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Gemcitabine Metabolic and Transporter Gene Polymorphisms Are Associated with Drug Toxicity and Efficacy in Patients with Locally Advanced Pancreatic Cancer

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Abstract

Background—It has not been well established whether genetic variations can be biomarkers for clinical outcome of gencitabine therapy. The purpose of this study was to identify single nucleotide polymorphisms (SNPs) of gencitabine metabolic and transporter genes that are associated with toxicity and efficacy of gencitabine-based therapy in patients with locally advanced pancreatic cancer (LAPC).

Methods—We evaluated 17 SNPs of the *CDA*, *dCK*, *DCTD*, *RRM1*, *hCNT1-3*, and *hENT1* genes in 149 patients with LAPC who underwent gemcitabine-based chemoradiotherapy. The association of genotypes with neutropenia, tumor response to therapy, overall survival (OS), and progression-free survival (PFS) was analyzed by logistic regression, log-rank test, Kaplan-Meier plot, and Cox proportional hazards regression.

Results—The *CDA* A-76C, *dCK* C-1205T, *RRM1* A33G, and *hENT1* C913T genotypes were significantly associated with grade 3-4 neutropenia (P = .020, .015, .003, and .017, respectively). The *CDA* A-76C and *hENT1* A-201G genotypes were significantly associated with tumor response to therapy (P = .017 and P = .019). A combined genotype effect of *CDA* A-76C, *RRM1* A33G, *RRM1* C-27A, and *hENT1* A-201G on PFS was observed. Patients carrying 0–1 (n = 64), 2 (n = 50), or 3–4 (n = 17) at-risk genotypes had median PFS times of 8.3, 6.0, and 4.2 months, respectively (P = .002).

Conclusions—Our results indicate that some polymorphic variations of drug metabolic and transporter genes may be potential biomarkers for clinical outcome of gemcitabine-based therapy in patients with LAPC.

Keywords

gemcitabine metabolism; nucleoside transporter; single nucleotide polymorphism; locally advanced pancreatic cancer

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Introduction

Pancreatic cancer is the third most common gastrointestinal malignancy and the fourth leading cause of cancer deaths in the United States.¹ At diagnosis, only 20% of patients have a surgically resectable tumor, 30% have a locally advanced tumor, and 50% present with distant metastasis.² Over the past decade, gemcitabine (2',2'-difluorodeoxycytidine, dFdC) has been the standard agent for first-line chemotherapy of advanced pancreatic cancer, producing limited clinical benefit and improved overall survival (OS) as compared with 5-fluorouracil (5-FU).³ Recent studies have reported the efficacy of a combination therapy of gemcitabine plus radiation for unresectable locally advanced pancreatic cancer (LAPC).^{4, 5} However, factors that can predict tumor response and survival have not been well elucidated.⁶ In addition, although one major side effect caused by gemcitabine is hematological toxicity such as neutropenia, available biomarkers for severe toxicity have not yet been established.

Gemcitabine is a specific analogue of the native pyrimidine nucleotide deoxycytidine and a prodrug that requires cellular uptake and intracellular phosphorylation (Fig. 1).⁷⁻⁹ Gemcitabine intracellular uptake is mediated mainly by human equilibrative nucleoside transporter (hENT1, aka solute carrier family 29 A1) and, to a lesser extent, by human concentrative nucleoside transporters (hCNT) 1 and hCNT3 (aka solute carrier family 28 A1 or A3).⁹ Inside cells, gemcitabine is phosphorylated to its monophosphate form (dFdCMP) by deoxycytidine kinase (dCK) and this step is essential for further phosphorylation to its active triphosphate form (dFdCTP).¹⁰ The active diphosphate metabolite of gemcitabine (dFdCDP) is also active and inhibits deoxyribonucleic acid (DNA) synthesis indirectly by inhibiting ribonucleotide reductase (RRM1).^{8,11,12} Gemcitabine is inactivated primarily by deoxycytidine deaminase (CDA) into 2',2'-difluorodeoxyuridine (dFdU), and gemcitabine monophosphate is inactivated by deoxycytidylate deaminase (DCTD) into dFdU monophosphate form (dFdUMP).^{8,9}

Previous studies have demonstrated the relationship between gemcitabine metabolic or transport enzymes and clinical outcome. One study showed that low expression of CDA was associated with severe hematologic toxicity of gemcitabine.¹³ Other studies in cell lines or tumor tissues have established the association between resistance to gemcitabine and decreased nucleoside transport into cells,¹⁴⁻¹⁶ decreased expression of activation enzymes such as dCK,¹⁷⁻²⁰ increased expression of degradation enzymes such as CDA and DCTD, ^{21,22} as well as increased expression of RRM1.²³⁻²⁶ In clinical studies of pancreatic cancer, high expression of hENT1 in tumors has been associated with improved survival in patients treated with gemcitabine.^{15,16,23,27}

Single nucleotide polymorphisms (SNPs) of enzymes in gemcitabine's pharmacologic pathway have been previously identified.⁸ The activity of these enzymes has been correlated with polymorphic gene variations by in vivo and in vitro studies.^{9,28-30} However, only a few clinical studies have shown a positive association between the enzyme SNPs and gemcitabine toxicity.³¹⁻³³ We have previously shown that genetic variations in gemcitabine metabolism and transport are associated with drug toxicity and overall survival in patients with resectable pancreatic cancer³⁴. In the current study, we tried to validate the previous findings in 149 patients with LAPC who had undergone gemcitabine-based therapy.

