

Isolation of circulating tumor cells using a microvortex-generating herringbone-chip

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Rare circulating tumor cells (CTCs) present in the bloodstream of patients with cancer provide a potentially accessible source for detection, characterization, and monitoring of nonhematological cancers. We previously demonstrated the effectiveness of a microfluidic device, the CTC-Chip, in capturing these epithelial cell adhesion molecule (EPCAM)-expressing cells using antibody-coated microposts. Here, we describe a high-throughput microfluidic mixing device, the herringbone-chip, or "HB-Chip," which provides an enhanced platform for CTC isolation. The HB-Chip design applies passive mixing of blood cells through the generation of microvortices to significantly increase the number of interactions between target CTCs and the antibody-coated chip surface. Efficient cell capture was validated using defined numbers of cancer cells spiked into control blood, and clinical utility was demonstrated in specimens from patients with prostate cancer. CTCs were detected in 14 of 15 (93%) patients with metastatic disease (median = 63 CTCs/mL, mean = 386 ± 238 CTCs/mL), and the tumor-specific TMRSS2-ERG translocation was readily identified following RNA isolation and RT-PCR analysis. The use of transparent materials allowed for imaging of the captured CTCs using standard clinical histopathological stains, in addition to immunofluorescence-conjugated antibodies. In a subset of patient samples, the low shear design of the HB-Chip revealed microclusters of CTCs, previously unappreciated tumor cell aggregates that may contribute to the hematogenous dissemination of cancer.

microfluidics | ctcs | prostate cancer | clusters | point-of-care

Circulating tumor cells (CTCs) provide a potential source of cells derived from primary or metastatic sites that may be amenable for analysis in patients with epithelial cancers. However, isolating these cells is technically challenging due to their rare numbers (1 in 10^9 blood cells) and their low recovery following traditional batch purification techniques. Multiple batch approaches have been employed to detect CTCs, ranging from cell size based separation (1, 2) and fast scanning cytometry (3) to commercially available technology based on the use of immunomagnetic beads (4–10). To date, the low number of CTCs isolated from most patients (median ≤ 1 CTC/mL in \sim half of patients with known metastatic cancer) has allowed demonstration of their correlation with poor overall survival in metastatic prostate, breast, and colon cancers (6, 8, 11–13). However, higher CTC recovery rates are required to enable detailed molecular analyses and to provide reliable information for clinical monitoring of individual patients undergoing therapy.

Microfluidic devices provide important advantages over batch purification techniques in that they enable highly efficient processing of complex cellular fluids, with minimal damage to sensitive cell populations due to shear forces or need for cell fixation (14–16). However, under the laminar, uniaxial flow conditions

present in microfluidic devices, cells follow streamlines and display minimal molecular diffusion across flow channels. This lack of mixing results in a limited number of interactions with the antibody-coated surface of the device, which is critical for target cell capture. In our first generation device called "the CTC-Chip," 78,000 antibody-functionalized microposts were used to break up the streamlines and enhance cell-surface interactions. The CTC-Chip provided improved yield and purity of captured CTCs (17), enabling noninvasive serial genotyping of lung cancers carrying epidermal growth factor receptor (EGFR) mutations during the course of therapy with targeted kinase inhibitors (18) and monitoring of patients with either localized or metastatic prostate cancer (19). While effective at isolating CTCs from a cohort of patients with metastatic epithelial cancers (17), the CTC-Chip relied on laminar flow limiting the interactions of target cells with surfaces, and the complex micropost structure proved challenging to scale up for high-throughput production and larger-scale clinical applications. The alternative strategy developed here involves the use of surface ridges or herringbones in the wall of the device to disrupt streamlines, maximizing collisions between target cells and the antibody-coated walls themselves, thus not requiring the construction and functionalization of a complex micropost geometry.

A strategy for mixing of distinct solutions using such transverse flows within microchannels to induce fluidic microvortices was originally presented by Stroock et al. (20). In extending this principle to the capture of CTCs from whole blood, we developed a high-throughput microvortex mixing device that ensures effective contacts of cells with antibody-coated surfaces, while designed with simple geometry that is amenable for large-scale manufacturing. The Herringbone (HB)-Chip effectively captured CTCs from patients with metastatic prostate cancer, and its low shear flow properties revealed the presence of previously unappreciated microclusters of CTCs.

