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Association of Metabolic Syndrome with Development of New Onset Diabetes After Transplantation

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Abstract

Background—New-onset diabetes after transplantation (NODAT) is a major post-transplant complication associated with lower allograft and recipient survival. Our objective was to determine if metabolic syndrome pre-transplant is independently associated with NODAT development.

Methods—We recruited 640 consecutive incident non-diabetic renal transplant recipients from 3 academic centers between 1999 and 2004. NODAT was defined as use of hypoglycemic medication, a random plasma glucose >200 mg/dL, or 2 fasting glucose levels \geq 126 mg/dL beyond 30 days post-transplant.

Results—Metabolic syndrome was common pre-transplant (57.2 %). NODAT developed in 31.4% of recipients one year post-transplant. Participants with metabolic syndrome were more likely to develop NODAT compared to recipients without metabolic syndrome (34.4% v. 27.4%, $p=0.057$). Recipients with increasing number of positive metabolic syndrome components were more likely to develop NODAT (metabolic syndrome score-prevalence at 1 year: 0-0.0%, 1-24.2, 2-29.3%, 3-31.0%, 4-34.8%, and 5-73.7%, $p=0.001$). After adjustment for demographics, age by decade (HR-1.34 (1.20-1.50), $p<0.0001$), African American race (HR-1.35 (1.01-1.82), $p=0.043$), cumulative prednisone dosage (HR-1.18 (1.07-1.30), $p=0.001$), and metabolic syndrome (HR-1.34

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Contributions

Nathaniel Bayer: manuscript preparation; Philip Cochetti: data analysis, data acquisition, manuscript preparation; Mysore Anil Kumar: critical revision; Valerie Teal: data analysis, manuscript preparation; Yonghong Hong: critical revision; Cataldo Doria: critical revision; Roy Bloom: critical revision; Sylvia Rosas: research design, funding, data analysis, data acquisition, manuscript preparation

Conflict of Interest

None of the authors have any conflict of interest or financial disclosures to report.

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(1.00-1.79), $p=0.047$) were independent predictors of development of NODAT at 1 year post-transplant. In a multivariable analysis incorporating the individual metabolic syndrome components themselves as covariates, the only pre-transplant metabolic syndrome component to remain an independent predictor of NODAT was low HDL (HR-1.37 (1.01-1.85), $p=0.042$).

Conclusions—Metabolic syndrome is an independent predictor for NODAT and is a possible target for intervention to prevent NODAT. Future studies to evaluate if modification of metabolic syndrome factors pre-transplant reduces NODAT development are needed.

Keywords

Renal Transplant; NODAT; Metabolic Syndrome

INTRODUCTION

New-onset diabetes mellitus after transplantation (NODAT) is a widely recognized and serious complication in renal transplant recipients.(1) It has been associated with reduced patient and graft survival, impaired graft function,(2-5) cardiovascular disease (CVD),(5-8) and atherosclerotic events.(9) Incidence rates vary from 2 to 50% during first post-transplantation year, depending on the inclusion and diagnostic criteria.(1) Established risk factors include African American or Hispanic ethnicity,(1,4,10) family history of diabetes, (10-11) obesity,(4,10) pre-transplant glucose intolerance, hepatitis C infection,(4,10,12) cytomegalovirus infection,(13-14) adult onset polycystic kidney disease,(15-17) immunosuppressive regimen,(10,18) recipients of deceased donor kidneys,(10-11) and older age.(4,10)

In the general population, metabolic syndrome has been associated with the development of diabetes mellitus.(19-21) However, the impact of metabolic syndrome on NODAT is not well established.

The study objective was to evaluate the association of pre-transplant metabolic syndrome with incidence of NODAT. In addition, we were interested in determining which components of the metabolic syndrome were most relevant. Determining modifiable risk factors for NODAT would be crucial to improve screening, diagnosing, and management of this post-transplant complication.

MATERIALS AND METHODS

Recipients

Our cohort consisted of 640 non-diabetic renal transplant recipients from 3 academic adult transplant centers in the Philadelphia area recruited between 1999 and 2004. Recipients with other solid organ transplants were excluded. The study was approved by the Institutional Review Board of all 3 centers, and each patient provided written informed consent.