Materials and Methods

Patient Recruitment and Data Collection

A single institution retrospective analysis was completed. We identified 149 patients with biopsy-confirmed LAPC at the time of diagnosis. LAPC was defined as unresectable tumors

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that extended to the celiac axis or the superior mesenteric artery or tumors that occluded the superior mesenteric venous (SMV)-portal venous confluence based on a review of the computed tomography (CT)³⁵. All patients were required to be treatment naïve and underwent gemcitabine-based chemotherapy as first-line therapy as a single agent or in combination at The University of Texas M. D. Anderson Cancer Center (Houston, Texas) from February 1999 to June 2007. The median dose of gemcitabine therapy was 750 mg/m² (range, 450-1000 mg/m²). In 75 patients (50.3%), either cisplatin or oxaliplatin was administered with gemcitabine. In 125 patients (83.9%), gemcitabine therapy was followed by consolidative radiotherapy at a dose of 30 Gy. Patient observation continued through June 2009. Information on treatment provided, toxicity, tumor response to therapy, tumor progression, and survival time was collected by reviewing patients' medical records in an electronic database. This study was approved by the institutional review board of M. D. Anderson Cancer Center.

Neutropenia, the most common hematologic toxicity caused by gemcitabine, was graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE), version 3.0. Tumor response to therapy was evaluated by comparing CT at the time of diagnosis with CT at 6-8 weeks after chemoradiotherapy or chemotherapy, and was defined according to the Response Evaluation Criteria in Solid Tumors (RECIST) as partial response (PR), stable disease (SD), or progressive disease (PD). OS and progression-free survival (PFS) were calculated from the date of diagnosis to the date of death and progression or last follow-up date, respectively. Twelve patients were excluded from PFS analysis because they were lost to follow-up on disease progression. Performance status was evaluated by Eastern Cooperative Oncology Group (ECOG) criteria.

Extracting and Genotyping DNA

We selected 17 SNPs of the *CDA*, *dCK*, *RRM1*, *DCTD*, *hCNT1-3*, and *hENT1* genes according to the following criteria: 1) minor allele frequency of the SNP was greater than 10% among Caucasians, 2) coding SNPs including nonsynonymous or synonymous SNPs, and 3) SNPs that have been associated with cancer risk or clinical outcome in prior studies. Table 1 summarizes the genes, nucleotide substitutions, function (such as encoding amino acid changes), reference SNP identification numbers, and minor allele frequencies of the 17 SNPs evaluated in this study.

Peripheral blood lymphocytes before chemotherapy were obtained from 149 LAPC patients with informed consent, and DNA was extracted using Qiagen DNA isolation kits (Valencia, CA). Taqman 5' nuclease assay was performed to determine all genetic variants. Primers and TaqMan MGB probes were provided by TaqMan SNP Genotyping Assay Services (Applied Biosystems, Foster City, CA, USA). The probes were labeled with the fluorescent dye VIC or FAM for each allele at the 5' end. Polymerase chain reaction (PCR) was performed in a 5-µl total volume consisted of TaqMan Universal PCR Master Mix, 20 ng of genomic DNA (diluted with dH₂O), and TaqMan SNP Genotyping Assay Mix. Allele discrimination was accomplished by running end point detection using ABI Prism 7900HT Sequence Detection System, and SDS 2.3 software (Applied Biosystems).

Statistical Methods

The genotype distribution was tested for Hardy-Weinberg equilibrium using the goodnessof-fit χ^2 test. The genotype association with grade 3-4 neutropenia toxicity and tumor response to therapy was analyzed by logistic regression. Gemcitabine dose intensity by genotype was compared using *t* test. OS and PFS were analyzed by log-rank test, Kaplan-Meier plot, and Cox proportional hazards regression model. The heterozygous and homozygous genotypes were combined in these analyses if the frequency of the homozygous mutant was low or if the homozygous and heterozygous genotypes had the same direction of effect on toxicity, tumor response, or survival. Multivariate analyses were performed with adjustment for clinical predictors that were statistically significant. All statistical testing was conducted with SPSS software, version 17.0 (SPSS Inc, Chicago, IL), and statistical significance and borderline significance were defined as P < .05 and P < .20, respectively.

We estimated the false-positive report probability (FPRP) for the observed statistically significant associations using the methods described by Wacholder et al.³⁶ FPRP is the probability of no true association between a genetic variant and a phenotype given a statistically significant finding. FPRP is determined not only by the observed P value but also by both the prior probability that the association between the genetic variant and the phenotype is real and the statistical power of the test. In the current study, odds ratio (OR) and hazard ratio (HR) values of 2.0 to 4.0 were considered as a likely threshold value. The prior probability employed was 0.25 for all SNPs. The FPRP value for noteworthiness was set at 0.2.

Results

Patients' Characteristics and Clinical Predictors

Table 2 shows the patients' characteristics, clinical features of their tumors, and treatment. The median age of the 149 patients was 62 years (range, 38–86 years). Non-Hispanic whites comprised 92% of the patients. After a median follow-up of 16.8 months (range, 2-60 months), the median survival time (MST) of all patients was 15.2 ± 0.8 months [95% confidence interval (CI), 13.6-16.9]. Tumor response to therapy was significantly associated with OS (P < .001). ECOG performance status and presence of diabetes as a comorbidity had a borderline significant association with OS (P = .143 and P = .081) in log-rank test. Although 24 patients (16.1%) had not undergone radiotherapy, that factor was not associated with OS (P = .503). Concurrent therapy with a platinum drug also did not impact OS (P = .745).