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Results

Design of the Herringbone-Chip and Flow Visualization Studies. The HB-Chip consists of a 1" × 3" glass slide bonded to a polydimethylsiloxane (PDMS) structure, containing eight microchannels with patterned chevrons or herringbones on their upper surface (Fig. 1A). The internal walls of the device are made chemically active by in-line functionalization and coating with antibodies against EpCAM (see *Materials and Methods*). The geometric design of the device is based upon the general strategy for inducing chaotic mixing at low Re numbers (20), adapted for isolation of rare cells from whole blood by varying the ratio of height of the grooves to that of the channel, chevron dimensions, and periodicity. The herringbone grooves are staggered periodically, with each mixing cycle defined by two sequential regions of ten chevrons shifted asymmetrically (Fig. 1B). The final dimensions of the device were selected based on the optimization studies: overall height of channel (h) 50 μm , with the ratio of the height of the grooves to that of the channel (α) set to 0.8; angle between the herringbones and the axis of the channel (θ) 45°, and principal wave vector, $q = 2\pi/100 \mu\text{m}$ (20, 21). A branching inlet header was designed to feed into eight individual channels to promote mechanical integrity and uniform flow distribution across the device (Fig. 1A).

In comparison to a traditional flat-walled microfluidic device (Fig. 1D), the herringbone-induced microvortices disrupt the laminar flow streamlines that cells travel, causing them to "shift"

(Fig. 1C), increasing the number of cell-surface interactions in the antibody-coated device. Flow visualization studies demonstrate this concept, showing in small scale versions of the flat and herringbone devices that two separate streams of equal viscosity (one fluorescently labeled green, one clear) fail to mix in the former but mix rapidly in the latter (Fig. S1A, B). Three-dimensional projections of confocal images illustrate the high degree of mixing within one cycle of herringbone grooves, with the two streams of fluid folding over one another (Fig. 1E, F) (see [Movie S1](#), [Movie S2](#), [Movie S3](#), and [Movie S4](#) for complete visualization of the flow fields; Fig. S2A–D). As predicted (20), flow rate does not impact the degree of mixing for the range of Re that is relevant in this system (Fig. S1A, B). Thus, any interpatient variances in the rheological properties of the blood will not impact the extent of mixing in the herringbone CTC-Chip.

HB-Chip Optimization and Validation by Capture of Cancer Cell Lines.

To demonstrate the impact of herringbone-mediated mixing, the HB-Chip was first compared with a traditional smooth wall microfluidic channel of similar dimensions (Fig. 2A, and Fig. S3A, B). We used a single channel of either the HB-Chip or the smooth chamber device, connected to a microfluidic waste collection chamber (Fig. S4). This small footprint serial chamber setup allowed for accurate counting of target PC3 prostate cancer cells captured in the devices, as well as enumeration of non-captured cells flowing into a microfluidic waste chamber, thus