Immunosuppression

The majority (62.3%) of recipients were on a tacrolimus based regimen as their initial calcineurin inhibitor. Doses were titrated to levels of 8-10 ng/mL during the first 3-4 months and titrated to 5 ng/mL by the first year. A cyclosporine based initial regimen was used in 20.6% of recipients. Ideally, doses were titrated to levels of 150-200 ng/mL during the first 3-4 months and titrated to 50-100 ng/mL by the first year. Recipients were also prescribed mycophenolate mofetil (MMF) along with prednisone at time of transplant that was tapered off. Usually by 3 months post-transplant, recipients were downwardly titrated to 5 mg of prednisone. However, over time all centers transitioned to tacrolimus based regimens and by

60 months post-transplant, 92% of recipients were on a tacrolimus based regimen. Recipients undergo CMV prophylaxis based on donor and recipient CMV serostatus. Typically CMV +/- receive gancyclovir 1000mg three times a day for 6 mos. CMV -/+ and CMV +/+ receive the same medication for 3 months. CMV -/- receive acyclovir.

As for mTOR inhibitors, usage is relatively low with only 69 recipients being on either sirolimus during the first 6 months post-transplant.

Study variables

EDTA-anticoagulated plasma obtained on the day of transplant was used to assay for total cholesterol, triglycerides, HDL, calculated LDL, apoA-I and apoB immediately prior to transplantation. All plasma lipid assays were analyzed using commercially available reagents from Sigma Diagnostics (St. Louis, MO). In recipients where the sample could not be obtained on the day of transplant, we included a clinically obtained lipid profile drawn within one month prior to transplantation. All assays were run using commercially available reagents on a Cobas Fara II autoanalyzer (Roche Diagnostics, New Brunswick, NJ). The triglyceride assay was an enzymatic assay. The sensitivity of the triglyceride assay was 10 mg/dL with an interassay coefficient of variation of 6%. The high-density lipoprotein (HDL) cholesterol assay was a heparin manganese precipitation method. The sensitivity of the HDL assay was 2 mg/dL, and the interassay coefficient of variation was 5%. The low-density lipoprotein (LDL) was calculated using the Friedewald formula following standard protocols. Demographics, past medical history and immunosuppressant regimen were obtained from patient interviews and medical chart abstraction.

Adapting the National Cholesterol Education Program Adult Treatment Panel III guidelines, (22) metabolic syndrome was defined as the presence of three or more of the following five components: (1) obesity with body mass index (BMI) ≥ 30 kg/m²; (2) triglycerides ≥ 150 mg/dL or on treatment; (3) HDL < 40 mg/dL in men and < 50 mg/dL in women; (4) systolic blood pressure ≥ 130 mmHg, diastolic blood pressure ≥ 85 mmHg, or antihypertensive therapy; and (5) fasting glucose ≥ 100 mg/dL. As waist circumference was not available for all recipients, BMI was used as a surrogate, which has been shown to correlate in prior studies.(23)

NODAT was defined as two measurements of fasting plasma glucose ≥ 126 mg/dL, a single plasma glucose > 200 mg/dL or the use of insulin or an oral hypoglycemic agent between 30 days post-transplant and 1 year post-transplant.(24) We classified recipients based on the first criteria for NODAT identified. Therefore, recipients may have met one or more of the criteria for definition of NODAT. Of the 201 recipients with NODAT at 1 year, 36% met the criteria by initiation of drug treatment and the remainder by abnormal glucose as defined by ADA criteria. Any patient meeting the glucose criteria for diabetes mellitus pre-transplant was deemed undiagnosed diabetic, and thus excluded from the main cohort. There were 16 recipients with undiagnosed pre-transplant diabetes and used in a sensitivity analysis, which determined they had significantly different outcomes and should not be included in the analysis.