Genotype Frequencies

We successfully amplified the 17 genotypes in 97.3% to 100% of the samples. Approximately 10% of total samples were analyzed in duplicate, and no discrepancies were seen. Genotype frequencies of the 17 SNPs were found to be in Hardy-Weinberg equilibrium ($\chi^2 = 0.001-2.097$, Ps = .148-.973). No significant racial differences in genotype frequency were observed (data not shown). The two SNPs (IVS12 -201A>G and IVS2 -549T>C) of the *hENT1* gene were in linkage disequilibrium (|D'|=0.774, P < 0.01).

Association of Genotypes with Toxicity

None of the clinical factors including concurrent treatment with platinum drug (P = .457) or radiotherapy (P = .126) was associated with neutropenia, the most common hematologic toxicity caused by gemcitabine. The *CDA* A-76C, *dCK* C-1205T, *RRM1* A33G, and *hENT1* C913T genotypes, individually and jointly, were significantly associated with severe (grade 3-4) neutropenia (Table 3). For example, 39 (43.8%) of the *CDA* -76 AC/CC carriers compared with only 15 (25.0%) of the AA carriers had severe neutropenia (P = .020). Patients carrying 2 or 3–4 at-risk alleles had a significantly higher frequency of severe neutropenia than did patients carrying only 0-1 at-risk alleles (OR = 3.24, 95% CI = 1.19–8.82, P = .021; and OR = 11.0, 95% CI = 4.02–30.1, P < .001, respectively, Table 3). The FPRP was 0.02 for patients carrying 3–4 at-risk genotypes, indicating noteworthiness. No significant association of toxicity was observed in the remaining SNPs (data not shown).

Association of Genotypes with Tumor Response to Therapy

149 LAPC patients were analyzed on treatment effect. Radiation therapy and platinum drug use did not correlate with tumor response (P = .858 and P = .562). Two SNPs, *CDA* A-76C and *hENT1* A-201G, were significantly associated with tumor response in radiological evaluation after adjusting for age (P = .017 and P = .019, Table 4). For example, 41 (48.2%) of the *CDA* -76 AC/CC carriers compared with 16 (27.6%) of the AA carriers had a poor response to gemcitabine-based chemotherapy. Patients carrying 1–2 at-risk alleles had a significantly worse response to therapy than did patients carrying no at-risk alleles (OR = 3.40, 95% CI = 1.49–7.78, P = .004). The FPRP was 0.097 for patients carrying 1–2 at-risk genotypes, indicating noteworthiness. Gemcitabine dose intensity was slightly lower in *CDA* CC/AC variant carriers (683 ± 31 mg/m²) than that in the AA carriers (752 ± 46 mg/m²) but the difference was not statistically significant (P = .217).

Genotype Frequency and its Association with OS and PFS

None of the examined 17 SNPs was associated with OS (data not shown). The data of 137 LAPC patients were available for PFS analysis. Individually, two SNPs (*RRM1* A33G, *RRM1* C-27A) showed significant association with PFS (P = .048 and P = .042, respectively, Table 5). In addition, when the *CDA* A-76C and *hENT*1 A-201G variants were analyzed in combination with *RRM1* A33G and *RRM1* C-27A, a gene-dosage effect on PFS was observed. As the number of at-risk alleles increased, the PFS decreased (Fig. 2). Patients carrying 0–1 (n = 64), 2 (n = 50), or 3–4 (n = 17) at-risk alleles had median PFS times of 8.3, 6.0, and 4.2 months (Table 5), as well as 6-month progression-free rate of 76.5%, 52.0%, and 29.4%, respectively. The HR (95% CI) of progression was 1.79 (1.20–2.66) and 3.25 (1.79–5.90) for patients carrying 2 and 3–4 at-risk genotypes (P = .004 and P < .001, Table 5), after adjusting for performance status and tumor size. The FPRPs for patients carrying 2 and 3–4 at-risk genotypes were 0.017 and 0.006, respectively, indicating noteworthiness.

Discussion

Our results in this study support the hypothesis that SNPs of gemcitabine metabolic and transporter genes are associated with clinical outcome in patients with LAPC. The gene variants of *CDA* A-76C, *dCK* C-1205T, *RRM1* A33G, *and hENT1* C913T correlated with severe neutropenia. In addition, the *CDA* A-76C and *hENT1* A-201G genotypes were significantly associated with tumor response to gemcitabine-based therapy and were marginally associated with PFS. These genotype effects remained significant after adjusting for clinical predictors in statistics.

CDA is involved in the salvage pathway of pyrimidine and plays a key role in detoxifying gemcitabine.⁹ Three main SNPs have been identified in the *CDA* gene: C111T (T145T), A-76C (K27Q), and G208A (A70T).^{8,37,38} Although the *CDA* 208AA homozygote allele and its related haplotype have been associated with severe drug toxicity in Japanese cancer patients treated with gemcitabine plus cisplatin, we excluded this SNP from our study because *CDA* G208A had not been detected in Caucasians.^{29,31,32} The *CDA* A-76C variant C allele (Gln27) has been reported to have moderately or significantly lower deaminase activity for gemcitabine or cytosine arabinoside (ara-C) than wild-type genotype.^{28,39} Our data showed significantly higher toxicity in the *CDA* -76 CC/AC variant than in the AA wild-type, suggesting lower deaminase activity of the C allele (Gln27) variant, which is consistent with previously reported data from in vitro studies.^{28,39} Although our results indicated that the *CDA* -76 CC/AC variant was also associated with poorer tumor response, we do not feel this is due to dose reductions as there was no significant difference in the gemcitabine dose intensity in the *CDA* -76 CC/AC variant carriers as compared with the AA

carriers. Nevertheless, there were controversial findings on this SNP in previous studies. The *CDA* A-76C variant A allele (Lys27) had significantly lower deaminase activity than the C allele (Gln27) in a study conducted in 90 patients with lung cancer.⁴⁰ The Lys27 haplotype did not show any significant effect on gemcitabine pharmacokinetics in a study of 256 Japanese patients.³² Future studies are warranted to clarify the functional and clinical importance of this SNP in gemcitabine therapy.