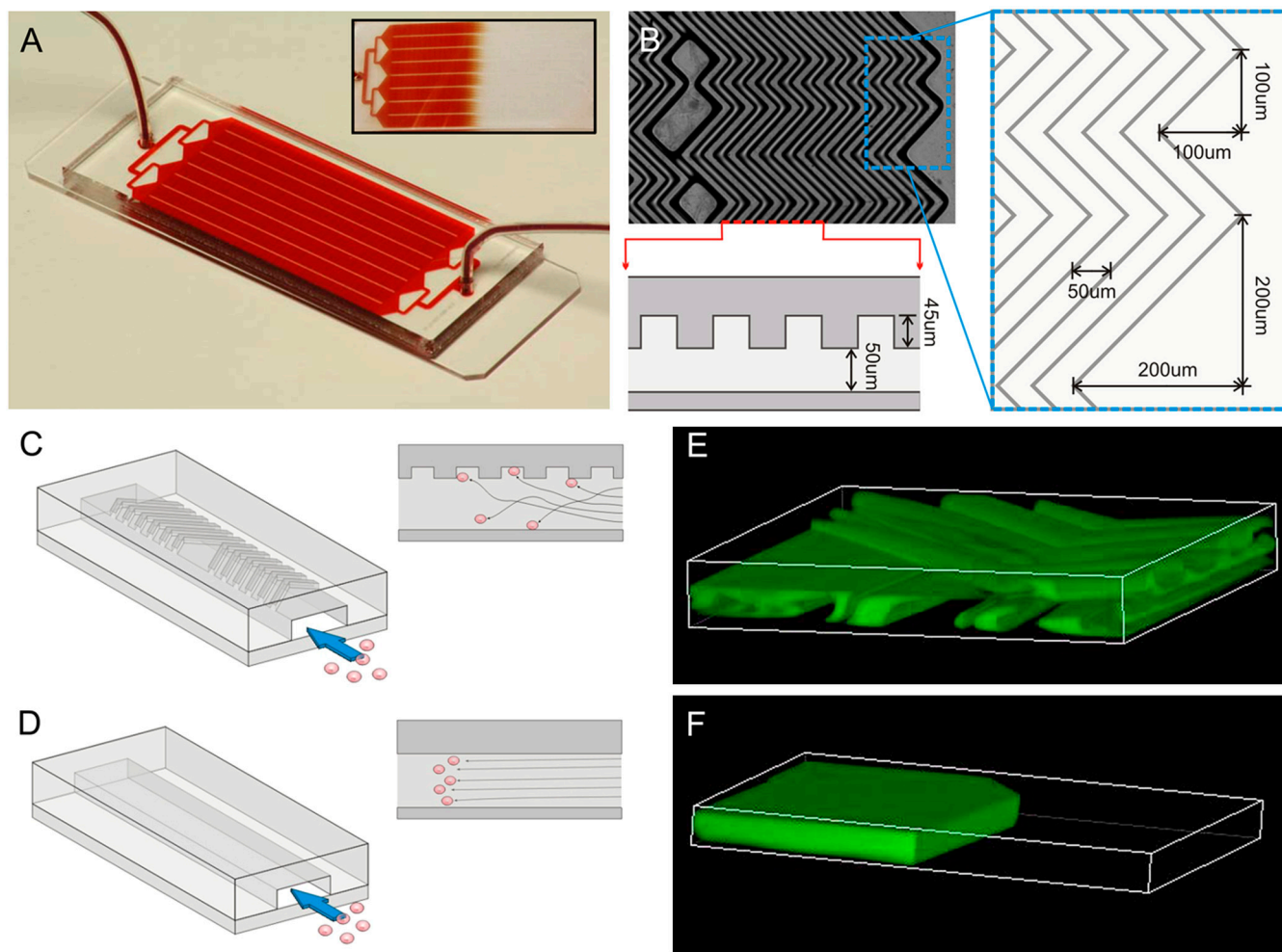


Fig. 1. (A) The HB-Chip consists of a microfluidic array of channels with a single inlet and exit. Inset illustrates the uniform blood flow through the device. (B) A micrograph of the grooved surface illustrates the asymmetry and periodicity of the herringbone grooves. Cartoon illustrating the cell-surface interactions in (C) the HB-Chip, and (D) a traditional flat-walled microfluidic device. Flow visualization studies using two paired streams of the same viscosity (one stream is green, the other clear) demonstrate (E) the chaotic microvortices generated by the herringbone grooves, and the lack of mixing in (F) traditional flat-walled devices.