Statistics

Student's t-tests and χ^2 tests were used to compare continuous patient measures and characteristics for recipients with and without metabolic syndrome prior to transplant as well as with and without NODAT development post transplant. A survival analysis was performed using Cox proportional hazard models to determine univariate hazard ratios and significance of the individual predictors of NODAT. Both backward and forward selection methods were used to select the components for the presented multivariable Cox regression

models. Previous known associations with NODAT and variables with an association of $p < 0.2$ were entered into a multivariable Cox regression model to determine if the relationship between NODAT and metabolic syndrome persisted. Metabolic syndrome was initially included in the model as an independent term. A second set of multivariable Cox regression models was executed substituting the individual binary metabolic syndrome components (1 for present, 0 for not present). Both stepwise-additive and backward-elimination regression model building techniques were used to evaluate the sub-components. Note in the component analysis, if a single component was missing for an individual in the subcomponent analysis, an individual would not be included in the model. Those with insufficient data to determine a metabolic syndrome score were excluded from the cohort. However, criteria for the presence of metabolic syndrome can be determined for someone missing up to 2 components if all other components are positive (or negative). Therefore, some individuals included in the presence of metabolic syndrome score models were missing from the component models. To aid in interpretation of the hazard ratio, age by decade was used. All statistical analyses were executed using SAS version 9.1 (SAS Institute, Cary, NC, USA).

RESULTS

The average age of study participants was 46.4 (standard deviation, 12.8) years. African American recipients were younger compared to non-African Americans (44.1 (11.9) v. 47.3 (13.4), $p=0.004$). The majority of recipients were male (58.0%), white (63.1%) while approximately a third of recipients (31.6%) were African American. The prevalence of hepatitis C seropositivity was 10.9%. The majority of patients received deceased donor transplant (60.3%). The average plasma glucose level immediately prior to transplantation was 91.5 (26.2) mg/dL.

Metabolic syndrome was common (57.2%) at time of transplant. Unadjusted associations between metabolic syndrome and baseline continuous and categorical variables, including individual components of metabolic syndrome, are listed in Table 1. Recipients with metabolic syndrome, when compared to recipients without metabolic syndrome were less likely to be African American (27.0% v. 37.6%, $p=0.005$ and more likely to have polycystic kidney disease as etiology of kidney disease (15.8% v. 9.5%, $p=0.009$).

The majority (92.3%) recipients were on at least one antihypertensive or had systolic blood pressure ≥ 130 mmHg or diastolic blood pressure ≥ 85 mmHg on the day they received their transplant. Eighty-three percent of recipients were on antihypertensive medications. Interestingly, 60.0% still had systolic blood pressure above 140 mmHg and 32.5% had diastolic blood pressure above 90 mmHg on the day of transplant. Statin was prescribed for 17.0% of recipients pre-transplant, but by 6 months post-transplant 58% of recipients were on this class of drug.

NODAT developed in 31.4% of recipients by the end of the first year post-transplant. Over half (58.7%) of the recipients who developed NODAT over the course of the 5 year follow-up period developed NODAT within the first year. Recipients with metabolic syndrome were more likely to develop NODAT than those without metabolic syndrome (34.4% v. 27.4%, $p=0.057$). The majority of recipients who developed NODAT within 1 year (62.7%) had metabolic syndrome at baseline. The likelihood of developing NODAT increased with the number of positive metabolic syndrome components (Figure 1). For example, 24.2% of recipients with 1 component present developed NODAT while 73.7% of recipients with all 5 components developed this complication.

Participants that developed NODAT were older at time of transplant (50.1 v. 44.8 years, $p < 0.0001$) and more likely to have Hepatitis C (14.9% v. 9.1%, $p = 0.029$). Gender did not significantly differ among the groups with and without NODAT ($p = 0.07$). Weight gain by 3 months post-transplant was significantly different between the two groups (1.91 kg v. 5.78 kg, $p = .001$). However, by 6 months post-transplant, weight gain was not significantly different between the two groups (5.84 kg v. 6.42 kg, $p = 0.72$). Additionally, African American recipients had a higher proportion of Hepatitis C seropositivity, (21.0% v. 6.4%, $p < 0.0001$).