dCK is the rate-limiting enzyme for intracellular activation of gemcitabine and was therefore thought to play an important role in sensitivity to gemcitabine.⁹ Some studies have shown that the enzyme activity or expression level of dCK was associated with sensitivity to gemcitabine and survival of pancreatic cancer patients.^{17,41} Shi et al reported that the haplotype containing *dCK* C-360G and C-201T had a significant association with higher levels of dCK mRNA and longer survival time of patients with acute myeloid leukemia treated with ara-C.²⁰ Our study showed a significantly higher toxicity in patients with the *dCK* -1205 TT variant than the CC/CT variant. Because this SNP is located in intronic region, it is not clear whether it directly affects dCK enzyme activity or whether it is in linkage disequilibrium with other functional SNPs or other genes.

RRM1 is essential for DNA synthesis and repair.⁹ Davidson et al reported that the increased mRNA level of RRM1 resulted in drug resistance.²⁶ In a different study, Rha et al demonstrated a strong association between gemcitabine-induced neutropenia and the *RRM1* haplotype containing two SNPs (A2455G and G2464A).³³ Our data showed that the *RRM1* 33 AA variant was significantly associated with severe toxicity, suggesting a high susceptibility of this variant to gemcitabine. *RRM1* A33G is a synonymous SNP (T741T) that does not produce amino acid change. However, Kimchi-Sarfaty et al reported that a synonymous SNP in the *MDR*1 gene yielded a protein product with altered drug and inhibitor interactions.⁴² Thus, the functional consequence of *RRM1* A33G SNP should be further investigated.

Nucleoside transporters have been thought to have an important role in gemcitabine cytotoxicity and efficacy.¹⁶ Gemcitabine intracellular uptake is mediated mainly by hENT1 and, to a lesser extent, by hCNT1 and hCNT3,⁹ supporting our current observations that the *hENT1* C913T genotype was significantly associated with neutropenia toxicity and the *hENT1* A-201G genotype with tumor response to gemcitabine and PFS. While two previous studies on the nonsynonymous SNPs of *hENT1* failed to demonstrate functional diversity, ^{43,44} it was reported that the CGG/CGC haplotypes of the *hENT1* promoter region containing the C-1345G, G-1050A, and G-706C SNPs showed moderately higher expression of *hENT1*.⁴⁵ The functional significance of the polymorphic variants investigated in our current study has not yet been demonstrated. Considering that *hENT1* expression has been associated with survival of patients with pancreatic cancer,²⁷ further genotype-phenotype analysis would be needed to clarify whether the *hENT1* genotype can be used as a surrogate marker for hENT1 activity.

In this study, we focused on LAPC because metastatic pancreatic cancer is associated with greater clinical and biological heterogeneity and in most instances, patients were seen in consultation at our institute but their primary treatments for metastatic disease were administered at other referring facilities. Comparing to findings of our previous study in patients with potentially resectable pancreatic cancer who underwent neoadjuvant gemcitabine-based chemoradiation³⁴, although the clinical characteristics of the two study populations are quite different, the association of dCK -1205 T allele with severe gemcitabine toxicity and hENT1 -201 A allele with better survival were observed in both studies, suggesting the robustness of these findings. In most LAPC cases, tissue samples are unavailable for measurement of protein expression. Therefore, if genotyping data from

peripheral blood DNA is validated and found to be a reliable predictor for gemcitabine toxicity and efficacy, application of such data would be widely beneficial for patients with unresectable advanced pancreatic cancer.

In conclusion, genotypes of gemcitabine metabolic and transporter genes have potential as predictive biomarkers for toxicity and treatment effects of gemcitabine-based therapy in LAPC patients. Our observations still need to be confirmed in separate and larger patient populations. If confirmed, these findings may be helpful in stratifying patients to individualized therapy.

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Figure 1.

Schematic description of gemcitabine (dFdC) transportation and metabolism. The boxed letters indicate genes that are examined in this study.



Figure 2.

Kaplan-Meier plot to assess the combined genotype effect of *CDA* -76AC/CC, *RRM1* 33AA, *RRM1* -27AC, and *hENT1* -201GG on progression-free survival. The number of 0 to 4 indicates the number of at-risk genotypes associated with reduced progression-free survival (Log-rank P = .002).