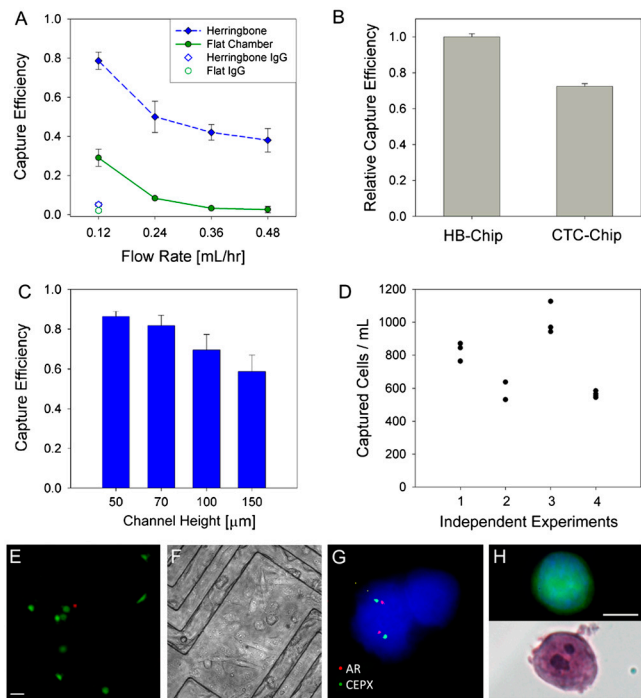


Fig. 2. (A) Device proof-of-principle studies were conducted using PC3 cells spiked into whole blood at 1,000 cells/mL and processed with a small version of the HB-Chip (Fig. S4, channel height 70 μm , $\alpha = 0.43$) and a flat-walled device (channel height 100 μm). Capture efficiency is shown for both devices in addition to IgG controls. (B) Relative capture efficiency for head to head comparisons run between the full-sized HB-Chip (channel height 50 μm , $\alpha = 0.8$) and the silicon CTC-Chip (17). For the data in (B), a single preparation of blood was spiked with PC3 cells at a concentration of 500 cells/mL, divided into equal parts and processed on both platforms. Due to slight errors in spiking concentration, the HB-Chip capture efficiency was determined to be greater than 100% ($112.4\% \pm 1.8\%$, $n = 4$). Consequently, the comparison data is normalized against the HB-Chip. (C) Variations in device chamber height showed a minimal impact on capture efficiency, until the chamber height was increased threefold. (D) Variability in device performance is shown for four independent experiments, each with different spiking concentrations of PC3 cells in blood. (E) Micrograph of spiked cancer cells captured on the HB-Chip, representative of capture cell viability (LIVE/DEAD). (Scale bar: 40 μm). (F) Micrograph of spiked cells captured on the herringbone chip and subsequently cultured on the device for 21 d. (G) On-chip FISH of captured LNCaP cells with nuclei stained with DAPI, CEPX (green) and AR gene locus (red). (H) Micrograph of a fluorescently labeled PC3 cell captured on the HB-Chip (top) and subsequent micrograph taken of the same cell stained with H and E. (Scale bar: 10 μm).

enabling a true mass-balance for cell capture efficiency (see *Materials and Methods*) (Fig. 2A). Compared with other epithelial cancer cell lines, PC3 cells express relatively low levels of cell-surface EpCAM (51,667 EpCAM molecules per cell), making them an appropriate choice for optimization of cell capture platforms (22). As predicted, the HB-Chip proved highly efficient at capturing PC3 cells (Fig. 2A), whereas significantly less capture was measured with the flat chamber device ($p < 0.05$ for all flow rates tested). At very low flow rates (0.12 mL/hr, translating to 1.2 mL/hr in the large-scale HB-Chip), the overall capture efficiencies in the flat chamber ($29\% \pm 4.3\%$ ($n = 4$)) and HB-Chip ($79\% \pm 4.5\%$ ($n = 8$)) reflect the settling of the PC3 cells towards the bottom surface of the device. However, as the flow rate is increased, the average capture efficiency for the flat chamber device drops to $<8\%$, whereas the HB-Chip maintains a target cell capture efficiency $>40\%$ for all flow rates up to 0.48 mL/hr (or 4.8 mL/hr in the large HB-Chip). The capability to run at higher flow rates is an additional benefit in comparison to the CTC-Chip, previously shown to have a significant drop in capture efficiency at flow rates above 2–3 mL/hr (17).

For clinical samples, a larger version of the HB-Chip was created (Fig. 1A). Repeating the spiked cell experiments at 1.2 mL/hr (Fig. 2A), the large HB-Chip yielded an average capture efficiency of $91.8\% \pm 5.2\%$ ($n = 6$) for PC3 cells spiked into whole blood. In comparing the HB-Chip and the micro-post-based CTC-Chip, operating at a flow rate that favors high performance in both devices (1 mL/hr), the HB-Chip yielded a significantly improved capture efficiency in comparison with the CTC-Chip (26.3% improvement, $p = 0.0001$, Fig. 2B). The purity of spiked cancer cells captured among contaminating leukocytes was $14.0\% \pm 0.1\%$ for the HB-Chip, compared with $9.2\% \pm 0.1\%$ for the CTC-Chip ($p = 0.033$). The kinetics of flow through the HB-Chip allowed an increased inner chamber height to 100 μm (corresponding to a twofold increase in blood volume throughput) without a significant drop in capture efficiency (Fig. 2C, $p > 0.05$). High data reproducibility (average variance = $5\% \pm 1.5\%$, $n = 12$) was also evident with the HB-Chip when analyzing duplicate samples at different cell spiking densities (Fig. 2D).