Table 2 provides the unadjusted hazard ratios for *a priori* selected variables. Female gender (HR 0.78 (0.59-1.04), $p = 0.09$), cytomegalovirus seropositivity (HR 1.12 (0.81-1.54), $p = 0.50$), polycystic kidney disease as etiology of ESRD (HR 1.01 (0.67-1.53), $p = 0.95$), and use of tacrolimus (HR 1.29 (0.75-2.22), $p = 0.36$) were not independent predictors of NODAT. In a multivariable analysis adjusted for demographics and utilizing presence of metabolic syndrome, age by decade (HR 1.34 (1.20-1.50), $p < 0.0001$), African American race (HR 1.35 (1.01-1.82), $p = 0.043$), cumulative prednisone dose (HR 1.18 (1.07-1.30), $p = 0.001$), and metabolic syndrome (HR 1.34 (1.00-1.79), $p = 0.047$) were independent predictors of development of NODAT. In a multivariable analysis incorporating the individual metabolic syndrome components themselves as covariates, only pre-transplant low HDL (HR 1.37 (1.01-1.85), $p = 0.042$) remained an independent predictor of NODAT adjusted for age, prednisone usage, and African American race (Table 2). Using the metabolic syndrome components as continuous variables (HDL, Glucose, and triglycerides) only HDL (HR 0.985 (0.975-0.995), $p = 0.004$) and glucose (HR 1.006 (1.002-1.011), $p = 0.005$) were significantly associated with development of NODAT in the univariate analysis. This association persisted after adjustment for race and age [HDL (HR 0.982 (0.972-0.992), $p < 0.001$) and glucose (HR 1.006 (1.001-1.011), $p = 0.02$)].

Figure 2 shows the Kaplan Meier survival curves of time to develop NODAT for recipients with and without metabolic syndrome at transplant stratified by race. African Americans with metabolic syndrome at transplant developed NODAT in higher proportion than other groups. Tests of association between these variables and time were not significant.

DISCUSSION

Our study examines the relationship between the pre-transplant metabolic syndrome and NODAT in a large cohort of incident renal recipients representative of the US ethnic background. The major findings are: (1) the presence of metabolic syndrome pre-transplantation is significantly associated with the development of NODAT; (2) age, African American race, prednisone, and presence of metabolic syndrome pre-transplant were independently associated with development of NODAT; (3) the risk for NODAT increased as the number of metabolic syndrome components that were abnormal increased; (4) of the specific pre-transplant metabolic syndrome components, only low HDL was independently associated with development of NODAT.

The prevalence of metabolic syndrome in our population at the time of transplant approximates that reported in other non-diabetic CKD(25-26) and renal transplant(1) cohorts in the US. Porrini et al. demonstrated that metabolic syndrome present at one year post-transplantation is a risk factor for subsequent NODAT beyond the second year post-transplant.(27) However, several studies have indicated that recipients are at greatest risk for NODAT within the first six months post-transplant,(28-29) with most cases occurring within one year.(1,30) In our study, 26.4% of recipients developed NODAT within 6 months, 31.4% had developed it by one year, and 46.3% developed NODAT within 5 years post-transplant.

An interesting finding was the variation in the strength of the association between individual metabolic syndrome components and NODAT in this cohort. HDL was the only significant component of metabolic syndrome which predicted NODAT controlling for age, prednisone dosage, and African American race. In the general population, fasting glucose is the most robust component of the metabolic syndrome in predicting diabetes.(20-21,31-33) Glucose was a significant risk factor for NODAT only when evaluated as a continuous variable. We used stringent criteria to exclude undiagnosed diabetes in our cohort. Undiagnosed pre-transplant diabetes would overestimate the association found between metabolic syndrome and NODAT and could potentially skew glucose as a more potent predictor of NODAT.

BMI or waist circumference, triglycerides, and glucose have been found to predict the development of diabetes in the general population.(19) Some studies do not support an association of triglycerides with development of diabetes,(20) and others find heightened risk with all of the metabolic syndrome components.(34) BMI(4,35) and triglycerides have been associated with NODAT in the renal transplant population.(27)

NODAT was associated with hepatitis C seropositivity in the univariate analysis but we did not find that it was an independent predictor after adjusting for metabolic syndrome status, race, prednisone dosage, and age. Hepatitis C has effects on glucose dysregulation. While the mechanisms remain largely unknown, there is evidence that hepatitis C promotes insulin resistance.(36) This diabetogenicity is exacerbated through viral hindering of hepatic glucose uptake, glycogenesis, and insulin secretion.(37-39)