Table 1

SNPs evaluated

					e rrequency
Gene	SNP	Function	RS No.	observed*	$\mathbf{reported}^{\dagger}$
CDA	Ex4 +111C>T	T145T	1048977	0.34	0.28
	Ex2 -76A>C	K27Q	2072671	0.36	0.44
dCK	IVS6 -1205C>T	Intron	4694362	0.42	0.45
	IVS2 +9846A>G	Intron	12648166	0.43	0.43
RRMI	Ex19 +42G>A	A744A	1042858	0.27	0.11
	Ex19 +33A>G	T741T	3177016	0.47	0.47
	Ex9 -27C>A	R284R	183484	0.50	0.48
DCTD	Ex4 -47T>C	V116V	7663494	0.28	0.33
hCNTI	Ex15 -16A>G	Q456Q	2242048	0.16	0.15
	Ex9 -9C>A	Q237K	8187758	0.33	0.19
hCNT2	Ex4 -38C>A	S75R	1060896	0.47	0.33
	Ex2 -17C>T	P22L	11854484	0.46	0.34
hCNT3	Ex14 -69C>T	L461L	7853758	0.27	0.15
	Ex5 +25A>G	T89T	7867504	0.40	0.39
hENTI	IVS12 -201A>G	Intron	760370	0.33	0.35
	IVS2 -549T>C	Intron	324148	0.30	0.30
	IVS2 +913C>T	Intron	9394992	0.45	0.32

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 † The reported minor allele frequency (Caucasian) was from SNP500 cancer database.

* The data observed in current study.