The viability of cancer cells spiked into whole blood and captured on the HB-Chip was high ($95\% \pm 0.6\%$, $n = 4$, Fig. 2E), and these cells could be cultured in vitro following separation of the top and bottom surfaces of the device (Fig. 2F). The relative simplicity of the HB-Chip design allows for manufacture using transparent, chemically stable materials, enabling high magnification imaging and assays such as FISH. Androgen receptor (AR) gene copy number was demonstrated using on-chip FISH in the LNCaP prostate cancer cell line, following spiking into blood and capture on the device (Fig. 2G). Two copies of the AR gene were detected in LNCaP cells, consistent with the known X chromosome copy number in this cell line (23). Imaging CTCs captured on a transparent device also allows for the use of standard cytology stains, which require immunohistochemical analysis using transmitted light. Hematoxylin and eosin staining was adapted for flow-through microfluidic conditions (see *Materials and Methods*), producing characteristic images of captured cancer cells (Fig. 2H).

Capture of CTCs from Patients with Metastatic Prostate Cancer. Blood samples from 15 patients at various stages of treatment for metastatic prostate cancer were processed with the HB-Chip. We also processed blood from healthy individuals as controls ($n = 10$). All cells captured on the HB-Chip were stained for DNA content (4,6-diamidino-2-phenylindole or DAPI), prostate-specific antigen (PSA, a highly specific marker for prostate epithelial cells), and a leukocyte marker (CD45). All devices were imaged in a blinded fashion, using our automated imaging algorithm (19). Rare “false positive” events were observed in healthy donors (median = 1 CTC/mL, mean = 3 ± 1 CTCs/mL, range 0 to 8 CTCs/mL), allowing us to set a detection threshold for significance in patients at ≥ 10 CTCs/mL. Among men with prostate cancer, CTCs were captured in 14 of 15 cases (93%), with counts ranging from 12 to 3,167 CTCs/mL (median = 63 CTCs/mL, mean = 386 ± 238 CTCs/mL) (Fig. 3A, see Table S1 for clinical characteristics). We have recently reported capture of CTCs in metastatic prostate cancer in 23 of 36 (64%) patients using the micropost CTC-Chip, under similar capture and enumeration conditions (19). Thus, in this patient population the HB-Chip demonstrates equal or improved capture efficiency. To validate the HB-Chip for molecular characterization of CTCs, we undertook on-chip lysis of captured cells and isolated RNA of sufficient quality for RT-PCR assays, identifying the known *TMPRSS2-ERG* chimeric transcript, confirmed by nucleotide sequencing, in a patient whose primary tumor harbored this translocation, but not in a patient whose tumor did not have the chromosome fusion (Fig. 3B).

The transparent materials used in the manufacture of the HB-Chip made it possible to complement immunofluorescence