The type of immunosuppression explains 74% of the variability in incidence of NODAT.(1) Our study did not reveal a specific association between NODAT and tacrolimus use as in other studies.(15,40-41) This is not a universal finding.(4,35,42-44) A possible reason to consider is the majority of recipients received tacrolimus-based immunosuppression making it more difficult to observe an independent relationship. Hypertension was quite prevalent as shown in other studies.(45)

Our study confirmed that African American race was associated with development of NODAT. African Americans with metabolic syndrome were at highest risk for NODAT. Gender was not a significant risk factor. This finding supports the work of Hamer and colleagues, who found no association between gender and NODAT.(15)

We found a higher proportion of recipients with PKD in the group with metabolic syndrome. Insulin resistance with compensatory hyperinsulinemia has been described in patients with PKD.(46) Increase membrane permeability and abnormalities in erythrocyte Na/Li transport both associated with insulin resistance have been postulated as likely culprits by the same research group.(47) In a recent report from a small cohort of Polish patients with PKD and normal kidney function, patients with PKD had higher blood pressure, abdominal obesity, and higher fasting glycemia compared to controls. However, they did not find a statistical difference in the prevalence of metabolic syndrome.(48)

There are important limitations to this study. The presence of metabolic syndrome was based on values of metabolic syndrome closest to transplant within one month. Therefore, there may have been some misclassification bias. The study population is representative of the renal recipients from our geographic area, but may not be representative of other areas with different ethnic composition. In addition, while the use of three academic centers increased our sample size, it is likely that there are health system differences in the care of renal recipients that we are not able to capture and adjust in our models. We were not able to test for other associations with metabolic syndrome that have been described such as magnesium.

In summary, our study has demonstrated that pre-transplant metabolic syndrome is an important potentially modifiable risk factor for NODAT, with a risk escalation that is directly related to the number of individual abnormal metabolic syndrome components. Future studies evaluating if modification of metabolic syndrome factors pre-transplant reduces the development of NODAT are imperative.

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Abbreviations

NODAT New-onset diabetes after transplantation

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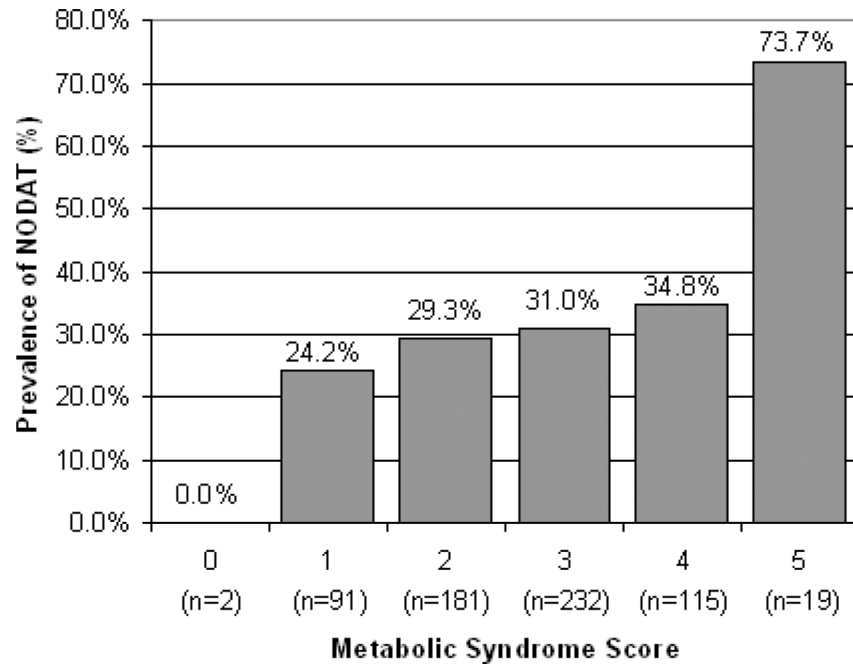