Age (years) .740 ≤ 50 24 21 (87.5%) 15.5 51-60 38 33 (86.8%) 17.2 61-70 49 42 (85.7%) 13.8 >70 38 33 (86.8%) 15.2 Sex	Variable	No. of patients	No. of deaths $(\%)$	MST (months)	Log-rank P
≤ 50 2421 (87.5%)15.551-603833 (86.8%)17.261-704942 (85.7%)13.8>703833 (86.8%)15.2Sex	Age (years)				.740
51-60 38 33 (86.8%) 17.2 61-70 49 42 (85.7%) 13.8 >70 38 33 (86.8%) 15.2 Sex .416 Male 90 78 (86.6%) 14.2 Female 59 51 (86.4%) 15.7 Race .241 White 136 118 (86.7%) 14.5 Hispanic 9 8 (88.8%) 19.8 African American 2 1 (50.0%) 18.4 Other 2 2 (100%) 8.8 Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 2 10 10 Diabetes status .081 Negative 15.5 .081 .052 Negative 112 95 (84.8%) 15.2 .081 Negative 112 95 (84.8%) 15.2 .081 Negative 112 95 (84.8%) 15.3 .25 .031 27 (87.1%) .044 Head/neck 117 1	≤50	24	21 (87.5%)	15.5	
61-70 49 42 (85.7%) 13.8 >70 38 33 (86.8%) 15.2 Sex .416 Male 90 78 (86.6%) 14.2 Female 59 51 (86.4%) 15.7 Race .241 White 136 118 (86.7%) 14.5 Hispanic 9 8 (88.8%) 19.8 African American 2 1 (50.0%) 18.4 Other 2 2 (100%) 8.8 Performance status .143 0 30 25 ($83.3%$) 17.4 1 98 86 ($87.8%$) 14.2 2 100 Diabetes status .081 0.97 0.97 0.97 Negative 112 95 ($84.8%$) 15.5 0.91 Tumor site .041 0.97 0.97 0.97 Tumor size (cm) .398 55 31 27 ($87.6%$) 15.6 5.5 31 27 ($87.6%$) 15.6 0.977 547 </td <td>51-60</td> <td>38</td> <td>33 (86.8%)</td> <td>17.2</td> <td></td>	51-60	38	33 (86.8%)	17.2	
>70 38 33 (86.8%) 15.2 Sex .416 Male 90 78 (86.6%) 14.2 Female 59 51 (86.4%) 15.7 Race .241 White 136 118 (86.7%) 14.5 Hispanic 9 8 (88.8%) 19.8 African American 2 1 (50.0%) 18.4 Other 2 2 (100%) 8.8 Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 2 12 11 (91.6%) 10.9 Dabetes status .081 Negative 112 95 (84.8%) 15.5	61-70	49	42 (85.7%)	13.8	
Sex .416 Male 90 78 (86.6%) 14.2 Female 59 51 (86.4%) 15.7 Race .241 White 136 118 (86.7%) 14.5 Hispanic 9 8 (88.8%) 19.8 African American 2 1 (50.0%) 18.4 Other 2 2 (100%) 8.8 Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 2 10 10.9 Datetes status .081 10.9 .09 .016 .016 Negative 112 95 (84.8%) 15.5 .016 .016 Negative 112 95 (84.8%) 15.2 .011 .016 .021 Tumor site .041 103 (88.0%) 14.8 .041 .016 .016 Soldytail 32 26 (81.3%) 15.3 .25 .016 .016 .016 CA19-9 (units/ml) .25 .014 99 (86.8%) 15.6 .48-500	>70	38	33 (86.8%)	15.2	
Male 90 78 (86.6%) 14.2 Female 59 51 (86.4%) 15.7 Race	Sex				.416
Female5951 (86.4%)15.7Race.241White136118 (86.7%)14.5Hispanic98 (88.8%)19.8African American21 (50.0%)18.4Other22 (100%)8.8Performance status.14303025 (83.3%)17.419886 (87.8%)14.2.14221211 (91.6%)10.9.081Negative11295 (84.8%)15.5.641Negative11295 (84.8%)15.5.641Head/neck117103 (88.0%)14.8.644Head/neck117103 (88.0%)15.3.5S53127 (87.1%)13.6.72CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.6 ≤ 5 11499 (86.8%)15.3.5S01-1,0001815 (83.3%)11.5.74PD5753 (93.0%)15.2.754PD5753 (93.3%)16.0.754PL5753 (93.3%)16.0.754PL5753 (93.0%)9.9.754PL5753 (93.0%)16.2.754Pu5753 (93.0%)16.2.754Pu5753 (93.0%)16.2.754Pu5753 (93.0%)16.2.754Pu5753 (93.0%)16.2.754Pu5753 (93.0%)16.2	Male	90	78 (86.6%)	14.2	
Race .241 White 136 118 (86.7%) 14.5 Hispanic 9 8 (88.8%) 19.8 African American 2 1 (50.0%) 18.4 Other 2 2 (100%) 8.8 Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 .169 .161 2 12 11 (91.6%) 10.9 .161 Negative 112 95 (84.8%) 15.5 .161 Positive 37 34 (91.9%) 15.2 .161 Head/neck 117 103 (88.0%) 14.8 .162 Body/tail 32 26 (81.3%) 17.2 .17 Tumor size (cm) .398 25 31 27 (87.1%) .36 S5 31 27 (87.1%) 13.6	Female	59	51 (86.4%)	15.7	
White136118 (86.7%)14.5Hispanic98 (88.8%)19.8African American21 (50.0%)18.4Other22 (100%)8.8Performance status.14303025 (83.3%)17.419886 (87.8%)14.221211 (91.6%)10.9Diabetes status.081Negative11295 (84.8%)15.5Positive3734 (91.9%)15.2Tumor site.644Head/neck117103 (88.0%)14.8Body/tail3226 (81.3%)17.2Tumor size (cm).398 ≤ 5 11499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.6 48.500 7264 (88.9%)15.2.917 ≤ 47 2822 (78.6%)15.6.915 $>1,000$ 3028 (93.3%)16.0.917Tumor response.001.923.929Phatinum drug use.754.75.53 (93.0%).9.9Phatinum drug use.754.75.533 (93.0%)15.2yes7563 (84.0%)16.2.53no7466 (89.2%)13.6.53Radiotherapy.503.503.503	Race				.241
Hispanic98 (88.8%)19.8African American21 (50.0%)18.4Other22 (100%)8.8Performance status.14303025 (83.3%)17.419886 (87.8%)14.221211 (91.6%)10.9Diabetes status.081Negative11295 (84.8%)15.5Positive3734 (91.9%)15.2Tumor site.644Head/neck117103 (88.0%)14.8Body/tail3226 (81.3%)17.2Tumor size (cm).398≤5114≤511499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).97754728≤472822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response.001PR/SD9.9Platinum drug use.75433 (93.0%)9.9Platinum drug use.75463 (84.0%)16.2no7466 (89.2%)13.6Radiotherapy.50318.5	White	136	118 (86.7%)	14.5	
African American21 (50.0%)18.4Other22 (100%)8.8Performance status.14303025 (83.3%)17.419886 (87.8%)14.221211 (91.6%)10.9Diabetes status.081Negative11295 (84.8%)15.5Positive3734 (91.9%)15.2Tumor site.644Head/neck117103 (88.0%)14.8Body/tail3226 (81.3%)17.2Tumor size (cm).398 ≤ 5 11499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response.001PR/SD8670 (81.4%)19.3PD5753 (93.0%)9.9Platinum drug use.754yes7563 (84.0%)16.2no7466 (89.2%)13.6Radiotherapy.503yes125110 (88.0%)15.2	Hispanic	9	8 (88.8%)	19.8	
Other 2 2 (100%) 8.8 Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 2 12 11 (91.6%) 10.9 Diabetes status .081 .081 Negative 112 95 (84.8%) 15.5 Positive 37 34 (91.9%) 15.2 Tumor site .644 .044 .049 (86.8%) 14.8 Body/tail 32 26 (81.3%) 17.2 Tumor size (cm) .398 ≤ 5 114 99 (86.8%) 15.3 >5 31 27 (87.1%) 13.6 .041 CA19-9 (units/ml) .977 ≤ 47 28 22 (78.6%) 15.2 501-1,000 18 15 (83.3%) 11.5 .043 PL 28 22 (78.6%) 15.2 .001 PR/SD 86 70 (81.4%) 19.3 .001 PL/SD 86 70 (81.4%) </td <td>African American</td> <td>2</td> <td>1 (50.0%)</td> <td>18.4</td> <td></td>	African American	2	1 (50.0%)	18.4	
Performance status .143 0 30 25 (83.3%) 17.4 1 98 86 (87.8%) 14.2 2 12 11 (91.6%) 10.9 Diabetes status .081 .081 Negative 112 95 (84.8%) 15.5 Positive 37 34 (91.9%) 15.2 Tumor site .644 Head/neck 117 103 (88.0%) 14.8 Body/tail 32 26 (81.3%) 17.2 Tumor size (cm) .398 ≤5 114 99 (86.8%) 15.3 >5 31 27 (87.1%) 13.6	Other	2	2 (100%)	8.8	
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Positive37 $34 (91.9\%)$ 15.2Tumor site.644Head/neck117103 (88.0%)14.8Body/tail32 $26 (81.3\%)$ 17.2Tumor size (cm).398 ≤ 5 11499 (86.8%)15.3>531 $27 (87.1\%)$ 13.6CA19-9 (units/ml).977 ≤ 47 28 $22 (78.6\%)$ 15.648-50072 $64 (88.9\%)$ 15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<<001	Negative	112	95 (84.8%)	15.5	
Tumor site.644Head/neck117103 (88.0%)14.8Body/tail3226 (81.3%)17.2Tumor size (cm).398≤511499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977≤472822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<.001	Positive	37	34 (91.9%)	15.2	
Head/neck117103 (88.0%)14.8Body/tail3226 (81.3%)17.2Tumor size (cm).398 ≤ 5 11499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<001	Tumor site				.644
Body/tail3226 (81.3%)17.2Tumor size (cm).398≤511499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977≤472822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<.001	Head/neck	117	103 (88.0%)	14.8	
Tumor size (cm).398≤511499 (86.8%)15.3>53127 (87.1%)13.6CA19-9 (units/ml).977≤472822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<001	Body/tail	32	26 (81.3%)	17.2	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Tumor size (cm)				.398
>53127 (87.1%)13.6CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<.001	≤5	114	99 (86.8%)	15.3	
CA19-9 (units/ml).977 ≤ 47 2822 (78.6%)15.648-5007264 (88.9%)15.2501-1,0001815 (83.3%)11.5>1,0003028 (93.3%)16.0Tumor response<.001	>5	31	27 (87.1%)	13.6	
≤ 47 28 22 (78.6%) 15.6 48-500 72 64 (88.9%) 15.2 501-1,000 18 15 (83.3%) 11.5 >1,000 30 28 (93.3%) 16.0 Tumor response < .001 PR/SD 86 70 (81.4%) 19.3 PD 57 53 (93.0%) 9.9 Platinum drug use .754 yes 75 63 (84.0%) 16.2 no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	CA19-9 (units/ml)				.977
48-500 72 64 (88.9%) 15.2 501-1,000 18 15 (83.3%) 11.5 >1,000 30 28 (93.3%) 16.0 Tumor response <.001	≤47	28	22 (78.6%)	15.6	
501-1,000 18 15 (83.3%) 11.5 >1,000 30 28 (93.3%) 16.0 Tumor response <.001	48-500	72	64 (88.9%)	15.2	
>1,000 30 28 (93.3%) 16.0 Tumor response <.001	501-1,000	18	15 (83.3%)	11.5	
Tumor response <.001	>1,000	30	28 (93.3%)	16.0	
PR/SD 86 70 (81.4%) 19.3 PD 57 53 (93.0%) 9.9 Platinum drug use .754 yes 75 63 (84.0%) 16.2 no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	Tumor response				<.001
PD 57 53 (93.0%) 9.9 Platinum drug use .754 yes 75 63 (84.0%) 16.2 no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	PR/SD	86	70 (81.4%)	19.3	
Platinum drug use .754 yes 75 63 (84.0%) 16.2 no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	PD	57	53 (93.0%)	9.9	
yes 75 63 (84.0%) 16.2 no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	Platinum drug use				.754
no 74 66 (89.2%) 13.6 Radiotherapy .503 yes 125 110 (88.0%) 15.2	yes	75	63 (84.0%)	16.2	
Radiotherapy .503 yes 125 110 (88.0%) 15.2	no	74	66 (89.2%)	13.6	
yes 125 110 (88.0%) 15.2	Radiotherapy				.503
-	yes	125	110 (88.0%)	15.2	

