonal variation in PRI. However, because the present measurements were collected during full sunlight at midday, it is unlikely that irradiance levels affected differences in PRI between treatments.

Conclusions

The canopy reflectance indices utilized in this study have been used previously at the leaf or canopy levels, often in controlled environments, to estimate the effects of environmental perturbations, such as changing atmospheric composition, on canopy structure and function. This study demonstrates that the sensitivity of NIR/red and PRI and, to a lesser extent, chl. index to changes in LAI, photosynthetic carbon assimilation, and canopy chlorophyll content, respectively, were conserved when soybean was grown in a field setting under elevated CO₂ and elevated O₃. Additionally, CO₂ and O₃ effects on LAI and photosynthetic carbon assimilation estimated from remote sensing indices were similar to treatment effects reported in previous studies where LAI and gas exchange were measured directly at SoyFACE (Morgan *et al.*, 2004; Dermody *et al.*, 2006, 2008; Bernacchi *et al.*, 2006; Leakey *et al.*, 2009). Elevated CO₂ increased leaf area and carbon assimilation and delayed senescence of the soybean canopy, as demonstrated by LAI, NIR/red, PRI, and the chl.

index in this study. In contrast, elevated O₃ decreased leaf area of the soybean canopy, as indicated by the effects of O₃ on LAI and NIR/red. Elevated O₃ also decreased PRI on two measurement dates, indicating reduced photosynthetic carbon assimilation. Projected increases in atmospheric CO₂ and O₃ concentrations in this century will probably have opposing effects on canopy structure, photosynthesis, and ultimately the productivity of the soybean agroecosystem. These data demonstrate that, although not as sensitive as direct measurements of physiological or canopy structural parameters, canopy reflectance indices show great promise for measuring the effects of increasing atmospheric CO₂ and O₃ on soybean canopies. With higher replication, which would allow greater statistical power, these indices may be useful for rapid screening of multiple cultivars of soybean for differential responsiveness to elevated CO₂ or O₃.

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