FIGURE 1.
Prevalence of NODAT at 1 year by Metabolic Syndrome Score

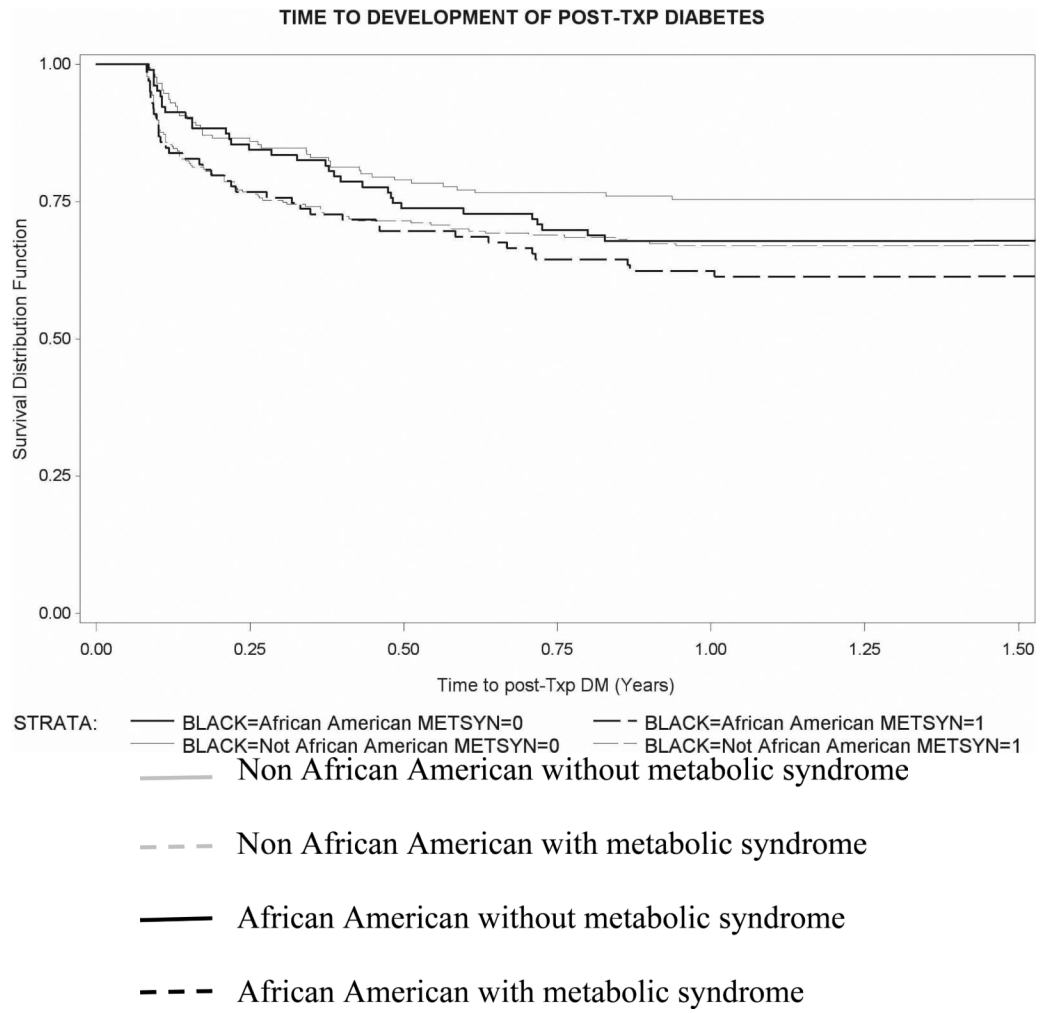


FIGURE 2.
Time to NODAT post-transplant; Survival Distribution Function

TABLE 1
Demographic and laboratory parameters by presence of metabolic syndrome at time of transplant