Table 2Patient characteristics and overall survival (n = 149)

Variable	No. of patients	No. of deaths (%)	MST (months)	Log-rank P
no	24	19 (79.2%)	14.8	

Abbreviations: MST, median survival time; PR, partial response; SD, stable disease; PD, progressive disease.

Genotype	Grade1-2	Grade 3-4		
	N (%)	N (%)	OR [*] (95% CI)	Р
CDA A-76C (K27Q)				
AA	45 (75.0)	15 (25.0)	1.0	
AC/CC	50 (56.2)	39 (43.8)	2.34 (1.14-4.80)	.020
<i>dCK</i> C-1205T				
CC/CT	67 (71.3)	27 (28.7)	1.0	
TT	27 (50.9)	26 (49.1)	2.39 (1.19-4.81)	.015
RRM1 A33G (T741T)				
AG/GG	76 (72.4)	29 (27.6)	1.0	
AA	18 (45.0)	22 (55.0)	3.20 (1.50-6.82)	.003
hENT1 C913T				
CC	37 (77.1)	11 (22.9)	1.0	
CT/TT	56 (56.6)	43 (43.4)	2.58 (1.18-5.64)	.017
No. of at-risk genotypes ^{\dagger}				
0-1	44 (86.3)	7 (13.7)	1.0	
2	31 (66.0)	16 (34.0)	3.24 (1.19-8.82)	.021
3-4	16 (36.4)	28 (63.6)	11.00 (4.02-30.1)	<.001

Table 3Neutropenia toxicity and genotype (n = 149)

*Crude odds ratio.

 $^{\dagger}CDA$ -76AC/CC, dCK -1205TT, RRM1 33AA, and hENTI 913CT/TT.

Genotype	PR/SD	PD		
	N (%)	N (%)	OR [*] (95% CI)	Р
CDA A-76C (K27Q)				
AA	42 (72.4)	16 (27.6)	1.0	
AC/CC	44 (51.8)	41 (48.2)	2.50 (1.18-5.28)	.017
hENT1 A-201G				
AA/AG	80 (65.0)	43 (35.0)	1.0	
GG	6 (33.3)	12 (66.7)	3.63 (1.23-10.7)	.019
No. of at-risk genotypes ^{\dagger}				
0	38 (77.6)	11 (22.4)	1.0	
1-2	48 (52.2)	44 (47.8)	3.40 (1.49-7.78)	.004

Table 4Tumor response to therapy and genotype (n = 149)

Abbreviations: PR, partial response; SD, stable disease; PD, progressive disease.

* OR was adjusted for age.