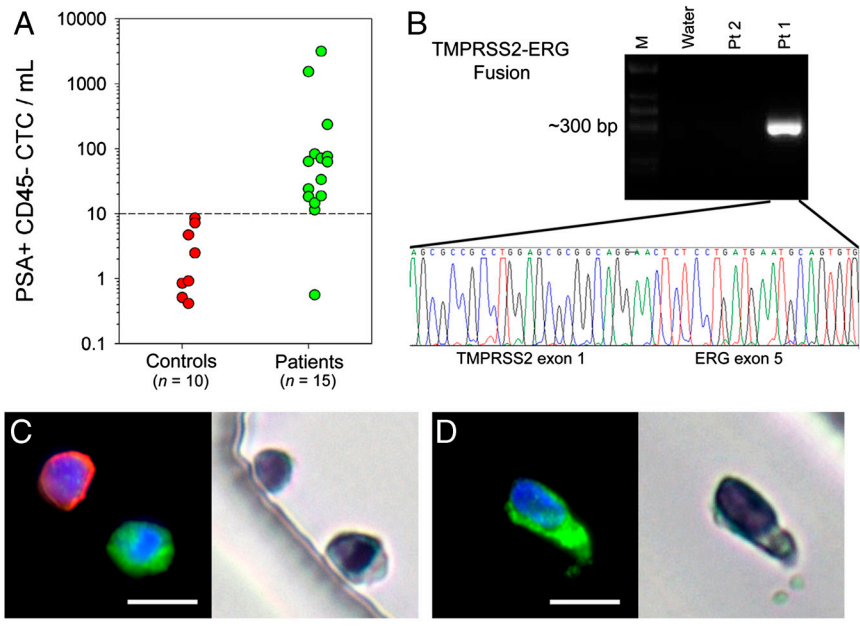


Fig. 3. Blood from metastatic prostate cancer patients and healthy donors was processed using the HB-Chip. (A) Healthy donors ($n = 10$, red circles) were used to select the signal intensity threshold in our automated imaging system (19). The metastatic prostate cancer patient data ($n = 15$, green circles) had significantly higher results, with 14:15 patient samples presenting CTCs above-threshold levels. Additionally, (B) the TMPRSS2-ERG fusion transcript was detected from RNA isolated from the HB-Chip, using RT-PCR. Sequencing of the gel band identified the rare gene fusion of TMPRSS2 exon 1 and ERG exon 5. (C and D) Micrographs of CTCs isolated from patients with metastatic prostate cancer costained using antibodies against PSA (green), a leukocyte marker, CD45 (red), and a nuclear stain (DAPI). Corresponding micrographs of the same cells are shown to the right of the fluorescent images, demonstrating their appearance after subsequent staining with H and E. (Scale bars: 10 μm).

staining of CTCs with stains used in standard pathology laboratories to identify tumor cells using light microscopy. Thus, a CTC identified using a specific fluorescence-conjugated antibody can be reevaluated under high magnification using transmitted light to gain additional insights into cytomorphologic and nuclear features (Fig. 3 C, D and Fig. S5C–F). Characteristics of tumor cells, such as large nuclei or visible nucleoli, are evident in some circulating cells identified by expression of PSA (Fig. 3D), prostate-specific membrane antigen (PSMA) (Fig. 4C), or cytokeratin (Fig. 4D and Fig. S5E–F).

The ability to combine immunofluorescence with light microscopic analysis provides insight into a common challenge in CTC isolation, namely the identification of cells that stain both for the epithelial and the leukocyte marker. While these “double positive” cells are typically excluded from CTC enumeration, they are more prevalent than CTCs, and their identity has been the subject of much debate: coating of CTCs with leukocyte-derived proteins may occur during their circulation in the bloodstream, while some monocytes may ingest tumor-derived markers. To begin to address this challenge, which has been described with all CTC isolation platforms, we identified double positive cells (PSA+, CD45+) captured by the HB-Chip from four patients with metastatic prostate cancer. In the majority of cases, hematoxylin and eosin staining revealed the characteristic morphology of leukocytes (Fig. 4B), suggesting that these cells are appropriately excluded from CTC enumeration. However, there are also a few cells with more ambiguous staining patterns in this population (Fig. 4A), whose size and staining patterns are more consistent with CTCs. To maintain a conservative approach in our CTC enumeration schema, these cells are still excluded from overall counts. It is of interest that double positive cells are observed at a significantly lower frequency in healthy blood donors, suggesting that these are not simply artifacts of postcapture staining. Further studies will be required to identify specific properties of these cells to explain their costaining for epithelial markers in patients with metastatic cancers.

In addition to patients with metastatic prostate cancer, we isolated CTCs from patients with lung cancer ($n = 4$), using antiEpCAM capture followed by staining for DAPI, CK7/8, and CD45 (Fig. S5). As for prostate cancer patients, the variable size (8–16 μm in diameter) and the morphological heterogeneity of CTCs is well illustrated (Fig. S5 A–D). Of note, the lower size limit of CTCs is comparable to the median size of leukocytes,

suggesting that CTC isolation strategies relying solely on size-based filtration may exclude a significant portion of the CTC population.

Identification of CTC Microclusters in the Bloodstream of Patients with Cancer. While most of the CTCs captured on the HB-Chip were single cells, we observed rare clusters of 4–12 cells (Fig. 4 A, D). Such clumps of tumor cells were never identified using the CTC-Chip, where interpost distances are designed to allow flow of single cells (17), nor have they been reported after the batch immunomagnetic bead purification process (4–9). Microclusters were identified in specimens from 3 out of 19 patients with either metastatic prostate or lung cancer. These were analyzed using immunofluorescence (PSMA or keratin), as well as hematoxylin and eosin stains (Figs. 4 C–D). Microclusters have never been identified following spiking of cancer cell lines into control blood specimens in our experiments, suggesting that they are not simply cell aggregations resulting from physical properties of the HB-Chip. Three-dimensional imaging of the microclusters also demonstrates that they have preserved shape and orientation, and are not groupings of cells flattened onto the walls or grooves of the device (rotating three-dimensional projection Movie S5).