	No Metabolic Syndrome (N=274)		Metabolic Syndrome (N=366)		p-value
	Mean	SD	Mean	SD	
Age (years)	45.5	13.0	47.1	13.1	0.11
Male gender (%)	56.2%		59.3%		0.43
African American (%)	37.6%		27.0%		0.005
Hepatitis C (%)	11.3%		10.7%		0.79
CMV (%)	67.2%		70.4%		0.42
Etiology of ESRD					
HTN (%) ^a	75.0%		69.9%		0.34
PKD (%) ^a	9.5%		15.8%		0.009
Deceased donor (%)	58.4%		61.7%		0.39
Preemptive transplant (%)	15.7%		14.9%		0.45
BMI (kg/m ²)	26.7	7.7	31.5	10.0	<0.0001
Glucose (mg/dL)	86.1	23.5	95.5	27.7	<0.0001
Triglycerides (mg/dL)	118.8	62.7	246.4	127.4	<0.0001
SBP (mm Hg)	159.2	22.9	158.2	20.9	0.59
DBP (mm Hg)	90.8	13.9	88.4	12.5	0.03
HDL (mg/dL)	49.3	14.8	36.3	12.6	<0.0001
cLDL (mg/dL)	93.6	32.7	97.4	40.3	0.27
Total cholesterol (mg/dL)	163.7	40.3	176.1	52.5	0.004
Apo A1 (mg/dL)	125.5	30.0	113.3	30.2	<0.0001
Apo B (mg/dL)	72.4	21.7	85.4	28.3	<0.0001
Ratio of Apo B to Apo A1	0.60	0.21	0.78	0.25	<0.0001
White Blood Cells (x 10 ⁹ /L)	7.6	3.3	8.1	4.9	0.15
Calcium (mg/dL)	9.07	1.37	9.01	3.74	0.80
Phosphate (mg/dL)	5.29	1.84	5.28	1.72	0.94
Hematocrit (%)	35.0%	4.9%	35.0%	6.0%	0.95
Hemoglobin (g/dL)	11.7	1.6	11.8	2.1	0.50
Platelet Count (x10 ⁹ /L)	198.4	68.1	198.6	79.1	0.98

	No Metabolic Syndrome (N=274)	Metabolic Syndrome (N=366)	p-value
Blood urea nitrogen (mg/dL)	48.9	47.7	20.5
Creatinine (mg/dL)	8.37	8.23	3.75
Albumin (g/dL)	4.14	4.16	0.43
Weight Gain, 3 months (kg)	4.97	4.16	9.01
Weight Gain, 6 months (kg)	5.82	6.60	9.32

BMI- body mass index, CMV- cytomegalovirus, ESRD- end-stage renal disease, HTN- hypertension, PKD- polycystic kidney disease

TABLE 2

Multivariable analysis of Metabolic Syndrome and its components and NODAT

	Unadj. HR (ci - ucl)	p	Adj. HR Met. Syndrome	p	Adj. HR Components of Met. Syndrome	p
Age by decade	1.31 (1.18- 1.46)	<0.0001	1.34 (1.20 - 1.50)	<0.0001	1.35 (1.21- 1.51)	<0.0001
African American	1.19 (0.89- 1.59)	0.23	1.35 (1.01 - 1.82)	0.043	1.37 (1.01 - 1.85)	0.043
Female Gender	0.78 (0.59- 1.04)	0.09				
Hepatitis C seropositive	1.52 (1.03- 2.24)	0.03				
Cytomegalovirus seropositive	1.12 (0.81- 1.54)	0.50				
Tacrolimus use	1.29 (0.75- 2.22)	0.36				
Metabolic Syndrome	1.36 (1.02- 1.82)	0.03	1.34 (1.00 - 1.79)	0.047		
BMI ≥ 30	1.32 (0.99- 1.75)	0.06				
Glucose ≥ 100	1.36 (1.00- 1.86)	0.05				
HDL<50 W or 40 M	1.35 (1.00- 1.81)	0.05			1.37 (1.01 - 1.85)	0.042
Triglycerides ≥ 150	1.27 (0.96- 1.69)	0.09				
Systolic BP	1.01 (1.00- 1.02)	0.01				
Albumin	0.73 (0.32- 1.68)	0.46				
Apo AI	1.00 (0.99- 1.00)	0.22				
Etiology of PKD	1.01 (0.67- 1.53)	0.95				
Deceased Donor	1.33 (0.99- 1.78)	0.05				
Prednisone use ^a	1.16 (1.05- 1.28)	0.005	1.18 (1.07 - 1.30)	0.001	1.18 (1.07 - 1.30)	0.001

^aPrednisone use is defined as cumulative prednisone dosage in grams