 $^{\dagger}CDA$ -76AC/CC and hENT1 -201GG.

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Genotype	No. of Cases	No. of Events	TTP ± SE (months)	P (log-rank)	*HR (95% CI)	Ρ
CDA C111T (T145T)				.473		
CC	56	52	7.6 ± 0.8			
CT	67	53	7.1 ± 0.9			
\mathbf{TT}	14	14	6.8 ± 1.7			
CC vs. CT/TT					1.22 (0.85-1.76)	.281
<i>CDA</i> A-76C (K27Q)				.384		
АА	52	48	8.2 ± 0.6			
AC	67	63	6.5 ± 0.9			
CC	18	18	5.5 ± 0.5			
AA vs. AC/CC					1.27 (0.89-1.82)	.192
<i>dCK</i> C-1205T				.839		
CC	21	19	8.6 ± 1.2			
CT	65	60	6.5 ± 0.4			
$\mathbf{L}\mathbf{L}$	49	48	8.0 ± 0.4			
CC/TT vs. CT					0.92 (0.64-1.32)	.653
<i>dCK</i> A9846G				.866		
AA	22	21	7.2 ± 0.8			
AG	73	69	7.5 ± 0.8			
GG	39	37	7.1 ± 1.4			
AG vs. AA/GG					1.05 (0.73-1.50)	.811
<i>RRM1</i> G42A (A744A)				.462		
AA	72	67	7.5 ± 0.5			
AG	54	53	6.5 ± 1.3			
GG	10	8	7.6 ± 1.1			
AA/GG vs. AG					1.14 (0.79-1.63)	.486
<i>RRM1</i> A33G (T741T)				.339		
AA	35	34	5.8 ± 0.6			
AG	69	63	7.5 ± 0.5			

Genotype	No. of Cases	No. of Events	$TTP \pm SE$ (months)	P (log-rank)	*HR (95% CI)	Ρ
GG	29	28	7.8 ± 1.0			
AG/GG vs. AA					1.53 (1.00-2.34)	.048
<i>RRM1</i> C-27A (R284R)				760.		
cc	30	25	7.5 ± 1.3			
AC	73	72	6.8 ± 0.7			
АА	33	31	8.0 ± 0.8			
AA/CC vs. AC					1.46 (1.02-2.11)	.042
DCTD T-47C (V116V)				.189		
TT	<i>LL</i>	72	7.1 ± 0.5			
CT	50	47	8.0 ± 0.4			
cc	10	10	5.1 ± 0.7			
CC/TT vs. CT					1.07 (0.74-1.55)	.729
hCNT1 A-16G (Q456Q)				.461		
AA	9	9	6.4 ± 2.4			
AG	32	28	7.8 ± 0.9			
66	98	94	7.2 ± 0.6			
AG vs. AA/GG					1.18 (0.76-1.85)	.465
hCNT1 C-9A (Q237K)				787.		
CC	64	62	7.5 ± 0.7			
AC	52	47	6.5 ± 1.5			
AA	20	19	7.5 ± 0.4			
AA/AC vs. CC					1.14 (0.80-1.62)	.482
hCNT2 C-38A (S75R)				.559		
CC	36	35	6.9 ± 1.6			
AC	62	56	7.5 ± 0.8			
AA	38	37	7.1 ± 0.7			
AC vs. AA/CC					1.02 (0.71-1.47)	.923
hCNT2 C-17T (P22L)				.874		
CC	38	36	8.0 ± 0.8			
CT	70	99	6.8 ± 0.7			
TT	28	26	7.6 ± 1.2			

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Genotype	No. of Cases	No. of Events	TTP ± SE (months)	P (log-rank)	*HR (95% CI)	Ρ
CC/TT vs. CT					1.21 (0.85-1.74)	288
hCNT3 C-69T (I 4611)				154		
	68	65	7.5 ± 0.8	-		
CT	58	53	7.5 ± 0.6			
TT	10	10	5.2 ± 0.6			
CT/TT vs. CC					1.14 (0.81-1.62)	.455
<i>hCNT3</i> A25G (T89T)				.648		
AA	54	52	7.5 ± 0.5			
AG	59	54	7.2 ± 0.9			
GG	24	23	5.6 ± 1.6			
AA/AG vs. GG					1.16 (0.73-1.84)	.532
hENTI A-201G				.156		
AA	61	57	8.0 ± 1.0			
AG	57	54	8.0 ± 0.4			
GG	17	16	5.1 ± 1.1			
AA/AG vs. GG					1.70 (0.97-3.01)	.066
hENTI T-549C				LTT.		
cc	63	59	6.9 ± 0.9			
CT	61	58	7.5 ± 1.0			
TT	11	11	8.3 ± 1.5			
TT/CT vs. CC					1.12 (0.79-1.60)	.531
hENTI C913T				.987		
cc	41	39	8.0 ± 0.8			
CT	61	58	7.1 ± 0.6			
TT	33	30	8.0 ± 1.6			
CC/TT vs. CT					1.08 (0.74-1.57)	.704
No. of at-risk genotypes †				.002		
0-1	64	57	8.3 ± 0.5		reference	
2	50	49	6.0 ± 0.8		1.79 (1.20-2.66)	.004
3-4	17	17	4.2 ± 1.5		3.25 (1.79-5.90)	<.001

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Abbreviations: TTP, time to progression; SE, standard error.

* HR was adjusted for performance status and tumor size.

[†]CDA -76AC/CC, RRMI 33AA, RRMI -27AC, and hENTI -201GG.