Discussion
The application of microfluidic technology to the isolation of CTCs provides an opportunity for improved capture yield for these rare cells and for their preserved viability, allowing for detailed molecular and eventually functional characterization. However, the constraints inherent in large-scale production and chemical modification of a complex microfluidic device, combined with the need to image rare cells captured in a nontransparent three-dimensional array of microposts provide a challenge for “point-of-care” application of our previously described CTC-Chip (24). Here we demonstrate the application of a microfluidic vortex generator, the HB-Chip, which uses herringbone grooves within the transparent wall of the device to create microvortices that direct target cells toward capture on the antibody-coated walls. This “second generation” device allows for higher blood volume throughput, and increased CTC capture efficiency and purity. In addition to the imaging benefits provided by its transparent walls, the less complex three-dimensional structures of the HB-Chip greatly facilitates scaled up device production, and will thus enable the initiation of larger-scale clinical studies. In this initial proof of principle, the HB-Chip successfully isolated CTCs

of clusters of CTCs in the blood of patients with cancer may provide insight into the process of metastasis in human cancer (27). Given technological limitations to date, the mechanisms underlying cancer metastasis have been studied exclusively in mouse models. These have emphasized the altered motility of single cells intravasating from the primary tumor into the circulation, followed by their active extravasation at distant sites of metastasis. Circulating CTC clusters could either constitute microemboli breaking off from a tumor mass or, alternatively, they could result from intravascular proliferation of tumor cells (28). By whatever mechanism they arise, CTC clusters have the potential to lodge in distal capillary beds, thereby initiating metastatic lesions. Further characterization of these clusters in additional cancer types and at various stages of cancer progression will be required to ascertain their potential contribution to the metastatic spread of cancer.

Materials and Methods

Device Fabrication and Surface Modification. Microfluidic devices were made of PDMS bonded to glass substrates using soft lithography techniques (29). The microfluidic devices were functionalized with epithelial cell adhesion molecule (EpCAM, R and D Systems) antibody using avidin-biotin chemistry. The immobilization of antibody on the glass and PDMS surfaces was achieved using a previously described method (30). See *SI Text* for additional details.

Study Subjects and Blood Processing. Patients with advanced prostate and lung cancer were recruited according to a protocol approved by the institutional review board (IRB). Blood specimens from healthy volunteers were collected under a separate IRB-approved protocol. A total of 19 cancer patients (15 prostate, 4 lung), who were treated at the Massachusetts General Hospital Cancer Center, donated 10–20 mL of blood on one or more occasions for analysis on the HB-Chip. All specimens were collected into vacutainer (Becton-Dickinson) tubes containing the anticoagulant EDTA and were processed through the HB-Chip within 6 h of blood draw. Samples were run on the previously described microfluidic processing machine (17). Briefly, a 5 mL aliquot of blood was placed in an air-tight conical tube on a rocker assembly, and ~4 mL of blood were pneumatically driven through the chip at a flow rate of 1.5–2.5 mL/hr. Following, the HB-Chip was flushed with 2.5 mL of PBS at 2.5 mL/hr to remove any nonspecifically bound cells.

CTC Staining and Enumeration. After processing of blood on the HB-Chip, cells were fixed with 4% paraformaldehyde, and subsequently permeabilized with 0.2% Triton X-100 (Sigma-Aldrich) in PBS. Cells were immunostained with primary antibodies, either a rabbit polyclonal antibody to PSA (DAKO), monoclonal antibody against cytokeratin 7/8 (CAM 5.2 clone, BD Biosciences), or a monoclonal mouse IgG2b antibody to PSMA (Santa Cruz Biotechnology). Following, the appropriately matched secondary AlexaFluor 488-conjugated antibody (Invitrogen) was used to identify epithelial cells. Nuclei were stained with DAPI. All samples were counterstained with mouse IgG1 antihuman anti-CD45 (Clone H130, BD Biosciences), followed by Alexa Fluor 594 fluorophore (Invitrogen) to identify any bound leukocytes. After completion of staining, all devices were washed PBS and stored at 4 °C. All devices were imaged using our previously described imaging system (19). See *SI Text* for additional details.

Statistical Analysis. Data are reported as mean \pm standard error of the mean as noted. If groups had a normal distribution and homogenous variances, the group means were compared by an independent *t*-test. Differences were considered significant at the 95% confidence level ($p < 0.05$).

See *SI Text* for details on the hematoxylin and eosin staining, on-chip FISH, RT-PCR analysis and sequencing, cell line preparation, flow visualization, and cancer cell line experiments.

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